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| ***Readiness Preparation Proposal (R-PP)***    ***for Country: xxx*** *[[* name of country *]]*  ***Date of submission or revision:*** *[[* add date here *]]* **Version 6 Working Draft:****for Country Submission (without guidelines)** **April 20, 2012**  Forest Carbon Partnership Facility **(FCPF)**  The United Nations Collaborative Programme on Reducing Emissions from Deforestation and Forest Degradation in Developing Countries **(UN-REDD)** |

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| *Disclaimer: The World Bank does not guarantee the accuracy of the data included in this document submitted by REDD Country Participant and accepts no responsibility whatsoever for any consequence of its use. The boundaries, colors, denominations, and other information shown on any map do not imply on the part of the World Bank any judgment on the legal status of any territory or the endorsement or acceptance of such boundaries.*  *The Facility Management Team and the REDD Country Participant shall make this document publicly available, in accordance with the World Bank Access to Information Policy and the Guidance on Disclosure of Information for the FCPF Readiness Fund (Annex 3 of the Common Approach, revised August 9, 2012).* |

**Note: This version is for use by:**

1) FCPF REDD-plus Country Participants submitting revised or new R-PPs to the FCPF FMT for PC 12 meeting in Colombia, June 27 – 29, 2012 or afterwards.

2) UN-REDD countries submitting National Programmes, as agreed.

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| **General Information** |

**Note:** For submission to UN-REDD, an additional cover page with required signatures and information should be attached, which will be provided by the UN-REDD Secretariat.

**Contact Information**

Please provide the details for the national REDD-plus focal points (lead official, and day-to-day contact) submitting the R-PP in the table below.

|  |  |
| --- | --- |
| Name |  |
| Title |  |
| Organization |  |
| Address |  |
| Telephone |  |
| Fax |  |
| Email |  |
| Website |  |

**R-PP Development Team**

**Please list the names and organizations of the authors and contributors to the R-PP** (insert as many rows as necessary in the table below).

|  |  |
| --- | --- |
| **Name** | **Organization** |
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**Summary of the R-PP**

|  |  |
| --- | --- |
| Dates of R-PP preparation (beginning to submission): |  |
| Expected duration of R-PP implementation (month/year to month/year): |  |
| Total budget estimate: |  |
| Anticipated sources of funding: | from FCPF:  from UN-REDD:  National government contribution:  other source:  other source: |
| Expected government signer of R-PP grant request (name, title, affiliation): |  |
| Expected key results from the R-PP implementation process: | Outcome 1)  Outcome 2)  Outcome 3)  Outcome 4) |

**Executive Summary**

**Please provide a one- to three-page summary of the R-PP in the space below, including:** your assessment of the current situation, overarching goals of R-PP preparation, your proposed activities and expected results of each component, schematic of the expected readiness process, and the total funding requested and timing.

***Add your description here:***

**Acronyms the country uses in the R-PP [please add your own acronyms to this list]**

Common Approach: The Common Approach provides an overarching framework for the World Bank and development agencies to be Delivery Partners to provide R-PP Formulation and/or Preparation grants to FCPF REDD Country Participants.

ESMF: Environmental and Social Management Framework

MRV: Measurement, Reporting and Verification System

REDD: Reducing Emissions from Avoided Deforestation and Forest Degradation

RL/REL: Reference Level/ Reference Emission Level

SESA: Strategic Environmental and Social Assessment. SESA can be defined as “a range of analytical and participatory approaches that aim to integrate environmental and social considerations into policies, plans and programs and evaluate the inter linkages with economic, political, and institutional considerations”. SESA typically makes use of a variety of tools, rather than following a single, fixed, prescriptive approach.

ToR: Terms of Reference

UN-REDD:UN-REDD Programme

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| **Component 1: Organize and Consult** |

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| 1a. National Readiness Management Arrangements |

***[Please include each component's standard box like this one in your submission]***

**Standard 1a** **the R-PP text needs to meet for this component:**

**National Readiness Management Arrangements:**

The cross-cutting nature of the design and workings of the national readiness management arrangements on REDD-plus, in terms of including relevant stakeholders and key government agencies in addition to the forestry department, commitment of other sectors in planning and implementation of REDD-plus readiness. Capacity building activities are included in the work plan for each component where significant external technical expertise has been used in the R-PP development process.

**Please provide the following information:**

* **Summarize the national readiness management arrangements in the space below in a few pages;**
* **Provide a brief summary activity and budget and funding in Table 1a (detailed budget data and funding table go in Component 5);**
* **If necessary, attach a work program or draft input to ToR for activities to be undertaken in Annex 1a.**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 1a: Summary of National Readiness Management Arrangements Activities and Budget (and hypothetical example)** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands US$)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  | ***(HYPOTHETICAL EXAMPLE)*** | | | | | |
| REDD-plus Working Group management | Meetings (e.g., travel for stakeholders on WG) | $30 | $30 | $ | $ | **$** |
| Dissemination of reports | $20 | $20 | $ | $ | **$** |
| Hire 2 staff for working Group | Hire information specialist | $30 | $30 | $ | $ | **$** |
| Hire economist | $30 | $30 | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$110** | **$110** | **$** | **$** | **$** |
| Domestic Government | | $30 | $40 | $ | $ | **$** |
| **FCPF** | | **$80** | **$70** | $ | $ | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

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| 1b. Information Sharing and Early Dialogue with Key Stakeholder Groups |

***[Keep this box in your R-PP submission]***

**Standard 1b the R-PP text needs to meet for this component:**

**Information Sharing and** **Early Dialogue with Key Stakeholder Groups:**

The R-PP presents evidence of the government having undertaken an exercise to identify key stakeholders for REDD-plus, and commenced a credible national-scale information sharing and awareness raising campaign for key relevant stakeholders. The campaign's major objective is to establish an early dialogue on the REDD-plus concept and R-PP development process that sets the stage for the later consultation process during the implementation of the R-PP work plan. This effort needs to reach out, to the extent feasible at this stage, to networks and representatives of forest-dependent indigenous peoples and other forest dwellers and forest dependent communities, both at the national and sub-national level. The R-PP contains evidence that a reasonably broad range of key stakeholders has been identified, voices of vulnerable groups are beginning to be heard, and that a reasonable amount of time and effort has been invested to raise general awareness of the basic concepts and process of REDD-plus including the SESA.

**Please provide the following information:**

* **Pre-consultation activities to date and additional activities planned under this component, to contribute to the development of the R-PP in less than five pages**
* **Provide a brief summary activity and budget and funding in Table 1b (detailed budget data and funding table go in Component 5);**
* **If necessary, attach a work program or draft input to ToR for activities to be undertaken in Annex 1b.**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 1b: Summary of Information Sharing and Early Dialogue with Key Stakeholder Groups**  **Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | **$** | **$** | **$** | **$** | **$** |
|  | **$** | **$** | **$** | **$** | **$** |
|  |  | **$** | **$** | **$** | **$** | **$** |
|  | **$** | **$** | **$** | **$** | **$** |
|  |  | **$** | **$** | **$** | **$** | **$** |
|  | **$** | **$** | **$** | **$** | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| **Government** | | **$** | **$** | **$** | **$** | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| **UN-REDD Programme (if applicable)** | | **$** | **$** | **$** | **$** | **$** |
| **Other Development Partner 1 (name)** | | **$** | **$** | **$** | **$** | **$** |
| **Other Development Partner 2 (name)** | | **$** | **$** | **$** | **$** | **$** |
| **Other Development Partner 3 (name)** | | **$** | **$** | **$** | **$** | **$** |

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| 1c. Consultation and Participation Process |

**Standard 1c the R-PP text needs to meet for this component:**

**Consultation and Participation Process:**

Ownership, transparency, and dissemination of the R-PP by the government and relevant stakeholders, and inclusiveness of effective and informed consultation and participation by relevant stakeholders, will be assessed by whether proposals and/ or documentation on the following are included in the R-PP (i) the consultation and participation process for R-PP development thus far (ii) the extent of ownership within government and national stakeholder community; (iii) the Consultation and Participation Plan for the R-PP implementation phase (iv) concerns expressed and recommendations of relevant stakeholders, and a process for their consideration, and/or expressions of their support for the R-PP; (v) and mechanisms for addressing grievances regarding consultation and participation in the REDD-plus process, and for conflict resolution and redress of grievances.

**Please provide the following information in the space below:**

* **Consultations held so far in the development of the R-PP in one to three pages: Detail and document the contents of the consultation materials, the consultation outcomes, any next steps, and how the outcomes have been taken into account into the R-PP. If necessary, please use Annex 1c to present additional materials.**
* **Proposed full consultation and participation plan in three to ten pages. If necessary, please use Annex 1c to present additional materials. Note that the full consultation and participation plan is required, not a summary or draft input to ToR.**
* **The summary budget and funding request in Table 1b (the detailed budget and funding data go in Component 5).**

**Consultations held so far in the development of the R-PP:**

***Add your description here:***

**Proposed full consultation and participation plan (describe here):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 1c: Summary of Consultation and Participation Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | **$** | **$** | **$** | **$** | **$** |
|  | **$** | **$** | **$** | **$** | **$** |
|  |  | **$** | **$** | **$** | **$** | **$** |
|  | **$** | **$** | **$** | **$** | **$** |
|  |  | **$** | **$** | **$** | **$** | **$** |
|  | **$** | **$** | **$** | **$** | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| **Government** | | **$** | **$** | **$** | **$** | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| **UN-REDD Programme (if applicable)** | | **$** | **$** | **$** | **$** | **$** |
| **Other Development Partner 1 (name)** | | **$** | **$** | **$** | **$** | **$** |
| **Other Development Partner 2 (name)** | | **$** | **$** | **$** | **$** | **$** |
| **Other Development Partner 3 (name)** | | **$** | **$** | **$** | **$** | **$** |

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| **Component 2: Prepare the REDD-plus Strategy** |

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| 2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance |

**Standard 2a the R-PP text needs to meet for this component:**

**Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance:**

A completed assessment is presented that: identifies major land use trends; assesses direct and indirect deforestation and degradation drivers in the most relevant sectors in the context of REDD-plus; recognizes major land tenure and natural resource rights and relevant governance issues and shortcomings; documents past successes and failures in implementing policies or measures for addressing drivers of deforestation and forest degradation; identifies significant gaps, challenges, and opportunities to address REDD-plus; and sets the stage for development of a national REDD-plus strategy to directly address key land use change drivers.

**Please provide the following information:**

* **The assessment of land use, forest law, policy and governance in the space below in five to ten pages.**
* **Fill in the activity and budget in Table 2a for any follow-up activities or studies needed (detailed budget data go in Component 5)**
* **If necessary, attach additional materials, a further work program, or draft input to ToR for further work in Annex 2a.**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 2a: Summary of Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance Activities and Budget (Follow-up Activities Needed)** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

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| 2b. REDD-plus Strategy Options |

**Standard 2b the R-PP text needs to meet for this component: REDD-plus strategy Options**

The R-PP should include: an alignment of the proposed REDD-plus strategy with the identified drivers of deforestation and forest degradation, and with existing national and sectoral strategies, and a summary of the emerging REDD-plus strategy to the extent known presently, and/or of proposed analytic work (and, optionally, ToR) for assessment of the various REDD-plus strategy options. This summary should state: how the country proposes to address deforestation and degradation drivers in the design of its REDD-plus strategy; a plan of how to estimate cost and benefits of the emerging REDD-plus strategy, including benefits in terms of rural livelihoods, biodiversity conservation and other developmental aspects; socioeconomic, political and institutional feasibility of the emerging REDD-plus strategy; consideration of environmental and social issues and risks; major potential synergies or inconsistencies of country sector strategies in the forest, agriculture, transport, or other sectors with the envisioned REDD-plus strategy; and a plan of how to assess the risk of domestic leakage of greenhouse benefits. The assessments included in the R-PP eventually should result in an elaboration of a fuller, more complete and adequately vetted REDD-plus strategy over time.

**Please note that, at this stage, the requirement is not to reach agreement on the REDD-plus strategy itself (as this would require analytic studies, consultations, etc., which are identified in the R-PP, but have not yet been carried out). However, if the national REDD-plus Strategy is already available, please provide it. Please provide the following information:**

* **A summary of preliminary REDD-plus strategy options in the space below, and a description of the process proposed for developing and assessing various strategy options (in three to six pages);**
* **The budget and funding request in Table 2b (detailed budget and funding data go in Component 5);**
* **If necessary, attach the work program and/or draft input to ToR for activities identified to be part of the REDD-plus Strategy as Annex 2b.**

***Add your description here:***

**Table 2b: Summary of REDD-plus Strategy Activities and Budget (or Results Framework)**

Note: UN-REDD requested this table in a slightly different format from the rest of the tables.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Output (major activity)** | | **Organizations involved** | **Activities or Sub-activities** | **Budget allocation in thousand (estimated cost in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
| Outcome 1: | | | | | | | | |
| Output 1.1 |  | | 1.1.1 main activity | $ | $ | $ | $ | **$** |
|  | | Sub activity 1 | $ | $ | $ | $ | **$** |
|  | | Sub activity 2 | $ | $ | $ | $ | **$** |
| Output 1.2 |  | | 1.2.1 Main activity | $ | $ | $ | $ | **$** |
|  |  | | Sub activity 1 | $ | $ | $ | $ | **$** |
|  |  | |  | $ | $ | $ | $ | **$** |
| **Total** | | | | **$** | **$** | **$** | **$** | **$** |
| Government | | | | $ | $ | $ | $ | **$** |
| **FCPF** | | | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | | |  |  |  |  |  |
| Other Development Partner 3 (name) | | | |  |  |  |  |  |

Notes: 1. Countries are encouraged to include outcomes, outputs, and organizations involved in this table for this component, for consistency with normal program outcomes and indicator procedures. If identifying outcomes and outputs is difficult at this stage, include your tentative early ideas and then revisit them during Readiness Preparation.

2. Outcome: Actual or intended change in development condition that project interventions are seeking to support. Outcome includes key results such as governance reforms functioning national inter-ministry coordination, national or regional policy or legal reforms, etc.

3. Output: The direct result of project inputs, achieved through the completion of project activities, including tangible products for services necessary to achieve the outcomes of a program or project. E.g. workshop reports, studies, new training courses, etc.

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| 2c. REDD-plus Implementation Framework |

**Standard 2c the R-PP text needs to meet for this component:**

**REDD-plus implementation framework:**

Describes activities (and optionally provides ToR in an annex) and a work plan to further elaborate institutional arrangements and issues relevant to REDD-plus in the country setting. Identifies key issues involved in REDD-plus implementation, and explores potential arrangements to address them; offers a work plan that seems likely to allow their full evaluation and adequate incorporation into the eventual Readiness Package. Key issues are likely to include: assessing land ownership and carbon rights for potential REDD-plus strategy activities and lands; addressing key governance concerns related to REDD-plus; and institutional arrangements needed to engage in and track REDD-plus activities and transactions.

**Please provide the following information:**

* **Summarize the relevant information and ideas on your REDD-plus implementation framework in the space below (in three to six pages);**
* **Fill in the budget and funding request in Table 2c (the detailed budget and funding data go in Component 5);**
* **If necessary, attach the work program or draft input to ToR as Annex 2c.**

***Add your description of key REDD-plus implementation issues and questions here:***

***Work Plan: Add your work plan description for studies and other work over the next few years here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 2c: Summary of REDD-plus Implementation Framework Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| FCPF | | $ | $ | $ | $ | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

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| 2d. Social and Environmental Impacts during Readiness Preparation and REDD-plus Implementation |

**Standard 2d the R-PP text needs to meet for this component:**

**Social and environmental impacts during readiness preparation and REDD-plus implementation:**

The proposal includes a program of work for due diligence in the form of an assessment of environmental and social risks and impacts as part of the SESA process. It also provides a description of safeguard issues that are relevant to the country’s readiness preparation efforts. For FCPF countries, a simple work plan is presented for conducting the SESA process, cross referencing other components of the R-PP as appropriate, and for preparing the ESMF.

**Please provide the following information:**

* **Discuss the approach to be followed for ensuring compliance with the relevant safeguard policies and how the ESMF will draw on other components of the R-PP as needed;**
* **If work on the REDD-plus strategy has advanced to the point where draft input to ToR for the ESMF can be provided, please do so briefly below (guidelines for preparation of ToRs are available in Annex C). Present the relevant equivalent if a non-FCPF UN-REDD Programme Country. Since all details will not be available at the R-PP formulation stage, it is understood that the draft input to ESMF will need to be revised during the preparation phase;**
* **Fill in the summary budget and funding request in Table 2d (the detailed budget and funding data go in Component 5).**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 2d: Summary of Social and Environmental Impacts during Readiness Preparation and REDD-plus Implementation Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

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| **Component 3: Develop a National Forest Reference Emission Level and/or a Forest Reference Level** |

**Standard 3 the R-PP text needs to meet for this component: Develop a National Forest Reference Emission Level and/or a Forest Reference Level:**

Present work plan for how the reference level for deforestation, forest degradation (if desired), conservation, sustainable management of forest, and enhancement of carbon stocks will be developed. Include early ideas on a process for determining which approach and methods to use (e.g., forest cover change and GHG emissions based on historical trends, and/or projections into the future of historical trend data; combination of inventory and/or remote sensing, and/or GIS or modeling), major data requirements, and current capacity and capacity requirements. Assess linkages to components 2a (assessment of deforestation drivers), 2b (REDD-plus strategy activities), and 4 (monitoring system design).

(FCPF and UN-REDD recognize that key international policy decisions may affect this component, so a stepwise approach may be useful. This component states what early activities are proposed.)

**Please provide the following information:**

* **Summarize your proposed approach to establishing a reference scenario in the space below in less than five pages;**
* **Fill in the budget and funding request in Table 3 (the detailed budget and funding data go in Component 5);**
* **If necessary, attach a work program detailing how outcomes of this component will be achieved and/or the draft input to ToR for specific activities as Annex 3.**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 3: Summary of Reference Level Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

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| **Component 4: Design Systems for National Forest Monitoring and Information on Safeguards** |

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| 4a. National Forest Monitoring System |

**Standard 4a the R-PP text needs to meet for this component:**

**National Forest Monitoring System**

The R-PP provides a proposal and workplan for the initial design, on a stepwise basis, of an integrated monitoring system of measurement, reporting and verification of changes in deforestation and/or forest degradation, and forest enhancement activities. The system design should include early ideas on enhancing country capability (either within an integrated system, or in coordinated activities) to monitor emissions reductions and enhancement of forest carbon stocks, and to assess the impacts of the REDD-plus strategy in the forest sector.

The R-PP should describe major data requirements, capacity requirements, how transparency of the monitoring system and data will be addressed, early ideas on which methods to use, and how the system would engage participatory approaches to monitoring by forest–dependent indigenous peoples and other forest dwellers. The R-PP should also address the potential for independent monitoring and review, involving civil society and other stakeholders, and how findings would be fed back to improve REDD-plus implementation. The proposal should present early ideas on how the system could evolve into a mature REDD-plus monitoring system with the full set of capabilities.

(FCPF and UN-REDD recognize that key international policy decisions may affect this component, so a staged approach may be useful. The R-PP states what early activities are proposed.)

**Please provide the following information:**

* **Summarize your proposed approach to designing the monitoring system in the space below in less than five pages;**
* **Fill in the budget and funding request in Table 4-2 (the detailed budget and funding data go in Component 5);**
* **If necessary, provide a more detailed plan and/or draft input to ToR for the necessary activities as Annex 4.**

***Add your description here:***

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| 4b. Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards |

**Standard 4b the R-PP text needs to meet for this component: Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards:**

The R-PP provides a proposal for the initial design and a workplan, including early ideas on capability (either within an integrated system, or in coordinated activities) for an integrated monitoring system that includes addressing other multiple benefits, impacts, and governance. Such benefits may include, rural livelihoods enhancement, conservation of biodiversity, and/or key governance factors directly pertinent to REDD-plus implementation in the country.

(The FCPF and UN-REDD recognize that key international policy decisions may affect this component, so a staged approach may be useful. The R-PP states what early activities are proposed.)

**Please provide the following information:**

* **Summarize your proposed approach to designing the monitoring system in the space below in less than five pages;**
* **Fill in the budget and funding request in Table 4-1 (the detailed budget and funding data go in Component 5);**
* **If necessary, provide a more detailed plan and/or draft input to ToR for the necessary activities as Annex 4.**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 4-1: Summary of Monitoring Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

# **Component 5: Schedule and Budget**

**Standard 5 the R-PP text needs to meet for this component:**

**Completeness of information and resource requirements**

The R-PP proposes a full suite of activities to achieve REDD-plus readiness, and identifies capacity building and financial resources needed to accomplish these activities. A budget and schedule for funding and technical support requested from the FCPF and/or UN-REDD, as well as from other international sources (e.g., bilateral assistance), are summarized by year and by potential donor. The information presented reflects the priorities in the R-PP, and is sufficient to meet the costs associated with REDD-plus readiness activities identified in the R-PP. Any gaps in funding, or sources of funding, are clearly noted.

**Please propose your detailed schedule, budget and allocation across donors in Table 5.**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 5: Schedule and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
| [Add lines as needed, to provide sufficient detail] |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

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| **Component 6: Design a Program Monitoring and Evaluation Framework** |

**Standard 6 the R-PP text needs to meet for this component:**

**Design a Program Monitoring and Evaluation Framework**

The R-PP adequately describes the indicators that will be used to monitor program performance of the Readiness process and R-PP activities, and to identify in a timely manner any shortfalls in performance timing or quality. The R-PP demonstrates that the framework will assist in transparent management of financial and other resources, to meet the activity schedule.

**Please provide the following information:**

* **Summarize your proposal in the space below in one to three pages;**
* **Fill in the budget and funding request in Table 6 (the detailed budget and funding data go in Component 5);**
* **If necessary, provide any additional details or draft input to ToR as Annex 6.**

**Note: This framework is distinct from any that will be developed or undertaken by the World Bank for FCPF program evaluation and supervision of Readiness Grant Agreements.**

**UN-REDD countries may also want to provide a Results Framework table, included below as Table 6-2.**

***Add your description here:***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Table 6: Summary of Program M&E Activities and Budget** | | | | | | |
| **Main Activity** | **Sub-Activity** | **Estimated Cost (in thousands)** | | | | |
| **2011** | **2012** | **2013** | **2014** | **Total** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
|  |  | $ | $ | $ | $ | **$** |
|  | $ | $ | $ | $ | **$** |
| **Total** | | **$** | **$** | **$** | **$** | **$** |
| Government | | $ | $ | $ | $ | **$** |
| **FCPF** | | **$** | **$** | **$** | **$** | **$** |
| UN-REDD Programme (if applicable) | | $ | $ | $ | $ | **$** |
| Other Development Partner 1 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 2 (name) | | $ | $ | $ | $ | **$** |
| Other Development Partner 3 (name) | | $ | $ | $ | $ | **$** |

**Table 6-2: UN-REDD National Programme Monitoring Framework :**

**Potential tool for all countries, and required for UN-REDD countries**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Expected Results (Outcomes and Outputs)** | **Indicators (with baselines and indicative timeframe)** | **Means of Verification** | **Collection methods (with indicative timeframe and frequency)** | **Responsibilities** | **Risks and assumptions** |
| From country Results Framework or R-PP components | From Results Framework or R-PP components.  Baselines are an indicator at the start of the joint programme | From indentified data and information sources | How is it to be obtained? | Specific responsibilities of participating UN organizations (including shared results) | Summary of assumptions and risks for each result |

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| **Suggested Annexes for the R-PP (Optional)** |

**Guidelines:**

* **If you decide to annex draft input to Terms of Reference, plans, or other material important to describe how the R-PP would be organized or its studies performed, please include additional information in the annexes below;**
* **Delete any annex that is not used, but *please maintain the numbering* of the annexes (i.e., use the number for each Annex as shown below, even if you only have only a few annexes; do not renumber them);**
* **Update the Table of Contents to reflect only the annexes you include before finalizing the document.**

|  |
| --- |
| Annex 1a: National Readiness Management Arrangements |

Please present your early ideas and/or draft input to ToR.

|  |
| --- |
| Annex 1b: Information Sharing and Early Dialogue with Key Stakeholder Groups |

Please present any relevant additional material not included in the body of the R-PP (component 1b).

|  |
| --- |
| Annex 1c: Consultation and Participation Process |

Please present any relevant additional material not included in the body of the R-PP (component 1c).

|  |
| --- |
| Annex 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance |

Please present any relevant additional material not included in the body of the R-PP (component 2a).

|  |
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| Annex 2b: REDD-plus Strategy Options |

Please present the early ideas and/or draft input to ToR for work to be carried out. Please also present the strategy options themselves if they are available.

|  |
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| Annex 2c: REDD-plus Implementation Framework |

Please present the early ideas or draft input to ToR for work to be carried out. If you decided to merge Components 2b and 2c, you may also wish to merge Annexes 2b and 2c.

|  |
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| Annex 2d: Social and Environmental Impact during Readiness Preparationand REDD-plus Implementation |

Please present the early ideas or draft input to ToR for work to be carried out.

|  |
| --- |
| Annex 3: Develop a National Forest Reference Emission Level and/or a Forest Reference Level |

Please present the early ideas or draft input to ToR for work to be carried out.

|  |
| --- |
| Annex 4: Design Systems for National Forest Monitoring and Information on Safeguards |

Please present the early ideas or draft input to ToR for work to be carried out.

|  |
| --- |
| Annex 5: Schedule and Budget |

Please present any additional details of your proposed Schedule and Budget.

|  |
| --- |
| Annex 6: Design a Program Monitoring and Evaluation Framework |

Please present any additional details of your proposed Monitoring and Evaluation.

**[end]**