



Readiness Fund REDD+ Country Participants Progress Report Template

v. 2018



FCPF Readiness Fund: REDD+ Country Participant Annual Progress Report

About this document

This template is for use by Readiness Fund (RF) REDD+ Country Participants to report their annual progress on REDD+ readiness activities in general, and on FCPF-supported activities in particular. The data provided through these reports represents a central information source for measuring progress against the FCPF's expected results and performance indicators, as articulated within the FCPF Monitoring and Evaluation (M&E) Framework.

Report preparation

Reports cover progress through 30th June of each year.

When preparing the report, RF REDD+ Country Participants should draw upon the country M&E system for REDD+ (component 6 of the R-PP) and should consult members of the national REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated, with any divergent views recorded as appropriate. Detailed, indicator-by-indicator definitions and reporting guidance are provided within the FCPF M&E Framework (<https://www.forestcarbonpartnership.org/monitoring-and-evaluation-0>).

Reporting schedule

Completed reports should be submitted to the FCPF's Facility Management Team (FMT) by **15th July** every year.

SECTION A: NARRATIVE SUMMARY

1. In brief, what were the main REDD+ readiness-related activities delivered within your country during the last year?

The main activities carried out with the Readiness Fund are part of the formulation and validation of the National Climate Change and Vegetation Resources Strategy (ENCCRV) of Chile, and in parallel, progress was made in the formulation of the Emissions Reduction Program Document (ERPD).

Within the framework of the construction of this country strategy, during 2015 the Environmental and Social Strategic Assessment Workshops began to be developed, with the involvement of all the stakeholders linked to the native vegetation resources of the national territory, reaching a total of 15 workshops (one for each administrative Chile region). Added to them, the execution of workshops with experts and a final closing workshop of national scope, obtaining a participation of 1,266 attendees with 37% of women and 9% of indigenous peoples.

After that, the validation process of the ENCCRV was developed, based on four instances: The first one, corresponding to the self-evaluation requested by the FCPF in accordance with the results of the R-Package where, based on two subnational workshops, the actions established in the ENCCRV; the second, an on-line citizen consultation for 3 months; the third, a process of indigenous dialogue and finally, an expert consultation. This process considered a participation of 2,438 attendees culminating in 2016, all considering the compliance with the approach and respect of environmental and social safeguards, thus generating the guidelines and key principles of the ENCCRV.

On the other hand, progress was also made in the technical bases for the formulation of the ENCCRv and all the necessary background to apply to the Carbon Fund. Among the main results obtained from these studies is the description and analysis of the causes of deforestation, forest degradation and not increases in the existence of forest carbon; the gathering of information to face these causes; a technical and legal analysis for a future implementation of a Transfer System of rights to reduce emissions and distribution of benefits, in addition to the evaluation of land tenure. All this managed to capture the necessary actions that are linked to forestry sector activities to advance REDD +.

Another activity was the establishment of definitions to address the Indicators of the Fund, as was the national definition of Forest Degradation, accordance with the Forest Reference Emissions Level/Forest Reference Level (FREL/FRL).

The description of the Benefit Distribution System and the establishment of a Complaints and Suggestions Mechanism was initiated, based on the existing systems in the country, strengthening them in several ways.

Furthermore, protocols were prepared and designed to address and respect environmental and social safeguards, especially those related to the World Bank's operational policies, which correspond to the necessary frameworks for the implementation phase of the ENCCRv. In particular, the Environmental and Social Management Framework (MGAS in Spanish) and the Plan for the Management of Vegetation Resources in Indigenous Territories, which were formulated with the participation of 133 people, key instruments for the development of projects in the territory.

Finally, it is considered a relevant axis within the donation, the strengthening of intra and interinstitutional capacities. Therefore, training was developed in different topics, among which the legal aspects of REDD + are highlighted; Inventories of Greenhouse Gases; the reduction of emissions due to the sustainable use of forest biomass for energy; restoration, degradation and sustainable management of vegetation resources; options to face the causes of deforestation and forest degradation; quantification of emissions avoided in energy projects, a total of 1,112 people were trained, considering professionals from CONAF, Ministry of Agriculture and other public services, forest extensionists, small and medium forest owners. In addition to the trainings, two internal systems of the institution associated with the Traceability System and the Forest Administration System were strengthened, generating the necessary inputs to strengthen the existing bases.

2. What were the main REDD+ readiness-related achievements in your country during the last year?

The main achievements obtained correspond to the formulation stage of the ENCCRv, which is based on participatory workshops with the stakeholders and a technical stage of studies and validation of experts, the detail follows:

No.	Focus Group	Men	Women	Total
1	Indigenous Peoples	88	29	117
2	Academics	52	18	70
3	Institutional	150	76	226
4	Consultants / Forest Extension Workers	91	20	111
5	NGO'S	49	34	83
6	Women's organisations	0	73	73
7	Private sector	54	14	68
8	Small and Medium Landowners	155	13	168
9	Indigenous Women, Small and Medium Landowners	6	140	146
10	Professionals of CONAF	122	21	143
11	Irrigators and Water Tables	1	4	5
12	Political Participants	4	2	6
13	Trade Associations	9	2	11
14	Private Protected Areas	4	0	4
15	Carbon Producers	3	2	5
16	Livestock Associations	5	7	12
17	Experts Workshop	12	6	18
Total		805	461	1,266
		63.5%	36.5%	100%

After the formulation processes, the validation of the 3 instances, described in the following table, was carried out:

Participative instance	Men	Women	Total
Self-appraisal	49	25	74
Indigenous dialogue	943	870	1.813
Public consultation	299	207	506

Expert evaluation	35	10	45
Total			2.438

Finally, and added to the technical studies mentioned in the previous point, the ENCCRV was formulated. The ENCCRV was published on November 3, 2016 and validated by the Council of Ministers for Sustainability on November 15, 2016. It's established that the ENCCRV is the public policy instrument with which Chile will fulfill its national and international commitments associated with climate change in the Forestry Sector, especially those associated with the Paris Agreement.

All backup documentation can be downloaded from the following link: <https://www.enccrv-chile.cl/>

3. What were the main REDD+ readiness-related challenges and/or problems during the last year?

The biggest challenge was to position the ENCCRV formulated in 2016 to a new government with a different vision than the previous government. This new government assumed its functions in March 2018 and it was a challenge to link the new guidelines of the authorities to the actions already established, considering that the ENCCRV is a public policy instrument. To date, the ENCCRV has been validated and accepted.

Administratively, the closing of the first donation of the preparation fund was a complex problem to solve, since the institutional administrative systems were put to the test, without prejudice to the above, the closing of the initial donation was achieved for the total amount of USD 3, 8 million.

4. What are the main REDD+ readiness-related activities that you hope to deliver during the next year?

Improve the country's internal and external capacities for the implementation phases and payments for REDD + results. Considering establishing the ESMF in the projects of the ENCCRV and the application of the Information System of Safeguards (SIS), establishing a solid and transparent Measurement, Report and Verification System and establishing the best practices to address the REDD + activities in coherence with the ENCCRV in the different territories of the country.

SECTION B: READINESS PROGRESS







































5. Please provide your own assessment of national progress against all REDD+ readiness sub-components:

(Indicator OV.1.B: Number of FCPF supported countries that have in place a National REDD+ Strategy, FREL/FRL, NFMS and SIS; Output 1.3 indicators)

Progress rating key:

Complete	The sub-component has been completed
Significant progress	Significant progress
Progressing well, further development required	Progressing well, further development required
Further development required	Further development required
Not yet demonstrating progress	Not yet demonstrating progress
N/A	The sub-component is not applicable to our process

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)	
R-PP Component 1: Readiness Organisation and Consultation			
Sub-component 1a: National REDD+ Management Arrangements	Complete	The institutional capacities for the REDD + approach in CONAF were specifically strengthened, in addition to establishing a coherent governance for the ENCCRV inserted in the country governance associated with climate change.	
	Significant progress		x
	Progressing well, further development required		
	Further development required		

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)
	 	
Sub-component 1b: Consultation, Participation and Outreach	  x    	All the consultation processes required by the FCPF and national legislation were carried out to formulate a public policy, with historical participation of national scope.
R-PP Component 2: REDD+ Strategy Preparation		
Sub-component 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	  x    	Several studies were carried out to advance the assessment of land ownership, change of use and current legislation associated with promotion and governance instruments for the ENCCRV. These were used as a technical basis for the formulation of the ENCCRV, as well as being used to address part of the criteria of the Carbon Fund Methodological Framework for the ERP.
Sub-component 2b: REDD+ Strategy Options	  x    	Technical studies were prepared to evaluate the strategic options associated with REDD + activities, in addition to improving the current institutional systems to achieve progress in phases 2 and 3 of REDD +.
Sub-component 2c: Implementation Framework	  x    	Technical and legal studies associated with the ownership of the land tenure and evaluation of new promotion instruments were carried out, visualizing the options to implement actions to comply with the goals of the ENCCRV.
Sub-component 2d: Social and Environmental Impacts	  x    	The MGAS of the ENCCRV was prepared, approved on May 30, 2018 and this instrument will address the possible environmental and social impacts that may be generated when implementing the ENCCRV.
R-PP Component 3: Reference Emissions Level/Reference Levels		
Component 3: Reference Emissions Level/Reference Levels	  x    	In October 2016, the FREL / FRL were officially published on a subnational scale, which are used for all projects and are the basis of the National Measurement and Monitoring System of the ENCCRV.
R-PP Component 4: Monitoring Systems for Forests and Safeguards		

Sub-component	Progress rating (mark 'X' as appropriate)	Narrative assessment (briefly explain your rating)
Sub-component 4a: National Forest Monitoring System	Complete	Progress is being made in creating a comprehensive platform that has all the systems necessary to establish an SNMF. The SNMF will include a prioritization system, property tracking system for the projects, the FREL/FRL and will even integrate the Benefit Distribution System and the Safeguards Information System.
	x	
Sub-component 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Complete	These systems are inserted in the Measurement and Monitoring System of the ENCCRV, which include, for example, the co-benefits associated with the implementation of the action measures and which will be deepened with the additional donation from the Preparation Fund.
	x	
	N/A	

SECTION C: NON-CARBON BENEFITS

6. Does your national REDD+ Strategy or Action Plan include activities that directly aim to sustain and enhance livelihoods (e.g. one of your program objective/s is explicitly targeted at livelihoods; your approach to non-carbon benefits explicitly incorporates livelihoods)?

(Indicator 3.2.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to sustain and enhance livelihoods)

Yes

If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach:

The general objective of the ENCCRV is "To reduce the social, environmental and economic vulnerability caused by climate change, desertification, land degradation and drought on vegetation resources and human communities that they depend on them, in order to increase the resilience of ecosystems and contribute to mitigate climate change, promoting emission reductions and removals of greenhouse gases in Chile".

This objective is achieved by emphasizing the actions to be carried out in the country's most vulnerable population, associated with small and medium-sized owners of areas with high poverty rates and areas with limited natural resources, which will be the priorities in the implementation of the ENCCRV.

7. Does your national REDD+ Strategy or Action Plan include activities that directly aim to conserve biodiversity (e.g. one of your program objective/s is explicitly targeted at biodiversity conservation; your approach to non-carbon benefits explicitly incorporates biodiversity conservation)?

(Indicator 3.3.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to conserve biodiversity)

Yes

If yes, please provide further detail, including attachments and/or references to the documentation that outlines your approach

The first specific objective of the ENCCRV states: "To contribute to the fulfillment of the commitments assumed by Chile, from the field of vegetational resources, before the UNFCCC, UNCCD, CBD and other national and Internationals", so that within the criteria established to execute actions in the territory, it is always considered to improve and strengthen the biodiversity of the native ecosystems.

SECTION D: FINANCE

8. Please detail the amount of all finance received in support of development and delivery of your national REDD+ readiness process since the date that your R-PP was signed. Figures should only include secured finance (i.e. fully committed) – ex ante, (unconfirmed) finance or in-kind contributions should not be included:
(Indicator 1.B: Amount of finance received to support the REDD+ Readiness process (disaggregated by public, private, grants, loans))

Amount (US\$)	Source	Date committed	Public or private finance?	Grant, loan or other?
\$1,658,700	Swiss International Development Cooperation Agency	04/2013	Public	Grant
\$ 137,500	Agreement Barrick Zaldivar	12/2013	Private	Grant
\$3,800,000	FCPF Readiness Preparation Grant	09/2014	Public	Grant
\$246,000	Agreement Chile-Mexico	09/2014	Public	Grant
\$5,863,636	GEF Global Environment Facility	05/2014	Public	Grant
\$560,000	UNREDD Targeted Support	03/2015	Public	Grant
\$180,000	IDB Inter-American Development Bank	06/2016	Private	Grant
\$40,403	UNEP United Nations Environment Programme	01/2016	Public	Grant
\$650,000	FCPF Carbon Fund	07/2016	Public	Grant
\$50,000	FERI Forest Ecosystem Restoration Initiative	09/2016	Private	Grant
\$4,000,000	UNREDD National Program	10/2017	Public	Grant
\$5,000,000	FCPF Readiness Preparation Additional Grant	10/2017	Public	Grant
\$180,000	Agreement CONDESAN	12/2017	Public	Grant

9. Please detail any ex ante (unconfirmed) finance or in-kind contributions that you hope to secure in support of your national REDD+ readiness process:

Amount (US\$)	Source	Public or private finance?	Grant, loan or other?
\$26,000,000	Carbon Fund FCPF	Public	Other
\$800,000	Swiss International Development Cooperation Agency	Public	Grant

SECTION E: FCPF PERFORMANCE

10. To help build an understanding of the FCPF strengths, weaknesses and contributions to REDD+, please indicate the extent to which you agree with the following statements:

(Indicator 4.B: Participant Countries' assessment of FCPF's role within and contribution to national REDD+ processes)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
The FCPF's support has had a central influence on the development our national REDD+ systems and processes					X
The FCPF's support has improved the quality of our national REDD+ systems and processes					X
The FCPF's support has improved national capacities to develop and deliver REDD+ projects					X
The FCPF's support has helped to ensure substantive involvement of multiple stakeholders (including women, IPs, CSOs and local communities in our national REDD+ systems and processes					X

Comments / clarifications, if appropriate:

Without the support of the FCPF it would not have been possible to carry out the technical and participatory process for the formulation of the National Strategy on Climate Change and Vegetational Resources and all the elements that stem from it.
 In addition to advancing in the formulation of the ERPD to advance in the Carbon Fund and with it in the Payments for Results Phase of the ENCCRV.

11. To help assess the usefulness and application of FCPF knowledge products (publications, seminars, learning events, web resources), please indicate the extent to which you agree with the following statements:
 (Indicator 4.3.a: Extent to which FCPF learning, evidence and knowledge products are used by Participant countries)

Mark 'X' as appropriate

	Completely disagree	Disagree	Neutral	Agree	Completely agree
We regularly access FCPF knowledge products to obtain REDD+ related information					X
FCPF knowledge products are relevant to our REDD+ related information requirements					X
FCPF knowledge products are sufficient to address all of our REDD+ related information requirements				X	
The FCPF website is a useful resource for accessing FCPF and REDD+ related information					X

Comments / clarifications, if appropriate:

SECTION F: FINAL COMMENTS

12. If appropriate, please provide any further comments or clarifications relating to your work on REDD+ Readiness during the last year:

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