

REDD + ANNUAL COUNTRY PROGRESS REPORTING (with semi-annual update)

COUNTRY: [Costa Rica]

PERIOD: [August 16th, 2015-February 29th, 2016]

Background: This country reporting framework has been developed following the structure of the FCPF Monitoring and Evaluation Framework, its logical framework and Performance Measurement Framework (PMF), so as to facilitate and systematize the data analysis. The semi-annual country reporting should provide the FCPF's Facility Management Team (FMT) with indications of REDD+ countries' progress towards the achievement of their readiness activities and the implementation of their Emission Reductions programs overtime, in a way that data are easily consolidated and provide indications on the level of achievement of the FCPF output, outcome and impact indicators as defined in the FCPF M&E Framework.

Report preparation: Submitted country reports should draw upon the country M&E system for REDD + (component 6 of R-PP) and should be prepared in consultation with members of REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated into national reporting, and divergent views indicative of lack of consensus on specific issues should be recorded in the country report.

Reporting schedule: It is expected that the annual progress country reporting will be submitted to the FMT by August 15th each year. The reporting should be based on a self-assessment of progress. An update of this country reporting will also be submitted by March 15th each year.

1. SUMMARY OF REPORT

This section should provide a short description of FCPF support in country (bullets on FCPF-financed activities only). Information should summarize progress, key achievements with a focus on higher level results and important issues/problems that arose during the reporting period. Highlights of next steps in following period should also be provided (key bullets only).

SUMMARY:

Costa Rica keeps moving forward on the development of the National REDD+ Strategy (NREDD+S):

- Presenting the Readiness Package (R-Package) to the FCPF with its corresponding approval
- Presenting the Emissions Reduction Program (ERPD) to the Carbon Fund for its revision and further approval, incorporating comments from the TAP.
- Grant endorsement for US \$5.5 million to finalize the country's preparation process.
- Concluding the information and pre-consultation phases as well as initiating the consultation process; participation of the indigenous territories of the Central Pacific had been in a hiatus due to disagreements on the Government's overall political agenda but finally decided to participate and work with the REDD Strategy on the information and pre-consultation processes.
- Self-Assessment of the Relevant Interested Parties (RIPs) was finalized and feedback as well as specific issued derived from it were addressed and included.
- Identification and definition of REDD+s applicable policy framework.
- National REDD+ Strategy's document finalized and significant advance in the design of the Implementation Plan.
- Analysis on the definition of the Benefit Sharing Mechanism, as well as the legal analysis on land

tenure.

- *Progress on the Financing Plan for the National REDD+ Strategy (costs).*
- *Reference level presented to UNFCCC.*
- *The National Center for Geo Environmental Information (CENIGA, acronym in Spanish) as instructed by the Minister of Environment, will be the entity in charge of coordinating the National Monitoring and Land Use Cover System; it will also be leading the MRV's design alongside the REDD+ Strategy.*
- *Currently working on the Safeguards Information System*
- *Significant advance on the development of management plans to incorporate the remaining REDD+ activities in the Reference Level: forest degradation, forest plantation and sustainable forest management.*
- *Ongoing implementation process for the Feedback and Grievance Redress Mechanism*
- *100% execution of the amount disbursed (82.9%) of the US\$ 3.6 million donation.*
- *REDD+'s Executive Committee was constantly involved in the Strategy's development process.*

Important issues /problems

- *Coordinating among public institutions for information transfer processes has been slow. We expect an improvement in the matter.*
- *Delivering the reference level has taken more time than expected due to internal information management issues in the country.*

Highlights of next steps in following period

- *Continuing with the action plans that incorporate de Reference Level to all 5 REDD+ activities.*
- *Concluding NREDD+S's consultation process.*
- *Finalizing the information, pre-consultation and consultation processes in indigenous territories of Central Pacific.*
- *Consolidating an updated version of the ERPD and presenting it to the Carbon Fund Participants. This version will address both TAP's and donors' observations.*
- *Formalizing and publishing NREDD+S's Implementation Decree.*
- *Establish the necessary institutional arrangements for NREDD+S's implementation. Socialize NREDD+S's Implementation Plan with key actors (SINAC-DCC-IMN-CENIGA...).*
- *Develop a Plan for the additional US\$5.5 million donation in order to conclude pending processes of NREDD+S's Implementation*
- *Initiating negotiations with World Bank in order to access up to US\$ 63 million for the purchase of the ERPD's emission reductions*
- *Designing and consolidating the Emission Reduction National System Registry.*
- *Identifying multiple non carbon benefits from REDD+'s activities*
- *Revising and approval of the NREDD+S's PAD*
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2. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention "does not apply – n/a".

Main Achievements

- *Approval of the R-Package presented to the FCPF.*
- *Presentation of the updated version of the ERPD.*

- *NREDD+S's updated document.*
- *6 policies, 24 actions and 134 activities/tasks for the NREDD+S's implementation were defined through SESA and follow up process.*
- *Analysis and definition of institutional arrangements for the implementation of the NREDD+S and its components.*
- *Progress on the design on the NREDD+S's Implementation Plan*
- *Final version of the proposed executive decree for the NREDD+S's implementation presented to FONAFIFO's Board of Directors. Validation from MINAE is still pending.*
- *Initiating the consultation process on Central Pacific's indigenous territories (information and pre-consultation)*
- *Organizing feedback on the 5 special subjects provided by indigenous participants.*
- *NREDD+S's consultation process is in the design phase currently waiting for it to be revised*
- *Self-assessment process of the Relevant Interested Parties (RIPs) of REDD+ Strategy has concluded and allowed the Secretariat to identify current and potential gaps.*
- *Updated versions of Strategic Environmental and Social Assessment (SESA) and Environmental and Social Management Framework (ESMF).*
- *Establishing a cost based Financial Plan for all identified tasks in the NREDD+S and also progressing on the scenario sensitivity analysis based on variations of carbon prices.*
- *Development of the NREDD+S's first emissions' reference level*

Results

- *13 meetings of the Executive Committee, conducted on a monthly basis with 76 agreements that have been executed (including the meeting held on January, 2016)*
- *69 meetings and workshops with relevant stakeholders involved in the strategy's construction process, mainly held on indigenous territories.*
- *100% of the Secretariat's vacancies were hired.*
- *95% of the consultation plan has been implemented; 3 out of the 24 indigenous territories are in the process of joining the strategy and initiating preliminary information and pre-consultation activities.*
- *9 Costa Rican experts trained in South-South cooperation experiences.*
- *82.9% of the first donation disbursed. The 17.1% remaining will be added to the second US\$5.0 million donation.*
- *100% of the amount disbursed in the first donation was executed.*
- *An external auditing processes was held at the end of 2014.*
- *Two cooperation agreements were signed; one recorded an estimated amount of US\$760.000 and another of US\$40.000.*
- *The self-assessment process of the RIPs was carried out in 5 different sectors: small forestry and agroforestry producers, private, academy, indigenous and government.*
- *2 inter-institutional meetings were held in order to analyze preliminary results of the forestry emissions reference level.*
- *1 inter-institutional meeting was held in order to discuss governmental guidelines on constructing the National Land Use Monitoring System.*
- *Dialogue of indigenous territories with the Minister of Environment and Energy.*

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.2.B.i.):

<u>Source:</u> GIZ	<u>Amount provided:</u> US\$ 1.600.000
<u>Source:</u> UN-REDD	<u>Amount provided:</u> US\$ 927.900
<u>Source:</u> US DEPARTMENT OF STATE	<u>Amount provided:</u> US\$ 100.000
<u>Source:</u> NORAD	<u>Amount provided:</u> US\$ 114.000
<u>Source:</u> COSTA RICA'S GOVERNMENT	<u>Amount provided:</u> US\$ 200.000

Amount of non-FCPF investments received for implementation of activities relevant to ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator I.2.B.i.): Does not apply

<u>Source:</u>	<u>Amount provided:</u>
<u>Source:</u>	<u>Amount provided:</u>
<u>Source:</u>	<u>Amount provided:</u>

Describe how stakeholders are participating and engaging in REDD+ decision making processes (FCPF M&E Framework Indicator I.3.A):

Provide examples of how IPs and CSOs are represented in institutional arrangements for REDD at the national level.

- **Government Agencies:** a process of active coordination and participation has been implemented with government institutions, which by law, have a direct responsibility in the implementation of the strategy, such as: SINAC, MINAE, IMN, MAG, DCC, CENIGA, SINIA, CONAGEBIO. Costa Rica Government's interest in executing the REDD+ Strategy derives from having it be a part of a much broader program in order to meet objectives established in the National Development Plan.
- **Indigenous Territories:** the pre-consultation process was finalized in 19 indigenous territories and the Secretariat is currently working towards the consultation phase; also, the self-assessment process was conducted. The Secretariat has worked with 4 indigenous territories of the Central Pacific in order to incorporate them into the Strategy's consultation phase. 5 special subjects were identified and even though they won't be solved by the Strategy, they provide grounds on which to work with the Government; indigenous people's feedback on the matter has been organized. Currently, consolidating a Government level agreement, which will generate a national indigenous population consultation protocol, has been a priority for the Secretariat.
- **Small agroforestry producers:** this sector is composed of 4 different platforms (information phase with representatives from the agriculture sector, UNAFOR, ONF and UPANACIONAL). The self-assessment process has finalized and it was presented as a part of the preparation package.
- **Women and Youth:** the Secretariat hopes to strengthen participation of both of these groups, with money derived from the second donation, nonetheless, internal mechanisms within the Secretariat were established in order to measure their level of participation in ongoing activities. Communications experts are expected to generate a strategy to improve interest from women and youth.
- **Organizations and Civil Society:** some organizations that support internal consultation and participation processes for civil society are: IUCN which is implementing the VCS Standard and is working alongside the Norwegian Government to pilot JNR Standard as one accounting framework for REDD+'s Strategy; INBio which is using resources from the Norwegian Government to develop actions related to co-benefits and the organization of regional experiences within the REDD+ framework; CATIE and the Regional Climate Change Program (RCCP) help strengthen the Feedback and Grievance Redress Mechanism, also, they work alongside UN-REDD and Terra Global Capital on the development of a Financing Plan for the Strategy; UN-REDD is also supporting the creation of business models which can be incorporated into the Costa Rica private sector, as well as contributing with business chambers through round tables in order to generate entrepreneurship linked to REDD+'s activities, WISE and Conservation International have reinforced the Self Assessment process with the RIPS, promoting the participation of stakeholders in other relevant topics.
- **Private Forestry Entities:** FCPF and UN-REDD have supported several actions in the context of the REDD+ Strategy in order to consolidate the private sector's participation within the project, they were provided with training from Eco-Terra which presented examples on how to integrate carbon in the sector's productive chains.
- **Donors:** GiZ, UNREDD, USAID, WISE and NORAD provided financial support as well as technical and social assistance in the development of the Strategy.

Examples of stakeholder engagement platforms in country, which meet regularly to discuss and provide inputs to the REDD+ readiness process (FCPF M&E Framework 3.2.a.):

<u>Frequency:</u>	
<i>Monthly</i>	<i>Executive Committee</i>
<i>Every two months</i>	<i>Meetings of the Inter-institutional Commission (as required)</i>
<i>When necessary</i>	<i>Technical Round Tables</i>

Examples of resources made available to enable active participation of IPs , CSOs and local communities in national REDD+ readiness.

As part of the consultation process and plan, IPs and CSOs have received resources to deploy consultation activities. Several meetings were organized to consult on specific REDD+ related issues, in particular in indigenous territories.

Number and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (FCPF M&E Framework Indicator I.3.B.):

Does not apply

Number of policy reforms during the reporting period that are:

Underway: National Program on Forests and Rural Development

Completed:

Please describe these policy reforms:

Design of national REDD+ Strategies addresses indicators for enhancement of livelihoods of local communities and for biodiversity conservation (FCPF M&E Framework Indicator 3.B.):

Provide examples of how national REDD Strategies address livelihoods of local communities and biodiversity conservation.

Mitigation Activities such as reforestation and Agroforestry systems activate local economy, creating jobs and promoting increased forestry cover as biological corridors for different species.

The use of programs and/or national policies such as the Payment for Environmental Services applied in indigenous communities. When the PES is applied in indigenous communities, in most cases is applied as a collectivity, which means a social development and support for the community, since they can build infrastructure such as health centers, schools, study grants and provide economic aid to families.

3. PROGRESS AT R-PP sub component level

3.1. REDD Readiness Progress

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.): up to 300 words, if applicable

Progress made during the reporting period in developing the country Readiness Package:

- *The Readiness Package (R-Package) was presented and approved by the FCPF Participants Assembly. Forest Carbon Participants are currently revising Costa Rica's Emission Reduction Program Document(ERPD) which incorporated comments from the TAP. REDD+'s applicable policy framework. CENIGA was established as the coordinating entity for the National Monitoring and Land Use System and the design, alongside the Secretariat, of the MRV System. The National REDD+ Strategy (NREDD+S)'s document was finalized and there has been significant progress on the design of its Implementation Plan and on the newest version of the Financial Strategy (costs, carbon price scenarios, etc.) There are several updated versions of the Strategic Environmental and Social Assessment (SESA) and on the Environmental and Social Management Framework (ESMF). The Self Assessment process for the RIPs was concluded and there was a correct organization of feedback provided from indigenous participants on the 5 special topics. The Forest Reference Level was presented to UNFCCC's Secretariat and there were significant advances on the development of the management plans to incorporate the remaining REDD+ activities in the Reference level: forest degradation, forest plantation and sustainable forest management. The Feedback and Grievance Redress Mechanism and the Safeguard Information System (SIS) were jointly developed with the RIPs.*

Please indicate which of your country R-PP components and sub-components have received support

from FCPF through the Readiness Preparation Grant (>3.4 million USD)		
Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	<i>Yes</i>
	1b. Consultation, Participation, and Outreach	<i>Yes</i>
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	<i>Yes</i>
	2b. REDD+ Strategy Options	<i>Yes</i>
	2c. Implementation Framework	<i>Yes</i>
	2d. Social and Environmental Impacts	<i>Yes</i>
3. Reference Emissions Level/Reference Levels		
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	<i>Yes</i>
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	<i>No</i>

Level of overall achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):






Planned Milestones:	Level of Achievement ¹ :	Tracking ² :															
Milestones component 1 (1a y 1b)	Significant Progress	<table border="1"> <tr> <td data-bbox="959 275 1040 380"></td> <td data-bbox="1040 275 1122 380">✓</td> <td data-bbox="1122 275 1459 380">Significant progress</td> </tr> <tr> <td data-bbox="959 380 1040 443"></td> <td data-bbox="1040 380 1122 443"></td> <td data-bbox="1122 380 1459 443">Progressing well, further development required</td> </tr> <tr> <td data-bbox="959 443 1040 506"></td> <td data-bbox="1040 443 1122 506"></td> <td data-bbox="1122 443 1459 506">Further development required</td> </tr> <tr> <td data-bbox="959 506 1040 569"></td> <td data-bbox="1040 506 1122 569"></td> <td data-bbox="1122 506 1459 569">Not yet demonstrating progress</td> </tr> <tr> <td data-bbox="959 569 1040 632"></td> <td data-bbox="1040 569 1122 632"></td> <td data-bbox="1122 569 1459 632">Non Applicable</td> </tr> </table>		✓	Significant progress			Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable
	✓	Significant progress															
		Progressing well, further development required															
		Further development required															
		Not yet demonstrating progress															
		Non Applicable															
Milestones component 2 – 3 - 4	Progressing well, further development required																
Milestones component 5	Significant Progress																
Milestones component 6	Complete	<p>Please explain why: The implementation of the R-PP has been achieved in a 85%. This percentage answers to the progress accomplished in the following components:</p> <p>1b. Consultation, Participation, and Outreach, 2a Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance, 2b. REDD+ Strategic Options 2c. Implementation Framework 2d Environmental and social assessment 3. Reference Level. However, there are still some pending actions to enhance the components and conclude the preparation phase successfully. For example for the component 1b, the final phase of the consultation process is pending. The reference level needs to include REDD+'s 5 activities; currently it only has 3 of the 5 4a. The National Forestry Monitoring System, still need development, 4b Information System for Safeguards requires development.</p>															

¹ Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+











² The level of achievement of planned milestones according to approved RF grant will be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, a fifth color scale "Non Applicable" can be selected.











This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework











Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.).
Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 15th each year











	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 1 – Readiness Organization and Consultation	Sub-Component 1a – National REDD+ Management Arrangements <i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies</i> <i>Country Self-Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism</i>	<i>All grand structures for REDD+’s management are completely developed and functioning</i>	<i>Complete</i>		✓	<i>Significant progress</i>
		<i>The Secretariat’s Staff is 100% occupied and executing actions related to the construction and planning of future activities</i>	<i>Complete</i>			<i>Progressing well, further development required</i>
						<i>Further development required</i>
						<i>Not yet demonstrating progress</i>
						<i>Non Applicable</i>
				<i>Please explain why:</i>		

³ The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color ‘traffic light’ scale and then briefly explained. In case the assessment is not applicable, a fifth color scale ‘Non Applicable’ can be selected. This ‘traffic light’ scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
	<p>Sub-Component 1b – Consultation, Participation, and Outreach</p> <p><i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i></p> <p><i>Country Self- Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes</i></p>	<p><i>The Consultation Plan for relevant actors in being implemented</i></p> <p><i>Communications Strategy has been implemented.</i></p>	<p><i>The consultation phase with relevant parties is expected to finalize in the second semester of 2016</i></p> <p><i>In the process of being implemented</i></p>			Significant progress
					✓	Progressing well, further development required
						Further development required
						Not yet demonstrating progress
						Non Applicable
				Please explain why:		
R-PP Component 2 – REDD+ Strategy Preparation	<p>Subcomponent 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</p> <p><i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i></p> <p><i>Country Self- Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy</i></p>	<p><i>Evaluation processes for existing land use change and degradation, are completed.</i></p>	<p><i>Complete</i></p>		✓	Significant progress
						Progressing well, further development required
						Further development required
						Not yet demonstrating progress
						Non Applicable
				Please explain why:		

Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
	Planned milestones	Achievements			
<p>Subcomponent 2b: REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Country Self- Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectorial policies.</i></p>	<p><i>Policy options have been analyzed, defined and budgeted.</i></p> <p><i>REDD+ Strategy has been consulted by the RIPs and formalized by the Ministry of Environment and Energy</i></p>	<p><i>Defined policies. The Secretariat is working on developing action plans for the implementation and funding of these policies.</i></p> <p><i>In the process of being developed. The formalizing and consultation of the REDD+ Strategy is still pending.</i></p>			Significant progress
				✓	Progressing well, further development required
					Further development
					Not yet demonstrating progress
					Non Applicable
Please explain why:					
<p>Subcomponent 2c: Implementation Framework</p> <p><i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i></p> <p><i>Country Self- Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</i></p>	<p><i>The PSA model has been designed and efficient and transparent modifications were held in order to channel carbon funds for beneficiaries.</i></p>	<p><i>Developing</i></p>			Significant progress
				✓	Progressing well, further development required
					Further development
					Not yet demonstrating progress
					Non Applicable
Please explain why:					

	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
	<p>Subcomponent 2d: Social and Environmental Impacts</p> <p><i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i></p> <p><i>Country Self- Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</i></p>	<p><i>Strategic Environmental and Social Assessment (SESA) finalized and integrated in REDD+’s Strategy</i></p> <p><i>Environmental and Social Management Framework (ESMF) integrated in the REDD+ Strategy.</i></p>	<p><i>Complete</i></p> <p><i>Finalized</i></p>		<input checked="" type="checkbox"/>	<p>Significant progress</p>
						<p>Progressing well, further development required</p>
						<p>Further development</p>
						<p>Not yet demonstrating progress</p>
						<p>Non Applicable</p>
				<p>Please explain why:</p>		
R-PP Component 3 – Reference Emissions Level/Reference Levels	<p>R-PP Component 3 - Reference Emissions Level/Reference Levels</p> <p><i>Purpose: Development of the general approach to establish a REL/RL</i></p> <p><i>Country Self- Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i></p>	<p><i>Forestry Emissions Reference Level concluded.</i></p> <p><i>Management Plans to incorporate emission reduction’s activities due to degradation, forest carbon stock’s increase and sustainable forest management.</i></p>	<p><i>Finalized incorporating two REDD+ activities and submitted to the UNFCCC’s Secretariat for revision.</i></p> <p><i>Developing</i></p>		<input checked="" type="checkbox"/>	<p>Significant progress</p>
						<p>Progressing well, further development required</p>
						<p>Further development</p>
						<p>Not yet demonstrating progress</p>
						<p>Non Applicable</p>
				<p>And explain why:</p>		

	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 4: Monitoring Systems for Forests and Safeguards	<p>Subcomponent 4a: National Forest Monitoring System</p> <p><i>Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</i></p> <p><i>Country Self- Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</i></p>	<p><i>Land Use Coverage Monitoring System in institutional arrangements.</i></p> <p><i>Institutions actively participating on the development of information within their operating plans.</i></p> <p><i>National Forest Inventory</i></p>	<p><i>In progress</i></p> <p><i>Developing</i></p> <p><i>Finalized</i></p>	    	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>Significant progress</p> <p>Progressing well, further development required</p> <p>Further development</p> <p>Not yet demonstrating progress</p> <p>Non Applicable</p>
	<p>Subcomponent 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</p> <p><i>Purpose: Specify the non-carbon aspects prioritized for monitoring by the country</i></p> <p><i>Country Self- Assessment Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i></p>	<p><i>Safeguard Information System</i></p> <p><i>Co-benefit identification</i></p>	<p><i>Developing</i></p> <p><i>Developing</i></p>	    	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>Significant progress</p> <p>Progressing well, further development required</p> <p>Further development</p> <p>Not yet demonstrating progress</p> <p>Non Applicable</p>

And explain why:






And explain why:

Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage (FCPF M&E Framework 1.3.d.):																	
	Rate	Tracking															
RF Grant - disbursement rate vs. planned disbursements Nota: 100% of the total amount disbursed by the FCPF was executed.	82.9%	<p>Please select your rating:</p> <table border="1"> <tr> <td></td> <td></td> <td>Up to 10% variance with plans</td> </tr> <tr> <td></td> <td>✓</td> <td>Between 10-25% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>Between 25-40% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>More than 40% variance</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table>			Up to 10% variance with plans		✓	Between 10-25% variance with plans			Between 25-40% variance with plans			More than 40% variance			Non Applicable
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		Between 25-40% variance with plans															
		More than 40% variance															
		Non Applicable															

Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):																	
	Rate	Tracking															
Total Budget in R-PP - disbursement rate vs. planned disbursements (including other funding sources and FCPF Readiness Grant)	75%	<p>Please select your rating:</p> <table border="1"> <tr> <td></td> <td></td> <td>Up to 10% variance with plans</td> </tr> <tr> <td></td> <td>✓</td> <td>Between 10-25% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>Between 25-40% variance with plans</td> </tr> <tr> <td></td> <td></td> <td>More than 40% variance</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table>			Up to 10% variance with plans		✓	Between 10-25% variance with plans			Between 25-40% variance with plans			More than 40% variance			Non Applicable
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		Between 25-40% variance with plans															
		More than 40% variance															
		Non Applicable															

3.2. Engagement of stakeholders within the approach to REDD +

Examples of actions/activities where IPs, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.1.a.):	
<u>Action/activity:</u>	<p><u>Describe IP, CSO, and local community participation:</u></p> <p><i>Involvement of Relevant Actors:</i></p> <ul style="list-style-type: none"> • REDD+ 's governance (Executive Committee) • Civil Society organizations such as UNAFOR, UPANACIONAL, etc were representing the small forestry and agroforestry producers. • Environmental NGO's like Coecoceiba have participated in information, documentation and feedback activities, among others. • 24 indigenous territories, mostly represented by their local governments (Indigenous Development Associations, ADIs) • Indigenous women arranged themselves in groups to participate in the process; such is the case of ACOMUITA a local Bri Bri Cabecar women's association. • Forestry Organizations, the National Forestry Office (ONF) is actively participating in the Executive Committee and the Inter-Institutional Commission. • Inter-Institutional activities, the academy and the government are integrated in the Inter-Institutional Commission.
Number of IP and REDD country CSO representatives (men/women) having been successfully	

trained by FCPF training programs (FCPF M&E Framework 3.1.b.):						
<p><u>Please list the training conducted:</u></p> <p><i>Information and coordination workshops with 24 indigenous territories</i></p> <p><i>Meetings with small forestry and agroforestry producers</i></p>	<p><u>Duration (# of days)</u></p>	<p># of participants # of men / # of women</p> <p><i>100 participants: 75 men y 25 women</i></p> <p><i>150 participants: 100 men y 50 women</i></p>		✓	<p><i>Targets in terms of number of men and women to be trained by country to be defined</i></p>	
						
						
						
						Non Applicable

3.3. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
<p><u>Yes/No:</u> No</p>	<p><u>Please provide the list of published REDD+ knowledge products, if any during reporting period:</u></p>

How many people these knowledge products, if any have reached: <i>Does not apply.</i>
<p><u>Overall number by product:</u></p> <p><u># of Men:</u></p> <p><u># of Women:</u></p>

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
<p><u>Yes/No:</u> Yes</p>	<p><u>List the South-South learning activities:</u></p> <p>6 South-South Training Workshops on the REDD+ Strategy held in Ecuador, Panama. Safeguards, Monitoring System and the Warsaw Protocol</p>	<p><u># of men:</u>(IP/CSO representatives, private sector representatives) 6</p> <p><u># of women:</u> (IP/CSO representatives, private sector representatives) 3</p>

4. ISSUES, CHALLENGES AND RISKS

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

It is expected that the country monitors any changes in the assumptions that underpin the logic of intervention of FCPF at the national level and other significant risks that may arise. This section should explain through a narrative any changes in the level of risk associated with the different assumptions, or describe new risks that may have emerged and have a significant bearing on the national work-planning with respect to FCPF support for the next year and beyond, along with the associated measures required to address this change.

- *The hiring process has improved, but it still slow, which generates delays on product development, and it is directly related to a slow response in operational and direction changes as well as necessary adjustments.*
- *Accomplishing institutional agreements is complex and requires slow negotiation processes with institutions sometimes within the same ministry.*
- *Internal definition and institutional arrangements are still needed in order to generate the necessary information for the National Land Use Coverage Monitoring System. Major coordination progress has been displayed over the last couple of months, as the Ministry commends CENIGA as the institution in charge of designing REDD's MRV.*
- *There aren't enough financial resources in the country in order to escalate investments and develop all the actions required to produce additional results.*
- *There is a need to move forward on the operational design of the Benefit Distribution Mechanism in the Registry System; the country will use this system in order to generate the accounting for emission reductions on national initiatives.*

5. MAIN LESSONS LEARNED

This section should be used to provide information on important lessons learned since the beginning of the readiness process. It is expected that this section will be fairly substantial, making reference to different lessons learned, analysis documents developed, and/or experiences made in dealing with issues of particular interest to REDD+.

- *The first step that every country needs to follow in order to develop a national or subnational Strategy proposal is to create a Forestry Emissions Reference Level and also a Deforestation Engine Analysis.*
- *Developing or identifying REDD+’s policies or measures allows for orientation in field action implementation, management plan development and budget allocation.*
- *International cooperation has to respect each country’s regulatory framework as well as internal management structures in charge of processing and reporting information; it also has to strengthen those structures without imposing their ideas or conceptions.*
- *All countries must ensure that the accounting mechanism and methodological elements related are defined and linked to the official greenhouse gas’s inventory reports established.*
- *Some donors need to develop methodological frameworks that establish precise indications on which criteria can modify the Convention’s decisions according to national circumstances.*
- *There has to be financing provided in advance for REDD+’s activities or at least supplied beforehand for it’s kick-off and the promotion of such activities, due to the fact that countries don’t have additional resources for these early stages which could hinder the results.*
- Consultation processes with sectors can be slower than expected and therefore require additional resources.

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