



# Readiness Fund REDD+ Country Participants Progress Report Template

v. 2019



## FCPF Readiness Fund: REDD+ Country Participant Annual Progress Report

### SECTION A: NARRATIVE SUMMARY

1. In brief, what were the main REDD+ readiness-related activities and achievements delivered within your country during the last year?

- Activities - For example, strategy / policy drafting, stakeholder consultation events, capacity building / training, awareness raising initiatives
- Achievements - For example, x number of individuals attended REDD+ consultations (sex disaggregated, if available), national REDD+ strategy was finalised, government formally adopted national REDD+ related policy/s, NFMS was established, partnership agreement with private sector association signed
- Please be as specific as possible, e.g. name, date and number of participants in consultation events (sex disaggregated, if available), name of policy being drafted, institutions involved in policy drafting

Due to Chile's great progress in the four elements of the REDD+ approach, where since 2016 the REDD + Strategy has been validated, the Reference Level of Forest Emissions and a description and design of the National Forest Monitoring System and the Information System of Safeguards, the last year has been worked on strengthening the institutional capacities both at the national and regional level to achieve progress in the payments based on results phase (RBP).

Priority has been given to support in the regions where the RBP phase could be piloted, training regional CONAF professionals on issues such as climate change, forest management, restoration, fire prevention, dendroenergy, monitoring and safeguards (specifically on the ESMF), training more than 500 professionals last year.

In this sense, the guidelines for planning practices and operational execution were prepared for the ENCCRV, where in a synthetic and didactic way sufficient inputs are included to elaborate projects within the framework of the action measures of the ENCCRV for the decision makers of CONAF

On the other hand, the elements of the REDD + approach are being improved and updated, one of the main activities corresponds to the preparation of the annual operational plans of the action measures of the ENCCRV.

In addition, work has been done on elements associated with the Carbon Fund Methodological Framework to advance the RBP phase, such as the Emissions Reduction Registration System, a system has been designed to test the possibilities of reporting and trading all the activities of the Carbon Fund. REDD+ approach informed by Chile, avoiding the double accounting of the ERs.

Additionally, the Benefits Distribution System has been improved, which has already been socialized in the regions included in the accounting area.

Finally, in September 2018, Chile was able to present its first Technical Results Annex for REDD+ for the years 2014, 2015 and 2016. This is currently under review by the Secretariat of the UNFCCC.

## 2. What were the main REDD+ readiness-related challenges and/or problems during the last year?

- For example, lack of engagement from key stakeholders, political barriers, limited funding

The main challenges in the preparation of REDD + in the last year are related to leveraging sufficient resources to achieve the implementation phase of the ENCCRV. It can be differentiated that Chile has received sufficient support to satisfactorily complete the preparation phase, which has been completed in December 2020. However, there are not enough resources to date to execute the goals established in the ENCCRV for the phase of implementation.

On the other hand, it has been a challenge to position within the relevant actors of the forestry sector a change of paradigm of the actions to be implemented, for which the normative modifications or the creation of an instrument of promotion that facilitates the implementation of the ENCCRV has been difficult to achieve.

## 3. What are the main REDD+ readiness-related activities that you hope to deliver, and achievements anticipated during the next year?

- For example, hold x consultation events, submission of R-Package to the PC, finalisation of SIS, commission research into REDD+ strategy options

In this period, the preparation of the annual operational plans of the action measures of the ENCCRV will be completed, making the corresponding adjustments and an adequate planning evaluating the 3 years since its publication.

Improve the country's internal and external capacities for the implementation phases and payments for REDD + results. In the implementation projects of the ENCCRV, the procedures and protocols contained in the ESMF will be applied, ensuring adequate management of the environmental and social risks and impacts that may occur during the execution of the activities, maximizing the benefits that can be derived from them. This also guarantees full respect for neighboring vulnerable communities, especially in the case of indigenous peoples.

The information that will result from the application of the ESMF will be contained in the SIS and, therefore, the system will store the means of verification that will sustain the address, respect and compliance with the safeguards in the implementation phase of the ENCCRV. Considering establishing the ESMF in the projects of the ENCCRV and the application of the Information System of Safeguards (SIS), establishing a solid and transparent Measurement, Report and Verification System and establishing the best practices to address the REDD + activities in coherence with the ENCCRV in the different territories of the country.

Related to forest carbon, we hope to increase the numbers of density graphs for other types of forest present in the accounting area, the inclusion of them and the updating of maps for new and previous periods. These are one of a source of information important with the Land Use Change Maps. Next year will hope to reduce uncertainty generating a couple of maps to the historic period 2001-2013.

Other elements consider outcome REDD+ are the non-carbon benefits, about which beginning work this year on the national baseline to hydric resources, soil conservation, landscape improvement, and social elements as the decrease in poverty, reduction of the gender gap.

To increase transparency and the efficiency of the process, today is developing an information management platform

With respect to the Safeguards Information System, the architecture design is currently under development, which will be reviewed and adjusted to ensure that it contains the requirements established by the different agencies and the needs of CONAF, ensuring its operation. The SIS will be included in the Platform of the ENCCRV and will be linked to the other systems that make it up. It will also be linked to the MRS by storing the requests associated with the ENCCRV that may arise in the implementation phase.

## SECTION B: READINESS PROGRESS

4. Please provide your own assessment of national progress against all REDD+ readiness sub-components:  
(Indicator OV.1.B: Number of FCPF supported countries that have in place a National REDD+ Strategy, FREL/FRL, NFMS and SIS; Output 1.3 indicators)

Progress rating key:

Complete	The sub-component has been completed
	Significant progress
	Progressing well, further development required
	Further development required
	Not yet demonstrating progress
N/A	The sub-component is not applicable to our process

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)	
<b>R-PP Component 1: Readiness Organisation and Consultation</b>			
<b>Sub-component 1a:</b> National REDD+ Management Arrangements	Complete	Progress has been made in strengthening the institutional capacities of CONAF and positioning the governance established in the ENCCRV.	
			x
	N/A		
<b>Sub-component 1b:</b> Consultation, Participation and Outreach	Complete	All the consultation processes required by the FCPF and national legislation were carried out to formulate a public policy, with historical participation of national scope.	
			x
	N/A		
<b>R-PP Component 2: REDD+ Strategy Preparation</b>			
<b>Sub-component 2: REDD+ Strategy</b>	Complete	The ENCCRV was finalized, validated and published in November 2016	
			x
	N/A		
<b>Sub-component 2a:</b> Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	Complete	The evaluations were carried out and generated the basis for the formulation of the ENCCRV, as well as being an integral part of the ERPD.	
			x
	N/A		
	Complete		

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)
Sub-component 2b: REDD+ Strategy Options	X	Work is underway to strengthen institutional technical and administrative capacities through knowledge management, especially in the regions of the PRE accounting area, to begin piloting the RBP phase
	N/A	
Sub-component 2c: Implementation Framework	Complete	The respective technical and legal analyzes are being carried out to prepare for the RBP phase, and the impact of the activities carried out within the framework of the ENCCRV is being evaluated.
	X	
	N/A	
Sub-component 2d: Social and Environmental Impacts	Complete	The MGAS of the ENCCRV was prepared and , approved on May 30, 2018 and this instrument will address the possible environmental and social impacts that may be generated when implementing the ENCCRV. Two MGAS training events were held for the professionals of the regional offices who will prepare the safeguards analysis in the implementation projects in the context of the ENCCRV.
	x	
	N/A	
<b>R-PP Component 3: Reference Emissions Level/Reference Levels</b>		
Component 3: Reference Emissions Level/Reference Levels	Complete	In October 2016, the FREL / FRL were officially published on a subnational scale, which are used for all projects and are the basis of the National Measurement and Monitoring System of the ENCCRV. It's expected to have a national FREL/FRL by December 2020. Nowadays we are working on preparing the inputs to compose the national FREL/REL
	x	
	N/A	
<b>R-PP Component 4: Monitoring Systems for Forests and Safeguards</b>		
Sub-component 4a: National Forest Monitoring System	Complete	Progress has been made in the methodological development and its protocols, it is expected during 2020 to establish formal agreements for the governance of the system.
	x	
	N/A	
Sub-component 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Complete	Currently, the multiple benefits system is under development. This system will allow having a baseline of the main multiple benefits generated during the execution of the activities executed within the framework of the ENCCRV, for its registration and monitoring. In addition, the SIS is being adjusted.
	x	
	N/A	

## SECTION C: NON-CARBON BENEFITS

5. Does your national REDD+ Strategy or Action Plan include activities that directly aim to sustain and enhance livelihoods (e.g. one of your program objective/s is explicitly targeted at livelihoods; your approach to non-carbon benefits explicitly incorporates livelihoods)?

(Indicator 3.2.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to sustain and enhance livelihoods)

Yes

If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach:

The general objective of the ENCCRV is "To reduce the social, environmental and economic vulnerability caused by climate change, desertification, land degradation and drought on vegetation resources and human communities that they depend on them, in order to increase the resilience of ecosystems and contribute to mitigate climate change, promoting emission reductions and removals of greenhouse gases in Chile".

This objective is achieved by emphasizing the actions to be carried out in the country's most vulnerable population, associated with small and medium-sized owners of areas with high poverty rates and areas with limited natural resources, which will be the priorities in the implementation of the ENCCRV.

6. Does your national REDD+ Strategy or Action Plan include activities that directly aim to conserve biodiversity (e.g. one of your program objective/s is explicitly targeted at biodiversity conservation; your approach to non-carbon benefits explicitly incorporates biodiversity conservation)?

(Indicator 3.3.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to conserve biodiversity)

Yes

If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach

The first specific objective of the ENCCRV states: "To contribute to the fulfillment of the commitments assumed by Chile, from the field of vegetational resources, before the UNFCCC, UNCCD, CBD and other national and Internationals", so that within the criteria established to execute actions in the territory, it is always considered to improve and strengthen the biodiversity of the native ecosystems.

## SECTION D: FINANCE

(Please complete in US\$ ONLY)

7. Please detail the amount of all finance received in support of development and delivery of your national REDD+ readiness process since the date that your R-PP was signed. Figures should only include secured finance (i.e. fully committed) – ex ante, (unconfirmed) finance or in-kind contributions should not be included:

(Indicator 1.B: Amount of finance received to support the REDD+ Readiness process (disaggregated by public, private, grants, loans))

Amount (US\$)	Source (e.g. FCPF, FIP, name of gov't department)	Date committed (MM/YY)	Public or private finance? (Delete as appropriate)	Grant, loan or other? (Delete as appropriate)

\$1,658,700	Swiss International Development Cooperation Agency	04/2013	Public	Grant
\$ 137,500	Agreement Barrick Zaldívar	12/2013	Private	Grant
\$3,800,000	FCPF Readiness Preparation Grant	09/2014	Public	Grant
\$246,000	Agreement Chile-Mexico	09/2014	Public	Grant
\$5,863,636	GEF Global Environment Facility	05/2014	Public	Grant
\$560,000	UNREDD Targeted Support	03/2015	Public	Grant
\$180,000	IDB Inter-American Development Bank	06/2016	Private	Grant
\$40,403	UNEP United Nations Environment Programme	01/2016	Public	Grant
\$650,000	FCPF Carbon Fund	07/2016	Public	Grant
\$50,000	FERI Forest Ecosystem Restoration Initiative	09/2016	Private	Grant
\$4,000,000	UNREDD National Program	10/2017	Public	Grant
\$5,000,000	FCPF Readiness Preparation Additional Grant	10/2017	Public	Grant
\$64,000	GEF Global Environment Facility Umbrella UNCCD	10/2018	Public	Grant
\$800,000	Swiss International Development Cooperation Agency	12/2018	Public	Grant

8. Please detail any ex ante (unconfirmed) finance or in-kind contributions that you hope to secure in support of your national REDD+ readiness process:

Amount (US\$)	Source (e.g. FCPF, FIP, name of gov't department)	Public or private finance? (Delete as appropriate)	Grant, loan or other? (Delete as appropriate)
\$26,000,000*	FCPF Carbon Fund	Public	Other
\$96,811,350*	GCF Green Climate Fund – Results-Based Payment	Public	Other
\$6,000,000*	GEF Global Environment Facility	Public	Grant

\* All financing is associated with the implementation phase

## SECTION E: FCPF PERFORMANCE

9. To help build an understanding of the FCPF strengths, weaknesses and contributions to REDD+, please indicate the extent to which you agree with the following statements:

(Indicator 4.B: Participant Countries' assessment of FCPF's role within and contribution to national REDD+ processes)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
The FCPF's support has had a <b>central influence</b> on the development of our national REDD+ systems and processes					X
The FCPF's support has <b>improved the quality</b> of our national REDD+ systems and processes					X
The FCPF's support has <b>improved national capacities</b> to develop and deliver REDD+ projects					X

The FCPF's support has <b>helped to ensure substantive involvement of multiple stakeholders</b> (including women, IPs, CSOs and local communities in our national REDD+ systems and processes)					X
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**Comments / clarifications, if appropriate:**

The technical and financial support of the FCPF has been instrumental in developing all elements of the Warsaw Framework for REDD +, which have allowed Chile to progress towards the results-based payment phase, both with the FCPF and the GCF

10. To help assess the usefulness and application of FCPF knowledge products (publications, seminars, learning events, web resources), please indicate the extent to which you agree with the following statements:  
 (Indicator 4.3.a: Extent to which FCPF learning, evidence and knowledge products are used by Participant countries)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
We <b>regularly access</b> FCPF knowledge products to obtain REDD+ related information					X
FCPF knowledge products are <b>relevant</b> to our REDD+ related information requirements					X
FCPF knowledge products are <b>sufficient</b> to address all of our REDD+ related information requirements				X	
The FCPF <b>website</b> is a useful resource for accessing FCPF and REDD+ related information					X

**Comments / clarifications, if appropriate:**

Knowledge management is essential to advance REDD +, so the products and technical guidance that the FCPF delivers to the countries is essential to position the issue.  
 The new web page delivers valuable and orderly information, with a more modern look, however there are links that have been removed which is not appropriate, since information gaps are generated

## SECTION F: FINAL COMMENTS

11. If appropriate, please provide any further comments or clarifications relating to your work on REDD+ Readiness during the last year: