



REDD+ ANNUAL COUNTRY PROGRESS REPORTING

COUNTRY: UGANDA

PERIOD: JULY 2015 - JUNE 2016

Contact Information:

Contact Country:	UGANDA
Contact Name 1:	DAVID O. O. OBONG
Title 2:	PERMANENT SECRETARY, MINISTRY OF WATER AND ENVIRONMENT (MWE)
Contact Name 2:	MARGARET A. MWEBESA
Title 2:	REDD+ NATIONAL FOCAL POINT, FORESTRY SECTOR SUPPORT DEPARTMENT (FSSD), MWE
Contact Email:	margathieno@gmail.com
Alternate Email:	
Contact Telephone:	+256 772 470 023
Alternate Telephone:	+256 414 347 085
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GENERAL INTRODUCTION

This is Uganda's Annual Progress Report of Uganda's National REDD+ Programme for the period July 2015 - June 2016. It is prepared as part of the requirements for the Forest Carbon Partnership Facility (FCPF) funded component of Uganda's National REDD+ Programme. The report also covers all activities undertaken under the National REDD+ Process over the same period.

Uganda became a Participant of FCPF in 2008 and in 2009 received a first US\$200,000 Grant through the World Bank to prepare a REDD+ Readiness Preparation Proposal (R-PP). Uganda embarked on R-PP preparation phase in March 2010 which was approved during the ninth Participants' Committee meeting in Oslo in June, 2011 with comments. Uganda submitted an acceptable and updated R-PP in May 2012 after which a second US\$3,634,000 Readiness Preparation Grant Agreement was signed on July 10, 2013 between the Government of Uganda (GoU) and the International Bank for Reconstruction and Development (IBRD/World Bank). Additional support has been received from the Grant Agreement with Austrian Development Agency¹(Euros 650,000 or US\$ 870,000) and UN-REDD Programme's Targeted Support (US\$ 75,000); National UN-REDD Programme (US\$ 1,798,670) and GoU contribution (UGX. 800 million annually (US\$ 250,000) Table 1.

Table 1: Status of funding as at 30th June 2016

Component	Funds Allocated (US\$)	Start Date	End Date
FCPF	3,634,000	July 2013	June 2017
ADC	890,797	July 2013	June 2017
UN-REDD Targeted Support	150,000	April 2014	May 2015
UN-REDD National Programme	1,798,670	October 2015	June 2017
GoU	1,086,000	July 2013	On-going
TOTAL	7,559,467		

Furthermore, in May 2016, the twenty-first meeting (PC21) of the Participants Committee (PC) of the Forest Carbon Partnership Facility (FCPF) considered Uganda Mid-term Report and Request for Additional funding – and decided to allocate additional US\$ 3.75 million to continue with its preparation for REDD+ Readiness.

For this purpose, the Participants Committee, among other things:

- a. Encouraged Uganda to take into account the issues raised by the PC at this meeting, as recorded in the Co-Chairs' Summary of this PC meeting, during readiness preparation. These issues are:
 - i. Foster gender integration in national REDD+ processes, and monitor progress thereof through inclusion of appropriate indicators in national REDD+ monitoring framework;
 - ii. Continue to strengthen national and subnational consultation platforms for participation of relevant stakeholder groups especially Forest Dependent Indigenous Peoples and local communities, and Civil Society Organizations whilst ensuring efficiencies in use of funding available for consultations through multiple financing sources; and

¹ The Austrian Development Agency (ADC) represented by the Coordination Office for Development Cooperation of the Austrian Embassy Addis Ababa in Kampala

- iii. Continue to carefully coordinate FCPF, Forest Investment Program (FIP), and other financing sources as part of the national REDD+ Strategy and national REDD+ agenda.
- b. Requested Uganda to report to the PC on progress, in accordance with Section 6.3(b) of the Charter and the periodic reporting under the Monitoring and Evaluation Framework. Where applicable, Uganda was also encouraged to include information on how it has taken into account the issues identified in the co-chairs's summary, in its regular reporting.

The over-all Development Objective for the WB/FCPF support is to contribute to the design of a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level. The following are the specific results:

- a. A national draft REDD+ strategy and actions for addressing deforestation and forest degradation and enhancing carbon stock that has been consulted upon and validated by national stakeholders;
- b. A preliminary national/sub national forest emissions reference level that is based on updated and quantified information of forest resources and national circumstances; and
- c. A Strategic Environmental and Social Assessment that is completed and findings are validated by stakeholders and integrated into the design of the National REDD+ strategy.

In addition, Uganda envisions; i) Recognition and active promotion of REDD+'s catalytic role in restoring the forests and the value of the forestry sector as an integral part of Uganda's green economic growth; ii) Institutionalization of REDD+ Processes and Coordination Structures to deliver on its plans.

1. SUMMARY OF REPORT

2.1 Over-all Progress

The Over-all Progress of Uganda National REDD+ Process (July 2013- March 2016) was comprehensively reported in the Mid-Term Review Report (Appendix 1). (Located at this site: <https://www.forestcarbonpartnership.org/sites/fcp/files/2016/Mar/Uganda%20MTR.pdf>) The following are the key highlights of the progress.

Over-all Progress (July 2013-June 2016)

The mid-term review concluded that by March 2016, Uganda REDD+ process was on track, albeit being behind schedule on several undertakings. The undertaking to organize and consult (Component 1) and establishment of reference scenario (component 3) were doing well. Foundations for implementing component 2 (Development of REDD+ Strategy Options) and 4 (Development of Forest Monitoring System) had been established and implementation was at take off stage. As at 30 June 2016, implementation of the two components had been initiated.

R-PP Components	R-PP Sub-components	Status of implementation (June 30, 2016)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	Significant Progress
	1b. Consultation, Participation, and Outreach	Progressing well, Participatory Structures commenced
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	Ongoing (as its elements are embedded in sub-components 2b. and 2c, and Component 3).
	2b. REDD+ Strategy Options	Ongoing
	2c. Implementation Framework	On-going
	2d. Social and Environmental Impacts	Procurement of the Consultant near complete
3. Reference Emissions Level/Reference Levels		Significant Progress. Task nearly complete
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	Progressing well, further development required
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Progressing well, further development required

REDD+ and National Development: Uganda has included REDD+ programme in her macro-economic investment plan, Mid-term Expenditure Framework and Water and Environment Sector Investment Plan. Since Financial Year 2013/2014, Uganda allocated a budget vote for REDD+ activities and contributed financial resources to support coordination and early REDD+ actions (amounting to over US\$ 1,086,000). Uganda is among few FCPF participating countries in Africa with dedicated budget funds to support REDD+ activities.

Participation in Global FCPF processes: Uganda has actively participated in almost all the FCPF Participants Committee and Participants Assembly meetings and processes since 2009. Uganda has been

represented at these forums by the National REDD+ Focal Point, Alternate National REDD+ Focal Point and other staff from Forestry Sector Support Department. Uganda has also maintained active participation during inter-FCPF participants meetings in form of correspondences and information sharing.

Uganda has complied with the reporting requirements and has submitted all required Semi –annual and annual progress reports, financial reports and audit statements.

Uganda has actively participated in REDD+ Negotiations of the UNFCCC since 2007. Uganda attended and participated in almost all the COPs (i.e., COP19 (2013 - Warsaw), COP20 (2014 – Lima) and COP 21 (2015 – Paris) respectively.

Coordination of Support – including coordinating FCPF, Forest Investment Program (FIP), and other financing sources as part of the national REDD+ Strategy development and national REDD+ agenda

Uganda’s REDD+ process is supported by three external donor organisations namely the FCPF, UN-REDD Programme and the Austrian Development Co-operation (ADC), through the Joint Water and Environment Programme under Ministry of Water and Environment (MWE). Government of Uganda provides additional support in kind (through the provision of office facilities and staff time dedicated to the programme) as well as for investment activities funded directly through the Medium Term Expenditure Framework (MTEF) from Ministry of Finance, Planning and Economic Development (MFPED) through the Ministry of Water and Environment (MWE). The level and duration of on-going support provided by each of these financing agencies as at December 2015 is presented in Table 1 (above).

The same year the World Bank / Forest Carbon Partnership Fund/WB (FCPF) and Government of Uganda signed the Second Grant Agreement; the Government of Uganda (GoU) and the Austrian Development Agency (ADC) signed a Grant Agreement on 28th June 2013 (Euros 650,160) to support “Development of robust systems for the National Forest Monitoring and Information Safeguards for Uganda’s REDD+ Activities”. Both Grants are complementary; supporting R-PP activities that were not covered by the WB/FCPF Grant namely to support the following result areas:

1. **Project Result 1:** A national robust forest monitoring system for monitoring and reporting on REDD+ (including for multiple benefits and safeguards) actions in Uganda designed, consulted upon, linked with other relevant national systems and deployed;
2. **Project Result 2:** A national and sub-national system of monitoring and providing information on how multiple benefits, other impacts, governance, and actual safeguards are being addressed and respected during the implementation of REDD+ activities in Uganda, designed, consulted upon and deployed; and
3. **Project Result 3:** Spatially based biodiversity and ecosystem-based multiple benefits of REDD+; including land-use and pressures on natural resources, identified and mapped.

Meanwhile, in 2014, Uganda was admitted into the UN-REDD Programme support and has received technical and financial support since 2015. The support from UN-REDD Programme includes UN-REDD Programme Targeted support (January – May 2015) and the on-going UN-REDD National Programme since August 2015). The UN-REDD National Programme compliments other available support to Uganda’s REDD+ readiness process. The National Programme has three major outcomes: (1) A transformational national REDD+ strategy is designed through substantial multi-sectorial technical and policy dialogue, including robust policy options and measures, mainstreamed and anchored in national development vision, planning and framework; (2) A National Forest Monitoring System (NFMS) is designed and set up, with appropriate Measuring, Reporting and Verification (MRV) functions; and (3) Subnational

implementation of the REDD+ national strategy is prepared and facilitated through an “integrated landscape management” approach, building on a comprehensive set of analytical work, engagement and capacity building of stakeholders, and early actions.

Institutional arrangements for supervision and coordination at national level: National level policy and sector level coordination have been fully established and operationalized. At implementation level, a National REDD+ Focal Point and REDD+ Secretariat (FSSD) are fully functional. The REDD+ Process is supported by three Task Forces (SESA/Safeguards, Measuring, Reporting & Verification (MRV), and Policy/Strategy taskforces), a National Technical Committee (NTC) and National Climate Change Advisory Committee (NCCAC) which serves as the REDD+ Steering Committee.

In addition to the above processes, Uganda has integrated the REDD+ process into national and sector development plans and processes and in Sector coordination measures or arrangements. Since July 2013, REDD+ process has been on Agenda of ten (10) Water and Environment Sector Working Group (WESWG) meetings and three Joint Technical Review (JTR) meetings that have been conducted. Since July 2013, Uganda REDD+ Process has hosted six Joint Missions and also participated in the UNDP Energy and Environment Projects Board meeting in December 2015.

Coordinating the existing support from different REDD+ donors is ensured through a process of shared work plans and joint supervisory and technical support missions. Joint missions have successfully served as platforms for dialogue between donors and government. Important decisions have been reached in collaborative manner and solutions to weaknesses or problem areas jointly identified. The following are additional coordination arrangements that have yielded coordination among the different components

In-house budget management and activity planning tools that were developed in 2014/15 has ensured a high level of co-ordination and harmonization of support between different funding sources. For example, with regard to the development of the National REDD+ Strategy, it was agreed that while FCPF was providing the bulk of support to the development process, additional funds from UN-REDD would be provided to look in greater depth at issues of governance, gender, social inclusion and green economy – all of which are areas of expertise for UN-REDD.

Technical assistance was harmonized in January 2016 among development partners. Originally, a Chief Technical Adviser (CTA) was foreseen from UN-REDD support. Following discussions during Joint Mission held in March 2015, it was observed that the job description (JD) of the proposed CTA overlapped significantly with that of the current R-PP TA engaged under FCPF support. As such, it was agreed to change the Job Description of the proposed UN-REDD CTA position to a more technical role in a way that complements existing TA functions from the R-PP Technical Adviser. This process was concluded in February 2016 when the revised ToRs reflecting this change was agreed upon by donors and Government.

Co-ordination structures have also been harmonized between different projects and initiatives since October 2015. For both UN-REDD and FCPF, overall decision-making takes place within the framework of the National Climate Change Advisory Committee. No project-specific committees have been established. ADC support is co-ordinated under the Water and Environment Sector Working Group of MWE. The Water and Environment Sector Working Group is a recognized government led platform for planning and coordinating investments in the sector.

Management and Coordination: The FSSD/National REDD+ Secretariat has developed and or applies the

following planning and management tools: i) Monitoring and Evaluation Framework for REDD+ Process; ii) Government of Uganda reporting system; and, iii) REDD+ process planning and budgeting system. The latter tool enables Uganda REDD+ process to coordinate and ensure synergies within and among the different REDD+ Process components. All financial and technical contributions to the REDD+ process of the respective donors and GoU are mapped out and synchronized, thereby, ensuring that all contributions contribute the R-PP goal, objectives and outputs.

Financial Resources: Uganda's REDD+ process is supported by three external donor sources namely the FCPF, UN-REDD and the Austrian Development Co-operation (ADC). Government of Uganda provides additional support in kind (through the provision of office facilities and staff time dedicated to the programme) as well as financial contribution for investment activities/early actions. By 30th June, approximately 70% of the R-PP budget had been mobilized and committed (approximately USD 7.95m), while USD 3.75m (approximately 30% of the R-PP Budget) had been recommended by FCPF Participants Committee. This performance provides 100% of financial resources budgeted for in the R-PP.

Stakeholder engagement²:

Uganda's National REDD+ Process has the following multi-stakeholder participation (including for consultations) and decision-making processes and institutions that provide for the representation of IPs and CSOs;

- a. Steering Committee (NCCAC): the 28 - person Committee includes 1 IPO and 2 CSO representatives.
- b. National Technical Committee (NTC): the 28 - person Committee includes 6 CSO Representatives.
- c. Methodological (MRV) Taskforce: the 9 - person committee includes 1 CSO representative.
- d. Policy/Strategy Options Taskforce: the 14- person Taskforce includes 1 IPO and 2 CSO representatives.
- e. SESA/Safeguards Taskforce: the 16 - person Taskforce includes 1 PO and 3 CSO representatives.

In order to ensure that all stakeholder categories (including CSOs and IPOs) participate and are consulted upon during the National REDD+ Process, an undertaking aiming at; i) Strengthening participatory structures; ii) Conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels; iii) Communicating about REDD+ process and raising awareness about REDD have been commissioned to commence with stakeholder re-mapping exercise aimed at recommending strategies and actions for engaging stakeholders nationwide at all levels, including IPs and CSOs.

It is therefore, anticipated that CSO and Indigenous Peoples representatives, will participate in the above undertaking to define structures and mechanisms for fostering their engagement in the national REDD+ Process. Additionally, it is planned that the undertakings leading to development of Uganda's REDD+ Strategy Options and SESA, design of benefit sharing mechanisms, FGRM, REDD+ Standards, Uganda's FREL/FRLs, National Forest Information Systems, etc., involve stakeholders through a variety of ways, including: Focused Group Discussions, PRAs, consultations and validation workshops or meetings at all stages of R-PP implementation and REDD+ Strategy formulation. Additionally, engagement of IPs and CSOs will be further realized through meetings of the REDD+ Steering Committee, National Technical Committee and Taskforces.

² Reflecting consideration of the PC21 guidance namely – that Uganda should continue to strengthen national and subnational consultation platforms for participation of relevant stakeholder groups especially Forest Dependent Indigenous Peoples and local communities, and Civil Society Organizations whilst ensuring efficiencies in use of funding available for consultations through multiple financing sources;

To further strengthen, this arrangement, a special self-selection of the IPOs and CSOs has been planned for the first quarter of the 2016/2017 Financial Year (corresponding with the months of July to September 2016). This will validate or augment the current representation of CSO and IPOs that were selected by the National REDD+ Secretariat and recommended for appointment by the Permanent Secretary, MWE. Specific to the inclusion of the IPs and CSOs, the current selection took into account the facts that:

1. The NCCAC CSO and IPs representatives were selected because they are: representatives of ENR CSO Network on the Water and Environment Sector Working Group (WESWG) (representing national NGOs) (which itself was recommended by CSO network through their internal self-selection process; the International NGOs active in REDD+ matters (represented by IUCN) and IPO representative to Global Climate Change issues (Ng'akarimojong including Teuso People) who are the national representative of the IPAAC.
2. National Technical Committee - CSOs representatives were selected due to their technical backgrounds and potentials to contribute on Technical matters and they are:
 - a. ECOTRUST.
 - b. Uganda Land Alliance.
 - c. Uganda Journalist and Press Association.
 - d. Saw-Log Production Grant Scheme/Uganda Timber Growers Association.
 - e. Media Centre.
3. Meanwhile for the Taskforces – the concept was to have unique expertise in subject matter, not necessarily linked to constituencies – thus the idea was to have experts in the themes constituting the taskforce.

However, it was important to address the following challenges: of (1) Legitimacy of the mandate to represent the constituencies, and the (2) Unclear mechanisms for the representatives to solicit views and provide feedback to their constituencies. These challenges will be addressed through processes of validating/endorsing the current representatives by their own constituents and also through dialogues on the strategies and means of ensuring that representatives solicit views and provide feedback to the constituencies.

The REDD+ process has continued to engage stakeholders at technical and supervisory levels through NCCAC, NTC and Taskforces. Additional efforts to engage stakeholders include raising awareness through dissemination of information on REDD+ process in form of brochures, press reviews, radio messages, engaging in public events e.g., commemorating World Forestry Day and World Environment Day and during the on-going consultations on the development of Forest Reference Emissions Levels/ Forest Reference Levels, Benefit Sharing Arrangements, Feedback and Grievances Redress Mechanism and REDD+ Strategy Options. REDD+ Process information is shared through the MWE Website as well as websites of REDD+ Partners (World Bank, ADC and UN-REDD). The undertaking to establish structures for engaging stakeholders, building capacity of these structures and consulting Stakeholders using these structures at national and sub national levels is ongoing.

Fostering gender integration in national REDD+ Processes: The REDD+ process in Uganda is marked by a strong focus on gender aspects from the beginning. Uganda has been working towards gender inclusiveness of REDD+ through a gender-responsive action plan to enhance the effectiveness of REDD+.

In 2012 a Gender and REDD+ Roadmap for Uganda was prepared with support from IUCN's Global Gender Office (GGO). With the same support, the plan was revised and a detailed "Gender and REDD+

Action Plan for Uganda, 2015 and 2016” was prepared with the objective to mainstream gender considerations in the forestry sector and climate change initiatives, paying special attention to REDD+ process, as a means for both men and women to be recognized as important forest stakeholders; thus, improving women and men’s access to information and capacity building; participation; use, control and management of forest resources; rights to land tenure; and equitable sharing of benefits for sustainable forest management leading to the improvements of people’s livelihoods. The road map is divided into three phases in which REDD+ programmes shall be implemented; a) Readiness, b) Implementation and c) consolidation phases.

- a. Support the establishment of a gender and forest task force in Uganda
 - ♣ *Outcome:* A gender and forest task force promotes and advocates for gender equality considerations in climate change response in Uganda, and particularly in REDD+
- b. Build capacity of Gender Sub-Working Group (GSWG), women and women’s organizations, and implementing partner organizations (IPOs) in REDD+ on gender and REDD+ issues
 - ♣ *Outcome:* Enhanced knowledge and capacity on gender and climate change issues, particularly with respect to REDD+, for GSWG, IPOs, women and women's organizations promotes gender equality in REDD+ processes [and broader climate change issues/for all]
- c. Support women’s participation in the design of the strategy and implementation of REDD+ related activities
 - ♣ *Outcome:* Gender considerations and women's direct participation enhance effective decision making and design of the National REDD+ Strategy
- d. Provide best practices and guidance on gender-sensitive BS mechanisms at national level
 - ♣ *Outcome:* Awareness and understanding of gender equality in REDD+ benefit-sharing (BS) mechanisms ensure equitable access to potential benefits and improve livelihoods
- e. Provide technical support on legal frameworks, including on women's rights, gender equality and REDD+ Safeguards and Grievance mechanisms
 - ♣ *Outcome:* Negative impacts of REDD+ Initiatives on local communities, particularly women, are avoided by ensuring gender considerations are integrated into Safeguards and Grievance redress mechanism
- f. Monitor and communicate importance for, and results of, gender-inclusion in REDD+
 - ♣ *Outcome:* Improved awareness and knowledge on gender equality within REDD+ and sustainable forestry management promotes more just and equitable, effective and efficient outcomes at national and subnational levels.
- g. Engage women in NFMS/MRV through appropriate methods (participatory MRV).
 - ♣ *Outcome:* Gender equality concerns are integrated and enhanced throughout REDD+ processes and REDD+ outcomes are enhanced by ensuring women's capacity to participate in MRV.

To integrate the road map with the national REDD+ process, an additional gender gap assessment will be undertaken in relation to the development of the Participatory Structures for REDD+ and gender specific tasks are currently being incorporated into the stakeholder engagement process, in line with the “Gender and REDD+ Action Plan for Uganda, 2015 and 2016”

Progress (July 2015-June 2016)

2.2 Key Achievements with focus on higher level results (July 2015 - June 2016)

Component 1: Readiness Organization and Consultation:

- a. National REDD+ management (including REDD+ Secretariat Staff) and supervision structures and processes reported in July 2014-June 2015 period continued to fully operate. Three UN-REDD Programme Supported Secretariat members FAO Technical Advisor, (FAO Technical Advisor, UN-REDD National Technical Advisor, UN-REDD National Technical Assistant) are on board. Recruitment of two additional staff to be supported by UN-REDD National Programme is still on-going. Contracts of FCPF Contracted Staff were extended till June 2017.
- b. REDD+ Process implementation procedures, systems and formats for planning, coordination, monitoring and reporting, and communication continued to be applied.
- c. REDD+ process Monitoring and Evaluation Framework was developed and is being applied.
- d. Facilitated capacity building of NCCAC and other targeted stakeholder institutions in various REDD+ process implementation requirements and issues was undertaken. Continuous capacity building is embedded in the ongoing R-PP packages, namely Benefit Sharing Arrangements (BSA), Feedback and Grievances Redress Mechanism (FGRM), REDD+ Strategy Options, FERL/FRL, Strengthening Participatory Structures, etc.).
- e. Six REDD+ Process steering and coordination meetings were conducted: three NCCAC meetings and three NTC meetings.
- f. At taskforce level, over 10 Taskforce meetings were convened to support the various REDD+ Packages and elements. For example, one combined taskforce meeting was conducted to consider inception reports by M/s IUCN and M/s Tree Talk Plus for the Strengthening of Participatory Structures and conducting Capacity Building trainings to enhance stakeholder engagement for Uganda's National REDD+ programme; one taskforce meeting to consider inception report by M/s Advocates Coalition for Development (ACODE) to prepare a Feedback Grievance Redress Mechanism (FGRM); one taskforce meeting to consider an inception report by M/s Oy Indufor for the preparation of the Benefit Sharing Arrangements (BSA); four MRV taskforce meetings to consider possible suitable options for constructing the Forest Reference Scenario and one joint Policy/Strategy taskforce meeting to consider the REDD+ Strategy Options inception report by M/s Arbonaut Oy.
- g. Technical and Financial Reporting on the progress and financial performance complied by GoU using donors (including World Bank, ADC and UN-REDD) reporting requirements. As at June 2016, FCPF component (RSP) performance stood at 84.69% budget commitment and 54.43% Budget disbursement; ADC performance stood at 100% and UN-REDD 12%. The 2014/15 Audits were undertaken as planned.
- h. Under the FCPF funded component; 13 out of 15 procurement packages were completed and work on going or due to start (refer to the Annex). Under UNDP Component of UN-REDD NP, two out of three positions were filled. Under UNEP Component a subcontract was issued to IUCN. Under the FAO Component, the institution designated the FAO task to the Technical Advisor already supporting the National REDD+ Process.
- i. REDD+ NFP, Alternate FP, TA/FAO and Communications/Project Officer participated in the twenty-first meeting (PC21) of the Participants Committee (PC) of the Forest Carbon Partnership Facility (FCPF) and UNFCCC COP21 Paris Climate Conference. National REDD+ Secretariat staff continued to participate in in-country meeting events within and outside the MWE. The Alternate NFP and an Officer from the Ministry of Finance, Planning and Economic Development were took part in the FIP meeting for new pilot countries that took place in Oaxaca, Mexico, on June, 2016.
- j. Uganda's REDD+ Readiness Mid-Term Review was conducted in January – February 2016. In May 2016, Uganda presented Mid-term Report and request for additional funding to the twenty-first meeting (PC21) of the Participants Committee (PC) of the Forest Carbon Partnership Facility (FCPF). Participants to the PC21 meeting congratulated Uganda on the progress made, particularly on national REDD+ management arrangements, on setting national Reference Levels, and on

establishing guidelines for subnational implementation. Participants also welcomed efforts made on donor coordination to address financial gaps in the readiness phase, and a resulting request for additional funding from the FCPF that is targeted and taking into consideration absorptive capacity. Participants encouraged Uganda to take into account the issues raised by the PC, during further readiness preparation: – and the PC adopted a resolution allocating funds of \$3.75 million, to further support the readiness process in Uganda.

- k. Awareness and publicity materials (Brochures, T-shirts, banners) and Press briefs were issued.

Component 2: REDD+ Strategy Preparation

As at 30th June 2016,

- a. Development of REDD+ Strategy Options for Uganda is ongoing. The Inception report from the Consultant was approved.
- b. Development of Uganda’s Benefit Sharing Scheme is ongoing. Consultant Inception Report was approved and the Synthesis report had been submitted and undergoing review.
- c. Development of National guidelines and standards (including, eligibility criteria) for designing and implementing REDD+ demonstration activities or pilots has delayed. On 27th June, MWE received notification that the selected Consultant to facilitate this process was no longer available to carry out the tasks.
- d. Implementing SESA and developing Framework for managing Social and Environment issues of REDD+ Options was delayed due to procurement procedures. By reporting period, the Technical and Financial Proposals were under review.
- e. Development of Mechanism for addressing Grievances and Conflict associated with REDD+ Option ongoing. Consultant Inception Report was approved and the Synthesis report had been submitted and was under review.

Component 3: Emissions Level/Reference Levels

The component on establishment of Uganda’s national Forest Reference Emissions Level / Forest Reference Levels (FREL/FRLs) is being implemented through a technical assistance (TA) agreement between Uganda – Ministry of Water and Environment (MWE) and the Food and Agriculture Organization of the United Nations (FAO) and delivered directly through the MWE (in particular FSSD/REDD+ Secretariat) and the National Forestry Authority (NFA). The goal of the component is the establishment of a preliminary national/subnational forest FREL/FRL that is based on a historical data analysis of forest area change compliant with the IPCC principles, adjusted for national circumstances (as appropriate). To this end, the construction of a FREL/FRL is scheduled to be accomplished by the establishment of the 5 building blocks, namely the (1) elaboration of the Forest definition, (2) the collection and analysis of Data (activity data and emission factors), (3) elaboration of the Scope, (4) elaboration of the Scale and (5) elaboration of the Methodology to be used at arriving at the final FREL/FRL.

As at 30th June 2016, the achievements and future actions for completion of each of the blocks are reported in the table below.

Building blocks	Status as of 31 st of January	Next steps	% of achievement
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Forest definition	Draft definition finalized and technically endorsed by 3 rd meeting of the methodological-TF, subsequently by the National Technical Committee (December 2015) and finally endorsed by the NCCAC (working as a steering committee of REDD+) in March 2016	Task completed	100%
Data activity data and emission factors)	<p>Activity Data Time-series data set for 1990, 2000, 2005, 2010 and 2015 available (report in annex I).</p> <p>Accuracy Assessment (AA) methodology developed, with a stratification by three forest strata (plantations, tropical high forest, woodland), and non- forest. (details in annex II)</p> <p>Sampling design developed (refer to annex II) and all NFA team members are trained on all aspects of map AA</p> <p>Emission Factors</p> <p>- Emission factors calculated for each of the identified strata.</p> <p>Allometric equations revisited and finalized (refer to annex III)</p>	<p>Activity Data - Accuracy Assessment to be completed by August 2016</p> <p>Emission Factors Refinement of data on-going</p>	90%
Scope	Draft scope definition (Activities, Pools and Gasses) drafted and endorsed by the 4 th Meeting of the methodological (MRV) TF, reviewed and proposed for clearance by the NTC (December 2015) and approved by NCCAC in March 2016 - A roadmap for FREL designed	Task completed	100%
Scale	Agreement on National scale reached during the 3 rd meeting of the methodological TF, confirmed and endorsed by NTC in December 2015; submitted and approved by NCCAC in March 2016	Task completed	100%
Methodology	Suitable options for the construction of FREL/FRL identified during the consultative technical meeting (Eco – Lodge in Mabira 5-8 June 2016.)	<ul style="list-style-type: none"> - MRV TF to conduct a SWOT analysis of the identified options (by July 2016) - NTC to review the identified option and recommend consideration of the NCCAC (July 2016) - NCCAC final endorsement by September 2016 	70%

Component 4: Monitoring Systems for Forests and Safeguards

Component 4a. National Forest Monitoring System

1. Uganda’s National Forest Monitoring System (NFMS) is intended to meet the Monitoring functions that Meet all its Monitoring functions of the NFMS under national, regional and international requirements and obligations; including but not limited to:

- a. Monitoring the performance of implementation of national policies and measures and national strategies or action plans that could including monitoring of capacity-building, technology development and transfer and results-based demonstration activities;
 - b. Monitoring of the performance of national results-based actions that should be fully measured, reported and verified;
 - c. Monitoring the performance policies and measures from other sectors which have implications for REDD+
2. Uganda's National Forest Monitoring System (NFMS) is also intended to meet all the Measurement, Reporting and Verification (MRV) functions; including:
- a. Collection and assessment, over time, of Activity Data (AD) related to the forest landscape, including the possibility of establishing a fully-fledged Satellite Land Monitoring System (SLMS) or its equivalent;
 - b. Undertaking regular National Forest Inventories (NFI) to, among other things, collect information on forest carbon stocks and carbon stock changes, relevant for estimating emissions and removals and to provide emissions factors (EF); as well as for meeting broader forest landscape management and monitoring needs;
 - c. Undertaking regular national forest GHG Inventories to support the sector reporting on anthropogenic forest-related GHG emissions by sources and removals by sinks to the UNFCCC Secretariat;

To this end, The construction of a functional robust NFMS is scheduled to be accomplished by the establishment of the following technical pillars, namely - Setting up of the system & protocols for:

- a. collection and assessment, over time, of Activity Data (AD), including the possibility of establishing a fully-fledged Satellite Land Monitoring System (SLMS) or its equivalent;
- b. Undertaking regular National Forest Inventories (NFI) to collect information on forest carbon stocks and carbon stock changes, for estimating emissions and removals and for providing emissions factors (EF); as well as for meeting broader REDD+ monitoring needs;
- c. Undertaking regular national forest GHG Inventories to support the sector reporting on anthropogenic forest-related GHG emissions by sources and removals by sinks to the UNFCCC;
- d. Institutional arrangements for NFMS development and functionality

Thus as at 30th June 2016,

- a. Collection and assessment, of the initial Activity Data (AD) (maps & time-series data sets for 1990, 2000, 2005, 2010 and 2015) required for the establishment a preliminary national/subnational forest FREL/FRL is nearly complete. What are remaining are the accuracy assessments and the corresponding ground truthing - the former of which are on-going and the latter completed. Capacity for the remote sensing data analysis and some of the equipment required for processing, storing and archiving this remote sensing data has been procured.
- b. Undertaking Uganda's initial Forest Inventory to collect information on forest carbon stocks and carbon stock changes, for estimating emissions and removals and for providing emissions factors (EF) is ongoing. The exercise included assessment of existing secondary datasets and capacity building on field inventory procedures was undertaken.
- c. The team is working to process data required for the national forest GHG Inventory in support of the third national communication to the UNFCCC. The NFA GIS and Inventory teams are part of the national GHG systems arrangement.

- d. Meanwhile Institutional arrangements for NFMS development and functionality are on-going. A non-binding MRV platform has been established and discussions are on-going with respect to (i) agreeing on sectoral roles and arrangements for supporting the technical pillars, (ii) identification of capacity requirements and data availability as well as access requirements for each of the participating institutions.
- e. The initial architecture for a NFMS REDD+ web portal has been prepared and is under discussion for content and administration requirements

4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards

Uganda's functional Safeguards and Safeguards Information System (SIS) is intended to provide the most modern and integrated approach for identifying and managing social and environmental risks and benefits that will arise from the implementation of REDD+ activities; in such a way that it is consistent and complies with national, regional, international and Development Partners (donor) safeguard frameworks.

To this end, the construction of a functional Safeguards and Safeguards Information System (SIS) is planned to be accomplished by the establishment of the following mandatory elements, namely;

- a. To develop National REDD+ Safeguard Standards (Criteria and Indicators).
- b. To conduct a participatory Strategic Environmental and Social Assessment (SESA) of REDD+ Strategy options and integrate environmental and social (ESA) considerations into Uganda's REDD+ Strategy in a manner consistent with: (i) Uganda's policies, laws and regulations; (ii) World Bank's operational policies; (iii) Other development partners (donors); and (iv) REDD+ safeguards (under the UNFCCC, and other relevant conventions).
- c. To identify and map prioritized biodiversity and ecosystem-based multiple benefits of REDD+.
- d. To elaborate an Integrated Safeguard Information Systems architecture that brings different safeguards together in a Safeguard Information System including, as necessary, linkage with the national forest monitoring system (NFMS) and its associated registry.

Thus as at 30th June 2016

- a. The development of the National REDD+ Safeguard Standards (Criteria and Indicators) is well underway (about 30%) completed. Out of the planned 19 iterative steps, 6 of them have been completed. These are: (i) Stakeholder Mapping / Gap Analysis, (ii) Awareness, Outreach, & Capacity Building (continuous), (iii) establishment of a coordinating body to oversee the country approach to safeguards and safeguard information system, (iv) Development of a work plan for the country approach to safeguards and safeguard information system, and (v) Definition the objectives of the country approach to safeguards and safeguard information system, building on identified social and environmental risks and benefits
- b. The undertaking of a participatory Strategic Environmental and Social Assessment (SESA) is awaiting completion of the procurement process.
- c. The identification and mapping of prioritized biodiversity and ecosystem-based multiple benefits of REDD+ has completed two interactive multi-stakeholder engagements has derived the following elements:
 - i. Production of a list of possible multiple benefits relevant to REDD+ interventions,
 - ii. Exploration of methodologies to identify possible benefits and risks from REDD+ interventions, and how to address them, and finally

- iii. An initial inventory of available datasets and a clarified understanding of what further datasets are needed to inform planning for multiple benefits and that can be useful for the development of a safeguards information system (SIS).

Important issues / Problems that arose during the reporting period

During the reporting period, Uganda's REDD+ process faced the following challenges and devised the corresponding remedial responses.

Challenge	Remedial action taken	Further remedial actions recommended
Significant delays in procuring service and goods delivery packages for R-PP implementation	<ul style="list-style-type: none"> Secured/provided no-cost extension of the FCPF/WB Project component until June 2017 Modified procurement methods Strengthened REDD+ Secretariat personnel capacity in procurement procedures and processes Designated point person within MWE procurement unit to provide direct support 	<ul style="list-style-type: none"> Extend contracts for FCPF/WB project supported staff Fast tracking procurement processes by Government
Extra effort required to Coordinate multiple donor program (FCPF/WB, ADC, UN-REDD National Program)	<ul style="list-style-type: none"> Conducted Joint Missions by REDD+ Donors in October 2015, January 2016. Developed and applied planning and budget tools harmonizing the different components Renegotiated Terms of Reference/job description for UN-REDD Staff 	<ul style="list-style-type: none"> Support the Lead Technical Advisor (LTA)/FCPF's capacity to ably coordinate technical matters
Inadequate institutional capacity to implement the R-PP	<ul style="list-style-type: none"> In-house/institutional Capacity building Recruitment of personnel to support the REDD+ Secretariat. Technical advisors / experts from WB/FCPF & UN-REDD National Program Aligned the R-PP tasks with Job Descriptions of FSSD Staff Designated technical tasks to centres of Excellence (NFA and Makerere University Kampala) Stream of Consultants to be procured Integration of REDD+ (as a new operational area) into existing structures and work-plans of FSSD and MWE 	<ul style="list-style-type: none"> Engage GoU staff with expertise in cross-cutting issues (gender, safeguards, indigenous peoples, consultation and communication) Finalize procurements of personnel under UN-REDD National Programme Components
Meeting Stakeholder expectations about the REDD+ outputs/deliverables - most stakeholders expected that R-PP implementation includes substantive field activities addressing deforestation and forest degradation	<ul style="list-style-type: none"> Developed/disseminated Communication messages and material to clarify on the R-PP implementation process and outputs. GoU allocated budget to support early actions (Tree planting) 	Continued GoU support to early actions

Highlights of the next steps (July 2016 – June 2017)

The Major highlight for the FY2016/17 is the finalization and delivery of the following outputs:

- a. National REDD+ Strategy.
- b. Uganda’s Forest Emissions Reference Levels.
- c. National Forest Monitoring System.
- d. Benefit Sharing Arrangements for Uganda.
- e. Information system on multiple benefits, other impacts and governance and safeguards.
- f. Feedback and Grievances Redress Mechanism.
- g. Environmental and Social Management Framework.
- h. Stakeholder Participatory Structures and Stakeholder participation in the REDD+ process and input into the various components of R-PP and in REDD+ Options development.
- i. Enhanced awareness about REDD+ process and outputs.
- j. Full compliance with reporting requirements (1 annual Implementation progress and financial reports (2016/17); 1 Semi-annual Implementation progress and financial Reports (December 2016); Quarterly Implementation progress and financial Reports; 3 Supervisory Missions by World Bank.
- k. End of Project Evaluation (RSP).
- l. Validated National Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities.

2. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator 1.2.B.i.):	
Source: CIF/FIP (in preparation)	Amount provided: USD 250,000
Source: Austrian Development Agency through the Joint Water and Environment Programme of the Ministry of Water and Environment	Amount provided: Euro 650,000
Source: UN-REDD Programme	Amount provided: USD 1,798,670
Source: Government of Uganda	Amount provided: USD 1,740,000 (support progressively increasing every financial year)
Amount of non-FCPF investments received for implementation of activities relevant to ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator 1.2.B.i.):	
Source: N/A	Amount provided: N/A
Describe how stakeholders are participating and engaging in REDD+ decision making processes (FCPF M&E Framework Indicator 1.3.A):	
<u>Provide examples of how IPs and CSOs are represented in institutional arrangements for REDD+ at the national level.</u>	
IPs and CSOs are represented in the REDD+ Steering and Technical Coordination Committee (NCCAC, NTC and TaskForces. The IPs and CSOs are involved as follows:	
<ol style="list-style-type: none"> a. Steering Committee (NCCAC): the 28-person Committee includes 1 IPO and 2 CSO representatives. b. National Technical Committee (NTC): the 28-person Committee includes 6 CSO Representatives. c. Methodological (MRV) Taskforce: the 9-person committee includes 1 CSO representative. d. Policy/Strategy Options Taskforce: the 14-person Taskforce includes 1 IPO and 2 CSO representatives. e. SESA/Safeguards Taskforce: the 16- person Taskforce includes 1 PO and 3 CSO representatives. 	
<i>Please describe the level of participation and engagement for the following stakeholder categories:</i>	

CSO and Indigenous Peoples representatives:

3. CSO and Indigenous Peoples representatives are engaged in various ways various ways, including: (1) Focused Group Discussions, PRAs, consultations and validation workshops or meetings at all stages of R-PP implementation and REDD+ Strategy formulation. This will be realized through the implementation of the respective Consultancy packages, (2) Meetings of the REDD+ Steering Committee, National Technical Committee and Taskforces.

Examples of stakeholder engagement platforms in country which meet regularly to discuss and provide inputs to the REDD+ Readiness process (FCPF M&E Framework 3.2.a.):

<p>Frequency:</p> <ol style="list-style-type: none"> a. Bi-annual meetings of REDD+ Steering Committee b. Quarterly meetings of National Technical Committee 	<p>NCCAC which serves as Steering Committee may meet more than twice when relevant agenda is available.</p> <p>NTC meetings may be more regular in order to provide technical guidance to the respective deliverables as they become ready.</p>
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Examples of resources made available to enable active participation of IPs , CSOs and local communities in national REDD+ readiness.

On-going under the component of Strengthening Participatory Structures, FGRM, BSA, and REDD+ Strategy Development. Additional resources are expected under SESA.

Number and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (FCPF M&E Framework Indicator I.3.B.):

Number of policy reforms during the reporting period that are:

Underway:

N/A

Completed:

N/A

Please describe these policy reforms:

N/A

Design of national REDD+ Strategies addresses indicators for enhancement of livelihoods of local communities and for biodiversity conservation (FCPF M&E Framework Indicator 3.B.):

Provide examples of how national REDD+ Strategies address livelihoods of local communities and biodiversity conservation.

On-going under:

- a. Development of benefit Sharing Arrangements: No concrete options or strategies yet.
- b. REDD+ Strategy Development process. No concrete options or strategies yet.

3. PROGRESS AT R-PP SUB-COMPONENT LEVEL

3.1. REDD+ Readiness Progress

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.): up to 300 words, if applicable

Progress made during the reporting period in developing the country Readiness Package:

Over-all, the progress in developing the Country Readiness package includes:

- a. National REDD+ management arrangements (R-PP Component 1- Readiness organization and Consultation: the national REDD+ management and supervision structures and processes are fully operational with full time REDD+ Secretariat, National REDD+ Steering Committee, National Technical Committee and Task Forces (Methodological/MRV, Policy and SESA/Safeguards).
- b. Consultation, Participation and Outreach: on-going
- c. Assessment of Land use, Land use change drivers, Forest law, Policy and Governance: The consultancy for this task due to start in September 2016.
- d. REDD+ Strategy Options: the consultancy for this task on-going.
- e. Implementation frame work: Combined with #d above.
- f. Social and Environmental Impacts: Combined with #c above.
- g. Reference Emissions level/Reference levels: on-going/nearly complete.
- h. National Forest monitoring System: on-going/nearly complete.
- i. Information System for Multiple benefits, other impacts, Governance and Safeguards: on-going.

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	YES
	1b. Consultation, Participation, and Outreach	YES

2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	No ³
	2b. REDD+ Strategy Options	YES
	2c. Implementation Framework	YES
	2d. Social and Environmental Impacts	YES
3. Reference Emissions Level/Reference Levels		YES
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	No
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	No ⁴

³ Supported activities from the FCPF are not very explicit but are linked to component 3

⁴ Supported activities from the FCPF are not very explicit but are linked to those under 2d.

Level of overall achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):

Planned Milestones:	Level of Achievement ⁵ :	Tracking ⁶ :															
<p>a. Support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations.</p> <p>b. A national draft REDD+ strategy and actions for addressing deforestation and forest degradation and enhancing carbon stock that has been consulted upon and validated by national stakeholders.</p> <p>c. A preliminary national/sub national forest emissions reference level that is based on updated and quantified information of forest resources and national circumstances.</p> <p>d. A Strategic Environmental and Social Assessment is completed and findings are validated by stakeholders and integrated into the design of REDD+ strategy.</p>	<p>Significant Progress </p> <p>Progressing well , further development required </p> <p>Significant Progress </p> <p>Procurement for the undertaking on-going </p>	<table border="1" data-bbox="971 321 1437 646"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td></td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development required</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p>Please explain why:</p>			Significant progress			Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable
		Significant progress															
		Progressing well, further development required															
		Further development required															
		Not yet demonstrating progress															
		Non Applicable															

⁵ Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

⁶ The level of achievement of planned milestones according to approved RF grant will be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, a fifth color scale "Non Applicable" can be selected.

This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework

Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.).

Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 15th each year

	Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)			
		Planned milestones	Achievements				
-PP Component 1 – Readiness Organization and Consultation	<p>Sub-Component 1a – National REDD+ Management Arrangements <i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD+ readiness activities whilst mainstreaming REDD+ into broader strategies</i></p> <p><i>Country Self-Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism</i></p>	a.	Well-coordinated R-PP implementation	<p>The following is the progress during July 2015/2016</p> <p>a. Contracts of FCPF Contracted Staff extended till June 2017</p> <p>b. Preparation of Uganda’s Forest Investment Plan ongoing; One Joint Inception Mission, One Joint Technical Mission and One Joint Mission conducted. Provisional FIP investment projects and interventions identified.</p> <p>c. NCCAC Capacity Building workshop conducted. Continuous capacity building embedded in the ongoing packages (BSA, FGRM, REDD+ Strategy, FERL/FRL, PS, Etc.</p> <p>d. REDD+ NFP, Alternate FP and C/PO participated in Twenty-first FCPF Participants Committee and UNFCCC COP21 Paris Climate Change Conference</p> <p>e. In Country: REDD+ Secretariat staff continued to participate in country meetings events within and outside the MWE</p> <p>f. 3 NCCAC meetings + 1 NCCAC Training were conducted</p> <p>g. 3 NTC meetings were conducted</p> <p>h. 2 Taskforce meetings conducted for Participatory Structures</p>		X	Significant
		b.	Human capacity in ministries and lead agencies in various REDD+ aspects.				Progressing further de
		c.	Participation in priority in-country, regional and international meetings.				Further de
		d.	REDD+ Steering and Coordination processes (2 REDD+ Steering Committee meetings; 4 National Technical Committee meetings).				Not yet de
							Non Appli
		Please explain why: N/A					

⁷ The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color ‘traffic light’ scale and then briefly explained. In case the assessment is not applicable, a fifth color scale ‘Non Applicable’ can be selected. This ‘traffic light’ scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

Sub-component	Progress against annual targets		Tracking <i>(Please select your light rating)</i>
	Planned milestones	Achievements	
System for monitoring evaluating REDD+ implementation and over-all REDD+ Process	<ul style="list-style-type: none"> a. M&E system for the R-PP implementation. b. Implementation progress and financial reports c. Mid –term review of the REDD+ process. 	<ul style="list-style-type: none"> a. M& E Framework completed November 2015 and being applied in formal assessments and reporting. b. As at June, RSP performance stood at nearly 84.69% budget commitment and 54.43% Budget disbursement. c. Financial reports to GoU and WB submitted on time. d. 2014/15 Audits provided unqualified Audit Report. e. Mid-Term Review was conducted in January – February 2016. MTR was positive about the progress. Uganda was allocated additional USD 3.75M to complete outstanding work. 	
Stakeholder engagement structures and processes providing platform for engaging in REDD+ Process	<ul style="list-style-type: none"> a. Defined /established stakeholder engagement structures and processes. b. Stakeholder participation in the REDD+ process and inputs into the various components of R-PP and in REDD+ Options 	<ul style="list-style-type: none"> a. IUCN Contract ongoing and Inception report received. b. WCS, Environmental Alert and Tree Talk Plus contracts negotiated and cleared by Solicitor General. c. Stakeholders continue to be engaged through the ongoing work.....FREL/FRL, BSA, REDD+ Options, FGRM and through NCCAC, NTC and TaskForces 	

Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)		
	Planned milestones	Achievements			
Sub-Component 1b – Consultation, Participation, and Outreach <i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i> <u>Country Self-Assessment Criteria:</u> (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes	a. Stakeholders informed about REDD+ process and REDD+ options b. REDD+ awareness messages c. REDD+ communication and awareness materials (produced and disseminated)	a. Awareness messages developed and posted in the MWE website and during the COP 21 b. Awareness and publicity materials (Brochures, T-shirts, banners) and Press briefs were issued		X	Significant
					Progressing further development required
					Further development required
					Not yet demonstrating progress
					Non Applicable
			Please explain why: N/A		
Mechanism for addressing Grievances and Conflict associated with REDD+ Option	a. Information on potential Grievances and conflicts associated with REDD+ options b. Capacities for managing REDD+ associated Grievances and conflicts c. Tools for managing REDD+ associated Grievances and conflicts (FGRM)	a. FGRM: Preliminary information generated through the Consultant Synthesis Report. By 30 th June, the report was under review. b. Formal training in FGRM Planned August 2016 c. Preliminary information generated through the Consultant Synthesis Report. By 30 th June, the report was under review.			Significant progress
				X	Progressing well, further development required
					Further development required
					Not yet demonstrating progress
					Non Applicable

	Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 2 – REDD+ Strategy Preparation	<p>Subcomponent 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</p> <p><i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i></p> <p><i>Country Self-Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy</i></p>	<p>a. Updated Status report on trends in Land use, Forest Policy and Governance</p>	<p>a. Procurement of the SESA consultancy packages that will address the milestones is underway</p> <p>b. Some components of the milestone being addressed under the FREL/NFMS work being supported by FAO.</p>	    	X	<p>Significant</p> <p>Progression further delayed required</p> <p>Further delayed required</p> <p>Not yet de progress</p> <p>Non Applicable</p>
	<p>Please explain why: Delays to procure consultant</p>					
	<p>Subcomponent 2b: REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Country Self-Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies.</i></p>	<p>a. Validated REDD+ Options for Uganda</p> <p>b. Description of institutional set up for REDD+ Strategy implementation</p>	<p>a. Ongoing through the undertaking on Development of REDD+ Strategy Options for Uganda</p>	    	X	<p>Significant</p> <p>Progression further delayed required</p> <p>Further delayed required</p> <p>Not yet de progress</p> <p>Non Applicable</p>
<p>Please explain why:</p>						

Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)		
	Planned milestones	Achievements			
<p>Subcomponent 2c: Implementation Framework <i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i> <u>Country Self-Assessment Criteria:</u> (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</p>	<p>a. Validated Benefit sharing arrangements for Uganda b. Information system on multiple benefits, other impacts and governance and safeguards</p>	<p>a. Development of BSA ongoing. b. Inception Report received and approved. c. Synthesis report received and under review.</p>			Significant
				X	Progressing further de required
					Further de required
					Not yet de progress
					Non Appli
			Please explain why: N/A		
<p>Subcomponent 2d: Social and Environmental Impacts <i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i> <u>Country Self-Assessment Criteria:</u> (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</p>	<p>a. ESMF for Uganda's REDD+ Strategy b. Capacity to implement ESMF</p>	<p>a. The ESMF will be prepared after a thorough SESA. Procurement for consultancy to carry out the SESA is underway.</p>			Significant
					Progressing further de required
				X	Further de required
					Not yet de progress
					Non Appli
			Please explain why: Procurement for consultant on-going		

	Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)				
		Planned milestones	Achievements					
R-PP Component 3 – Reference Emissions Level/Reference Levels	R-PP Component 3 - Reference Emissions Level/Reference Levels <i>Purpose: Development of the general approach to establish a REL/RL</i> <i>Country Self-Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i>	a.	Capacity for developing national Reference Scenario among technical staff.	a. Preparation of National Reference Scenario for REDD+ is nearly complete		X		Significant progress
								Progressing well, further development required
								Further development
								Not yet demonstrating progress
								Non Applicable
					And explain why: N/A			

	Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 4: Monitoring Systems for Forests and Safeguards	<p>Subcomponent 4a: National Forest Monitoring System</p> <p><i>Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</i></p> <p><u>Country Self-Assessment Criteria:</u> (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</p>	<p>a. Capacities for monitoring forest Carbon at local and national levels</p> <p>b. Baseline information of biomass and carbon stocks in Uganda</p> <p>c. Information on National Emissions levels.</p> <p>d. Information on historical data</p>	<p>B. Progressing well, further development required</p> <p>i. Collection and assessment, of the initial Activity Data (AD) is nearly complete. Capacity for the remote sensing data analysis and some of the equipment required for processing, storing and archiving this remote sensing data has been procured;</p> <p>ii. Undertaking Uganda's initial Forest Inventory to collect information on forest carbon stocks and carbon stock changes, for estimating emissions and removals and for providing emissions factors (EF) is ongoing. The exercise included assessment of existing secondary datasets and capacity building on field inventory procedures was undertaken.</p> <p>iii. The team is working to process data required for the national forest GHG Inventory in support of the third national communication to the UNFCCC. The NFA GIS and Inventory teams are part of the national GHG systems arrangement;</p> <p>iv. Meanwhile Institutional arrangements for NFMS development and functionality are on-going. A non-binding MRV platform has been established and discussions are on-going with respect to (i) agreeing on sectoral roles and arrangements for supporting the technical pillars, (ii) identification of capacity requirements and data availability as well as access requirements for each of the participating institutions.</p> <ul style="list-style-type: none"> The initial architecture for a NFMS REDD+ web portal has been prepared and is under discussion for content and administration requirements 			Significant progress
					X	Progressing well, further development required
						Further development required
						Not yet demonstrating progress
						Non Applicable
And explain why:				N/A		

Sub-component	Progress against annual targets		Tracking ⁷ (Please select your light rating)		
	Planned milestones	Achievements			
<p>Subcomponent 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</p> <p><i>Purpose: Specify the non-carbon aspects prioritized for monitoring by the country</i></p> <p><u>Country Self-Assessment</u> <i>Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i></p>	<p>a. System and procedures for Monitoring and Reporting Multiple Benefits, Other Impacts, Governance, and Safeguards</p>	<p>b. Progressing well, further development required Thus as at 30th June 2016,</p> <p>i. The development of the National REDD+ Safeguard Standards (Criteria and Indicators) is well underway (about 30%) completed. Out of the planned 19 iterative steps, 6 of them have been completed. These are: (i) Stakeholder Mapping / Gap Analysis, (ii) Awareness, Outreach, & Capacity Building (continuous), (iii) establishment of a coordinating body to oversee the country approach to safeguards and safeguard information system, (iv) Development of a work plan for the country approach to safeguards and safeguard information system, and (v) Definition the objectives of the country approach to safeguards and safeguard information system, building on identified social and environmental risks and benefits</p> <p>ii. The undertaking of a participatory <u>Strategic Environmental and Social Assessment (SESA) is awaiting completion of the procurement process.</u></p> <p>iii. The identification and mapping of prioritized biodiversity and ecosystem-based multiple benefits of REDD+ has completed two interactive multi-stakeholder engagements has derived the following elements</p> <p>iv. Production of a list of possible multiple benefits relevant to REDD+ interventions, Exploration of methodologies to identify possible benefits and risks from REDD+ interventions, and how to address them, and finally</p> <p>a. An initial inventory of available datasets and a clarified understanding of what further datasets are needed to inform planning for multiple benefits and that can be useful for the development of a safeguards information system (SIS).</p>		Significant	
				X	Progressing
					Further de
					Not yet de
					Non Applic
			<p>And explain why:</p> <p>Procurement for Consultant on-going</p>		

Disbursement rate of FCPF-financed Readiness Fund Grant (>3.634 million USD), in percentage (FCPF M&E Framework 1.3.d.):																	
	Rate	Tracking															
RF Grant - disbursement rate vs. planned disbursements	54.43%	<p>Please select your rating:</p> <table border="1"> <tr> <td></td> <td>X</td> <td>Up to 10% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>Between 10-25% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>Between 25-40% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>More than 40% variance</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table>		X	Up to 10% variance with plans			Between 10-25% variance with plans			Between 25-40% variance with plans			More than 40% variance			Non Applicable
	X	Up to 10% variance with plans															
		Between 10-25% variance with plans															
		Between 25-40% variance with plans															
		More than 40% variance															
		Non Applicable															

Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):																	
	Rate	Tracking															
Total Budget in R-PP - disbursement rate vs. planned disbursements (including other funding sources and FCPF Readiness Grant).	84.69%	<p>Please select your rating:</p> <table border="1"> <tr> <td></td> <td>X</td> <td>Up to 10% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>Between 10-25% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>Between 25-40% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>More than 40% variance</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table>		X	Up to 10% variance with plans			Between 10-25% variance with plans			Between 25-40% variance with plans			More than 40% variance			Non Applicable
	X	Up to 10% variance with plans															
		Between 10-25% variance with plans															
		Between 25-40% variance with plans															
		More than 40% variance															
		Non Applicable															

3.2. Engagement of stakeholders within the approach to REDD +

Examples of actions/activities where IPs, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.1.a.):	
Action/activity:	<u>Component 1: Support the Coordination and Monitoring of REDD+ Readiness Process</u>
Strengthening Capacity for:	Out of the activities planned during 2015/16, under the FCPF REDD+ Support, the following is the progress:
a. National Climate Change Advisory Committee	Capacity building for NCCAC in March 2016 on REDD+ process
Number of IP and REDD+ country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):	

Component 5: Preparation of National Reference Scenario for REDD+ Including Inventory of Forest Resources Developing tools and training is use of tools for linking and harmonizing various national databases -- Training on Data Analysis for resource persons from 18th-22nd March 2016 and with stakeholders on 22nd March 2016	Duration	# of participants# of men # of women			<i>Targets in terms of number of men and women to be trained by country to be defined</i>
	5 days	11 participants			
		7 men 4 women			
					<i>Non Applicable</i>
M&E Framework training REDD+ Secretariat and MWE, FSSD and NFA 14 th September 2015	1 day	15 participants 11 men 4 women			

3.3. Knowledge Sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
Yes	<p><u>Please provide the list of published REDD+ knowledge products, if any during reporting period :</u></p> <p><u>Hard copies of:</u></p> <ul style="list-style-type: none"> ✓ REDD+ General Brochure (2000 produced and disseminated) ✓ Brochure on REDD+ Readiness Activities (1500 produced and disseminated) ✓ 2 Pull up Banners on the REDD+ Process <p><u>Information posted on website (FCPF)</u></p> <p><i>Work stream reports of the R-PP Process (2010-2012)</i></p> <ul style="list-style-type: none"> ✓ Aguma Charles, (2010). REDD+ Readiness Preparation Proposal - Assessment of the likely Social and Environmental Impacts of REDD+ Strategy Options and Implementation Framework (Component 2d); December. ✓ CADMA Consult, 2011. Assessment of Trends of Evictions from Protected Areas during the Period 2005 – 2010, and their Implications for REDD+; March. ✓ CARE, 2010. Consultations with Batwa on Reducing Emission through Deforestation and Degradation (REDD+). Muko, Kabale District and Kasitu, Bundibugyo District; August. ✓ Environmental Alert and National Forest Authority, 2011. Final Report for

	<p>Expanded Consultations for REDD+ Preparation Proposal for Uganda Project No.: R-PP: EA/NFA 01/10; March.</p> <ul style="list-style-type: none"> ✓ Gender and REDD+ Action Plan for Uganda, 2015 and 2016; Deliverables For Uganda Gender Mainstreaming Actions ✓ IUCN, 2010. Report of the High Level Consultations on Reducing Emissions from Forest Deforestation and Degradation (REDD+), targeting Development Partners; November. ✓ IUCN, 2010. Report of the High Level Consultations on Reducing Emissions from Forest Deforestation and Degradation (REDD+), targeting the Executive Arm of Government. November. ✓ IUCN, 2010. Report on consultations undertaken among the forest dependent communities in Mt. Elgon (Benet) on REDD+, July. ✓ IUCN, 2011. REDD+ Readiness Planning Process for Uganda Awareness and Communications Strategy; April. ✓ Namirembe, Sarah (2010). Report on Forest Carbon Partnership Facility (FCPF) Readiness Preparation Proposal: Component 2a: Assessment of land use, forest policy and governance; Component 2b: REDD+ strategy options; and Component 2c: REDD+ implementation framework; October. ✓ REDD+ Readiness Planning Process for Uganda Awareness and Communications Strategy; April. ✓ Tennigkeit, Timm (2010). Consultancy for the Forest Carbon Partnership Facility Readiness Preparation Proposal: Development of a Reference Scenario & Design of a Monitoring System; December. ✓ ToR for Mid-term review of the R-PP implementation support project (RSP) (WB Grant NO. TF014956; Project ID: P124296), Austrian Development Cooperation and The United Nations REDD National Programme (UN-REDD NP) ✓ ToR for Preparation of a REDD+ Strategy For Uganda’s National REDD+ Programme ✓ ToR for Preparation of Benefit Sharing Arrangements for Uganda’s National REDD+ Programme ✓ ToR for Strengthening Participatory Structures and conducting capacity building trainings to enhance stakeholder engagement for Uganda’s national REDD+ programme in central, mid-eastern and southern Uganda ✓ ToR for Strengthening Participatory Structures and conducting capacity building trainings to enhance stakeholder engagement for Uganda’s national REDD+ programme at national level as well as Mt Elgon, Karamoja and northern regions. ✓ ToR for Strengthening Participatory Structures and conducting capacity building trainings to enhance stakeholder engagement for Uganda’s national REDD+ programme through communication and raising awareness. ✓ ToR for Strengthening Participatory Structures and conducting capacity building
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	<p>trainings to enhance stakeholder engagement for Uganda’s national REDD+ programme in the Albertine region</p> <ul style="list-style-type: none"> ✓ Towards Pro – Poor REDD+ Project. Mainstreaming Gender Considerations into REDD+ Processes: A Gender and REDD+ Roadmap For Uganda ✓ Uganda R-PP Appendix – Mainstreaming Gender Considerations into REDD+ Processes, Gender and Action Plan for Uganda 2015/16 Workshop Report ✓ Uganda R-PP Appendix – Mainstreaming Gender Considerations into REDD+ Processes, Gender and Action Plan for Uganda 2015/16 ✓ Uganda R-PP Appendix – Mainstreaming Gender Considerations into REDD+ Processes Version 2012
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How many people have been reached by these knowledge products, if any:
Overall number by product:
of Men: Not measured
of Women: Not measured

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
Yes	<p><u>List the South-South learning activities:</u></p> <p>a. UN-REDD National Coordinators’ meeting, Sept 28th – Oct 2nd 2016, Naivasha, Nairobi: Assistant Commissioner Forestry/National Focal Point REDD+, Margaret A. Mwebesa; FAO Technical Advisor to REDD+ Sergio Innocente; and FAO MRV expert, John Ayongyera Begumana; CSO Representative - Support for Woman in Agriculture and Environment, Executive Director, Gertrude Kenyangi.</p> <p>b. Africa Regional Training Course of the REDD+ Academy, October 28th – November 5th 2015, Calabar, Nigeria: Senior Forestry Officer, FSSD, MWE, Bob Kazungu; Executive Director, ECOTRUST – Ms Pauline Nantongo, Makerere University, Kampala, Prof. John Tabuti.</p> <p>c. FIP Pilot Countries Meeting, June 12th – 14th June 2016, Oaxaca, Mexico: Climate Change Coordinator National Forestry Authority /Alternate Focal Point REDD+ Xavier N. Mugumya and Economist, Ministry of Finance Planning & Economic Development, Mr. Mark Amanyana.</p>	<p># of men: 2 # of women: 2</p> <p># of men: 2 # of women: 1</p> <p># of men: 2 # of women: 0</p> <p># of men: 1 # of women: 0</p>

	<p>d. Africa Carbon Forum, June 28 – 30th 2016, Kigali, Rwanda: Senior Forestry Officer, MWE, Valence Arineitwe and Forest Plantation/Forestry Officer, MWE, Mr. Odeke Charles.</p>	<p><u># of men:</u> 2 <u># of women:</u> 0</p>
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4. MAIN LESSONS LEARNED

The main lessons revolve around issues of procurement, donor coordination, capacity and sustainability and managing expectations.

a) Procurement

Delays in procurement have consistently been identified during Joint Missions as being the biggest delay to programme implementation progress. This is particularly the case for FCPF supported activities where 90% of the total budget is based on procurement for service providers. The causes for procurement delay are many and have been discussed elsewhere in this report. However, are there lessons that with the benefit of hind-sight, could improve efficiency in this regard in the future?

- i. It is apparent that procurement of large contracts, even when handled effectively can easily take up to a year to complete. A key lesson is that projects such as the FCPF-R-PP would benefit from a procurement-inception phase during which the mechanics of procurement (developing and agreeing TORs, identifying suitable service providers, negotiating budgets and deliverables and finally signing contracts) could be achieved.
- ii. Bringing in external procurement expertise at an early stage into the FSSD/National REDD+ Secretariat would ensure that some of the early mistakes caused by lack of capacity and expertise could have been avoided.
- iii. Ensuring close contact and smooth communication between the project and the Task Team Leader (TTL) can expedite rapid approvals as well as ensuring that any concerns are rapidly addressed to mutual satisfaction.

b) Lessons on capacity and sustainability

A key lesson on successful engagement and capacity development can be found in the work of the MRV Task Force. The taskforce was set up to provide advice and support the process for developing the FREL/FRL and National Forest Monitoring System. It has been highly effective in ensuring external linkages to organisations outside MWE, such as Ministries of Energy and Agriculture both of whom have valuable data that can be used in assessing forest and carbon stocks. A key factor in its success is that the flow of benefits has been two-way. While external agencies are asked to undertake tasks and provide information to NFA, significant training and capacity development is offered in return. Strategic selection of relatively small well-placed individuals within key institutions has also been critical to the success of the MRV taskforce. Given that membership is based on individual expertise rather than institutional mandate, it has been possible to “hand-pick” individuals with the right level of expertise and specific role to ensure that functionality can be maintained within a relatively small group of team members. The experiences with the development of the MRV Taskforce offers valuable lessons on how other processes can be anchored within the Ugandan context as well as being used as a tool for extending capacity and awareness beyond the forest sector

A further lesson on capacity and sustainability comes from the procurement process where the MWE has given consideration to target centres of excellence within the country national institutions and organisations for service delivery. In addition, in cases where technical expertise is unlikely to be found within Uganda, partnerships between international bidders and local /national based consultants/firms and other technical institutions has been promoted during the design of the Terms of Reference and bidding conditions in the Requests for Proposals. This approach has aimed at building in-country building capacity and ensuring that solutions are appropriately framed within the local context.

c) Lessons on donor co-ordination

Despite different donor agencies having very different ways of working, a collegiate working relationship, reinforced through Joint Missions has meant that any differences in approach can be resolved and addressed. This contrasts strongly with other multi-donor programs (not implemented through a basket fund arrangement). Having a strong voice from government has also been critical to donor co-ordination – particularly with regard to guiding on appropriate programme implementation structures and priorities that are in harmony with the national REDD+ agenda.

d) Managing Expectations

Preparing Uganda to be ready for REDD+ implementation is a process that has been on-going since 2010. There are stakeholders' concerns that the process is taking long before actions to tackle high rates of forest loss are undertaken.

ANNEX: FCPF (STATUS OF CONSULTANCY PACKAGES) - REDD+ ANNUAL COUNTRY PROGRESS REPORTING

R-PP	Contract (Status /Date issued)	Deliverables	Timeframe	Status 5 th August	Remarks/Comments/Observations
1. Benefit Sharing	25 th February 2016	Work plan	April 2016		The workplan was submitted on 5 th April 2016
		Draft Inception Report	April 2016	Done	The Draft inception report on 8 th April, 2016; discussed on 26 th May 2016
		Final Inception Report	25 th -29 th April	Done	The Final Inception Report was submitted on 20 th May, 2016
		Baseline	June 2016	Done	The Final Baseline Report submitted on 10 July 2016
		Draft Benefit Sharing Options and Arrangements	July 2016	Behind schedule	Draft Benefit Sharing Options and Arrangements was scheduled for submission on 06th July 2016
		Validation Workshop	July 2016	Behind schedule	The Validation Workshop was scheduled to take place on 11 th July 2016
		Final Benefit Sharing Options and Arrangements	July 2016	Behind schedule	The Final Benefit Sharing Options and Arrangements report was scheduled for submission on 14 th July 2016
		Process Report	July 2016	Behind schedule	The Process Report was scheduled for submission of 21st July 2016
2. Feedback Grievance Redress Mechanism (FGRM)	December 2015	Work plan	January 2016	Done	The work plan was submitted - 29 th January 2016
		Draft Inception Report	February 2016	Done	The Draft Inception Report was submitted - 10 th February 2016
		Final Inception Report	March 2016	Done	Final Inception Report was submitted on 2 nd March, 2016
		Draft Report	June 2016	Comments & or approval process on-going	The Draft Report was submitted on 22 nd June 2016. Presented to and discussed with REDD+ Secretariat on 18 th August 2016; Comments to be incorporated and submission of revised version on 10 th September. It is expected to be commented on by SESA/Safeguards Taskforce & NTC and the bank for a final version.
		Final Report	August 2016	On schedule	Company expected to submit the final

					report by 31 st August, 2016
		Final Process Reports	August 2016	On schedule	Company expected to submit the final report by 31 st August, 2016
		Workshops			
3. SESA		Work plan		Behind schedule	The SESA package is still pending subject to the identification and or selection of a qualified Consultant.
		Draft Inception Report			
		Final Inception Report			
		Draft Report			
		Draft Process			
		Final Report			
		Final Process Reports			
		Workshops			
4. Participatory Structures – IUCN	22 nd February, 2016	Work plan	March 2016	Done	The draft workplan was submitted on 23 rd March, 2016 together with the draft inception report. The final workplan was submitted on 9 th May, 2016 together with the final inception report
		Draft Inception Report	March 2016	Done	The Draft Inception Report was submitted on March 23, 2016; and presented to and discussed with the SESA/Safeguards taskforce on 7 th July, 2016
		Final Inception Report	April 2016	Done	Final inception report was submitted on 20th July, 2016 after comments were received from the SESA/Safeguards taskforce meeting held on 7th July 2016.
		Synthesis Report	May 2016	Done	Synthesis report was submitted on 24 th May 2016; Setting up consultation and participatory structures and processes commenced in August.
		Draft Process Report	26 th October 2016	On schedule	IUCN expected to submit the Draft process report by 26 th October, 2016
		Draft Report	25 th November, 2016	On schedule	IUCN expected to submit the Draft report by 25 th November, 2016
		Final Report	23 rd December 2016	On schedule	IUCN expected to submit the final report by 23 rd December, 2016

		Workshops			
5. Participatory Structures- TTP	20th May 2016	Draft Inception Report	June 2016	Done	Draft Report received on 13 th June, 2016
		Final Inception Report	July 2016	Done	SESA/Safeguards taskforce meeting held on 7th July 2016. Final report submitted-July 31 st 2016 after comments from the SESA/Safeguards taskforce meeting held on 7th July 2016.
		Synthesis Report	July 2016	Behind schedule	The synthesis report was expected on 7 th July 2016. Delays due to delayed consideration of the Inception report by the REDD+ Secretariat
		Draft Final Report	September 2016	On schedule	Expected delivery date is September 2016
		Final Report	January 2017	On schedule	Expected delivery date of the final synthesis report is on 5 th January 2017
		Process Report/Activity Report			
6. Participatory Structures – WCS	4 th July 2016	Work plan	August 2016	Done	The Draft Workplan was submitted together with the draft inception report on 4 th August 2016
		Draft Inception Report	August 2016	Done	The Draft Inception Report was submitted on 4 th August 2016; It is expected to be commented on by the REDD+ Secretariat, SESA/Safeguards Taskforce
		Final Inception Report	26 th August, 2016	On schedule	It is expected to be submitted by September 2016
		Synthesis Report	23 rd September, 2016	On schedule	It is expected to be commented on by the REDD+ Secretariat, SESA/Safeguards Taskforce by September 2016
		Draft Process Report	13 th January, 2017	On schedule	It is expected to be commented on by the REDD+ Secretariat by January 2016
		Draft Report	8 th February, 2016	On schedule	It is expected to be commented on by the REDD+ Secretariat, SESA/Safeguards Taskforce by February 2016

		Final Report	8 th March, 2016	On schedule	It is expected to be commented on by the NTC & endorsed by the NCCAC by March 2016
		Workshops			
7. Participatory Structures – Environmental Alert	15 th July, 2016	Work plan	19 th August, 2016	On schedule	Draft workplan submitted together with draft inception report on 19 th August, 2016
		Draft Inception Report	19 th August, 2016	On schedule	Draft inception report on 19 th August, 2016; It is expected to be commented on by the REDD+ Secretariat and SESA/Safeguards Taskforce by mid-September 2016
		Final Inception Report	10 th October 2016	On schedule	Final inception report expected to be submitted on 9 th September, 2016; It is expected to be commented on by the REDD+ Secretariat, NTC and the Bank. Final Inception report to be submitted by 10 th October 2016; It is expected to be endorsed by the NCCAC before being submitted to the Bank
		Synthesis Report	14 th October, 2016	On schedule	Draft Synthesis report is expected to be submitted on 14 th October, 2016; It is expected to be commented on by the REDD+ Secretariat and SESA/Safeguards Taskforce 2 weeks after submission.
		Draft Process Report	17 th February, 2017	On schedule	Draft Process report on 19 th February, 2016; It is expected to be commented on by the REDD+ Secretariat and SESA/Safeguards Taskforce within 2 weeks.
		Draft Report	17 th March, 2017	On schedule	Draft inception report on 19 th March, 2016; It is expected to be commented on by the REDD+ Secretariat and SESA/Safeguards Taskforce within 2 weeks after submission.
		Final Report	14 th April, 2017	On schedule	It is expected to be commented on by REDD+ Secretariat, SESA/Safeguards Taskforce & NTC and the Bank before is it revised

					and subjected to stakeholder consultations (workshops)
		Workshops			
8. REDD+ Standards/Guidelines		Work plan	Delayed	Delayed	Selection of the Consultant was concluded and negotiations held and also concluded on 4 th August, 2016. Contract expected to be signed before end of August 2016.
		Inception Report			
		Draft Report		Delayed	
		Final Report		Delayed	
		Process Report		Delayed	
9. REDD+ Strategy	February 2016	Work plan	March 2016	Done	A work plan was submitted on 19 th March, 2016
		Draft Inception Report	April 2016	Done	A draft Inception Report was submitted on 29 th April 2016
		Final Inception Report	April 2016	Done	A final Inception Report was submitted on May 13 th , 2016
		Draft Options Report	June 2016	Comments and or approval process on-going	A Draft Options Report was submitted on 22 nd July, 2016. It is expected to be commented on by REDD+ Secretariat. Input from the SESA/Safeguards Taskforce, NTC and the Bank is expected before is it revised and subjected to stakeholder consultations.
		Stakeholder Consultations report	August 2016	On schedule	
		Final Options/Draft Strategy	September 2016	On schedule	
		Stakeholder consultations and Validation Report	October 2016	On schedule	
		Final DRAFT REDD+	November 2016	On schedule	

		Strategy			
10. FREL/FRLs	April 2014	FREL/FRLs; MRV	September 2016	On going	
11. M&E Framework	11 th June 2015				COMPLETE
12. Mid-Term Review	31 st December 2015				COMPLETE
13. R-PP TA (LTA)	24 th November 2014	TA Input	Ongoing (till 30.6.2017)	ongoing	
14. R-PP C/PO	24 th November 2014	Communications and Coordination service	Ongoing (till 30.6.2017)	ongoing	
15. End of R-PP Implementation Evaluation	N/a	Evaluation report	30.6.2017	N/A	Timing not yet