

World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF0A5722
Printed On: 10/09/2018

Reporting Period: 07/01/2017 to 06/30/2018
Report Type: Progress Report
Report Status: Approved

Assignment: TF0A5722

Uganda- Additional FCPF Readiness Grant FCPFR - Forest Carbon Partnership Facility

Task Team Leader: 00000239598 - Nigel Ross Hughes
Approving Manager: 00000086248 - Magdolna Lovei

Summary Information	
TF Status	ACTV
Recipient Country	Uganda
Executed By	Recipient
Managing Unit	9270 - GEN01
Grant Start Date / End Date	10/18/2017 to 06/30/2020
Original Grant Amount	3,750,000.00
Grant Amount	3,750,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	1,310,278.00 as of 06/30/2018
Disbursed 07/01/2017 to 06/30/2018	1,310,278.00
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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OVERVIEW

Overall Assessments

Grant Objectives:

The Project Development Objective is to design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level.

Overall progress from 07/01/2017 to 06/30/2018 with regard to Achieving Grant Objectives:

Comment:

Implementation of the grant financed activities just started in the reporting period; the outlook remains positive for satisfactory achievement of the grant objectives.

Overall progress from 07/01/2017 to 06/30/2018 with regard to Implementation of Grant Financed Activities:

Comment:

The additional funding grant in support of Uganda's REDD+ Readiness became effective on October 18, 2017, during the reporting period. Initial implementation of the grant has been moderately satisfactory - key activities and consultancies have been largely initiated, yet slower than expected.

Uganda made satisfactory efforts in addressing issues that were identified as requiring special focus during the Mid Term Review: Uganda continued to foster gender integration in national REDD+ processes though emphasising gender considerations in the consultation processes and integration of gender roles and participation in the implementation of national REDD+ Strategy and implementation frameworks. Gender issues have been reported in FCPF Annual reports using gender indicators in national REDD+ monitoring and evaluation framework. Further work on implementation of Uganda's REDD+ Gender Roadmap is being rolled out with support from the Additional Funding grant. Uganda continued to strengthen national and subnational consultation platforms for participation of relevant stakeholder groups including Forest Dependent Indigenous Peoples and local communities, Civil Society Organizations and private sectors. The REDD+ Secretariat is working with an indigenous peoples organization to raise awareness on REDD+ among forest dependent indigenous people groups. Uganda's Forest Investment Programme (FIP) that was endorsed by the CIF/FIP Subcommittee in June 2016 has served as reference for Uganda's priorities for investments targetting addressing drivers of deforestation and forest degradation and promoting the role of forestry in building climate resilience. Uganda has prepared a Project Concept Note for soliciting funding from the Green Climate Fund and the Global Environment Facility towards implementation of FIP Investment Project #1 in the Albertine Rift.

Between May and July 2018 Uganda underwent a self -assessment of its REDD+ readiness and submitted its R-Package to the FMT, for presentation to the October 2018 FCPF PC meeting.

Governments of Uganda and Ghana had a fruitful South South knowledge exchange on REDD+ (hosted by Ghana) in July 2018 that will be featured in the next FCPF quarterly newsletter.

Current grant disbursements stand at 38%, with another 14% committed under the FAO Technical Assistance agreement.

Grant follow-up and structure

Description and context of Grant:

Grant activities are organized into five components that correspond with the components and sub-components of Uganda's R-PP.

Component 1: Coordination and Monitoring of REDD+ Readiness Process

The objective of this component is to continue supporting national level coordination and monitoring of the REDD+ process. This support builds on structures and processes of the current REDD+ processes involving the REDD+ Secretariat and national REDD+ coordination, supervision, stakeholder participation, and preparing Readiness Package (R-Package).

Component 2: Stakeholder Engagement and Feedback in Readiness Process

The objective of this component is to support broad stakeholder engagement # with a particular focus on implementation of the Uganda REDD+ gender strategy, which include implementation of key actions as identified in the Uganda REDD+ Gender

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Strategy, foster gender integration in national REDD+ processes, and integrating stakeholder engagement.

Component 3: REDD+ Strategy and Strategic Environmental and Social Assessment

The objective of this component is to continue supporting the National REDD+ Strategy process and environmental and social elements of it, and development of the Safeguards Information System for Uganda REDD+ as an integral component of the REDD+ strategy.

Component 4: REDD+ Implementation Framework

The objective of this component is to support the development of design options for the ER Programs nested in the National REDD+ Strategy.

Component 5: National Reference Scenario and Inventory of Forest Resources

The objective of this component is to refine the National Reference Scenario/ FREL by undertaking analysis to better determine forest degradation levels and establishing and institutionalizing the NFMS as approved by the national REDD+ structures.

Expected follow up (if any): Follow up Bank project/loan/credit/grant

Comment on follow up:

The Government of Uganda has requested the Bank to lead on its submission of the Albertine landscape project to the Green Climate Fund (also leveraging IDA resources). The Government is also engaging with Conservation International and BP on a three-country submission to the Green Climate Fund Results Based Window. Uganda is also initiating identification and development of two Emission Reductions Programs (in the west and east of the country), through mobilization of actors around the identified Strategy Options in the National REDD+ Strategy (even though Uganda does not have a potential buyer for the emissions reductions).

End Date of Last Site Visit:

Restructuring of Grant:

n/a

OUTCOME

Comments on outcome achieved from 07/01/2017 to 06/30/2018

Implementation of the grant funded activities started in November 2017, after the grant became effective. The National REDD+ Secretariat (composed of GOU civil servants and housed in the Forestry Sector Support Department of the Ministry of Water and Environment) engaged two national consultants to support REDD+ readiness activities, a Lead Technical Advisor and a Project / Communication Officer. The Government entered into a Technical Agreement with the FAO to support its efforts on updating the Forest Reference Emissions Level and on institutionalizing a National Forest Monitoring System. Uganda's original FREL (submitted to the UNFCCC in January 2017) has undergone Technical Assessment by the UNFCCC and received very positive feedback. National REDD+ Readiness management structures (such as the National Technical Committee for REDD+ and the task forces) have had a number of meetings related to ongoing work on updating the REDD+ Strategy (making it more implementation oriented through articulation of the implementation arrangements and envisaged jurisdictional emission reductions programs). Procurement of key consultancies in support of detailed design of the jurisdictional ER Programs, development of a full suite of safeguards frameworks, and engagement with the indigenous peoples groups is ongoing / nearing completion. Uganda has undertaken a highly participatory self-assessment process for its REDD+ Readiness, resulting in the submission of its Readiness Package to the upcoming FPCF PC meeting. The self-assessment has a special focus on involvement of the civil society organizations in the process.

Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

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COMPONENTS

Output and Implementation by Component

Component 1. Coordination and Monitoring of REDD+ Readiness Process

Status: Under Implementation
Planned Output: National lead TA hired; Nat Comms / Project Officer hired; Intl TA on ER Programs hired; National REDD+ Structures supported; R-Package produced
Actual Output: Nat consultants hired in support for REDD+ Secretariat; R-Package assessment completed; National REDD+ Structures supported as planned; Intl TA under procurement (delayed)

Component 2. Stakeholder Engagement and Feedback in Readiness Process

Status: Procurement On going
Planned Output: Organization under contract to support engagement of indigenous peoples and implementation of key activities in REDD+ Gender Strategy
Actual Output: An organization was identified and procurement process still ongoing (at draft contract stage)

Component 3. REDD+ Strategy and Strategic Environmental and Social Assessment

Status: Procurement On going
Planned Output: Update of REDD+ Strategy and safeguards instruments initiated (with support from a consultant)
Actual Output: Progressing but with delays (a consultancy firm to support the update process identified and procurement process still ongoing (at proposal evaluation stage)

Component 4. REDD+ Implementation Framework

Status: Procurement On going
Planned Output: Design of at least two ER Programs initiated (with support from a consultant)
Actual Output: Progressing but with delays (procurement process still ongoing (at proposals evaluation stage)

Component 5. National Reference Scenario and Inventory of Forest Resources

Status: Under Implementation
Planned Output: FREL update and NFMS institutionalization initiated
Actual Output: As planned. With support from the FAO, activities related to the FREL update and NFMS institutionalization commenced in the reporting period

Comment on planned and actual Output

Comment on component implementation progress

Overall, activities are progressing fairly well although with minor delays across the board of administrative nature.

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EXECUTION

Bank project related to the grant

Project ID / Name: P124296 - UG-FCPF REDD READINESS
Project Status: Lending
Global Focal Area: Climate change
Product Line: CN - Carbon Offset

Implementing agency and contact details

Agency: Ministry of Water and Environment / Forestry Sector Support Department
Contact: Margaret Athieno Mwebesa
Address: 10/20 Spring Road, Kampala, Uganda
Phone: +256772470023 Email: margathieno@gmail.com
Website: <http://www.redd-uganda.org/>

PROGRAM

Program Specific Questions

1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f

Joint supervision missions were taking place with UN REDD Programme, all through its evaluation mission in June 2018. Preparation of the Forest Investment Plan (under Strategic Climate Funds, endorsed in June 2017) built on the REDD+ readiness efforts.

2. Describe any important changes in the technical design or approach related to the Grant activities.

None

3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

The REDD+ Readiness activities contribute significantly to building capacity of key stakeholders through provision of information, stakeholder engagement, etc. The National REDD+ Secretariat has benefitted from support of the (national) lead technical advisor and a (national) communications officer. TA agreement with FAO in support of the FREL and MRV envisages strong focus on building in country capacities. Bank Task Team is providing on time quality support, including on procurement and financial management.

4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

SESA was conducted in accordance with the Common Approach. SESA was prepared, including through a series of consultations. The SESA was also conducted consistent with Ugandan environmental laws and regulations and World Bank operational safeguards policies and procedures.

Current grant includes update of the SESA and ESMF as well as preparation of other required safeguards instruments (Process Framework, Resettlement Policy Framework, and the Indigenous Peoples Planning Framework).

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5. Is the ESMF prepared in accordance with the Common Approach?

ESMF prepared in accordance with the Common Approach. The ESMF sets out the procedures to be followed for identifying, assessing, managing and mitigating the potential environmental and social impacts of REDD+ interventions once the details are fully defined in the context of the future implementation of REDD+. The ESMF development was also informed by sub-national and national consultations.

6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

The AF grant has been building on the good stakeholder engagement processes established under the original FCPF grant, working through the established national REDD+ coordination platforms and ensuring that planning for various deliverables includes a requirement to incorporate stakeholder inputs. An appropriate FGRM for national REDD+ was identified with support from the original FCPF grant.

DISBURSEMENTS

Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
07/01/2017	12/31/2017	0.00	0.00	350,000.00	350,000.00
01/01/2018	06/30/2018	0.00	0.00	1,310,278.00	960,278.00
07/01/2018	12/31/2018	0.00	0.00	0.00	0.00
01/01/2019	06/30/2019	0.00	0.00	0.00	0.00
07/01/2019	12/31/2019	0.00	0.00	0.00	0.00
01/01/2020	06/30/2020	0.00	0.00	0.00	0.00