



Readiness Fund REDD+ Country Participants Progress Report Template

v. 2022



FCPF Readiness Fund: REDD+ Country Participant Annual Progress Report

About this document

This template is for use by Readiness Fund (RF) REDD+ Country Participants to report their annual progress on REDD+ readiness activities in general, and on FCPF-supported activities in particular. The data provided through these reports represents a central information source for measuring progress against the FCPF's expected results and performance indicators, as articulated within the FCPF Monitoring and Evaluation (M&E) Framework.

Report preparation

Reports cover progress through 30th June of each year.

When preparing the report, RF REDD+ Country Participants should draw upon the country M&E system for REDD+ (component 6 of the R-PP) and should consult members of the national REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated, with any divergent views recorded as appropriate. Detailed, indicator-by-indicator definitions and reporting guidance are provided within the FCPF M&E Framework (<https://www.forestcarbonpartnership.org/monitoring-and-evaluation-0>).

Reporting schedule

Completed reports should be submitted to the FCPF's Facility Management Team (FMT) by **15th July** every year.

SECTION A: NARRATIVE SUMMARY

1. In brief, what were the main REDD+ readiness-related activities and achievements delivered within your country during the last year?
 - Activities - For example, strategy / policy drafting, stakeholder consultation events, capacity building / training, awareness raising initiatives
 - Achievements - For example, x number of individuals attended REDD+ consultations (sex disaggregated, if available), national REDD+ strategy was finalised, government formally adopted national REDD+ related policy/s, NFMS was established, partnership agreement with private sector association signed
 - Please be as specific as possible, e.g. name, date and number of participants in consultation events (sex disaggregated, if available), name of policy being drafted, institutions involved in policy drafting

Guatemala's progress in terms of 2021 implementation and payment by results phases of the National REDD+ Strategy is summarized below:

During the execution of Phase II (ATN/FP-16400-GU) of "Preparation of the National Strategy for Reducing Emissions through Avoided Deforestation and Forest Degradation in Guatemala" (successfully completed in October 2021), a need was identified to use the remaining resources from Phase I (US\$ 385,161.00) through a new operation with the objective of developing instruments to access climate finance for the implementation of activities that address the key drivers of deforestation, especially in the livestock and agricultural sectors.

The operation has been executed by the IDB at the request of the Government to implement the remaining resources of the FCPF under the Technical Cooperation GU-T1310 "Support to the REDD+ Strategy to Consolidate the Deforestation

Agents Approach”, whose objective is to support Guatemala in the preparation of its National Strategy for Reducing Emissions from Deforestation and Forest Degradation (REDD+), complementing the results achieved in the first and second phases (GU-T1272) and strengthening the design and socialization of the actions to be addressed by deforestation agents. It is worth noting that since the operation was initiated during the COVID-19 pandemic, several activities contemplated in the field and organization of workshops were formulated or redirected.

By December 2021, 62% of the project had been executed and a second extension of 11 additional months was requested and approved, which will expire on December 15, 2022. The summary of progress in the components of the operation, whose implementation began in May 2021, are the following:

Component 1. Support for the update of emission reference levels in the forestry sector.

Activity 1a. The operation GU-T1272 "Phase II of the preparation of REDD+ National Strategy" financed the first stage of the elaboration of the forest cover maps to update the REDD+ reference levels. With the present TC, GU-T1310, a single source selection was requested for the consulting firm that carried out this work (first stage) to develop the second stage of these maps. However, Due COVID-19 some field activities in charge of this consulting firm (first contract under GU-T1272) were cancelled and compensated through the preparation of the second stage of the maps, therefore, it was no longer necessary to cover this activity with the assigned resources in the GU-T1310 and these were reoriented for the design of the sustainable livestock program under Component 2, and other activities of the same operation.

Component 2. Design of actions to address deforestation agents.

Activity 2a. 4 consultancies were carried out to manage the approval of climate change projects. *The achievements* were: (i) the develop of a concept note for Guatemala’s sustainable livestock Program, in in support for the Ministry of Agriculture, Livestock and Food to access climate finance through the Green Climate Fund; (ii) the alignment of the National Determined Contribution -NDC- 2021 with the National REDD+ Strategy, the updated GHG inventories, the sustainable livestock program and other strategic actions in the sector.

Activity 2b. 11 dialogues (bilateral meetings and interviews) with the legislative body to inform about policy instruments related to forestry and land use change. The *achievement* was the approval of the Emission Reduction Payment Agreement (ERPA). This also was achieved through the accompaniment and involvement of community-based organizations.

Activity 3c. In June 2021, a consulting firm with local experience in the development of the forest sector Emission Reference Levels for the REDD+ National Strategy was hired to elaborate the First Emission Reduction Program Monitoring Report, based on the FCPF methodological framework for the period of January to December 2020. The Report has been completed in 2022 and it was submitted to the validation and verification phase.

2. What were the main REDD+ readiness-related challenges and/or problems during the last year?

- For example, lack of engagement from key stakeholders, political barriers, limited funding

Activities that required field trips and workshops consultations were cancelled because the COVID-19 pandemic. The strategy was to develop the activities through digital media and other resources.

3. What are the main REDD+ readiness-related activities that you hope to deliver, and achievements anticipated during the next year?

- For example, hold x consultation events, submission of R-Package to the PC, finalisation of SIS, commission research into REDD+ strategy options

- Completion of the first Emission Reductions Program monitoring report 2020. The validation and verification stage of the report by the World Bank is being executed.
- Support to the executing Unit of the program in the development of the benefit sharing plan and processes related to the documentation of information on non-carbon variables and safeguards for the following reports.
- Support for the monitoring report for the period January 2021 to December 2022, through other financial mechanism.
- Start of the implementation of financial mechanisms linked to REDD+ actions that will help to reduce degradation and deforestation: Sustainable Forest Management Project (GU-L1165) and Efficient Use of firewood and alternative fuels in indigenous and rural communities in Guatemala (GU-G1004; GU-T1305).

SECTION B: READINESS PROGRESS

4. Please provide your own assessment of national progress against all REDD+ readiness sub-components:
(Indicator OV.1.B: Number of FCPF supported countries that have in place a National REDD+ Strategy, FREL/FRL, NFMS and SIS; Output 1.3 indicators)

Progress rating key:

5	Completed	The sub-component has been completed
4	Significant progress	Significant progress
3	Progressing well, further development required	Progressing well, further development required
2	Further development required	Further development required
1	Not yet demonstrating progress	Not yet demonstrating progress
0	N/A	The sub-component is not applicable to our process

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)
R-PP Component 1: Readiness Organisation and Consultation		
Sub-component 1a: National REDD+ Management Arrangements	5 Completed	Completed and reported in previous years.
	4 Significant progress	
	3 Progressing well, further development required	
	2 Further development required	
	1 Not yet demonstrating progress	
	0 N/A	
Sub-component 1b: Consultation, Participation and Outreach	5 Completed	Guatemala had a great progress in the implementation of consultation, participation, and outreach process. This could be completed in 2021 with the launching event of the National REDD+ Strategy, with the participation of the President of the Republic, Ministers of Finance and Environment and Natural Resources.
	4 Significant progress	
	3 Progressing well, further development required	
	2 Further development required	
	1 Not yet demonstrating progress	
	0 N/A	
R-PP Component 2: REDD+ Strategy Preparation		
Sub-component 2: REDD+ Strategy	5 Completed	Guatemala has completed the preparation of the REDD+ strategy. The Strategy was launched by the Government in 2021.
	4 Significant progress	
	3 Progressing well, further development required	

Sub-component	Progress rating (mark 'X' as appropriate)			Narrative assessment (briefly explain your rating)
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
Sub-component 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	5	Completed	x	Completed and reported in previous years.
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
Sub-component 2b: REDD+ Strategy Options	5	Completed	x	Completed and reported in previous years.
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
Sub-component 2c: Implementation Framework	5	Completed	x	Completed and reported in previous years.
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
Sub-component 2d: Social and Environmental Impacts	5	Completed	x	Completed and reported in previous years.
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
R-PP Component 3: Reference Emissions Level/Reference Levels				
Component 3: Reference Emissions Level/Reference Levels	5	Completed	x	FREL/FRLs were updated for the 2006-2016 period. This was harmonized with the information used to prepare the Emission Reduction Program Monitoring Report. In January 2022, the Ministry of Environment and Natural Resources submitted to the UNFCCC, this is under review right now. The 2016 forest cover and land use map were validated by the Institutions that constitute the interinstitutional coordination group (GCI, in Spanish).
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
R-PP Component 4: Monitoring Systems for Forests and Safeguards				
Sub-component 4a: National Forest Monitoring System	5	Completed	X	Completed and reported in previous years.
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		

Sub-component	Progress rating (mark 'X' as appropriate)		Narrative assessment (briefly explain your rating)
Sub-component 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	5	Completed	X
	4	Significant progress	
	3	Progressing well, further development required	
	2	Further development required	
	1	Not yet demonstrating progress	
	0	N/A	
			Guatemala completed the consolidation of the information system for multiple benefits, other impacts, governance, and safeguards. This is available in the National Climate Change Information System. The Safeguards Information System is being implemented. The Safeguards Report that the country is currently developing, is part of the one the effectiveness conditions of Emission Reduction Payment Agreement (ERPA). It is expected that the country will have an advanced Safeguards Report document by May 2022, whose objective is to demonstrate that the ER-PD actions for the 2020 period were implemented in accordance with the environmental and social instruments developed by the Program.

SECTION C: NON-CARBON BENEFITS

5. Does your national REDD+ Strategy or Action Plan include activities that directly aim to sustain and enhance livelihoods (e.g. one of your program objective/s is explicitly targeted at livelihoods; your approach to non-carbon benefits explicitly incorporates livelihoods)?

(Indicator 3.2.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to sustain and enhance livelihoods)

Yes	(delete as appropriate)
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If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach:

Reported in previous years.

A total of 70 indicators has been defined to measure the ecological, cultural, and socio-economic benefits of REDD+ actions. The indicators related to livelihoods are a total of 31 indicators, which were prioritized using the SMART criteria. These are:

- Annual proportion of area (ha) of PINPEP projects for indigenous peoples and area of PINPEP projects.
- Ratio of annual number of PINPEP beneficiaries from indigenous peoples and PINPEP beneficiaries.
- Annual proportion of area (ha) of PINPEP projects for women and area of PINPEP projects.
- Ratio of annual number of women beneficiaries of PINPEP and PINPEP beneficiaries.
- Annual ratio of area (ha) of PINPEP projects for illiterate beneficiaries and area of PINPEP projects.
- Ratio of annual number of illiterate PINPEP beneficiaries and PINPEP beneficiaries.

The list of indicators to measure socio-economic performance of REDD+ actions can be reviewed on the National Climate Change Information System web page:

<http://snicc.marn.gob.gt/Busqueda/Resultado?powerbi=https://app.powerbi.com/view?r=eyJrjoiMTVhMjdhYzQtNzk5Yi00MzllLThjOGUtYjJjMDI1NDNmNjJjIiwidCI6IjhmYmFhNWJmLTJlY2MtNGRjOC1iNTZiLTNmOTJlMzA3ZjA3NiIsImMiOiR9>

6. Does your national REDD+ Strategy or Action Plan include activities that directly aim to conserve biodiversity (e.g. one of your program objective/s is explicitly targeted at biodiversity conservation; your approach to non-carbon benefits explicitly incorporates biodiversity conservation)?

(Indicator 3.3.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to conserve biodiversity)

Yes		(delete as appropriate)
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If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach

Reported in previous years.

A list of indicators has been defined to measure the ecological benefits associated with REDD+ actions. Among the, the following can be mentioned:

- Annual increase (%) in number of CONAP forestry incentive projects.
- 5-year variation of existing IUCN red-listed species in protected areas.
- Annual area of PROBOSQUE protection projects in strategic forest ecosystems (mangrove, pinabete, cloudy forest, dry forest, pine-oak forest).
- Ratio of annual area (ha) of forestry incentive projects for water purposes to total forestry incentive projects.
- Proportion of annual area (ha) of native species encouraged and exotic species supported by PROBOSQUE.
- Annual increase in area (ha) managed with the objective of achieving ecosystem connectivity by MARN,
- Five-year increase in number of fauna species in protected areas.
- Five-year increase in number of flora species in protected areas.

These can be reviewed at the following web page:

<http://snicc.marn.gob.gt/Busqueda/Resultado?powerbi=https://app.powerbi.com/view?r=eyJrjoiMTVkbMjdhYzQtNzk5Yi00MzllThjOGUtYjJjMDI1NDNmNjJjliwidCI6IjhmYmFhNWJmLTJlY2MtNGRjOC1iNTZiLTlmOTJlMzA3ZjA3NiIsImMiOiR9>

SECTION D: FINANCE

(Please complete in US\$ ONLY)

7. Please detail the amount of all finance received in support of development and delivery of your national REDD+ readiness process since the date that your R-PP was signed. Figures should only include secured finance (i.e. fully committed) – ex ante, (unconfirmed) finance or in-kind contributions should not be included: (Indicator 1.B: Amount of finance received to support the REDD+ Readiness process (disaggregated by public, private, grants, loans))

Amount (US\$)	Source (e.g. FCPF, FIP, name of gov't department)	Date committed (MM/YY)	Public or private finance? (Delete as appropriate)	Grant, loan or other? (Delete as appropriate)
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EXAMPLES:

\$500,000	FCPF Readiness Preparation Grant	10/2013	Public /Private	Grant /Loan /Other
\$250,000	Ministry of Forestry	01/2014	Public /Private	Grant /Loan /Other

\$3,800,000	FCPF Readiness Preparation Grant	2017	Public	Grant
\$ 567,544	USAID/CNCG/RA	2017	Public	Grant
\$ 224,000	USAID/PRCC	2017	Public	Grant
\$ 24,800	IUCN	2017	Public	Grant
\$ 12,000	CARE	2017	Public	Grant

\$ 40,000	FAO	2017	Public	Grant
\$ 487,542	UNDP	2017	Public	Grant
\$100,000	FAO	2018	Public	Grant
\$850,000	GCF/FAO	2019	Public	Grant
\$5,000,000	FCPF Readiness Preparation Grant Grant	2018	Public	Grant
\$12,000,000	NAMA Facility	2019	Public	Grant
\$ 9,225,000	IDB/FIP	2020	Public	Loan 91.6% Grant 8.4%
\$3,250,000	IDB Lab/FIP	2020	Private	Grant
\$1,021,886	GEF	2022	Public	Grant
\$350,000	FRC – French Climate Fund for Latin America and the Caribbean	2022	Public	Grant
\$175,000	ACL – NDC Pipeline Accelerator Multidonor Trust Fund	2022	Public	Grant
\$225,000	MAG – AgrolAC 2025 Multidonor Trust Fund	2022	Public	Grant

8. Please detail any ex ante (unconfirmed) finance or in-kind contributions that you hope to secure in support of your national REDD+ readiness process:

Amount (US\$)	Source (e.g. FCPF, FIP, name of gov't department)	Public or private finance? (Delete as appropriate)	Grant, loan or other? (Delete as appropriate)
\$		Public / Private	Grant / Loan / Other
\$		Public / Private	Grant / Loan / Other
\$		Public / Private	Grant / Loan / Other
\$		Public / Private	Grant / Loan / Other
\$		Public / Private	Grant / Loan / Other
\$		Public / Private	Grant / Loan / Other

SECTION E: FCPF PERFORMANCE

9. To help build an understanding of the FCPF strengths, weaknesses and contributions to REDD+, please indicate the extent to which you agree with the following statements:

(Indicator 4.B: Participant Countries' assessment of FCPF's role within and contribution to national REDD+ processes)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
The FCPF's support has had a central influence on the development our national REDD+ systems and processes					X

The FCPF's support has improved the quality of our national REDD+ systems and processes					X
The FCPF's support has improved national capacities to develop and deliver REDD+ projects					X
The FCPF's support has helped to ensure substantive involvement of multiple stakeholders (including women, IPs, CSOs and local communities in our national REDD+ systems and processes					X

Comments / clarifications, if appropriate:

10. To help assess the usefulness and application of FCPF knowledge products (publications, seminars, learning events, web resources), please indicate the extent to which you agree with the following statements:

(Indicator 4.3.a: Extent to which FCPF learning, evidence and knowledge products are used by Participant countries)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
We regularly access FCPF knowledge products to obtain REDD+ related information					X
FCPF knowledge products are relevant to our REDD+ related information requirements					X
FCPF knowledge products are sufficient to address all of our REDD+ related information requirements					X
The FCPF website is a useful resource for accessing FCPF and REDD+ related information					X

Comments / clarifications, if appropriate:

SECTION F: FINAL COMMENTS

11. If appropriate, please provide any further comments or clarifications relating to your work on REDD+ Readiness during the last year:

Regarding the Technical Cooperation GU-T1310 ""Support to the REDD+ Strategy to Consolidate the Deforestation Agents Approach"". The financial situation for the year 2021, is as follows.

DISBURSEMENT SUMMARY YEAR 2021

Component	Total Funds per component (US\$)	Allocated Funds (US\$)		Available Funds (US\$)
		Committed	Disbursed	
C1. Update of reference levels of emissions in the forestry sector.	97,405.34	6,768.61	14,010.25	76,626.48
C2. Actions to address deforestation agents.	242,755.66	3,596.43	239,145.49	13.74
C3. Administration, supervision, and monitoring.	45,000.00	258.13	2,461.87	42,280.00
TOTAL (US\$)	385,161.00	10,623.17	255,617.61	118,920.22

Some important milestones and lessons learned during 2021 readiness activities are:

- The official launching event of the National REDD+ Strategy was carried out by the Government of Guatemala. In addition, the dialogue and participation of community-based groups was facilitated for the approval of the sale and purchase agreement of the ER-PD and other financing processes within the framework of the National REDD+ Strategy. With respect to the dissemination of this event, it was reported in at least 3 digital media of national importance.
- The national REDD+ strategy has been an important management and planning tool for the Land Use, Land Use Change and Forestry sector in terms of climate change, as it was the basis for the definition of the proposed emission reduction targets in the country's updated NDC. Its alignment will allow joining efforts to achieve climate finance related to GHG mitigation from the AFOLU sector.
- The alignment of the National REDD+ Strategy with the updated Nationally Determined Contribution (NDC) and GHG inventories of the AFOLU sector is an important milestone, as it will allow joining efforts to mobilize climate finance related to GHG mitigation.