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## 1. GENERAL INTRODUCTION

In 2008, through FONAFIFO (National Fund for forest financing), Costa Rica entered the Forest Carbon Partnership Facility (FCPF). Two years later, the country initiated its readiness phase, after the approval of the Readiness Preparation Proposal the country received US\$ 3.6 million through the donation N°TF012692. This progress sheet presents the country's progress, reporting on R-PP's components, using the monitoring and evaluation framework. The R-PP has the following components:

- 1a. National governance REDD+ management arrangements
- 1b. Organization, Consultation and outreach
- 2a. Assessment of Land Use, Forestry Policy and Governance
- 2b. REDD+ Strategy Options
- 2c. REDD+ Implementation Framework
- 2d. Strategic Environmental and Social Assessment
- 3. Reference Emission Level
- 4a. Information System for Multiple Benefits, Other Impacts, Governance and Safeguards

## 2. SUMMARY OF REPORT

Summary of progress, key achievements with a focus on higher level results and important issues/problems that arose during the reporting period. Highlights of next steps in following period -- **key bullets only**

Progress:

Costa Rica has reached a significant progress. This progress sheet establishes financed activities such as institutional arrangements, governance for the established strategy's readiness and the ending of the Information System for Multiple Benefits. Thus the information process for indigenous communities and pre-consultation is progressing well, but further development is required. Besides, the reference level was started. The mid-term report was presented to the FCPF's Participants Committee and an extra US \$5 million donation was received, to finish successfully with the readiness activities in order to achieve an inclusive and participative feed-back process for the strategic options and a sound MRV to guarantee transparency. Costa Rica will start with the development of the action plans for the strategic options, the reference level and will continue with the implementation of the consultation process.

Key Achievements with focus on higher level results:

- Presentation of the Mid Term Report and approval of an extra US\$5 million
- Information System for Multiple Benefits completed
- Institutional Arrangements, governance entities and technical team established and hired
- Consultation plan finished and in implementation
- Participative Communication Plans for Indigenous Communities designed and starting to be implemented.
- Start of the Reference Level
- Contratación de consultores para el desarrollo de los planes de acción de las opciones estratégicas y redacción de la Estrategia.
- Dialogue started with Indigenous Communities from Central Pacific Region

Important issues /problems that arose during the reporting period:

- Slow hiring process
- There was a government change, which meant additional efforts on information, training and reports on progress and goals, discussion of approaches to REDD + with the new government.

Highlights of next steps in the following period:

- Continue with the development of the Strategic Environmental and Social Assessment
- Implementation of the Participatory Communication Plans
- Consultation work plan and participatory communication plans to be developed with Central Pacific indigenous communities
- Training on REDD+ and related topics to managers of SINAC, MINAE, FONAFIFO and Government Council.

**3. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD**

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention **“does not apply – n/a”**.

**3.1 PROGRESS AT THE IMPACT LEVEL (if any data available)**

Please provide here any quantitative and qualitative information, if available on the following criteria/indicators.

- 6 meetings of the Executive Committee , held once a month, with 20 agreements made and executed in all cases, the quorum was reached with majority , with an average participation of 8 people per session
- 2 meetings of the Interinstitutional Commission held every 3 months
- 1 meeting of the technical table, held every 2 months
- 16 meetings/workshops held with the relevant stakeholders
- 100% of the Executive Secretariat positions occupied, with 80% of counterparts in Fonafifo and SIANC
- 50% of the Executive Secretariat trained
- 5 emails sent by Fonafifo's Executive Director assigning counterpart staff to support the development of REDD+'s strategy.
- 25% of the consultation plan implemented
- 12 information tools developed ( video, fact sheetsetc)
- 8 costarricans trained with the south- south experience.
- 300 indigenous leaders informed about REDD+
- 150 forest managers informed
- 340 people from the private sector informed
- 100 people from academia and government informed about REDD+
- 300 visits to the web site with information updated and available to download
- 437 followers on facebook
- 11 trainings giving for the grievance mechanism
- 11 people trained for the monitoring of carbon in forests
- 52% of milestones achieved
- 33% of the donation disbursed
- 1 External audit for validation of annual financial reports
- 4 co-financing agreements for technical cooperation for an amount of \$4 708 000
- A 50% contribution has been done to the technical cooperation for an amount of US \$2 680 000

Number of tons of CO2 emissions from deforestation and forest degradation reduced in the country during the reporting period as compared to the measured REL/RL, if any :

National Forest Reference Emission Level (REL)/Reference Level (RL) defined:

does not apply – n/a

Number of tons reduced during the reporting period as compared to REL/RL:

does not apply – n/a

Amount of non-FCPF investments received under R-PP process: este financiamiento se encuentra en proceso de implementación

Source:

Amount Provided:

GiZ	urrency	-
UNREDD	urrency	-
NORAD	Currency	-
USAID	Currency	-
Gobierno de Costa Rica	urrency	

REDD + ANNUAL COUNTRY PROGRESS REPORTING

Amount of non-FCPF investments received for implementation of ER Programs (e.g. FIP, bilateral donors, private sector), if relevant:

Source:	Amount Provided:
	Currency
	Currency
	Currency
	Currency
	Currency

Level of multi-stakeholder participation and engagement in decision making processes related to emission reductions and forest resource management:

Please describe the process of engagement and consultation implemented, including describing the level of participation and engagement for the following stakeholder categories: Government Agencies, Indigenous Peoples, Other forest-dependent peoples, Women, Youth, Civil Society Organizations, Private Entities, Donors, and Others

- **Government Agencies:** The Interinstitutional Commission, discusses the different responsibilities of each institution in the development of REDD +. At the same time the work with SINAC MINAE, MAG, DCC
- **Indigenous People:** different processes are held with indigenous people of 19 territories and with the indigenous people of the Central Pacific Region. They are approached separately to respect their worldview, culture and self-determination.
- **Small Agroforestry Producers:** This sector is approached by 4 different platforms (information phase with campesinos representative's, UNAFOR, ONF y UPANACIONAL). Shortly, the participatory communication plans will be designed, as well as various workshops to address issues previously identified by the sector
- **Women and Youth:** There is a proposal to promote the participation of small agroforestry producers and indigenous women in REDD+ to be implemented
- **Civil Society Organizations:** Several civil society organizations have joined REDD + processes. For example, IUCN has signed a framework agreement with Fonafifo to pilot VCS certification for jurisdictional and nested (JNR) REDD+. Meanwhile, FUNDECOR generated information required for REDD +, INBIO provides required inputs relating to biodiversity and has a draft agreement. Cedarena and other NGOs are potential providers of technical services for the development of the planned technical studies.
- **Private Entities:** The FCPF through IUCN established a country dialogue with the private sector. These results were presented during the month of May. This sector has been incorporated into the Strategy by the coordination of the National Forestry Office and the Costa Rican Forestry Chamber
- **Donors:** There are grants from GiZ, UNREDD, USAID and NORAD, which are strategic partners that have provided specific technical and social processes to strengthen the country's readiness for REDD +

## REDD + ANNUAL COUNTRY PROGRESS REPORTING

Nb. and type of policy reforms underway or completed complying to REDD+ standards, if any (*FCPF M&E Framework Indicator 1.3.B.*):

Number of policy reforms during the reporting period that are: n/a

<b>Underway:</b>	#	N/a
	#	
	#	
	#	
<b>Completed:</b>	#	
	#	
	#	

Please describe these policy reforms:

### 3.2 PROGRESS AT THE OUTPUT and OUTCOME LEVEL (if any data available)

#### 3.2.1. REDD Readiness Progress

##### OUTCOME LEVEL

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (*FCPF M&E Framework Indicator 1.A.*):

Briefly describe progress made during the reporting period in developing the country Readiness Package:

N/A

##### OUTPUT LEVEL

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Component	Support from FCPF (Yes/No)
<b>Component 1. Readiness Organization and Consultation</b>	
1a. National REDD+ Management Arrangements	Yes
1b. Consultation, Participation, and Outreach	Yes
<b>Component 2. REDD+ Strategy Preparation</b>	
2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	Yes
2b. REDD+ Strategy Options	Yes
2c. Implementation Framework	Yes
2d. Social and Environmental Impacts	Yes
<b>Component 3. REL/RL</b>	

REDD + ANNUAL COUNTRY PROGRESS REPORTING

3. Reference Emissions Level/Reference Levels	Yes
<b>Component 4. Monitoring Systems for Forests and Safeguards</b>	
4a. National Forest Monitoring System	Yes
4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	No

REDD + ANNUAL COUNTRY PROGRESS REPORTING

Level of implementation of R-PP as a whole:		
Please describe the current R-PP	%	50%
<p>50% in the implementation of the R-PP has been achieved. This progress responds to two components completed( 1a National REDD+ Management Arrangements and 4b Information System for Safeguards). Six components ( 1b Organization, consultation and outreach, 2a Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance, 2c. Implementation Framework, 2d Social and Environmental Assessment, National Forest Monitoring System) progress well but a further development is required, and one component ( 3. Reference Level) requires development .</p>		

Level of achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.8 million USD) (FCPF M&E Framework 1.3b):

Planned Milestones:	Level of Achievement	Tracking:
Hitos del componente 1 (1a y 1b)	Progressing well, further development is required	<ul style="list-style-type: none"> <li><input checked="" type="radio"/> Significant progress</li> <li><input type="radio"/> Progressing well, further development required</li> <li><input type="radio"/> Further development required</li> <li><input type="radio"/> Not yet demonstrating progress</li> <li><input type="radio"/> Not Applicable</li> </ul>
Hitos del componente 2, 3 y 4	Progressing well, further development is required	
Hitos del componente 5	Significant progress	
Hitos del componente 6	Completed	

**3.2.1. CONTINUED Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in %**

RF Grant - disbursement rate compared to planned disbursement rate

**Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage:**

	Select Actual Disbursement Rate 55%	Tracking
RF Grant - actual disbursement rate compared to planned disbursement rate: Nota: NA, el porcentaje real es de un 55%	40%	<input checked="" type="radio"/> Up to 10% variance with plans <input type="radio"/> Between 10 and 25% variance <input type="radio"/> Between 25 and 40% variance <input type="radio"/> More than 40% variance <input type="radio"/> Not Applicable

**Disbursement rate of Total R-PP Budget in percentage:**

	Select Actual Disbursement Rate	Tracking
R-PP Budget - disbursement rate v planned disbursement rate	40%	<input checked="" type="radio"/> Up to 10% variance with plans <input type="radio"/> Between 10 and 25% variance <input type="radio"/> Between 25 and 40% variance <input type="radio"/> More than 40% variance <input type="radio"/> Not Applicable



3.2.1. REDD Progress Levels - Continued

Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement (additional grant of up to \$5 million). Under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

The level of achievement of planned milestones according to the Readiness grant will be summarized through progress scores related to the synthesis of an overall achievement. This is qualitatively expressed with a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, "Non Applicable" can be selected.

The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then briefly explained. In case the assessment is not applicable, a fifth color scale 'Non Applicable' can be selected. This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

Sub-Component 1a	Overall Progress		Progress Against Annual Targets		Tracking*
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>1a - National REDD+ Management Arrangements</b> Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism	All major structures for REDD + management are fully developed and functional	Reportado ampliamente en el reporte enviado en octubre del 2013	Widely reported in the report submitted in October 2013	Completed	
	Staff to fill defined positions has been hired and its capacity and institutionalization have been reinforced	Los TDRs para la contratación del equipo de la SE requerido para los procesos técnicos, sociales y de comunicación ya se encuentran realizados, en no objeción o en proceso de contratación.	TORs for hiring the equipment required for the Executive Secretariat for developing technical, social and communication processes are already made, or no objection in the hiring process.	Completed	

Sub-Component 1b	Overall Progress		Progress Against Annual Targets		Tracking*
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>1b – Consultation, Participation, and Outreach</b> Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes	The consultation plan for relevant stakeholders has been implemented	socializado, ya se concluyó la etapa de información oficial del plan con el sector campesino agroforestal. La etapa de pre consulta se desarrollará a partir de junio 2013. The consultation plan has been socialized, and the stage of official information with	Implement the Consultation Plan endorsed by the relevant stakeholders	The development of the stage of the consultation plan is proceeding as established in the plan and allows the evaluation of important aspects such as participation, knowledge, and satisfaction of the relevant stakeholders	
	Communication Strategy Implemented	The Communication Strategy is in implementation	The Communication Strategy is developing through differentiated tools	Developing	

Sub-Component 2a	Overall Progress		Progress Against Annual Targets		Tracking*
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</b> Purpose: identify key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable forest management, and enhancement of forest carbon stocks Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource rights, land tenure, governance; (v) implications for forest law and policy	Process evaluation of existing land use changes covering deforestation and forest degradation completed	Develop a final study on land use change with a Final Report of REDD + available on the internet site that has been developed in a participatory way with a feedback	Develop a final study on land use change with a Final Report of REDD + available on the internet site that has been developed in a participatory way with a feedback	A company for the analysis of land use change was hired. Results expected in March 2015	

Sub-Component 2b	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>2b: REDD+ Strategy Options</b> Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies	Policy options analyzed, detailed and budgeted	9 studies are underway as an input to the analysis process. The remaining studies required will start shortly	Los planes de gestión están claramente explicadas, junto con los análisis, planes y presupuestos relevantes, siendo ampliamente consultadas con las PIRs para 10/2014. All options are clearly explained, with	developing	
	Implementation Strategy produced and discussed	developing process of the inputs required for doing the Strategy's document	Existence of a strategy that takes into account the results of the consultation and socialization 05/2015	developing	

Sub-Component 2c	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>2c: Implementation Framework</b> Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities	Se han diseñado y realizado ajustes transparentes y eficientes al modelo de PSA para canalizar los fondos de carbono hacia los beneficiarios. There are designed and made transparent and efficient PSA model adjustments to adjust funds to the beneficiary carbon	Architecture of the implementation of REDD + in the national system has been proposed and evaluated by the CE Guidelines has been published, the carbon registry in operation, and an advance for the distribution of carbon benefits.	Architecture of the implementation of REDD + in the national system has been proposed and evaluated by the CE Guidelines has been published, the carbon registry in operation, and an advance for the distribution of carbon benefits.	Under recruitment consultancies for the development of Indigenous and Campesino PES	

Sub-Component 2d	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>2d: Social and Environmental Impacts</b> Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF) Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework	Strategic Environmental and Social Assessment (SESA) completed and integrated findings in the REDD + strategy	SESA to start in June 2013, the Plan was socialized with entities and Governance in REDD + website	SESA developed by all relevant stakeholders and the CE for 10/2014.	developing	
	Framework for Environmental and Social Management Framework (ESMF) and integrated into the REDD + strategy findings	Person for developing the ESMF is hired	The REDD + strategy, monitoring and ER programs related incorporate biodiversity conservation and livelihoods of local communities indicators, and other co-benefits and safeguards	developing	

Component 3	Overall Progress		Progress Against Annual Targets		<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Not Applicable
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>R-PP Component 3 - Reference Emissions Level/Reference Levels</b> Purpose: Development of the general approach to establish a REL/RL Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/PCC guidance and guidelines	Appropriate reference level established	Consortium hired to define the reference level, results are expected in 12-14	A reference level for emissions and removals is available	progressing well, further development required	3
	Baseline developed for deforestation and rates, trends from degradation, biomass and carbon stocks	Consortium hired to define the reference level, results are expected in 12-15	To have a public and available national base line of deforestation and forest degradation	progressing well, further development required	
	Reference scenarios and emissions estimates of emissions produced	Consortium hired to define the reference level, results are expected in 12-16	Published Scenarios and emissions models based on IPCC guidelines	progressing well, further development required	

Sub-Component 4a	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>4a: National Forest Monitoring System</b> Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests	Developed inventory, capacity and coordination for forest's carbon	Costa Rica's first national forest inventory was started and has a land use map based RapidEye images 2012-2013	Developed inventory, capacity and coordination for forest's carbon	progressing well, further development required	<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Not Applicable

Sub-Component 4b	Overall Progress		Progress Against Annual Targets		Tracking
	Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</b> Purpose: Specify the non-carbon aspects prioritized for monitoring by the country Assessment Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards	Functional Information Systems for multiple benefits, other impacts and safeguards	General framework of the SIS and a battery of indicators for discussion with relevant stakeholders	Completed SIS in early stages of operation	progressing well, further development required	<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Not Applicable

\*This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

**3.2.3. Engagement of stakeholders to sustain or enhance livelihoods of local communities and to conserve biodiversity within the approach to REDD+**

**OUTCOME LEVEL**

As a synthesis of the following output level assessments, please describe indicators related to biodiversity conservation and forest community livelihood development included in the ER Program if relevant (FCPF M&E Framework indicator 3.A.):

Amount provided:	Currency	0
Please describe how these funds target biodiversity and forest community livelihood development:	n/a	

Please provide relevant examples on the inherent social and biodiversity benefits of REDD+, if relevant (FCPF M&E Framework Indicator 3.B.):

Examples of inherent social and biodiversity benefits of REDD+:

The estimated co-benefits is an activity that will take place throughout 2014. However, Costa Rica's ER-PIN provides an initial estimate of co-benefits in production and regulation of water, timber production and biodiversity.

**OUTPUT LEVEL**

Number of examples of actions where Ips, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.2.a.):

#:	Please describe these actions on enhanced livelihoods and BD conservation, and restoration where Ips, CSO, and local communities participate actively:
	<p>Relevant stakeholders participate in:</p> <ul style="list-style-type: none"> <li>• REDD+ Governance (Executive Committie),</li> <li>• civil organizations such as o UNAFOR, UPANACIONAL, etc, they represent small agroforestry producersq</li> <li>• Environmental NGOs, for example, Coecoceiba which ha sparticipated in information activities, document feedback among others..</li> <li>• 24 Indigenpus Territories, most of them represented by their local governments (ADIs), Indigenpus Development Association)</li> <li>• Besides, women organized groups participate as well, for example ACOMUITA, a local association of Bri Bri Cabecar indigenpus women</li> <li>• Forestry Organizations, the National Forestry Office participates in the Executive Committie and in the Interinstitutional Commission.</li> <li>• Interinstitutional Activities and academia and government's coordintation, are integrated in the</li> </ul>

Number of IPs, REDD Country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):				
Please list the training(s) conducted:	Duration (#of days)	# of participants		Rating
		# of men	# of women	
Talleres de información y coordinación con 24 Pueblos Indígenas		200	75	<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Not Applicable
Reunión con pequeños productores forestales y agroforestales		100	50	
				1 <input type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Not Applicable

Frequency of meetings of stakeholder engagement platforms (FCPF M&E)	
Frequency:	Rating
Executive Committie's meetigs are held once a monthe  Interinstitucional Commission's meetings are held every two months s  Technical Table's meetings are held when needed.	<input type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Not Applicable

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at maintaining or enhancing livelihoods of local communities (FCPF M&E Framework 3.2.b.):	
Yes/No:	Please describe these activities aiming at maintaining or enhancing livelihoods of local communities:
Yes	Currently the inputs required are being developed. World Bank's Operational policies and Cancun's safeguards will be taken into consideration.

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at conserving biodiversity (FCPF M&E Framework 3.2.c.)?	
Yes/No:	Please describe these activities aiming at conserving biodiversity:

Yes	A la fecha se están generando los insumos que se requieren para lograrlo y se tendrán en consideración las políticas operativas del banco Mundial y las salvaguardas de Cancún.
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Does your country R-Package and/or ER Program include SESA, an operational Grievance Mechanism, and an ESMF	
Yes/No:	Please describe these activities aiming at conserving biodiversity:
Yes no	A la fecha se están generando los insumos que se requieren para lograrlo y se tendrán en consideración las políticas operativas del banco Mundial y las salvaguardas de Cancún.

### 3.2.4 Knowledge Sharing

Has your country developed and published REDD+ knowledge products with FCPF support?											
Yes/No:	If yes, please provide a list of the published REDD+ knowledge products if any, during the reporting period:										
No	<table border="1"> <tr> <td>1</td> <td>N/A</td> </tr> <tr> <td>2</td> <td></td> </tr> <tr> <td>3</td> <td></td> </tr> <tr> <td>4</td> <td></td> </tr> <tr> <td>...</td> <td></td> </tr> </table>	1	N/A	2		3		4		...	
1	N/A										
2											
3											
4											
...											

How many people have been reached by these knowledge products, if any?	
Overall number by product:	Please provide a list of the published REDD+ knowledge products if any, during the reporting period: N/A

Knowledge Product 1:	
	# Total People Reached
	# of Men
	# of Women
Knowledge Product 2:	
	# Total People Reached
	# of Men
	# of Women
Knowledge Product 3:	
	# Total People Reached
	# of Men
	# of Women
Knowledge Product 4:	
	# Total People Reached
	# of Men
	# of Women

Have some experts of your country participated in any South-South learning activities? If yes, how many?			
Yes/No	List the South-South Exchanges:	# Men	# Women
Yes/No	6 Talleres de capacitación Sur- Sur sobre Estrategia REDD+ en Ecuador además de talleres técnicos de UN-REDD sobre salvaguardas y nivel de referencia	7	4
Yes/No			
Yes/No			
Yes/No			

**3.2.2. Key Elements of performance based payment systems for emission reductions generated from REDD+ activities**

**OUTCOME LEVEL**

**As a synthesis of the following output level assessments, please briefly specify:**

Are carbon accounting, programmatic elements and pricing operating as planned in your pilot, if relevant?

<p>The company who will develop the reference level and will advise FONAFIFO in the ERPD's development has being hired. This includes the programmatic elements from the Carbon's Fund Methodological Framework. About the price of a tonne, UNEP joined efforts through specific support of Terra Global Capital and UNREDD, funded by USAID, for estimating REDD+ financing strategy that allows a price assessment before the ERPA negotiation with the Carbon Fund</p>	<p style="text-align: center;">Tracking</p> <div style="border: 1px solid black; padding: 5px;"> <ul style="list-style-type: none"> <li><input type="radio"/> Significant progress</li> <li><input type="radio"/> Progressing well, further development required</li> <li><input checked="" type="radio"/> Further development required</li> <li><input type="radio"/> Not yet demonstrating progress</li> <li><input type="radio"/> Not Applicable</li> </ul> </div>
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Is the benefit sharing scheme being implemented according to plans within your pilot, if relevant?

<p>Discussions about a potential mechanism of benefit sharing for indigenous and campesino PSA are established, but further work on a larger mechanism that complements the PSA regarding the distribution of benefits outside areas of PSA is required. This will be addressed through a specific UNREDD support and collaboration with the WISE project Dept of State of USA</p>	<p style="text-align: center;">Tracking</p> <div style="border: 1px solid black; padding: 5px;"> <ul style="list-style-type: none"> <li><input type="radio"/> Significant progress</li> <li><input type="radio"/> Progressing well, further development required</li> <li><input type="radio"/> Further development required</li> <li><input checked="" type="radio"/> Not yet demonstrating progress</li> <li><input type="radio"/> Not Applicable</li> </ul> </div>
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Percentage and/or amount of monetary benefits shared with beneficiaries in approved pilot, if relevant?

<p>N/A</p>	<p style="text-align: center;">Tracking</p> <div style="border: 1px solid black; padding: 5px;"> <ul style="list-style-type: none"> <li><input type="radio"/> Significant progress</li> <li><input type="radio"/> Progressing well, further development required</li> <li><input type="radio"/> Further development required</li> <li><input type="radio"/> Not yet demonstrating progress</li> <li><input checked="" type="radio"/> Not Applicable</li> </ul> </div>
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**OUTPUT LEVEL**

**As a synthesis of the following output level assessments, please briefly specify:**

Has your country submitted early ideas or ER-Program to the Carbon Fund and or others?	
<u>Yes/No</u>	<u>Please briefly describe the content of these early ideas or ER-Program:</u>
Yes	See Costa Rica's ERPin in the following link: <a href="https://www.forestcarbonpartnership.org/sites/fcp/files/2013/Costa%20Rica%20FCPF%20ER%20PIN%20revised%20February%2015%202013.pdf">https://www.forestcarbonpartnership.org/sites/fcp/files/2013/Costa%20Rica%20FCPF%20ER%20PIN%20revised%20February%2015%202013.pdf</a>

**Has your country signed an ERPA?**

Has your country submitted early ideas or ER-Program to the Carbon Fund and or others?	
<u>Yes/No</u>	<u>Please briefly describe the content of this ERPA:</u>
No	N/A

**Amount and date of disbursements for ER Program according to plans, if relevant:**

<i>Date format: mm/dd/yyyy</i>	<i>&lt;Select&gt;</i>	
Date:	Amount provided:	Currency
		N/A
Date:	Amount provided:	Currency
Date:	Amount provided:	Currency

**4. Issues Challenges and Risks**

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

Issue, Challenge, Risk	Actions to overcome, Adjustments to plan
Institutional	The hiring process is slow and this delays products development , this is also related to a slow response to operation changes, approach or additional adjustments required
Other	Durante 2014, el gobierno de Costa Rica cambi6 lo que genero una curva de aprendizaje y un proceso de ajuste que puede provocar cambios en el enfoque de REDD+During 2014, Costa Rica's
Institutional	The process of agreeing institutional arrangements is complex and often requires slow processes of negotiation with institutions

**5. Main Lessons Learned**

This section should be used to provide information on important lessons learnt since the beginning of the readiness process. As this is a semi-annual report, it is expected that this section will be fairly substantial, making reference to different lessons learning documents, and/or events developed and dealing with issues of particular interest with respect to readiness of carbon funding under REDD+.

- 1a: The legal instruments for the formalization of REDD+'s the governance should have clarity regarding the role and the expectations of stakeholders
- 1b: Debe tenerse claro el discurso t6cnico para brindar mensajes homog6neos a los actores, que sea consistente en el tiempo, pero permita su ajuste para tomar en cuenta las expectativas de los actores. There should be a clear technical speech that provide homogenous messages to stakeholders, it should be consistent in time messages, but allow adjustment to take into account the expectations of stakeholders
- 2a: The country should consider historical information, but migrate to cost effective methodologies.
- National plans of forest development should be inclusive of all parties.
- 2b:The development of strategic options may evolve over time, so the country must have (and communicate) a flexible portfolio of options or strategic lines of action.
- 2c: in countries with the goal of C-neutrality, a good practice is to have a cross-registration.
- 2d y 4b: have a clear logical framework to link the SESA ESMF and analysis of the safeguards.
- 3: establish an operational link with the national inventory of greenhouse gas
- 4a: The definition of the monitoring system should be inclusive of institutions with legal mandates related to monitoring.
- 5:international cooperation for REDD + should be coordinated from the focalia REDD + in the country
- 6: the M & E framework should be built with the participation of political decision makers