

# World Bank - Grant Reporting and Monitoring (GRM) Report

Assignment: TF017919

## BF: FCPF Readiness Preparation Grant

### FCPFR - Forest Carbon Partnership Facility

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Summary Information	
TF Status	LCLS
Recipient Country	Burkina-Faso
Executed By	Recipient
Managing Unit	9268 - SAFE1
Grant Start Date / End Date	02/26/2015 to 06/30/2020 (Closing date)
Original Grant Amount	3,800,000.00
Grant Amount	3,800,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	3,695,453.56 as of 12/31/2020 (end of disbursement date)
Disbursed 07/01/2019 to 12/31/2020	1,153,942.82
Donor	TF602001 - MULTIPLE DONORS

*This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.*

## OVERVIEW

### Overall Assessments

#### Grant Objectives:

The proposed Project Development Objective is to reinforce Burkina Faso's capacity to coordinate the REDD+ readiness process including a socially and environmentally sound national strategy and other key governance systems for REDD+ implementation.

#### Overall progress from 07/01/2019 to 06/30/2020 with regard to Achieving Grant Objectives:

##### Comment:

REDD+ Readiness in Burkina Faso is supported by five different operations (FIP (World Bank and AfDB), DGM (World Bank / IUCN), FCPF, and a project to support cashew nuts, financed by AfDB). All those projects are managed by the same team. The grant was effective end of February 2015. The REDD+ Readiness activities, as defined in the R-PP, are supervised and sponsored by the REDD+ Focal Point, within the General Directorate for Green Economy and Climate Change (DGEVCC), with the support of an excellent international Lead REDD+ expert.

Despite delays in the implementation caused by various issues prior to 2017, progress towards Readiness is seen as MS, with particular progress on institutional arrangements, MRV, strategy (and assessment of the drivers of deforestation). Burkina Faso drafted an ER-PIN and drafted a legal framework for carbon operations and propose a legal status to the future CERs. It has successfully connected REDD+ and the broader carbon agenda related to Article 6 and the Paris Agreement - for example, aligning the REDD+ Reference level and the Third National Communication, expanding the REDD+ MRV to cover the whole AFOLU sector or designing an Emission Reduction Program that would not be limited to REDD+ only.

In addition, Burkina Faso has created and operationalized a REDD+ Secretariat within the Ministry (almost exclusively relying on State budget and civil servants) in order to ensure the sustainability of REDD+ policies.

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At the closing of the first REDD+ Readiness Preparation Grant, the following results had been achieved:

- The institutional arrangements are in place, including at Regional and Municipal levels. REDD+ Focal Points are established in every key ministry. A National Consultation Platform is used for consulting the Civil Society Organizations and Private sector.
- The Drivers of Deforestations were studied and quantified. A National REDD+ Strategy and an ER-PIN for an ER-Program were drafted based on those drivers of deforestation;
- The SESA was launched to analyze the long term impacts of the Strategy and propose the Safeguard Information System;
- Ideas for early starters were identified and Identification Notes for nested projects were prepared;
- The REDD+ Reference Level was submitted and approved by the IPCC;
- The main actors of the MRV system were identified and the work on designing the system was launched;
- Capacity Building activities were conducted for all actors, with a focus on developing simplified ways to assess the emissions in order to be able to produce carbon data and land use change information on a regular basis;
- Co-benefits have been identified.

A second Readiness Grant was signed in October 2020.

## Overall progress from 07/01/2019 to 06/30/2020 with regard to Implementation of Grant Financed Activities: Comment:

The project was delayed significantly at launching because of the political situation (coup d'état , change in government, terrorist attacks). Additional issues have appeared as the fiduciary team has been slower than expected to procure, sign, and even disburse on contracts. The first REDD+ lead expert (for 2016-2017) had very poor capacities and the readiness activities only took off after he was replaced by the current REDD+ lead expert.

Since 2018 operational and technical results have been delivered with a high technical quality. A partnership with FAO has been established for the MRV. By the end of FY20, the grant was fully disbursed. Ineligible expenditure were identified, mostly for errors prior to 2017. They were reimbursed when the grant closed, explaining why the disbursement is negative in FY21.

The second Readiness Grant has been signed and activities are already committed. Considering the improvement on FY18, FY19 and FY20, the rating for FY20 has been kept to MS.

## Grant follow-up and structure

**Description and context of Grant:** A second Readiness Grant was signed in October 2020, to be effective. In addition, an IDA project will start in FY22 and will include follow-up activities related to carbon and REDD+. Burkina Faso was also selected by PROGREEN for additional investments that can be used for the ER-Program.

**Expected follow up (if any):** Follow up Bank project

### Comment on follow up:

The government has requested World Bank support for REDD+ investment and launched the design of a large, jurisdictional Emission Reduction Investment Program. A very strong ER-PIN has been drafted. As part of the Country Partnership Framework (CPF), an investment of 80 million USD is expected for the ENR sector in relation with REDD+ at Commune level. Follow-up investments, including FCPF Readiness Additional Finance, will be aligned with the upcoming REDD+ strategy.

**End Date of Last Site Visit:** March 2020 (supervision mission in Ouagadougou)

**Restructuring of Grant:** None

## OUTCOME

### Comments on outcome achieved from 07/01/2019 to 06/30/2020

- In September 2019, the study on the analysis of carbon rights and the proposal for a carbon law bill were validated in a national workshop.
- Three (03) REDD + newsletters have been published for the fourth quarter of 2019, the first quarter and the second quarter of 2020.
- In January 2020, the country validated its forest reference level at the national level and submitted it to the Executive Secretariat of the UNFCCC for approval.

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- Consultations with CSOs took place from February 17 to 18, 2020. Twenty five (25) people from 19 CSOs took part in these consultations.
- Consultations with the private sector took place on February 20-21 and 25-26, 2020. In all, more than 75 people from around sixty structures took part in these consultations.
- In May 2020, a first version of the national REDD + strategy was drawn up.
- In June 2020, the country validated at the national level the study on the identification of non-carbon benefits within the framework of REDD + in Burkina Faso.
- In June 2020, the country validated its SNSF at the national level.
- The following capacity building activities took place:
  - ✓ Training in greenhouse gas inventory: Nine (09) national experts come from various structures, in particular, the General Directorate of Water and Forests, the Ministry of Animal and Fishery Resources and the Permanent Secretariat for the Coordination of Agricultural Sector Policies, benefited from this training.
  - ✓ Training in the development of allometric equations: Twenty-four (24) national experts benefited from this training.
  - ✓ Training in identification of woody species: Twenty-four (24) national experts benefited from this training.
  - ✓ Training in geographic information system: Twenty (20) national experts benefited from this training.
  - ✓ Training on REDD + for two hundred and nine (209) agents from the decentralized technical services in charge of the environment, animal resources and agriculture, as well as thirty-one (31) Directors and Heads of services of the Directorate General of Green Economy and Climate Change, the Directorate General of Water and Forests and a Regional Directorate of the Environment , Green Economy and Climate Change.
  - ✓ Three (03) experts participated from October 15 to 19, 2019, in a South-South exchange workshop in Brazzaville on the implementation of SNSF.
  - ✓ A series of three training sessions on Collect Earth, including one at FAO headquarters in Rome, were provided for the benefit of four national experts from the PIF and STN / REDD +.

### Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

## COMPONENTS

The Assessment Note described three components:

**Component 1: Strengthening REDD+ and forest governance (US\$1.6 million).**

- *Activity 1.a: Building REDD+ technical capacities*
- *Activity 1.b: Development of a Grievance and Redress Mechanism*
- *Activity 1.c: Setting up partnerships with the Ministry of Justice and Ministry of Interior*
- *Activity 1.d: Development of a Monitoring System for Co-Benefits*

**Component 2: Development of National REDD+ Strategy**

- *Activity 2.a: Design and elaboration of the REDD+ strategy*
- *Activity 2.b: Enhancing the Informational Base related to REDD+ (drivers of deforestation)*
- *Activity 2.c: Strategic Environmental and Social Assessment (SESA)*

**Component 3: Readiness Monitoring, Coordination and Communication**

- *Activity 3.a: Operational Support for grant implementation*
- *Activity 3.b: REDD+ Readiness compliance, control and monitoring*

### Comment on planned and actual Output

The development of a Grievance and Redress Mechanism was merged with the SESA. The work was launched but not yet completed and will be delivered as part of the Additional Preparation Grant. The partnership with the Ministry of Justice was postponed. The quality review for the FREL and MRV identified additional needs to complete the FREL - this sub-component was extended to include support of the FREL and took a larger amount of resource than expected - which led to the request for the additional grant.

### Comment on component implementation progress

The Achievements of this grant and the overall progress of Readiness are satisfactory but the project was implemented with a 2 year delay due to a late start in 2016-2017.

**Component 1:** the capacity building on REDD+ (1a) has been excellent. It led to the creation of a REDD+ Technical Secretary within the Ministry of Environment and almost entirely supported by the State's budget. REDD+ Focal Points were trained in every Ministry, partnerships were established with the private sector and the civil society. This led to the adoption of a National Decree on REDD+ institutional arrangements, followed by the training of all parties involved in that Decree. The co-

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benefits system (1.d) has been designed after an assessment of the capacities offered by the “National Observatory for Sustainable Development (ONDD)” and a review of the main co-benefits indicators. However, the system is not yet operational. The partnership with the Ministry of Justice has been postponed due to the security context. The GRM has been merged with the SESA and the study is on-going.

**Component 2:** The Analysis of the Drivers of Deforestation was completed in 2019. It was a major output for the country. The REDD+ Strategy was drafted, but the social implications are being assessed as part of the SESA before the Strategy is finalized. The SESA was launched in 2020 and is still under implementation.

**Component 3:** The need on the FREL was higher than expected. The quality review concluded that the data analysis had to be redone as the minimum size for the analysis (0.5 h) was too small. The project financed the revision of the reference level based on 0.8h as minimum unit and the result was very good (error estimation is low). This led to the submission of the country FREL for REDD+ to the UNFCCC in May 2020 (revised submission in August) and it was accepted by the IPCC later in FY21. This was a major achievement for the country. The Third National Communication on GHG emission is currently being aligned with the FREL, showing an excellent integration between the UNFCCC and NDC reporting and the work on REDD+ under the FCPF project.

## EXECUTION

### Bank project related to the grant

Project ID / Name:	P149827 - Burkina Faso-REDD Readiness Preparation
Project Status:	Lending
Global Focal Area:	Climate change
Product Line:	CN - Carbon Offset

### Implementing agency and contact details

Agency:	FIP Coordination Unit		
Contact:	Jean-Bosco So		
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## PROGRAM

### Program Specific Questions

**1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f**

The activity is well coordinated with the Forest Investment Program, which is testing investments according to the draft REDD+ strategy. In addition, the project has made connection with the Climate Smart Agriculture team to develop an integrated approach (ISFL). The partnership with Lux-Dev is going very well, with joint activity supporting the FIE (Intervention Fund for the Environment) with the objective to turn it into a basket fund able to receive the carbon finance.

In addition, Burkina Faso has launch an initiative to bridge REDD+ and the NDC - in particular for the MRV system. It is supported by the NDC Facility grant, as well as Ci-Dev (Standardized Crediting Framework)

**2. Describe any important changes in the technical design or approach related to the Grant activities.**

The development of a Grievance and Redress Mechanism was merged with the SESA. The work was launched but not yet completed. The partnership with the Ministry of Justice was postponed. The support to the FREL and MRV took a larger amount of resource than expected.

**3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.**

The MRV has been the area with the greatest progress. Burkina Faso has now a FREL based on historic data since 1992. Emission Factor have been defined for 3 pools: above ground biomass, soil carbon and below ground biomasse. The MRV system is expected to be operational by the end of 2021 and aligned with the NDC MRV.

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The project has prepared 3 training modules targeting institutional actors, NGOs and private sector. Multiple training have been organized during the year, including parliamentarians, Civil Society Organizations, and villagers to explain the importance of REDD+. More than 6,500 villagers have been consulted to connect REDD+ and the reality in the fields.

#### 4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

The first contractor in charge of the SESA had to decline and the team relaunched the selection process in FY19 to identify another provider for the SESA. The contract was signed during FY20 and the study is currently on going. An international safeguard expert is supporting the team for quality control. It will follow the Common Approach. The contract includes (i) the SESA, (ii) the GRM, (iii) the gender analysis of the REDD+ strategy and the set-up for the "Safeguard Information System" that will apply to all REDD+ investment in the future Program area (as part of the registration procedure).

#### 5. Is the ESMF prepared in accordance with the Common Approach?

ESMF still in preparation. The ESMF and the other safeguards instruments (including a Process Framework) are in accordance with World Bank safeguard policies, which are in line with the Common Approach. It is prepared based on the safeguard system of the Forest Investment Program, which included already several donors. The safeguard instruments will apply to all projects included in the investment program in order for them to be registered and identified as REDD+ projects.

#### 6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

The REDD+ team is conducting a dialog with the DGM leaders, representing the CSOs. In addition, all significant REDD+ meeting are attended by representatives of the Mayors, including the 32 mayors related to the FIP (Forest Investment Program) representing a sample of about 10% of the country.

## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2015	06/30/2015	0.00	0.00	0.00	0.00
07/01/2015	12/31/2015	0.00	0.00	243,309.00	243,309.00
01/01/2016	06/30/2016	0.00	0.00	244,509.83	1,200.83
07/01/2016	12/31/2016	0.00	0.00	321,110.60	76,600.77
01/01/2017	06/30/2017	0.00	0.00	507,559.68	186,449.08
07/01/2017	12/31/2017	0.00	0.00	807,923.96	300,364.28
01/01/2018	06/30/2018	0.00	0.00	1,591,732.27	783,808.31
07/01/2018	12/31/2018	0.00	0.00	2,171,179.06	579,446.79
01/01/2019	06/30/2019	0.00	0.00	2,541,510.74	370,331.68
07/01/2019	06/30/2020	0.00	0.00	3,798,072.32	1,256,561.58
07/01/2020	12/31/2020	0.00	0.00	3,695,453.56	-102,618.76