

# REDD + ANNUAL COUNTRY PROGRESS REPORTING (with semi-annual update)

**COUNTRY:** Papua New Guinea  
**PERIOD:** 15 March-30<sup>th</sup> June 2015

**Background:** This country reporting framework has been developed following the structure of the FCPF Monitoring and Evaluation Framework, its logical framework and Performance Measurement Framework (PMF), so as to facilitate and systematize the data analysis. The semi-annual country reporting should provide the FCPF's Facility Management Team (FMT) with indications of REDD+ countries' progress towards the achievement of their readiness activities and the implementation of their Emission Reductions programs overtime, in a way that data are easily consolidated and provide indications on the level of achievement of the FCPF output, outcome and impact indicators as defined in the FCPF M&E Framework.

**Report preparation:** Submitted country reports should draw upon the country M&E system for REDD + (component 6 of R-PP) and should be prepared in consultation with members of REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated into national reporting, and divergent views indicative of lack of consensus on specific issues should be recorded in the country report.

**Reporting schedule:** It is expected that the annual progress country reporting will be submitted to the FMT by August 15<sup>th</sup> each year. The reporting should be based on a self-assessment of progress. An update of this country reporting will also be submitted by March 15<sup>th</sup> each year.

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## 1. SUMMARY OF REPORT

This section should provide a short description of FCPF support in country (bullets on FCPF-financed activities only). Information should summarize progress, key achievements with a focus on higher level results and important issues/problems that arose during the reporting period. Highlights of next steps in following period should also be provided (key bullets only).

### SUMMARY:

The FCPF project started in late March this year and has made gradual progress while the PMU has been established. A number of key activities have been initiated to date, which are outlined below.

#### **i. FCPF project Inception Workshop**

The Inception workshop was conducted on the 25<sup>th</sup> March, which covered the following key issues:

- Full explanation of the project to relevant stakeholders to ensure ownership, including their roles and support services and complementary responsibility of the UNDP Country Office and the project team. Roles, functions and responsibilities within the project's decision-making structures, reporting and communication lines, and conflict resolution mechanisms were discussed.
- Finalization of the Annual Work plan based on the project results framework.
- Detailed overview of reporting, monitoring and evaluation (M & E) requirements were provided, through which the M & E work plan was agreed and scheduled.
- Planning and scheduling of the project board meeting. Roles and responsibilities of all project organization structures were clarified.

**ii. REDD+ Expert training workshop**

An expert training workshop was conducted in June in Alotau, Milne Bay Province, primarily to:

- Provide REDD+ training to key decision makers at the national levels from diverse ministries, including the Ministry of Planning and the Office of the Prime Minister;
- To build the pool of national REDD+ experts;
- To introduce the National REDD+ Strategy and design process.

23 key decision makers from government, NGOs and the private sector attended the training.

## 2. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention “does not apply – n/a”.

N/A

**Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.2.B.i.):**

Source: N/A

Amount provided: N/A

Source: N/A

Amount provided: N/A

Source: N/A

Amount provided: N/A

**Amount of non-FCPF investments received for implementation of activities relevant to ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator I.2.B.i.):**

Source: N/A

Amount provided: N/A

Source: N/A

Amount provided: N/A

Source: N/A

Amount provided: N/A

**Describe how stakeholders are participating and engaging in REDD+ decision making processes (FCPF M&E Framework Indicator I.3.A):**

**Provide examples of how IPs and CSOs are represented in institutional arrangements for REDD at the national level.**

*The REDD+ Technical Working and REDD+ Safeguards Technical working group, established by the OCCD and supported by UN-REDD, at present include representatives from civil society. These bodies will be supported by FCPF following the closure of the UN-REDD project in 2016.*

*The project had an Inception workshop in March, which was attended by relevant stakeholders both from government, NGOs and the private sector. The issues and concerns raised by stakeholders have been included in the revised project results framework.*

**Examples of stakeholder engagement platforms in country which meet regularly to discuss and provide inputs to the REDD+ readiness process (FCPF M&E Framework 3.2.a.):**

**Frequency:**

*Quarterly*

- *The REDD+ Technical Working Group (TWG), Safeguards TWG and MRV TWG meet quarterly. FCPF will continue to provide support to these meetings.*

**Examples of resources made available to enable active participation of IPs, CSOs and local communities in national REDD+ readiness.**

- *The expenses incurred by IPs and CSOs to participate in meetings of the TWGs are currently met by the UN-REDD project (FCPF will take over these as of August 2015).*

**Number and type of policy reforms initiated, completed or underway complying with REDD+ standards, if any (FCPF M&E Framework Indicator I.3.B.):**

**Number of policy reforms during the reporting period that are:**

**Underway:**

N/A

**Completed:**

N/A

**Please describe these policy reforms:**

Design of national REDD+ Strategies addresses indicators for enhancement of livelihoods of local communities and for biodiversity conservation(*FCPF M&E Framework Indicator 3.B.*):

Provide examples of how national REDD Strategies address livelihoods of local communities and biodiversity conservation.

N/A

### 3. PROGRESS AT R-PP sub component level

#### 3.1. REDD Readiness Progress

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.): up to 300 words, if applicable
















#### Progress made during the reporting period in developing the country Readiness Package:

The FCPF Inception workshop provided an overview of the project's activities and outlined the key elements required for REDD+ Readiness. Through this process stakeholders gave feedback on project activities which were revised as a result of this process.

The Alotau REDD+ expert training event provided key decision makers with a clear overview of the requirements for REDD+ readiness and built capacity in understanding the elements of a National REDD+ Strategy – and the associated design process.

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	Yes
	1b. Consultation, Participation, and Outreach	Yes
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	Yes
	2b. REDD+ Strategy Options	Yes
	2c. Implementation Framework	Yes
	2d. Social and Environmental Impacts	Yes
3. Reference Emissions Level/Reference Levels		No
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	No
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Yes

Level of overall achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):																	
<u>Planned Milestones:</u> N/A No annual milestones at present.	<u>Level of Achievement</u> <sup>1</sup> : N/A	<u>Tracking</u> <sup>2</sup> : <table border="1"> <tr> <td></td><td></td><td>Significant progress</td></tr> <tr> <td></td><td></td><td>Progressing well, further development required</td></tr> <tr> <td></td><td></td><td>Further development required</td></tr> <tr> <td></td><td></td><td>Not yet demonstrating progress</td></tr> <tr> <td></td><td></td><td>Non Applicable</td></tr> </table> <p>Please explain why:</p> <p>Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.</p>			Significant progress			Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable
		Significant progress															
		Progressing well, further development required															
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





<sup>1</sup>Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

<sup>2</sup>The level of achievement of planned milestones according to approved RF grant will be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, a fifth color scale "Non Applicable" can be selected.







This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework

**Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.).**







**Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 15<sup>th</sup> each year**

	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)
		Planned milestones	Achievements	
R-PP Component 1 – Readiness Organization and Consultation	<b>Sub-Component 1a</b> – National REDD+ Management Arrangements <i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies</i> <i>Country Self-Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism</i>	<i>Note: As no annual milestones in project document milestones are taken from 2015 workplan.</i>  National REDD+ Steering Committee Established.	 No progress to date.	  Significant progress
				  Progressing well, further development required
				  Further development required
				  Not yet demonstrating progress
				 N/A Non Applicable
				Please explain why:  Project slow start, still in the process of project staff recruitment with NPM and start-up international Technical Advisor on board reviewing workplan, budget and developing immediate action plan.









<sup>3</sup>The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then briefly explained. In case the assessment is not applicable, a fifth color scale 'Non Applicable' can be selected. This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.








	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)		
		Planned milestones	Achievements			
	<b>Sub-Component 1b</b> – Consultation, Participation, and Outreach <i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i> <u>Country Self-Assessment Criteria:</u> (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes	Provincial level consultation events	 No consultation events held as consultation plan not yet in place.			Significant progress
						Progressing well, further development required
						Further development required
						Not yet demonstrating progress
					N/A	Non Applicable
				Please explain why:  Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.		















	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 2 – REDD+ Strategy Preparation	<b>Subcomponent 2a:</b> Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance <i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i> <u>Country Self- Assessment Criteria:</u> (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy	Refine work on national circumstances undertaken by UN-REDD.	 Nothing to date			Significant progress
						Progressing well, further development required
						Further development required
						Not yet demonstrating progress
					N/A	Non Applicable
				Please explain why:  Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.		

















	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)															
		Planned milestones	Achievements																
	<p><b>Subcomponent 2b:</b> REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Country Self- Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies.</i></p>	Development of Roadmap for REDD+ strategy development	<div><div></div><div>National level expert training held to inform key stakeholders of nature of REDD+ Strategy and steps needed. National Strategy Issues and Options paper planned.</div></div>	<table><tr><td><div><div></div><div></div></div></td><td></td><td>Significant progress</td></tr><tr><td><div><div></div><div></div></div></td><td></td><td>Progressing well, further development</td></tr><tr><td><div><div></div><div></div></div></td><td></td><td>Further development</td></tr><tr><td><div><div></div><div></div></div></td><td></td><td>Not yet demonstrating progress</td></tr><tr><td><div><div></div><div></div></div></td><td>N/A</td><td>Non Applicable</td></tr></table> <p>Please explain why:</p> <p>Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.</p>	<div><div></div><div></div></div>		Significant progress	<div><div></div><div></div></div>		Progressing well, further development	<div><div></div><div></div></div>		Further development	<div><div></div><div></div></div>		Not yet demonstrating progress	<div><div></div><div></div></div>	N/A	Non Applicable
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	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)		
		Planned milestones	Achievements			
	<b>Subcomponent 2c:</b> Implementation Framework <i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i> <i>Country Self- Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</i>	<p>GAP analysis of existing legal framework</p> <p>Development of proposals for a benefit distribution system (BDS)</p> <p>Review options for REDD+ fund</p>	<p> Study on national circumstances and drivers not yet completed under UN-REDD so gap analysis not relevant.</p> <p> Initial BDS work undertaken by UN-REDD, work currently on hold until REDD+ strategies developed.</p> <p> Climate Change Management Bill provides mechanism to establish climate action based trust funds. No action taken on assessing structure for REDD+ financing.</p>	    	<p>Significant progress</p> <p>Progressing well, further development</p> <p>Further development</p> <p>Not yet demonstrating progress</p> <p>N/A</p>	<p>Non Applicable</p> <p><i>Please explain why:</i></p> <p><i>Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.</i></p>






	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)		
		Planned milestones	Achievements			
	<b>Subcomponent 2d:</b> Social and Environmental Impacts <i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i> <i>Country Self- Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</i>	Develop roadmap for safeguard development  Undertake SESA.	 No progress to date   No progress to date			Significant progress
						Progressing well, further development required
						Further development
						Not yet demonstrating progress
					N/A	Non Applicable
				Please explain why:  Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.		

	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)			
		Planned milestones	Achievements				
R-PP Component 3 – Reference Emissions Level/Reference Levels	<b>R-PP Component 3</b> - Reference Emissions Level/Reference Levels <i>Purpose: Development of the general approach to establish a REL/RL</i> <i>Country Self- Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i>	N/A	 Not included under FCPF grant activities				Significant progress
							Progressing well, further development required
							Further development
							Not yet demonstrating progress
							Non Applicable
				And explain why:  <i>Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.</i>			


	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 4: Monitoring Systems for Forests and Safeguards	<b>Subcomponent 4a:</b> National Forest Monitoring System <i>Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</i> <i>Country Self- Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</i>	N/A	 Not included in FCPF grant			Significant progress
						Progressing well, further development required
						Further development
						Not yet demonstrating progress
						Non Applicable
				And explain why:  <i>Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.</i>		

	Sub-component	Progress against annual targets		Tracking <sup>3</sup> (Please select your light rating)															
		Planned milestones	Achievements																
	<p><b>Subcomponent 4b:</b> Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</p> <p><i><u>Purpose:</u> Specify the non-carbon aspects prioritized for monitoring by the country</i></p> <p><i><u>Country Self- Assessment Criteria:</u> (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i></p>	Roadmap for safeguards developed	<div></div> No progress to date.	<table><tr><td></td><td></td><td>Significant progress</td></tr><tr><td></td><td></td><td>Progressing well, further development required</td></tr><tr><td></td><td></td><td>Further development</td></tr><tr><td></td><td></td><td>Not yet demonstrating progress</td></tr><tr><td></td><td></td><td>Non Applicable</td></tr></table> <p>And explain why:</p> <p><i>Project slow start, still in the process of project staff recruitment with NPM and start-up international technical advisor on board reviewing workplan and budget and developing immediate action plan.</i></p>			Significant progress			Progressing well, further development required			Further development			Not yet demonstrating progress			Non Applicable
		Significant progress																	
		Progressing well, further development required																	
		Further development																	
		Not yet demonstrating progress																	
		Non Applicable																	





Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage (FCPF M&E Framework 1.3.d.):				
	Rate	Tracking		
RF Grant - disbursement rate vs. planned disbursements	11% 	Please select your rating:		
				Up to 10% variance with plans
				Between 10-25% variance with plans
				Between 25-40% variance with plans
			x	More than 40% variance
				Non Applicable

Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):				
	Rate	Tracking		
Total Budget in R-PP - disbursement rate vs. planned disbursements (including other funding sources and FCPF Readiness Grant)	N/A	Please select your rating:		
				Up to 10% variance with plans
				Between 10-25% variance with plans
				Between 25-40% variance with plans
				More than 40% variance
			N/A	Non Applicable

### 3.2. Engagement of stakeholders within the approach to REDD +

Examples of actions/activities where IPs, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.1.a.):					
<u>Action/activity:</u>		<u>Describe IP, CSO, and local community participation:</u>			
<ul style="list-style-type: none"><li>Inception workshop conducted</li></ul>		Introduction of the FCPF project, how it is aligned with the UN-REDD National Programme, which will continue to support and strengthen the activities.			
Number of IP and REDD country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):					
<u>Please list the training conducted:</u>	<u>Duration (# of days)</u>	<u># of participants</u> # of men / # of women			Targets in terms of number of men and women to be trained by country to be



National REDD+ Expert Training	2	1 (male) EEF is a network organisation of IP and CSOs.			
					
					
				N/A	Non Applicable

### 3.3. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
Yes/No: No	Please provide the list of published REDD+ knowledge products, if any during reporting period: N/A

How many people have been reached by these knowledge products, if any:
Overall number by product: N/A
# of Men: N/A
# of Women: N/A

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
YES/No: No	List the South-South learning activities: N/A	# of men: (IP/CSO representatives, private sector representatives)  # of women: (IP/CSO representatives, private sector representatives)

## 4. ISSUES, CHALLENGES AND RISKS

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

It is expected that the country monitors any changes in the assumptions that underpin the logic of intervention of FCPF at the national level and other significant risks that may arise. This section should explain through a narrative any changes in the level of risk associated with the different assumptions, or describe new risks that may have emerged and have a significant bearing on the national work-planning with respect to FCPF support for the next year and beyond, along with the associated measures required to address this change.

### Constraint/Problem:

- *Project staff not yet in place.*

### Main causes:

- *The recruitment process of project staff is relatively lengthy.*
- *Recruitment of the international advisor position is lengthy due to recruitment/selection process as well as visa issuance process.*

### Expected Effect

- *Slow mobilisation of the project management unit (PMU) could slow project delivery for 2015.*

### Action Undertaking

- *Interim international start-up advisor has been recruited.*
- *UNDP Country Office HR has fast-tracked the recruitment of project staff and project consultancies.*

### Risk

(The Climate Change Management Bill was presented to Parliament in June pending Parliament sitting early July. The bill will move the Office of Climate Change and Development from an office to an authority, strengthening its legal status and making it less vulnerable to political changes. In setting out a framework for climate change action, the bill also strengthens the long-term government commitment to address climate change. These developments can be seen to reduce the risk of shifting political environment identified within the risk log.)

## 5. MAIN LESSONS LEARNED

This section should be used to provide information on important lessons learned since the beginning of the readiness process. It is expected that this section will be fairly substantial, making reference to different lessons learned, analysis documents developed, and/or experiences made in dealing with issues of particular interest to REDD+.

N/A
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