

REDD + ANNUAL COUNTRY PROGRESS REPORTING (with semi-annual update)

COUNTRY: [Bhutan]
PERIOD: [Jan-June 2015]

Background: This country reporting framework has been developed following the structure of the FCPF Monitoring and Evaluation Framework, its logical framework and Performance Measurement Framework (PMF), so as to facilitate and systematize the data analysis. The semi-annual country reporting should provide the FCPF's Facility Management Team (FMT) with indications of REDD+ countries' progress towards the achievement of their readiness activities and the implementation of their Emission Reductions programs overtime, in a way that data are easily consolidated and provide indications on the level of achievement of the FCPF output, outcome and impact indicators as defined in the FCPF M&E Framework.

Report preparation: Submitted country reports should draw upon the country M&E system for REDD + (component 6 of R-PP) and should be prepared in consultation with members of REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated into national reporting, and divergent views indicative of lack of consensus on specific issues should be recorded in the country report.

Reporting schedule: It is expected that the annual progress country reporting will be submitted to the FMT by August 15th each year. The reporting should be based on a self-assessment of progress. An update of this country reporting will also be submitted by March 15th each year.

1. SUMMARY OF REPORT

This section should provide a short description of FCPF support in country (bullets on FCPF-financed activities only). Information should summarize progress, key achievements with a focus on higher level results and important issues/problems that arose during the reporting period. Highlights of next steps in following period should also be provided (key bullets only).

SUMMARY:

- Grant Agreement for REDD+ Readiness Preparation signed between World Bank and Ministry of Finance, Royal Government of Bhutan in March 2015
- REDD+ Readiness Preparation Project launched officially on May 27, 2015
- Implementation framework including procurement and work plan for the first year (July 2015-June 2016) developed

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2. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention “does not apply – n/a”.

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.2.B.i.):	
<u>Source:</u> UN-REDD	<u>Amount provided:</u> USD 345000 (TS 2014 & 15)
<u>Source:</u>	<u>Amount provided:</u>
<u>Source:</u>	<u>Amount provided:</u>
Amount of non-FCPF investments received for implementation of activities relevant to ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator I.2.B.i.):	
<u>Source:</u> NA	<u>Amount provided:</u>
<u>Source:</u>	<u>Amount provided:</u>
<u>Source:</u>	<u>Amount provided:</u>

Describe how stakeholders are participating and engaging in REDD+ decision making processes (FCPF M&E Framework Indicator I.3.A):
<p><u>Provide examples of how IPs and CSOs are represented in institutional arrangements for REDD at the national level.</u></p> <p>CSOs are represented in REDD+ Technical Working Group. Local community representatives are involved when consultations are held at local level</p>
<p>Examples of stakeholder engagement platforms in country which meet regularly to discuss and provide inputs to the REDD+ readiness process (FCPF M&E Framework 3.2.a.):</p>

Frequency:

Examples of resources made available to enable active participation of IPs , CSOs and local communities in national REDD+ readiness.

Communication material on REDD+ for stakeholders at national level

Number and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (*FCPF M&E Framework Indicator I.3.B.*):

Number of policy reforms during the reporting period that are: NA

Underway:

Completed:

Please describe these policy reforms:

Design of national REDD+ Strategies addresses indicators for enhancement of livelihoods of local communities and for biodiversity conservation (*FCPF M&E Framework Indicator 3.B.*):

Provide examples of how national REDD Strategies address livelihoods of local communities and biodiversity conservation.

NA

3. PROGRESS AT R-PP sub component level

3.1. REDD Readiness Progress

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.): up to 300 words, if applicable

Progress made during the reporting period in developing the country Readiness Package:

While implementation framework for implementation of FCPF World Bank REDD+ Readiness project, various activities were initiated with funding from UN-REDD Targetted support programme 2014 & 2015. Some of the activities (below) have been completed while others are in final stage of completion.
















1. Corruption risk assessment for REDD+ in Bhutan carried out with UN-REDD support. The findings from study on corruption risk assessment for REDD+ in Bhutan will help in identifying possible areas of corruption and forest governance issues and help to focus on those areas while formulating the National REDD+ Strategy. Among many others, the study narrowed down to three main governance issues namely 1) Supply of rural timber, 2) Illegal forest activities and 3) decentralization & community forestry
2. Action Plan for National Forest Monitoring System for REDD+ in Bhutan. The Action Plan provides a roadmap of activities to be carried out that will help to establish the NFMS at end of Readiness phase (July 2014-June 2018)
3. Development of Stakeholder Engagement Guideline for REDD+ in Bhutan which is in final draft form. The Stakeholder engagement guideline which details out steps for involving stakeholders will ensure effective participation of all stakeholders in REDD+ process.
4. Communication material on REDD+ for stakeholders at national level developed and published. Communication material for local level stakeholder (brochure and audio-visual) under process.

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	Budget incorporated into RGoB system and implementation will commence from August 2015
	1b. Consultation, Participation, and Outreach	
2. REDD+ Strategy	2a. Assessment of Land Use, Land Use Change	

Preparation	Drivers, Forest Law, Policy and Governance	
	2b. REDD+ Strategy Options	
	2c. Implementation Framework	
	2d. Social and Environmental Impacts	
3. Reference Emissions Level/Reference Levels		
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	

Level of overall achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):






<u>Planned Milestones:</u>	<u>Level of Achievement¹:</u>	<u>Tracking²:</u>															
		<table border="1"> <tr> <td></td> <td></td> <td><i>Significant progress</i></td> </tr> <tr> <td></td> <td></td> <td><i>Progressing well, further development required</i></td> </tr> <tr> <td></td> <td></td> <td><i>Further development required</i></td> </tr> <tr> <td></td> <td></td> <td><i>Not yet demonstrating progress</i></td> </tr> <tr> <td></td> <td></td> <td><i>Non Applicable</i></td> </tr> </table> <p><i>Please explain why:</i></p>			<i>Significant progress</i>			<i>Progressing well, further development required</i>			<i>Further development required</i>			<i>Not yet demonstrating progress</i>			<i>Non Applicable</i>
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		<i>Not yet demonstrating progress</i>															
		<i>Non Applicable</i>															

¹ Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+































² The level of achievement of planned milestones according to approved RF grant will be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, a fifth color scale "Non Applicable" can be selected.































This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework











Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.).
Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 15th each year











	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 1 – Readiness Organization and Consultation	Sub-Component 1a – National REDD+ Management Arrangements <i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies</i> <i>Country Self-Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism</i>					Significant progress
						Progressing well, further development required
						Further development required
						Not yet demonstrating progress
						Non Applicable






³ The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color ‘traffic light’ scale and then briefly explained. In case the assessment is not applicable, a fifth color scale ‘Non Applicable’ can be selected. This ‘traffic light’ scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.






	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)																
		Planned milestones	Achievements																	
	<p>Sub-Component 1b – Consultation, Participation, and Outreach</p> <p><i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i></p> <p><i>Country Self- Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes</i></p>			<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td></td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development required</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p>Please explain why:</p>			Significant progress			Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable	
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		Progressing well, further development required																		
		Further development required																		
		Not yet demonstrating progress																		
		Non Applicable																		
R-PP Component 2 – REDD+ Strategy Preparation	<p>Subcomponent 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</p> <p><i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i></p> <p><i>Country Self- Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy</i></p>			<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td></td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development required</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p>Please explain why:</p>			Significant progress			Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable	
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Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)																
	Planned milestones	Achievements																	
<p>Subcomponent 2b: REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Country Self-Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies.</i></p>			<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td></td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p>Please explain why:</p>			Significant progress			Progressing well, further development required			Further development			Not yet demonstrating progress			Non Applicable	
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		Non Applicable																	
<p>Subcomponent 2c: Implementation Framework</p> <p><i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i></p> <p><i>Country Self-Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</i></p>			<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td></td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p>Please explain why:</p>			Significant progress			Progressing well, further development required			Further development			Not yet demonstrating progress			Non Applicable	
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	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
	<p>Subcomponent 2d: Social and Environmental Impacts</p> <p><i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i></p> <p><i>Country Self- Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</i></p>					Significant progress
						Progressing well, further development required
						Further development
						Not yet demonstrating progress
						Non Applicable
				Please explain why:		
R-PP Component 3 – Reference Emissions Level/Reference Levels	<p>R-PP Component 3 - Reference Emissions Level/Reference Levels</p> <p><i>Purpose: Development of the general approach to establish a REL/RL</i></p> <p><i>Country Self- Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i></p>					Significant progress
						Progressing well, further development required
						Further development
						Not yet demonstrating progress
						Non Applicable
				And explain why:		






	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)	
		Planned milestones	Achievements		
R-PP Component 4: Monitoring Systems for Forests and Safeguards	<p>Subcomponent 4a: National Forest Monitoring System</p> <p><i>Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</i></p> <p><i>Country Self- Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</i></p>				Significant progress
					Progressing well, further development required
					Further development
					Not yet demonstrating progress
					Non Applicable
				And explain why:	
	<p>Subcomponent 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</p> <p><i>Purpose: Specify the non-carbon aspects prioritized for monitoring by the country</i></p> <p><i>Country Self- Assessment Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i></p>				Significant progress
					Progressing well, further development required
					Further development
					Not yet demonstrating progress
					Non Applicable
				And explain why:	

Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage (FCPF M&E Framework 1.3.d.):		
	Rate	Tracking
RF Grant - disbursement rate vs. planned disbursements		<i>Please select your rating:</i>
		 Up to 10% variance with plans
		 Between 10-25% variance with plans
		 Between 25-40% variance with plans
		 More than 40% variance
		 Non Applicable

Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):		
	Rate	Tracking
Total Budget in R-PP - disbursement rate vs. planned disbursements (including other funding sources and FCPF Readiness Grant)		<i>Please select your rating:</i>
		 Up to 10% variance with plans
		 Between 10-25% variance with plans
		 Between 25-40% variance with plans
		 More than 40% variance
		 Non Applicable

3.2. Engagement of stakeholders within the approach to REDD +

Examples of actions/activities where IPs, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.1.a.):	
Action/activity:	Describe IP, CSO, and local community participation:

Number of IP and REDD country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):				
Please list the training conducted:	Duration (# of days)	# of participants # of men / # of women		Targets in terms of number of men and women to be trained by country to be defined
				
				
				
				Non Applicable

3.3. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
Yes/No:	Please provide the list of published REDD+ knowledge products, if any during reporting period :

How many people have been reached by these knowledge products, if any:
Overall number by product:
<u># of Men:</u>
<u># of Women:</u>

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
Yes/No:	List the South-South learning activities:	# of men: (IP/CSO representatives, private sector representatives) # of women: (IP/CSO representatives, private sector representatives)

4. ISSUES, CHALLENGES AND RISKS

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

It is expected that the country monitors any changes in the assumptions that underpin the logic of intervention of FCPF at the national level and other significant risks that may arise. This section should explain through a narrative any changes in the level of risk associated with the different assumptions, or describe new risks that may have emerged and have a significant bearing on the national work-planning with respect to FCPF support for the next year and beyond, along with the associated measures required to address this change.

Lack of know-how on all aspects of REDD+
Lack of technical expertise in generating information required for REDD+
Lack of adequate data/information required for REDD+

5. MAIN LESSONS LEARNED

This section should be used to provide information on important lessons learned since the beginning of the readiness process. It is expected that this section will be fairly substantial, making reference to different lessons learned, analysis documents developed, and/or experiences made in dealing with issues of particular interest to REDD+.

Engagement of stakeholder is a continuous process and enough resources should be dedicated to build their capacity to engage them effectively in REDD+ process.