

## SAFEGUARD SCREENING FORM

This Report provides a summary of the project classification process and is consistent with Safeguard Screening Form requirements. The printed Report should be attached as an annex to the PP (or equivalent) and sent to ESR.

1. Save as a Word document.
2. Enter additional information in the spaces provided, where applicable.
3. Save new changes.

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	ENVIRONMENT AND NATURAL DISASTERS- CLIMATE CHANGE MITIGATION POLICY
	<b>Type of Operation</b>	Other Lending or Financing Instrument
	<b>Additional Operation Details</b>	
	<b>Country</b>	GUYANA
	<b>Project Status</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	Chang, Juan Alberto (JCHANG@iadb.org)
	<b>Project Title</b>	Forest Carbon Partnership Facility (FCPF) project in Guyana
	<b>Project Number</b>	GY-T1097
	<b>Safeguard Screening Assessor(s)</b>	Boulay, Axelle (AXELLEB@iadb.org)
	<b>Assessment Date</b>	2013-08-05
	<b>Additional Comments</b>	

<b>PROJECT CLASSIFICATION SUMMARY</b>	<b>Project Category:</b> A	<b>Override Rating:</b> B	<b>Override Justification:</b> Reduce: other (enter details in comments)
			<b>Comments:</b> This project is designed to prepare for the REDD program in Guyana. As such it includes funding for improved consultations and for undertaking a strategic environmental and social assessment that will help mitigate the impacts of the future REDD program
	<b>Conditions/ Recommendations</b>	<ul style="list-style-type: none"> <li>• Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).</li> <li>• The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form</li> </ul>	

		<p>Reports.</p> <ul style="list-style-type: none"> <li>• These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary.</li> </ul>
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	Identified Impacts/Risks	Potential Solutions
<p><b>SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS</b></p>	<p>Potential to exclude or discriminate women or men from project benefits based on gender *NOTE</p>	<p><b>Incorporation of gender analysis into its social impact and risk assessments:</b> Where the Project or its context present potential for discrimination against women or men based on gender, Project preparation should include an analysis of exclusion or discriminatory factors (specific or as part of overall social assessment) and the Project should include information, dissemination, training and other corrective measures as appropriate aimed at overcoming barriers to afford women or men the same protection and access afforded to other groups and equal access to Project-generated resources and benefits (e.g. credit, employment, public services, etc.). The social impact and risk assessment and associated mitigation framework must address all the factors specifically. The mitigation framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.</p>
	<p>Potential to introduce unequal requirements for access to benefits and economic opportunities based on gender</p>	<p><b>Incorporation of gender analysis into its social impact and risk assessments:</b> Where project impacts will potentially introduce unequal requirements for access to benefits and economic opportunities based on gender, project preparation and implementation should include specific analysis and consultation/agreements regarding these issues and the social impact and risk assessment and associated mitigation framework must address them specifically. The mitigation framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.</p>
	<p>Potential to offer men and women unequal access to inheritance or property rights</p>	<p><b>Incorporation of gender analysis into its social impact and risk assessments:</b> Where project impacts will potentially offer men and women unequal access to inheritance or property rights, project preparation and implementation should include specific analysis and consultation/agreements regarding these issues and the social impact and risk assessment and associated mitigation framework must address them specifically. The mitigation framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.),</p>

		require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.
Potential to negatively impact the right to equality between women and men, or the specific rights of women under applicable law		<b>Incorporation of gender analysis into its social impact and risk assessments:</b> Where project impacts affect the rights to equality between women and men, or the specific rights of women under applicable law, project preparation and implementation should include specific analysis and consultation/good faith negotiations regarding these issues and the social impact and risk assessment and associated mitigation framework must address them specifically. The mitigation framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation.
Borrower is not responsible for directly managing the activities that have negative impacts on indigenous peoples (i.e. it is the responsibility of government or parastatal agencies other than the executing agency) and impacts are minor to moderate in nature.		<b>Ensure Adequacy of Mitigation Framework:</b> Where the activities that have the potential to impact indigenous peoples are the responsibility of third parties (associated facilities, activities under government jurisdiction, etc.), the borrower will need to collaborate with these third parties to ascertain that they are implementing a Mitigation Framework that is consistent with the objectives of OP-765, particularly with respect to avoiding adverse effects on indigenous peoples. In circumstances where third-party capacity is limited (or commitment is unclear), the borrower will need to play an active role in supporting the implementation of a reasonable mitigation framework. Depending on the financial product, the borrower's actions to cause the mitigation framework to be adequately implemented should be referenced in appropriate legal documentation (covenants, conditions of disbursement, project completion tests, credit and operation regulations, etc.). Reporting and independent monitoring of mitigation implementation should be required.
The project has the potential to negatively impact Indigenous Peoples who live in voluntary isolation, and does not include provisions to safeguard the right of these peoples to remain in voluntary isolation and to		<b>Risk of Non-Compliance with OP-765. Specific Mitigation Framework Required:</b> Impacts on isolated indigenous peoples their lands, territories or resources create a significant risk of non-compliance with OP-765. There are likely to be significant social (and potentially reputational risks) associated with this project. Discuss with credit department, ESG and GDI specialists, and other experts before proceeding. Specific Mitigation Framework: In order to safeguard the collective and individual physical, territorial, and cultural integrity of affected Indigenous Peoples, when projects have potential impacts on these peoples, their lands and territories, or their way of life, the borrower should consult with pertinent authorities, stakeholders and experts to develop a Specific Mitigation Framework that includes the appropriate measures to recognize, respect and protect IP lands and territories, environment, health and culture, and to avoid contact. When a Project has the potential to impact isolated indigenous peoples, the borrower must verify that local conditions exist to safeguard the physical integrity of isolated peoples and protect their rights. Consultation and good faith

	live freely according to their culture.	negotiations with experts, concerned governmental authorities and IP organizations and agencies are required. The Mitigation Framework must focus on a risk management plan that : (a) complies with relevant legislation; and international good practice (b) evidences that the borrower together with local authorities and IPs has the capacity/commitment to protect the integrity of territories and resources; (c) establishes oversight processes , including an independent expert panel with participation of concerned communities and appropriate experts; and (d) provides for the implementation of appropriate controls including limiting access by outsiders. The Mitigation Framework will be referenced in the legal documentation, require regular reporting, frequent and independent monitoring, and independent review of implementation.
	Potential to directly or indirectly cause adverse impact the territories, land and natural resources of Indigenous Peoples. These impacts are presumed to be significant unless further analysis demonstrates otherwise.	<b>Mitigation Framework for impacts on land and natural resources:</b> Whenever an operation directly or indirectly affects the legal status, possession or management of territories, lands, or natural resources traditionally occupied or used by Indigenous Peoples, the borrower should develop safeguards against impacts that take into account the applicable legal norms, including: (i) prior consultation; (ii) participation mechanisms; (iii) measures to preserve the physical, cultural and economic integrity of the affected peoples and the sustainability of protected areas and natural resources, and to compensate for any damages; (iv) whenever possible, participation in Project benefits; and (v) when necessary, restrictions, and corrective or compensatory measures to complement legal and administrative protection. Project preparation and implementation should include specific analysis and consultation/agreements regarding these issues and the Mitigation Framework must address them specifically. The Mitigation Framework will be referenced in the legal documentation (covenants, conditions of disbursement, project completion tests, credit and operation regulations, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.
	Potential to exclude Indigenous Peoples from Project benefits on discriminatory basis.	<b>Mitigation Framework (specific)-consultation with Indigenous Peoples required:</b> Where the Project or its context present potential for ethnically based discrimination against IPs, Project preparation should include an analysis of discriminatory factors (specific or as part of overall social assessment) and the Project should include information, dissemination, training and other corrective measures as appropriate aimed at overcoming linguistic and other barriers to afford indigenous workers, entrepreneurs, beneficiaries or contractors the same protection and access afforded to other groups and equal access to Project-generated resources and benefits (e.g. credit, employment, public services, etc.). The Mitigation Framework must address all the factors specifically. The Mitigation Framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.
	Potential to	<b>Mitigation Framework:</b> Impacts are considered moderate if the

	<p>directly or indirectly adversely and moderately impact the rights of Indigenous Peoples.</p>	<p>rights affected do not include land or other fundamental rights or the impacts are not adverse. Consult Indigenous Peoples legislation database at <a href="http://www.iadb.org/Research/legislacionindigena/leyn/index.cfm">http://www.iadb.org/Research/legislacionindigena/leyn/index.cfm</a>. Where project impacts affect the legal rights of indigenous peoples project preparation and implementation should include specific analysis and consultation/good faith negotiations regarding these issues and the Mitigation Framework must address them specifically. The Mitigation Framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.</p>
	<p>Potential to directly or indirectly adversely and significantly impact the culture and identity of Indigenous Peoples.</p>	<p><b>Risk of Non-Compliance with OP-765:</b> Impacts that have significant adverse effects on IP culture and cultural integrity create a significant risk of non-compliance with OP-765 There are likely to be significant social (and potentially reputational) risks associated with this project. Discuss with credit department, ESG and GDI specialists and other experts before proceeding. Where impacts to culturally or spiritually significant sites or practices are anticipated, the borrower should generally seek the advice of professional experts and a specific mitigation framework should be developed which includes the following basic elements: (a) demonstration that mitigation will comply with relevant legislation; (b) evidence that the borrower has the capacity/commitment to protect the integrity of cultural or spiritual sites and practices; (c) establishment of consultation processes with affected communities and appropriate experts; and (d) appropriate controls including limiting access by outsiders. The legal documentation will require monitoring, regular reporting and independent review of implementation. Agreements with affected IPs required. Where project impacts affect the cultural integrity of indigenous peoples project preparation and implementation should include specific analysis and consultation/agreements regarding these issues and the Mitigation Framework must address them specifically. The Mitigation Framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.</p>
	<p>Commercial development of indigenous cultural resources or knowledge</p>	<p><b>Mitigation Framework and Specific Development Agreement with Affected Indigenous Peoples Required:</b> When a Project involves the commercial development of indigenous cultural resources or knowledge, in addition to including a Mitigation Framework for the adverse effects of any Project impacts relating to such commercial development, the borrower must demonstrate that it has obtained the prior agreement of the affected peoples and that the terms agreed include safeguards for intellectual property and traditional knowledge as well as provisions for equitable participation of the affected peoples in the benefits derived from commercial development. Where a Project includes commercial development of IP cultural resources, Project preparation and implementation should include specific analysis and consultation/agreements regarding these issues and the Mitigation Framework must include: (i)</p>

		specific mitigation for any negative impacts; (ii) evidence of prior agreements and equitable benefit participation. The Mitigation Framework will be referenced in the legal documentation (covenants, conditions of disbursement, etc.), require regular reporting, frequent and independent monitoring, and independent review of implementation, including participatory monitoring.
	The operation has potentially significant transboundary environmental and associated social impacts, such as operations affecting another country's use of waterways, watersheds, coastal marine resources, biological corridors, regional air sheds and aquifers, or transboundary indigenous groups	<b>Environmental/Social Transboundary Impacts:</b> The borrower should do an environmental and social assessment addressing the following issues: (a) notification to the affected country or countries of the critical transboundary impacts; (b) implementation of an appropriate framework for consultation of affected parties; and (c) appropriate environmental mitigation and/or monitoring measures, to the Bank's satisfaction. This assessment should be part of a plan (part of the ESMP). Review of implementation as well as reporting on the plan should be part of the legal documentation (covenants, conditions of disbursement, etc.).

<b>ASSESSOR DETAILS</b>	<b>Name of person who completed screening:</b>	Boulay, Axelle (AXELLEB@iadb.org)
	<b>Title:</b>	
	<b>Date:</b>	2013-08-05