

# World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF013450  
Printed On: 11/05/2013

Reporting Period: 07/01/2012 to 06/30/2013  
Report Type: Progress Report  
Report Status: Approved

Assignment: TF013450

## Ethiopia: FCPF Readiness Grant

### FCPFR - FOREST CARBON PARTNERSHIP FACILITY

Task Team Leader: 00000191824 - Edward Felix Dwumfour  
Approving Manager: 00000086248 - Magdolna Lovei

Summary Information	
TF Status	ACTV
Recipient Country	Ethiopia
Executed By	Recipient
Managing Unit	8107 - AFTN1
Grant Start Date / Closing Date	10/24/2012 to 03/31/2016
Program Manager	
Original Grant Amount	3,600,000.00
Grant Amount	3,600,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	400,000.00 as of 06/30/2013
Disbursed 07/01/2012 to 06/30/2013	400,000.00
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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## OVERVIEW

### Overall Assessments

#### Grant Objectives:

The grant will support a specific technical assistance (TA) program (the #Readiness#Mechanism) to help build country capacity for reducing emissions from deforestation and forest degradation (REDD).

#### Overall progress from 07/01/2012 to 06/30/2013 with regard to Achieving Grant Objectives:

##### Comment:

The REDD+ Readiness process in Ethiopia is delivering on its expected results, albeit at a slow pace. Main highlights in each component are highlighted below. The country is making continued progress towards REDD+ readiness. This progress is being recognized by other donors and the international community. As a result, Ethiopia has been able to mobilize additional finance to cover the overall financial needs identified in the R-PP. This additional funding will be channeled through the WB-managed BioCarbon Fund and is currently under discussion. In addition, donors have pledged significant funding for REDD+ investments on the ground in future.

The following outcomes from the ongoing grant are worth noting:

- The REDD+ Implementation Arrangements are operational: the REDD+ Secretariat has 6 full time staff and is leading the REDD+ Process; and the federal REDD+ Steering Committee (RSC) and the national REDD+ Technical Working Group have been formally reestablished; Three REDD+ Task Forces (i.e REDD+ Strategy preparation, MRV / REL establishment, and Safeguards / SESA) have been formed to provide technical support to the REDD+ Secretariat in delivering on the work program.
- Preparation of the National REDD+ Strategy is yet to start. However, procurement of a consultant firm for the key analytical study to inform the strategy preparation (In-Depth Analysis of Causes of Deforestation and Forest Degradation in Ethiopia) is currently underway.
- Preparation of the Strategic Environmental and Social Assessment (SESA). The ToRs have been validated nationally and the study is under procurement. Capacity building in conducting the tool has been conducted.

#### Overall progress from 07/01/2012 to 06/30/2013 with regard to Implementation of Grant Financed Activities:

##### Comment:

The grant is being implemented by the REDD+ Secretariat. The Secretariat has recently moved from the Ministry of Agriculture to the recently created Ministry of Environmental Protection and Forestry. This has caused delays in the implementation of the grant. Disbursement has been very low until present, particularly because the FM and Procurement specialists were hired with significant delay. It is expected that disbursement will pick up in the coming months. The Secretariat has prepared an ambitious Annual Working Plan, and they are expecting to disburse around US\$ 2 million by the end of the FY.

### Grant follow-up and structure

#### Description and context of Grant:

**Expected follow up (if any):** Follow up Bank project/loan/credit/grant

#### Comment on follow up:

An Additional Finance of US\$ 10 million is currently under preparation. This is expected to fully cover the needs identified in the R-PP. These funds will be provided by Norway and UK DFID and channeled through the WB-managed BioCarbon Fund.

#### End Date of Last Site Visit:

#### Restructuring of Grant:

N/A

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## OUTCOME

### Comments on outcome achieved from 07/01/2012 to 06/30/2013

#### Grant Outcome Indicators

Grant outcome indicators are listed below.

##### The National REDD+ Secretariat within MoA is fully operational;

Baseline None

Value:

Date: 10/01/2012

Progress to 70% operational (some recruitment missing)

Date:

Date: 10/01/2013

Target Value: 100% operational

Date: 02/03/2014

##### A national strategy to reduce emissions from deforestation and forest degradation (REDD+), including its legal and institutional framework, is prepared and validated by a broad spectrum of national stakeholders;

Baseline None

Value:

Date: 10/01/2012

Progress to Key studies launched, REDD+ Strategy working group identified, synergies with other ongoing processes identified

Date:

Date: 10/01/2013

Target Value: Strategy fully prepared and validated

Date: 01/03/2016

##### An Environmental and Social Management Framework (ESMF) is prepared and validated through the use of a Strategic Environmental and Social Assessment (SESA);

Baseline None

Value:

Date: 10/01/2012

Progress to ToRs for SESA / ESMF validated and recruitment started; SESA working group operational, safeguards specialist hired

Date:

Date: 10/01/2013

Target Value: SESA and ESMF fully prepared and validated

Date: 01/03/2016

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## COMPONENTS

There are no components defined for this trust fund / grant.

## Comment on planned and actual Output

## Comment on component implementation progress

The following are important outcomes to highlight:

- a. REDD+ Secretariat operational. Four key positions in the REDD+ Secretariat have been filled (Procurement Specialist, FM Specialist, Safeguards Specialist, and Communication Specialist). The Pilot Projects# Coordinator has been identified. The M&E specialist is expected to be hired within two weeks. The international MRV Specialist recruitment should be completed by December;
- b. Annual Work Plan for FY 2013/14 and Procurement Plan for the Readiness process developed and approved.
- c. Steering Committee and Technical Working Group. These bodies have met once. REDD+ Focal points in each Regional State have been identified;
- d. Procurement of the study on drivers of deforestation was launched, the short-list is currently under preparation.
- e. Technical Note on the pilot REDD+ projects has been developed to guide the identification of future pilot projects in the country.
- f. Preparatory studies for the design of Oromia REDD+ Pilot Program have been launched: Identification of Drivers of Deforestation in the region, Analysis of Institutional and Legal Arrangements for the Program and Establishment of a REL and MRV system for the Program.
- g. Consultation. REDD+ awareness creation conducted at Debretabor in August to over 300 participants drawn from Amhara region..
- h. Workshop on the FCPF Methodological Framework conducted on September 19th targeted at key stakeholders involved in the Oromia REDD+ Pilot Program.
- i. SESA/ESMF workshop organized. SESA Task Force members identified, roles defined and work plan prepared. ToR for a SESA analysis finalized, validated by the REDD+ TWG and the selection of the firms to conduct the assignment will be launched in the coming weeks.
- j. REL/MRV workshop organized, resulting in a detailed work plan for establishing REL/MRV system for REDD+ in Ethiopia. FAO was identified to provide high-level TA to the REDD+ Secretariat.

## EXECUTION

### Bank project related to the grant

Project ID / Name: P124074 - Ethiopia-FCPF REDD READINESS  
Project Status: Lending  
Global Focal Area: Climate change  
Product Line: CN - Carbon Offset

### Implementing agency and contact details

Agency: Ministry of Environmental Protection and Forestry  
Contact: Yitebitu Moges  
Address: Addis Abeba  
Phone: +251 912 86 55 84  
Email: yitebitumoges@yahoo.com  
Website:

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## PROGRAM

### Program Specific Questions

**1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by others.**

The REDD+ Secretariat has been able to mobilize additional financing to cover the full R-PP needs. These funds will be channeled through the WB and managed by the REDD+ Secretariat. Hence, full coordination ensured. In addition, the Secretariat is reaching out to other partners to coordinate ongoing interventions. An example is the collaboration with FAO on MRV and on the National Forest Inventory.

**2. Describe any important changes in the technical design or approach related to the Grant activities.**

N/A

**3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.**

The grant is building significant capacity at the federal and regional governments level. A fully-staffed REDD+ Secretariat is managing the process; and linking with regional-level Units, particularly that in the Oromia region where a pilot program is currently under design.

**4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?**

SESA is fully in line with the Common Approach and is currently under design.

**5. Is the ESMF prepared in accordance with the Common Approach?**

Same as above.

**6. Describe progress in stakeholder consultation, participation and disclosure related to this Grant.**

# REDD+ awareness creation conducted in August to over 300 participants from Region and Woredas of Amhara region made at Debretabor.

# Workshop on the FCPF Methodological Framework conducted on September 19th targeted at key stakeholders involved in the Oromia REDD+ Pilot Program.

\* Consultation and Participation Plan will be implemented at the decentralized level in the coming months

## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
07/01/2012	12/31/2012	0.00	0.00	0.00	0.00
01/01/2013	06/30/2013	0.00	0.00	400,000.00	400,000.00
07/01/2013	12/31/2013	0.00	0.00	0.00	0.00
01/01/2014	06/30/2014	0.00	0.00	0.00	0.00
07/01/2014	12/31/2014	0.00	0.00	0.00	0.00
01/01/2015	06/30/2015	0.00	0.00	0.00	0.00
07/01/2015	12/31/2015	0.00	0.00	0.00	0.00
01/01/2016	06/30/2016	0.00	0.00	0.00	0.00