

**INTEGRATED SAFEGUARDS DATA SHEET  
(FCPF READINESS FUND)  
CONCEPT STAGE**

Report No.:

**Date ISDS Prepared/Updated:**

**I. BASIC INFORMATION**

FCPF Readiness activities relate to strategic planning and preparation for REDD+. Specifically, countries prepare for REDD+ by:

- i. assessing the country’s situation with respect to deforestation, forest degradation, conservation and sustainable management of forests and relevant governance issues;
- ii. identifying REDD+ strategy options;
- iii. assessing key social and environmental risks and potential impacts associated with REDD+, and developing a management framework to mitigate these risks and potential impacts;
- iv. working out a reference level of historic forest cover change and greenhouse gas emissions and uptake from deforestation and/or forest degradation and REDD+ activities, and potentially forward-looking projections of emissions; and
- v. designing a monitoring system to measure, report and verify the effect of the REDD+ strategy on greenhouse gas emissions and other multiple benefits, and to monitor the drivers of deforestation and forest degradation, as well as other variables relevant to the implementation of REDD+.

The FCPF Readiness Preparation grant activity (referred to as “Project” in the ISDS) will finance some of this preparatory work; it will not finance any implementation of REDD+ activities on the ground (e.g., investments or pilot projects).

**A. Basic Project Data**

Country:	Project ID:
	Additional Project ID (if any):
Project Name:	
Task Team Leader:	
Estimated Appraisal Date:	Estimated Board Date:
Managing Unit:	Lending Instrument:
Sector:	
Theme:	
IBRD Amount (US\$m.):	
IDA Amount (US\$m.):	
GEF Amount (US\$m.):	
PCF Amount (US\$m.):	
FCPF Amount (US\$m.):	
Other financing amounts by source:	

Environmental Category:		
Simplified Processing	Simple [ ]	Repeater [ ]
Is this a transferred project	Yes [ ]	No [ ]

**B. Project Objectives [from section 2 of R-PP Assessment Note]:**

**C. Project Description [from section 3 of R-PP Assessment Note]:**

**D. Project location and salient physical characteristics relevant to the safeguard analysis (if known):**

The project does not entail activities on the ground.

**E. Borrower’s Institutional Capacity for Safeguard Policies:**

*[This section should include a brief description of the institutional arrangements for REDD+ Readiness, including any bodies or committees that will oversee the integration of environmental and social considerations into the REDD+ Readiness process. Note that the country’s national Readiness management arrangements are reflected in Component 1a of the R-PP.]*

**F. Environmental and Social Safeguards Specialists on the Team:**

**II. SAFEGUARD POLICIES THAT MIGHT APPLY**

The FCPF Readiness Preparation grant must comply with World Bank safeguard policies regarding the management of environmental and social impacts. This grant will, in part, support the country’s activities to identify the potential risks associated with REDD+ and mitigation options. In order to do this, the FCPF is using a Strategic Environmental and Social Assessment (SESA) to integrate key environmental and social considerations into REDD+ Readiness by combining analytical and participatory approaches. The SESA allows: (i) social and environmental considerations to be integrated into the REDD+ Readiness process, in particular the REDD+ strategy; (ii) stakeholder participation in identifying and prioritizing key issues, assessment of policy, institutional and capacity gaps to manage these priorities and recommendations, and disclosure of findings in the REDD Country’s progress reports on Readiness preparation; and (iii) an Environmental and Social Management Framework (ESMF) to be put in place to manage environmental and social risks and to mitigate potential adverse impacts. The SESA guidelines have been integrated into the R-PP template.

It is envisioned that the safeguards policies mentioned below may be triggered in the REDD+ Readiness process.

<b>Safeguard Policies Triggered</b> <i>(please explain why)</i>	<b>Yes</b>	<b>No</b>	<b>TBD</b>
<b>Environmental Assessment (OP/BP 4.01)</b>			
<b>Natural Habitats (OP/BP 4.04)</b>			
<b>Forests (OP/BP 4.36)</b>			
<b>Pest Management (OP 4.09)</b>			
<b>Physical Cultural Resources (OP/BP 4.11)</b>			
<b>Indigenous Peoples (OP/BP 4.10)</b>			
<b>Involuntary Resettlement (OP/BP 4.12)</b>			
<b>Safety of Dams (OP/BP 4.37)</b>			
<b>Projects on International Waterways (OP/BP 7.50)</b>			
<b>Projects in Disputed Areas (OP/BP 7.60)</b>			
<b>Piloting the Use of Borrower Systems to Address Environmental and Social Safeguard Issues in Bank-Supported Projects (OP/BP 4.00)</b>			

### III. SAFEGUARD PREPARATION PLAN

- A. Target date for review meeting of REDD Country’s mid-term progress report, at which time the ISDS may be updated as needed:**
- B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>1</sup> should be specified in the initial ISDS and/or updated ISDS:**

The main safeguard instrument to be applied is the SESA. The SESA includes as part of the SESA process the preparation of an ESMF. The ESMF may evolve and be updated over time when new REDD + strategy options and projects or activities (including investments), or policies/regulations are identified during implementation of REDD+. The SESA addresses the

<sup>1</sup> Reminder: The Bank’s Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in-country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

key environmental and social issues associated with the analysis and preparation of REDD+ strategy options as well as REDD+ projects, activities (including investments), policies and regulations. In this manner SESA can ensure compliance with World Bank’s environmental and social safeguards.

The SESA process requires that the selection of REDD+ strategy options should take into account the country’s institutional and capacity constraints for managing environmental and social risks, and the potential environmental and social impacts associated with these strategy options. Any identified gaps to manage these risks and potential impacts in relation to relevant World Bank’s safeguard policies should be identified along with the strategy options to feed into the preparation of the ESMF. The ESMF should provide a framework to manage and mitigate the potential environmental and social impacts related to specific projects and activities (including investments and carbon finance transactions), in the context of the future implementation of REDD+.

The ESMF will be structured to contain subject-specific frameworks addressing the relevant requirements of the applicable environmental and social safeguard policies including, but not necessarily limited to, a Resettlement Policy Framework (RPF); Process Framework (PF); and Indigenous Peoples Planning Framework (IPPF).

#### IV. APPROVALS

<i>Signed and submitted by:</i>		
<b>Task Team Leader:</b>	<b>Name</b>	<b>Date</b>
<i>Approved by:</i>		
<b>Regional Safeguards Coordinator:</b>	<b>Name</b>	<b>Date</b>
<b>Comments:</b>		
<b>Sector Manager:</b>	<b>Name</b>	<b>Date</b>
<b>Comments:</b>		