#### FCPF CSO Observer Voting Process Review

The FCPF CSO Observer selection process ended on February 16th, 2011. The clear regional winners in Africa, Latin American, and "Northern" were the Pan African Climate Justice Alliance, DAR, and BIC, respectively. The results in Asia/Pacific were unclear due to some voting irregularities, explained in detail below. After accounting for the irregularities, NAFAN appeared to be the winner from the Asia/ Pacific region. There was a total of 288 votes for all regions combined.

EDF volunteered to facilitate the FCPF CSO Observer Voting Process. Prior to starting the process we solicited volunteers to join an advisory group for the selection process to ensure transparency and thoroughness. Additionally, we looked to other processes already in place for selecting Civil Society Organization (CSO) observers to different committees. We found that the NGO Resolve was executing a selection process of CSO Observers for the Climate Investment Funds. Resolve developed this process over 4 years and with multiple CSO stakeholders, so we felt emulating components of their process would deliver good results. Specifically, we drew inspiration from Resolve's criteria/requirements for nominating observer candidates and their voting process.

After recruiting 4 independent advisors from 3 out of 4 regions to the advisory group, we submitted to them potential criteria/requirements to be a CSO observer. The advisory group finalized the criteria/requirements and then agreed upon the process for executing the selection of the observers: candidate nomination, verification of candidates, dissemination of candidates and voting, tallying of votes, and certifying the winners and notification. The advisory group consisted of:

- Global Witness Rick Jacobsen (Northern)
- World Resource Institute Florence Daviet (Northern)
- Amazon Environmental Research Institute (IPAM) Mariana Christovam (LAC)
- Livelihood Research Association Isaac Fwemba (Africa)

For the candidate-nomination process, we created Gmail account called а fcpfselection@gmail.com and used this account to create Google Forms for the candidate nomination process. These forms were produced in English, Spanish, and French. Latin American candidates could complete an English or Spanish form while the African candidates could complete an English or French form. After candidates submitted their information, we created candidate profiles in the necessary languages/region combinations. We combined the candidate profiles with the observer criteria in new Google form that also functioned as a ballot. These voting forms were made available in the necessary language/regional combinations.

Below is the more detailed review. It is divided into 5 Sections covering the different processes executed:

<u>I) Voting Process Timeline</u> <u>II) Candidate Nomination Surveys</u> <u>III) Candidate Nomination Results</u> IV) Voting

A. Candidate Voting Surveys

B. Detailed Voting Results

C. Irregularities

V) Lessons Learned/ Recommendations.

# I. <u>Voting Process Timeline</u>

Advisory Committee	Dec 7 <sup>th</sup> 2011- Jan	We recruited 4 independent advisors from 3		
Recruitment	$2^{nd} 2012$	out of 4 regions to the advisory group. The		
		advisory group finalized the criteria/		
		requirements and then agreed upon the		
		process for executing the selection of the		
		observers: candidate nomination, verification		
		of candidates, dissemination of candidates an		
		voting, tallying of votes, and certifying the		
		winners and notification.		
Candidate Nomination	Jan 3 <sup>rd</sup> - Jan 23 <sup>rd</sup>	EDF distributed an explanatory letter and		
Process (see <u>II</u> and <u>III</u> )	2012	candidate-nomination Google Survey over		
	2012	list-serves such as REDD+ coalition Google		
		groups, FCPF strategy, and asked contacts to		
		distribute the application process rules to		
		interested observers. All candidates		
		nominated were put on the voting ballot.		
Voting (see <u>IV</u> )	Jan 30 <sup>th</sup> - Feb 16 <sup>th</sup>	A voting ballot was developed for each region		
, , , , , , , , , , , , , , , , , , ,	2012	and translated into the corresponding		
		languages with information gained from the		
		Application/ candidate nomination process.		
		Although the original deadline was February		
		23 <sup>rd</sup> , it was moved up in order to give		
		candidates more time to obtain the necessary		
		visas to be part of the FCPF observers.		
Voting tally (see <u>IV C</u> )	Feb 16 <sup>th</sup> -Feb 21 <sup>st</sup>	EDF went through the results and noted a few		
	2012	irregularities such as duplicate votes and lack		
		of information, so the appropriate steps were		
		taken to account for these votes, with the		
		approval of the advisory committee (see $\underline{IV}$		
		$\underline{C}$ ). Research on possible irregularities is		
		explained in detail below.		
<b>Observers Notified (see</b>	February 21 <sup>st</sup> 2012			
V: Recommendations).	2012	candidates who had achieved the most votes		
		and those who had not achieved the most		
		votes to serve as FCPF CSO Observers via the		
		e-mails they provided on the Candidate		
		Nomination Form. EDF also reflected on the		
		process and thought of lessons learned and		

	recommendations for future CSO Observer
	Voting Processes.

## II. <u>Candidate Nomination surveys</u>

**English** 

<u>Spanish</u>

**French** 

### III. <u>Candidate Nomination results</u>

**English** 

<u>Spanish</u>

**French** 

#### IV. Voting

For voting, we used Google Forms divided by region and language (Africa voting in English, Africa voting in French, Latin America voting in Spanish, Latin America voting in English, Asia/Pacific voting in English, and Northern voting in English). Voters were asked to provide verification information such as organization name and contact information. We disseminated the information over several relevant list-serves such as Climate-L, Forests-L, and FCPF NGO list serve subscribed to by many NGOs that follow the FCPF.

#### A. Candidate Voting Surveys

Below are links to the ballots disseminated in the necessary language/regional combinations.

"Northern" country

Asia/Pacific

<u>Africa</u>

Latin America

América Latina

L'Afrique

## B. Detailed Voting Results

With Google Forms, each "ballot" was time stamped and the information provided by the voter added to different columns to allow verification if needed. See below for notes on using Google Forms compared to a more sophisticated voting software program. There are links to each respective region's voting tables (time stamp, identification, vote). Below those links are summaries of each region's final voting results, with the winner in bold:

## Africa (English)

1. Pan African Climate Justice Alliance Kenya		47	80%
2. Cameroon Ecology		2	3%
3. Congo Observatory of Human Rights (OCDH)		2	3%
4. Organisation Concertée des Ecologistes et Amis de la Nature (OCEAN)		8	14%
Total votes: 59			
Africa (French)			
1. Pan African Climate Justice Alliance Kenya (PACJA)	2		11%
2. Ecologie Cameroun	5		26%
3. Observatoire Congo de les Droits de l'Homme (OCDH)	1		5%
4. Organisation Concertée des Ecologistes et Amis de la Nature (OCEAN)	11		58%
Total votes : 19			
<u>Africa total</u>			
1. Pan African Climate Justice Alliance Kenya (PACJA)		49	64%
2. Ecologie Cameroun		7	22%
3. Observatoire Congo de les Droits de l'Homme (OCDH)		3	6%
4. Organisation Concertée des Ecologistes et Amis de la Nature (OCEAN)		19	26%
Total votes: 78			
<u>Asia/ Pacific</u> (Statistically significant irregularities, see <u>IV C</u> ).			
1. The Center for People and Forests, Vietnam (RECOFTC)		51	40%
2. HUMA Indonesia (Huma)		7	6%
3. National Forum for Advocacy Nepal (NAFAN)		64	50%
Total votes: 121			

Latin America (Spanish)

1. Servicios Ambientales de Oaxaca (SAO)	3	3%
2. Derecho Ambiente y Recursos Naturales (DAR)	70	96%
Total votes: 73		
Latin America (English)		
1. Oaxaca Environmental Services (Servicos Ambientales de Oaxaca/ SAO)	2	22%
2. Environmental Rights and Natural Resources (Derecho Ambiente y Recursos Naturales/ DAR)	7	78%
Total votes: 9		
Latin America total		
1. Servicios Ambientales de Oaxaca (SAO)	4	5%
2. Derecho Ambiente y Recursos Naturales (DAR)	75	95%
Total votes: 81		

Northern

BIC: 8 votes (100%)

### C. <u>Irregularities</u>

To determine if submissions were irregular, 6 criteria were used:

- 1) Inclusion of NGO contact information
- 2) Inclusion of NGO website
- 3) If lacking contact information, the vote was flagged for an irregularity. If the irregularity was lacking a website, we googled the NGO and tried to find work they had done mentioned in a news article from at least 2009. If no Google results from 2009 or later were found, voters were emailed requesting verification of their vote. If a delivery failure notification was received from the e-mail or if the e-mail sent on February 17<sup>th</sup> received no response by the afternoon of February 21st, they were highlighted in red and labeled as irregular.
- 4) If there was no contact name or e-mail provided or if the voter was not a CSO, votes were highlighted in red.
- 5) If CSOs voted after the deadline, they were highlighted in green and not counted.
- 6) If NGOs voted more than once, they were highlighted in yellow and counted as duplicates. Only one vote was counted from the NGO and the other vote was annulled.

After using these criteria, it was found that <u>the only statistically significant irregularities were in</u> <u>Asia/Pacific because voting was so close (51 votes for RECOFTC vs. 64 votes for NAFAN).</u> If the irregularities are taken into account and discounted, NAFAN is still the winner, but only by 3 votes.

This is the number of irregularities found in Asia/Pacific, and how results would change if irregularities were taken out of the voting pool:

## Asia/ Pacific

1. The Center for People and Forests, Vietnam (RECOFTC)	51	40%
2. HUMA Indonesia (Huma)	7	6%
3. National Forum for Advocacy Nepal (NAFAN)	64	50%

Organization		Confirmed irregularities	Votes after the deadline
NAFAN	12	<mark>9</mark>	<mark>11</mark>
RECOFTC	<mark>4</mark>	<mark>9</mark>	<mark>5</mark>
Huma	0	2	0

## (winner in pink)

Organization	Original votes	Votes discounted	New total
<b>NAFAN</b>	<mark>64</mark>	<mark>26</mark>	<mark>38</mark>
RECOFTC	51	16	35
Huma	7	2	5

#### V. Lessons Learned/ Recommendations

- A. More security for voting needed: While we don't believe there was any fraud or ballot stuffing that occurred in this process, it could potentially become an issue when voting becomes more widespread in the future. The advantage to using Google Forms was that it was free of charge and easy to create a survey for each region. However, he disadvantage was the inability to format information in the forms, split the form into different page numbers, combine all languages in one multi-page form, and security issues encountered when noticing voting irregularities. It seems that using sophisticated software to verify IP addresses and ensure that each CSO was able to vote only once in their respective regions would be a better option than using Google Forms. To this end, some funding may be needed to purchase such software and avoid such irregularities.
- **B.** Budget support for a more formalized process: The FCPF PC should take into consideration that the next election will require a more secure process and reaching out to regions. A budget should be considered to pay for the piece of software and a service provider, such as Resolve, to execute a selection process. This budget should be part of the funds that go to pay for CSO Observer participation. While the number of candidates and votes were minimal this round, we do expect interest in being an observer and voting numbers to increase as the FCPF begins implementation in many countries over the next two years. Thus, a sturdier voting infrastructure and more time to complete the voting process will be needed, which will most likely require remuneration of the executing organization.