

1. INTRODUCTION

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This country reporting framework has been developed following the structure of the FCPF Monitoring and Evaluation Framework. The semi-annual country reporting should provide the FMT with indications of REDD+ countries' progress towards the achievement of their readiness activities and the implementation of their ER programs overtime, in a way that data are easily consolidated and provide indications on the level of achievement of the FCPF output, outcome and impact indicators as defined in the FCPF M&E Framework.




Submitted country reports should draw upon the country M&E system for REDD + (component 6 of R-PP) and should be prepared in consultation with members of REDD task force or equivalent body as well your Delivery Partner (IDB, World Bank or UNDP). Inputs from stakeholders including IPs and CSOs should be integrated into national reporting, and divergent views indicative of lack of consensus on specific issues should be recorded in the country report.

It is expected that the annual progress country reporting will be submitted to the FMT by **August 30<sup>th</sup>** each year. A self-assessment of progress will be conducted as part of this country reporting. An update of this country reporting will also be submitted by **March 30<sup>th</sup>** each year.

2. INSTRUCTIONS

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Color Coding of Survey Fields

-  Dark blue fields as headings that match your R-PP and the M&E Framework
-  Follow the Instructions in the White fields
-  Fill in fields with the blue color to the best of your ability

**Not:** Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, simply put **"does not apply – n/a"** in the appropriate field.

3. HELP

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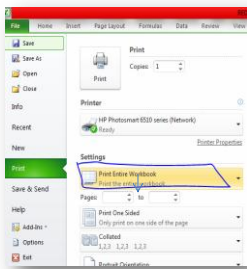
For help or questions, do not hesitate to email Erin Conner, Neeta Hooda or Kate Cecys.

- Kate Cecys: [kcecys@worldbank.org](mailto:kcecys@worldbank.org)
- Erin Conner: [econner@worldbank.org](mailto:econner@worldbank.org)
- Neeta Hooda: [nhooda@worldbank.org](mailto:nhooda@worldbank.org)

4. PRINT COMPLETE WORKBOOK

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To print the entire Survey Excel Workbook go to:  
**File-->Print-->Settings** and select **"Print Entire Workbook"**



Start

**Please Provide Contact Information:**

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Participant Details:	
Contact Country:	Thailand
Contact Name 1:	Dr. Suchitra Changtragoon
Title 2:	Expert on Forest Conservation Research
Contact Name 2:	
Title 2:	
Contact Email:	
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Alternate Telephone:	
Submission Date:	4/28/2014

## 1. GENERAL INTRODUCTION

Introduction to the report, its main purpose and sections. Short description of FCPF support in country.

The PC 14 (March 19-21, 2013) allocated funding to Thailand to move ahead with the preparation for readiness subject to Thailand submitting a revised R-PP by December 31, 2013, reflecting key issues outlined in an annex to the resolution including conducting regional and national dialogues with CSOs and Indigenous Peoples to ensure stakeholder inputs are incorporated into the revised R-PP. 4 Regional dialogues were organized during July 15 – 30, 2013 and the national dialogue was organized in Bangkok on September 5, 2013. A revised an updated RPP was submitted on December 27, 2013, with an English version being submitted on January 24, 2014.

## 2. SUMMARY OF REPORT

Summary of progress, key achievements with a focus on higher level results and important issues/problems that arose during the reporting period. Highlights of next steps in following period -- **key bullets only**

Progress:

The revised RPP contained the updates and information requested by the PC 14 as determined by the FMT. A mission was conducted in April 2013 to review next steps with the government, including technical, fiduciary and safeguards inputs to the assessment note, and internal Bank documentation. Presently, Thailand has a caretaker government, which is deciding on a case by case basis which grants will be approved and if it has the authority to do so. The process for this decision making is complex and involves multiple entities within the government. Given the uncertain political and legal grounds for the current caretaker government, it's unclear at this stage how the Grant for the FCPF might be handled. It is unclear when this situation

Key Achievements with focus on higher level results:

n/a

Important issues /problems that arose during the reporting period:

The number of dialogues required by PC 14 a large commitment of time and resources, but were financed largely by the Swiss Government. In addition, the amount of material generated by the dialogues took a long time to assimilate into a revised RPP, which had to be translated into English.

Highlights of next steps in the following period:

(i) A/N formulation including FM, Procurement and Safeguards inputs and capacity assessments; (ii) Concept Package formulation (A/N, PID, ISDS); (iii) A/N review mission, decision meeting with two week advance notice and peer reviews; (iv) revision of A/N, PID, ISDS, PCN based on decision meeting; (v) resubmission and approval process with CMU and SMU for PCN Package; (vi) GA elaboration with legal, procurement, FM, safeguards, TACT and technical team (including always 1 round of revisions from each actor and usually more); (vii) negotiations; (viii) Legal approved GA processing in system thru SMU and CMU; (xi) signing by Bank of GA; (xii) Counter-signing of GA by client, (xiii) set up accounts, (xiv) begin operation.



**3. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD**

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention **“does not apply – n/a”**.

**3.1 PROGRESS AT THE IMPACT LEVEL (if any data available)**

Please provide here any quantitative and qualitative information, if available on the following criteria/indicators.

does not apply – n/a+C40

Number of tons of CO2 emissions from deforestation and forest degradation reduced in the country during the reporting period as compared to the measured REL/RL, if any :

National Forest Reference Emission Level (REL)/Reference Level (RL) defined:

Number of tons reduced during the reporting period as compared to REL/RL:

Amount of non-FCPF investments received under R-PP process:

Source:	<Select>	Amount Provided:
<input type="text"/>	Currency	<input type="text"/>
<input type="text"/>	Currency	<input type="text"/>
<input type="text"/>	Currency	<input type="text"/>

Amount of non-FCPF investments received for implementation of ER Programs (e.g. FIP, bilateral donors, private sector), if relevant:

Source:	<Select>	Amount Provided:
<input type="text"/>	Currency	<input type="text"/>
<input type="text"/>	Currency	<input type="text"/>
<input type="text"/>	Currency	<input type="text"/>
<input type="text"/>	Currency	<input type="text"/>
<input type="text"/>	Currency	<input type="text"/>

Level of multi-stakeholder participation and engagement in decision making processes related to emission reductions and forest resource management:

Please describe the process of engagement and consultation implemented, including describing the level of participation and engagement for the following stakeholder categories: Government Agencies, Indigenous Peoples, Other forest-dependent peoples, Women, Youth, Civil Society Organizations, Private Entities, Donors, and Others

There was strong collaboration between CSOs/IPs and DNP in the planning and delivery of the dialogues. CSO/IP working Group on REDD+ was formed in the four Regions and they were responsible for identifying the participants to attend the dialogues, providing inputs into the agenda, and facilitating the regional dialogues together with DNP staff. These Working Groups will continue to play a key role in REDD+ readiness.

Nb. and type of policy reforms underway or completed complying to REDD+ standards, if any (FCPF M&E Framework Indicator I.3.B.):

Number of policy reforms during the reporting period that are: <Select from dropdown list>

<b>Underway:</b>	#	
	#	
	#	
	#	
<b>Completed:</b>	#	
	#	
	#	

Please describe these policy reforms:

does not apply – n/a+C1048576

### 3.2 PROGRESS AT THE OUTPUT and OUTCOME LEVEL (if any data available)

#### 3.2.1. REDD Readiness Progress

##### OUTCOME LEVEL

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.):

Briefly describe progress made during the reporting period in developing the country Readiness Package:

free text limit to .5 page

##### OUTPUT LEVEL

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Component	Support from FCPF (Yes/No)
<b>Component 1. Readiness Organization and Consultation</b>	
1a. National REDD+ Management Arrangements	yes
1b. Consultation, Participation, and Outreach	yes
<b>Component 2. REDD+ Strategy Preparation</b>	
2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	yes
2b. REDD+ Strategy Options	yes
2c. Implementation Framework	yes
2d. Social and Environmental Impacts	yes
<b>Component 3. REL/RL</b>	
3. Reference Emissions Level/Reference Levels	yes
<b>Component 4. Monitoring Systems for Forests and Safeguards</b>	
4a. National Forest Monitoring System	yes
4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	yes

Level of implementation of R-PP as a whole:

Please describe the current R-PP implementation	% Completed:

Level of achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.8 million USD) (FCPF M&E Framework 1.3b):

Planned Milestones:	Level of Achievement:	Tracking:
		<div style="border: 1px solid black; padding: 5px;"> <input type="radio"/> Significant progress  <input type="radio"/> Progressing well, further development required  <input type="radio"/> Further development required  <input type="radio"/> Not yet demonstrating progress  <input checked="" type="radio"/> Not Applicable                 </div>

**3.2.1. CONTINUED Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in %**

RF Grant - disbursement rate compared to planned disbursement rate

Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage:		
	Select Actual Disbursement Rate	Tracking
RF Grant - actual disbursement rate compared to planned disbursement rate		<input type="radio"/> Up to 10% variance with plans <input type="radio"/> Between 10 and 25% variance <input type="radio"/> Between 25 and 40% variance <input type="radio"/> More than 40% variance <input checked="" type="radio"/> Not Applicable

Disbursement rate of Total R-PP Budget in percentage:		
	Select Actual Disbursement Rate	Tracking
R-PP Budget - disbursement rate v planned disbursement rate		<input type="radio"/> Up to 10% variance with plans <input type="radio"/> Between 10 and 25% variance <input type="radio"/> Between 25 and 40% variance <input type="radio"/> More than 40% variance <input checked="" type="radio"/> Not Applicable



**3.2.1. REDD Progress Levels - Continued**

Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement (additional grant of up to \$5 million). Under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

The level of achievement of planned milestones according to the Readiness grant will be summarized through progress scores related to the synthesis of an overall achievement. This is qualitatively expressed with a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, "Non Applicable" can be selected.

The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then briefly explained. In case the assessment is not applicable, a fifth color scale 'Non Applicable' can be selected. This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework. The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

Sub-Component 1a	Overall Progress		Progress Against Annual Targets		Tracking*
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>1a - National REDD+ Management Arrangements</b> Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism					

Sub-Component 1b	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>1b – Consultation, Participation, and Outreach</b> Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes					

Sub-Component 2a	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</b> Purpose: identify key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable forest management, and enhancement of forest carbon stocks Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource rights, land tenure, governance; (v) implications for forest law and policy					

Sub-Component 2b	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>Zb: REDD+ Strategy Options</b> Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies					

Sub-Component 2c	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>Zc: Implementation Framework</b> Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities					

Sub-Component 2d	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>Zd: Social and Environmental Impacts</b> Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF) Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework					

Component 3	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>R-PP Component 3 - Reference Emissions Level/Reference Levels</b> Purpose: Development of the general approach to establish a REL/RL Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/PCC guidance and guidelines					

Sub-Component 4a	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>4a: National Forest Monitoring System</b> Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests					

Sub-Component 4b	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</b> Purpose: Specify the non-carbon aspects prioritized for monitoring by the country Assessment Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards					5 

\*This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries in tracking their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

**3.2.3. Engagement of stakeholders to sustain or enhance livelihoods of local communities and to conserve biodiversity within the approach to REDD+**

**OUTCOME LEVEL**

As a synthesis of the following output level assessments, please describe indicators related to biodiversity conservation and forest community livelihood development included in the ER Program if relevant (FCPF M&E Framework indicator 3.A.):		
Amount provided:	Currency	
Please describe how these funds target biodiversity and forest community livelihood development:	does not apply – n/a	

Please provide relevant examples on the inherent social and biodiversity benefits of REDD+, if relevant (FCPF M&E Framework Indicator 3.B.):	
Examples of inherent social and biodiversity benefits of REDD+:	
does not apply – n/a	

**OUTPUT LEVEL**

Number of examples of actions where Ips, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.2.a.):	
#:	Please describe these actions on enhanced livelihoods and BD conservation, and restoration where Ips, CSO, and local communities participate actively:
	does not apply – n/a

**Number of IPs, REDD Country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):**

Please list the training(s) conducted:	Duration (#of days)	# of participants		Rating
		# of men	# of women	
does not apply – n/a				

- Significant progress
- Progressing well, further development
- Further development required
- Not yet demonstrating progress
- Not Applicable

**Frequency of meetings of stakeholder engagement platforms (FCPF M&E Framework 3.2.a.):**

Frequency:	Rating
	5

- Significant progress
- Progressing well, further development
- Further development required
- Not yet demonstrating progress
- Not Applicable

**Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at maintaining or enhancing livelihoods of local communities (FCPF M&E Framework 3.2.b.):**

Yes/No:	Please describe these activities aiming at maintaining or enhancing livelihoods of local communities:
Yes no	does not apply – n/a

**Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at conserving biodiversity (FCPF M&E Framework 3.2.c.):**

Yes/No:	Please describe these activities aiming at conserving biodiversity:
Yes no	does not apply – n/a

Does your country R-Package and/or ER Program include SESA, an operational Grievance Mechanism, and an	
Yes/No:	Please describe these activities aiming at conserving biodiversity:
Yes no	does not apply – n/a

**3.2.4 Knowledge Sharing**

Has your country developed and published REDD+ knowledge products with FCPF support?	
Yes/No:	If yes, please provide a list of the published REDD+ knowledge products if any, during the reporting period:
Yes/No	1
	2
	3
	4
	...

How many people have been reached by these knowledge products, if any?	
Overall number by product:	Please provide a list of the published REDD+ knowledge products if any, during the reporting period:

Knowledge Product 1:	
	# Total People Reached
	# of Men
	# of Women
Knowledge Product 2:	
	# Total People Reached
	# of Men
	# of Women
Knowledge Product 3:	
	# Total People Reached
	# of Men
	# of Women
Knowledge Product 4:	
	# Total People Reached
	# of Men
	# of Women

Have some experts of your country participated in any South-South learning activities? If yes, how many?			
Yes/No	List the South-South	# Men	# Women
Yes/No			
Yes/No			
Yes/No			
Yes/No			

**3.2.2. Key Elements of performance based payment systems for emission reductions generated from REDD+ activities**

**OUTCOME LEVEL**

**As a synthesis of the following output level assessments, please briefly specify:**

Are carbon accounting, programmatic elements and pricing operating as planned in your pilot, if relevant?

Free text	<p style="text-align: center;">Tracking</p> <div style="border: 1px solid black; padding: 5px;"> <input type="radio"/> Significant progress  <input type="radio"/> Progressing well, further development  <input type="radio"/> Further development required  <input type="radio"/> Not yet demonstrating progress  <input checked="" type="radio"/> Not Applicable         </div>
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Is the benefit sharing scheme being implemented according to plans within your pilot, if relevant?

Free text	<p style="text-align: center;">Tracking</p> <div style="border: 1px solid black; padding: 5px;"> <input type="radio"/> Significant progress  <input type="radio"/> Progressing well, further development required  <input type="radio"/> Further development required  <input type="radio"/> Not yet demonstrating progress  <input checked="" type="radio"/> Not Applicable         </div>
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Percentage and/or amount of monetary benefits shared with beneficiaries in approved pilot, if relevant?

Free text	<p style="text-align: center;">Tracking</p> <div style="border: 1px solid black; padding: 5px;"> <input type="radio"/> Significant progress  <input type="radio"/> Progressing well, further development  <input type="radio"/> Further development required  <input type="radio"/> Not yet demonstrating progress  <input checked="" type="radio"/> Not Applicable         </div>
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**OUTPUT LEVEL**

As a synthesis of the following output level assessments, please briefly specify:	
Has your country submitted early ideas or ER-Program to the Carbon Fund and or others?	
<u>Yes/No</u>	<u>Please briefly describe the content of these early ideas or ER-Program:</u>
Yes/No	no

Has your country signed an ERPA?	
Has your country submitted early ideas or ER-Program to the Carbon Fund and or others?	
<u>Yes/No</u>	<u>Please briefly describe the content of this ERPA:</u>
Yes/No	does not apply – n/a

Amount and date of disbursements for ER Program according to plans, if relevant:			
<i>Date format:</i>	<i>mm/dd/yyyy</i>		<Select>
Date:		Amount provided:	Currency
Date:		Amount provided:	Currency
Date:		Amount provided:	Currency



**4. Issues Challenges and Risks**

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

Issue, Challenge, Risk	Actions to overcome, Adjustments to plan
Other	Thailand has a caretaker government with limited authority to sign grants. This situation may continue for some months or longer.

**5. Main Lessons Learned**

This section should be used to provide information on important lessons learnt since the beginning of the readiness process. As this is a semi-annual report, it is expected that this section will be fairly substantial, making reference to different lessons learning documents, and/or events developed and dealing with issues of particular interest with respect to readiness of carbon funding under REDD+.

The role of dialogues needs to be taken into account in respect to financial resources and expected timing of the operation. While such dialogues are indispensable for REDD+, financial resources were insufficient within the \$200,000 to meet this demand, and took about 9 months to fully conduct and integrate into the revised RPP. Donor resources were key to ensuring compliance but were chance contributions that may not have happened.