SESA/ESMF Process Flowchart

STEP 1: Include provisions for coordinating SESA in national readiness management arrangements

RESP: REDD+ Country Government ACTIONS: Determine which entities will be responsible for SESA implementation and oversight, respectively; Create Sub-Committee or Working Group on safeguards application and/or SESA implementation DOCU: Description in component 1a of R-PP TOOLS: organizational mapping; political economy analysis

STEP 2: Establish SESA-specific outreach, communication, and consultative mechanisms RESP: REDD+ Country Government ACTIONS: Revisit composition of crosssectoral, national-level working group for REDD+; Plan and carry out capacity building events; Organize a national-level multi-stakeholder workshop to engage in initial issues scoping DOCU: Consultations on key social and environmental considerations included in the overarching Consultation and Participation Plan for REDD+ readiness TOOLS: stakeholder mapping; gender analysis

STEP 3: Prepare SESA Work Plan and/or ToR

RESP: REDD+ Country Government <u>ACTIONS</u>: If SESA is to be implemented by Government, have relevant specialists prepare SESA Work Plan; If SESA is to be implemented by consultants, initiate the bidding process, based on ToR prepared by Government; Organize a national-level, multi-stakeholder workshop to validate SESA Work Plan <u>DOCU</u>: Descriptions in components 2b and 2d of R-PP <u>TOOLS</u>: project planning software; matrices; Gantt chart

STEP 4: Prioritize the drivers of deforestation and define env. and social issues and priorities in relation

to them

RESP: SESA implementing entity <u>ACTIONS</u>: Contract and carry out special studies, whose ToR have been publicly consulted; Conduct interviews; Organize workshops and/or meetings at the national and subnational levels <u>DOCU</u>: Draft description in R-PP Progress Report; Final description in R-Package <u>TOOLS</u>: spatial analysis; case studies; ranking/scoring exercises

STEP 8: Develop ToR for preparing the ESMF RESP: SESA implementing entity

ACTIONS: Disclose draft or final ToR for public comment; If ESMF is to be prepared by consultants, initiate the bidding process DOCU: ToR included in R-PP Progress Report TOOLS: Guidelines in Annex C of R-PP template STEP 7: Refine existing and/or generate new REDD+ strategy options <u>RESP</u>: SESA implementing entity <u>ACTIONS</u>: Organize a national-level multi-stakeholder workshop to validate the refined REDD+ strategy options <u>DOCU</u>: Draft description in R-PP Progress Report; Final description in

R-Package

TOOLS: N/A

STEP 6: Assess environmental and social risks and potential impacts (both positive and negative) of proposed REDD+ strategy options <u>RESP</u>: SESA implementing entity <u>ACTIONS</u>: Contract and carry out special studies, whose ToR have been publicly consulted; Conduct interviews; Organize workshops and/or meetings at the national and subnational levels <u>DOCU</u>: Draft description in R-PP Progress Report; Final description in R-Package <u>TOCUS</u>: SWOT analysis; impact analysis; gender analysis

STEP 5: Assess proposed REDD+ strategy options in relation to the previously defined priorities <u>RESP</u>: SESA implementing entity <u>ACTIONS</u>: Identify legal/regulatory, policy, institutional, and capacity gaps to manage the priorities; Generate recommendations to address the identified gaps; Organize workshops and/or meetings at the national and subnational levels <u>DOCU</u>: Draft description in R-PP Progress Report; Final description in R-Package <u>TOOLS</u>: correspondence analysis; gap analysis

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STEP 9: Prepare ESMF consistent with the applicable safeguards <u>RESP</u>: SESA implementing entity or ESMF preparation entity <u>ACTIONS</u>: Organize meetings at the national and/or subnational levels, to consult on initial draft ESMF; disclose ESMF or advanced draft ESMF for public comment <u>DOCU</u>: ESMF or advanced draft ESMF, for inclusion in R-Package TOOLS: Sample ESMFs to come

STEP 10: Prepare summary of SESA activities and outcomes <u>RESP</u>: REDD+ Country Government <u>ACTIONS</u>: N/A <u>DOCU</u>: SESA Summary, for inclusion in R-Package <u>TOOLS</u>: Guidelines in Annex D of R-PP template STEP 11: Implement the national REDD+ strategy RESP: REDD+ Country Government, in coordination with relevant stakeholders at various levels (for monitoring) ACTIONS: Follow protocols in approved ER Programs for the design and implementation of specific project(s), activity(-ies), policy(-ies)/regulation(s); Pursue any additional policy and/or institutional reforms that might be needed <u>DOCU</u>: ERPAs TOOLS: SESA dialogue

STEP 12: Apply the ESMF

RESP: REDD+ Country Government, in coordination with relevant stakeholders at various levels (for monitoring) <u>ACTIONS</u>: Prepare and implement sitespecific impact mitigation/management plans for specific project(s), activity(-ies), policy(-ies)/regulation(s) related to REDD+ strategy implementation <u>DOCU</u>: Impact mitigation/management plan for each specific activity <u>TOOLS</u>: environmental impact assessment; social impact assessment

NOTE: The list of "TOOLS" provided for each step is not meant to be exhaustive.