



Readiness Fund REDD+ Country Participants Progress Report Template

v. 2020



FCPF Readiness Fund: REDD+ Country Participant Annual Progress Report

About this document

This template is for use by Readiness Fund (RF) REDD+ Country Participants to report their annual progress on REDD+ readiness activities in general, and on FCPF-supported activities in particular. The data provided through these reports represents a central information source for measuring progress against the FCPF's expected results and performance indicators, as articulated within the FCPF Monitoring and Evaluation (M&E) Framework.

Report preparation

Reports cover progress through 30th June of each year.

When preparing the report, RF REDD+ Country Participants should draw upon the country M&E system for REDD+ (component 6 of the R-PP) and should consult members of the national REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated, with any divergent views recorded as appropriate. Detailed, indicator-by-indicator definitions and reporting guidance are provided within the FCPF M&E Framework (<https://www.forestcarbonpartnership.org/monitoring-and-evaluation-0>).

Reporting schedule

Completed reports should be submitted to the FCPF's Facility Management Team (FMT) by **15th July** every year.

SECTION A: NARRATIVE SUMMARY

1. In brief, what were the main REDD+ readiness-related activities and achievements delivered within your country during the last year?

Advances in REDD + preparation over the past year have allowed Chile to sign an Emission Reduction Payment Agreement (ERPA) with the Carbon Fund, being the first country in Latin America to sign an **ERPA**. Additionally, Chile obtained approval for a Green Climate Fund (**GCF**) Results-Based Payments project. Both projects are part of the National Strategy on Climate Change and Vegetation Resources (ENCCRIV, Spanish acronym).

The country has achieved great progress on the REDD+ elements. After the establishment of the ENCCRIV and consignment of the FREL/FRL in 2016 by the UNFCCC, during Fiscal Year 20 (FY20), **the 1st Technical Annex of REDD+ Results** has been consigned and officially published in August 2019. This report was prepared in accordance to the methods used for the Forest Reference Level. Furthermore, as part of the National Forest Monitoring System (NFMS), Chile has made significant progress in the development of **technological tools that enable the MRV system** to standardize, automatize, monitor and report the impacts of the ENCCRIV activities in the country. This progress is mainly related to improvement of (i) information for reporting, (ii) developing procedures for information analysis, (iii) computing tools to automatize the processes, and systems to monitor the social, economics, and environmental impacts of the REDD+ activities. The above mention, includes the development of tools to enable a Safeguards Information System, as an IT tool for monitoring the impacts of ENCCRIV activities.

During FY20, the development of the **SIS computer platform** has been finalized, once available and published online, the platform will allow: (i) entering information of the activities carried out within the ENCCRIV framework, (ii) monitoring

management of safeguards during the development of the activities, (iii) entering verification data source and, (iv) generating semi-automated reports that will be the basis for preparing reports on compliance with safeguards. Additionally, through this system, Chile will be able to follow-up the requests submitted to the Grievance Mechanism, which in the case of ENCCRV has been established through CONAF's own mechanism "Information, Claims and Suggestions Offices (OIRS)".

Finally, it is important to mention, that progress has been made in strengthening institutional capacities, particularly in the ERPA accounting area regions. Training sessions have been delivered to professionals from the National Forest Corporation (CONAF), other external professionals, and future beneficiaries in different topics for implementation of the ENCCRV, reaching 663 people trained, of which 271 were women.

2. What were the main REDD+ readiness-related challenges and/or problems during the last year?

The main challenges encounter during the preparation of REDD + readiness activities during the last year are those related to raising enough resources to achieve the implementation phase of the ENCCRV. It is important to note, that this situation is different for the Readiness phase, for which Chile has received financial and technical support to satisfactorily complete the preparation phase. Nonetheless, there are not enough resources to date to implement the activities to achieve the goals established in the ENCCRV for the phase of implementation.

For instance, Thanks to the FCPF readiness and other preparation funds, it was possible to complete the REDD+ preparation phase and, therefore, request two payment for results projects, which will be invested in the implementation phase. However, these payments will not be enough to meet the objectives established in the ENCCRV.

It is worth mentioning that for the case of the ENCCRV, conditional financial resources were defined, which, among other things, it sought to develop a new instrument and an amendment to the Native Forest Law, which unfortunately has not happen.

In addition to the above, the political-social crisis that occurred in October 2019 and the current health crisis caused by COVID-19, has exacerbated the situation and it is likely that the government's priorities and economic strengthening will not be focused on the forestry sector, but to the health sector, pensions, education, among others. Consequently, it will be difficult to obtain additional national resources for the implementation of the ENCCRV.

3. What are the main REDD+ readiness-related activities that you hope to deliver, and achievements anticipated during the next year?

It is expected that during FY21 Chile will complete all the requirements of the FCPF Methodological Framework and thereby comply with the conditions of effectiveness established in the ERPA to carry out the first transfer of ER to the Carbon Fund. The pending activities correspond to completing the national forest reference level and finishing the Benefit Sharing Plan and preparing its respective Operations Manual. In addition, the BSP's regional governance bodies must be established.

In addition to completing the entire process of capacity building and having the ENCCRV Information Platform operational, it will contain the NFMS and the SIS.

SECTION B: READINESS PROGRESS

4. Please provide your own assessment of national progress against all REDD+ readiness sub-components: (Indicator OV.1.B: Number of FCPF supported countries that have in place a National REDD+ Strategy, FREL/FRL, NFMS and SIS; Output 1.3 indicators)

Progress rating key:

5	Completed	The sub-component has been completed
4	Significant progress	Significant progress
3	Progressing well, further development required	Progressing well, further development required
2	Further development required	Further development required
1	Not yet demonstrating progress	Not yet demonstrating progress
0	N/A	The sub-component is not applicable to our process

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)	
R-PP Component 1: Readiness Organisation and Consultation			
Sub-component 1a: National REDD+ Management Arrangements	5 Completed	Progress has been made in institutional strengthening associated with ENCCRV and governance at the central level. It only remains to establish regional governance to finish this subcomponent.	
	4 Significant progress		x
	3 Progressing well, further development required		
	2 Further development required		
	1 Not yet demonstrating progress		
	0 N/A		
Sub-component 1b: Consultation, Participation and Outreach	5 Completed	During the second semester of 2019, the last participatory process associated with the ENCCRV Benefit Sharing Plan was carried out, thus ending this subcomponent.	
	4 Significant progress		x
	3 Progressing well, further development required		
	2 Further development required		
	1 Not yet demonstrating progress		
	0 N/A		
R-PP Component 2: REDD+ Strategy Preparation			
Sub-component 2: REDD+ Strategy	5 Completed	The ENCCRV was publicly launched in 2017 and subsequently guides have been produced to support the field operation of the ENCCRV action measures, for which the subcomponent is finished.	
	4 Significant progress		x
	3 Progressing well, further development required		
	2 Further development required		
	1 Not yet demonstrating progress		
	0 N/A		
Sub-component 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	5 Completed	All evaluations ended in 2018.	
	4 Significant progress		x
	3 Progressing well, further development required		
	2 Further development required		
	1 Not yet demonstrating progress		
	0 N/A		
Sub-component 2b: REDD+ Strategy Options	5 Completed	Institutional strengthening at the regional level is about to be concluded. It has been delayed by the health crisis; it should be completed by the end of this year.	
	4 Significant progress		x
	3 Progressing well, further development required		
	2 Further development required		
	1 Not yet demonstrating progress		
	0 N/A		

Sub-component	Progress rating (mark 'X' as appropriate)			Narrative assessment (briefly explain your rating)
Sub-component 2c: Implementation Framework	5	Completed		The legal analyses have been already concluded, all that remains is to finalize the impact evaluation methodology to complete the subcomponent.
	4	Significant progress	x	
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
Sub-component 2d: Social and Environmental Impacts	5	Completed	x	The MGAS has already been approved and is currently, the teams is only working on the preparation of the Safeguards Report for the ERPA
	4	Significant progress		
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
R-PP Component 3: Reference Emissions Level/Reference Levels				
Component 3: Reference Emissions Level/Reference Levels	5	Completed		In October 2016, the FREL / FRL were officially published on a subnational scale, which are used for all projects and are the basis of the National Measurement and Monitoring System of the ENCCRV. In August 2019, the 1 st Annex Technical of REDD+ Results was consigned to the CMNUCC, being officially published too. It's expected to have a national FREL/FRL by June 2021, adding technical corrections to SNMF that allow improve the uncertainties of the results and update the FREL/FRL in area of PRE.
	4	Significant progress	x	
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
R-PP Component 4: Monitoring Systems for Forests and Safeguards				
Sub-component 4a: National Forest Monitoring System	5	Completed		Progress has been made in the methodological development and its protocols; it is expected during 2021 to establish formal agreements for the governance of the system.
	4	Significant progress	x	
	3	Progressing well, further development required		
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		
Sub-component 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	5	Completed		Currently, the Multiple Benefits system is in final stages of IT development, the system has a baseline of six non-carbon benefits (3 social and 3 environmental) related to the REDD+ activities included in the ENCCRV. In addition, the SIS is being developed through a computer platform that allows monitoring of environmental and social safeguards.
	4	Significant progress		
	3	Progressing well, further development required	x	
	2	Further development required		
	1	Not yet demonstrating progress		
	0	N/A		

SECTION C: NON-CARBON BENEFITS

5. Does your national REDD+ Strategy or Action Plan include activities that directly aim to sustain and enhance livelihoods (e.g. one of your program objective/s is explicitly targeted at livelihoods; your approach to non-carbon benefits explicitly incorporates livelihoods)?

(Indicator 3.2.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to sustain and enhance livelihoods)

Yes

If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach:

The general objective of the ENCCRIV is achieved by focusing the implementation of REDD+ activities in the country through the implementation areas prioritization system, developed to select or discard areas, considering environmental and social indicators. These indicators include gender and indigenous people, implementation costs, and other topics. This objective is achieved by emphasizing the actions to be carried out in the country's most vulnerable population, associated with small and medium-sized owners of areas with high poverty rates and areas with limited natural resources, which will be the priorities in the implementation of the ENCCRIV

Furthermore, to support the monitoring of the impact of the ENCCRIV REDD+ activities, eight indicators were developed that address non-carbon benefits. These indicators correspond to 3 environmental and 5 social indicators. These indicators have a spatially expression in the country through the Cobenefits System.

6. Does your national REDD+ Strategy or Action Plan include activities that directly aim to conserve biodiversity (e.g. one of your program objective/s is explicitly targeted at biodiversity conservation; your approach to non-carbon benefits explicitly incorporates biodiversity conservation)?

(Indicator 3.3.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to conserve biodiversity)

Yes

If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach

The first specific objective of the ENCCRIV states: "To contribute to the fulfilment of the commitments assumed by Chile, from the field of vegetational resources, before the UNFCCC, UNCCD, CBD and other national and Internationals", so that within the criteria established to implement actions in the territory, it is always considered to improve and strengthen the biodiversity of the native ecosystems.

This will be done through the implementation of action measures, mainly those to be developed in territory, such as sustainable forest management, restoration of ecosystems and afforestation of fragmented areas.

SECTION D: FINANCE

(Please complete in US\$ ONLY)

7. Please detail the amount of all finance received in support of development and delivery of your national REDD+ readiness process since the date that your R-PP was signed. Figures should only include secured finance (i.e. fully committed) – ex ante, (unconfirmed) finance or in-kind contributions should not be included:

(Indicator 1.B: Amount of finance received to support the REDD+ Readiness process (disaggregated by public, private, grants, loans))

Amount (US\$)	Source (e.g. FCPF, FIP, name of gov't department)	Date committed (MM/YY)	Public or private finance? (Delete as appropriate)	Grant, loan or other? (Delete as appropriate)
\$1,658,700	Swiss International Development Cooperation Agency	04/2013	Public	Grant
\$ 137,500	Agreement Barrick Zaldivar	12/2013	Private	Grant
\$3,800,000	FCPF Readiness Preparation Grant	09/2014	Public	Grant

\$246,000	Agreement Chile-Mexico	09/2014	Public	Grant
\$5,863,636	GEF Global Environment Facility	05/2014	Public	Grant
\$560,000	UNREDD Targeted Support	03/2015	Public	Grant
\$180,000	IDB Inter-American Development Bank	06/2016	Private	Grant
\$40,403	UNEP United Nations Environment Programme	01/2016	Public	Grant
\$650,000	FCPF Carbon Fund	07/2016	Public	Grant
\$50,000	FERI Forest Ecosystem Restoration Initiative	09/2016	Private	Grant
\$4,000,000	UNREDD National Program	10/2017	Public	Grant
\$5,000,000	FCPF Readiness Preparation Additional Grant	10/2017	Public	Grant
\$63,000	GEF Global Environment Facility Umbrella UNCCD	10/2018	Public	Grant
\$678,114	Swiss International Development Cooperation Agency	12/2018	Public	Grant
\$26,000,000	FCPF Carbon Fund	12/2019	Public	Other
\$63,607,552	GCF Green Climate Fund – Results-Based Payment	12/2019	Public	Other

8. Please detail any ex ante (unconfirmed) finance or in-kind contributions that you hope to secure in support of your national REDD+ readiness process:

Amount (US\$)	Source (e.g. FCPF, FIP, name of gov't department)	Public or private finance? (Delete as appropriate)	Grant, loan or other? (Delete as appropriate)
\$6,000,000	GEF Global Environment Facility	Public	Grant

SECTION E: FCPF PERFORMANCE

9. To help build an understanding of the FCPF strengths, weaknesses and contributions to REDD+, please indicate the extent to which you agree with the following statements:

(Indicator 4.B: Participant Countries' assessment of FCPF's role within and contribution to national REDD+ processes)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
The FCPF's support has had a central influence on the development of our national REDD+ systems and processes					X
The FCPF's support has improved the quality of our national REDD+ systems and processes					X
The FCPF's support has improved national capacities to develop and deliver REDD+ projects					X
The FCPF's support has helped to ensure substantive involvement of multiple stakeholders (including women, IPs, CSOs and local communities) in our national REDD+ systems and processes					X

Comments / clarifications, if appropriate:

The technical and financial support from the FCPF has been instrumental in developing all elements of the Warsaw Framework for REDD+, which has allowed Chile to progress towards the results-based payment phase, both with the FCPF and the GCF.
Without the support of the FCPF, it would not have been possible for the country to advance in REDD+.

10. To help assess the usefulness and application of FCPF knowledge products (publications, seminars, learning events, web resources), please indicate the extent to which you agree with the following statements:

(Indicator 4.3.a: Extent to which FCPF learning, evidence and knowledge products are used by Participant countries)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
We regularly access FCPF knowledge products to obtain REDD+ related information					X
FCPF knowledge products are relevant to our REDD+ related information requirements					X
FCPF knowledge products are sufficient to address all of our REDD+ related information requirements				X	
The FCPF website is a useful resource for accessing FCPF and REDD+ related information				X	

Comments / clarifications, if appropriate:

Knowledge management is essential to advance REDD+, so the products and technical guidance that the FCPF delivers to the countries is essential. However, we have found that there are some modifications to the guidelines established in the methodological framework, or guidelines that are being modified following to the signing of assumed commitments, which generates confusion in some cases.

On the other hand, the new FCPF web page delivers valuable and orderly information, with a more modern design, however, there are links with useful information such as https://www.forestcarbonpartnership.org/sites/fcp/files/2016/Dec/FCPF_CFM15_Resolution_1_Chile%20FINAL.pdf that have been removed or place elsewhere. We suggest incorporating them back for the countries to have full accessibility to them.

SECTION F: FINAL COMMENTS

11. If appropriate, please provide any further comments or clarifications relating to your work on REDD+ Readiness during the last year:

The decision to extend the completion of the fund is appreciated, since all the countries have been affected by the health crisis, which would have generated a massive default in the successful completion of the fund.