

World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF016024
Printed On: 10/28/2015

Reporting Period: 07/01/2014 to 06/30/2015
Report Type: Progress Report
Report Status: Approved

Assignment: TF016024

Forest Carbon Partnership Facility - Chile Readiness Preparation Grant FCPFR - FOREST CARBON PARTNERSHIP FACILITY

Task Team Leader: 00000157997 - Peter Jipp
Approving Manager: 00000483596 - Raul Ivan Alfaro Pelico

Summary Information	
TF Status	ACTV
Recipient Country	Chile
Executed By	Recipient
Managing Unit	9061 - GENDR
Grant Start Date / End Date	01/29/2014 to 09/15/2016
Original Grant Amount	3,800,000.00
Grant Amount	3,800,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	300,000.00 as of 06/30/2015
Disbursed 07/01/2014 to 06/30/2015	300,000.00
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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OVERVIEW

Overall Assessments

Grant Objectives:

The Project Development Objective is to assist the Recipient in carrying out the Readiness Preparation Activities.

Overall progress from 07/01/2014 to 06/30/2015 with regard to Achieving Grant Objectives:

Comment:

CONAF has made significant progress in achieving the Grant Objectives so far with Grant Activities supporting all major aspects of REDD+ Readiness, including strategy development, safeguards, forest emissions reference level, and national forest monitoring system. Details are included in the Components section.

Overall progress from 07/01/2014 to 06/30/2015 with regard to Implementation of Grant Financed Activities:

Comment:

Since Grant effectiveness significant progress has been made in the implementation of Readiness Activities. Substantial effort has been put in developing Terms of Reference and processing contracts and as a result, the majority of Grant resources have already been committed in Project activities. Outputs and disbursements are expected to increase substantially in 2016.

Grant follow-up and structure

Description and context of Grant:

The Readiness Preparation Proposal (R-PP) prepared by the Government of Chile identifies a broad set of activities to support the country in strengthening its technical and institutional capacities to participate in a future REDD+ mechanism. This set of activities is supported by different sources, which include multilateral and bilateral financing arrangements in addition to the Chilean government's own contribution. The FCPF Participants Committee (PC) authorized grant funding of \$3.8 million in March 2013 (PC14) based on Chile's submission of its R-PP in October 2012. The FCPF grant finances specific activities included in components 1, 2, 3 and 4 of the R-PP, specified in the Grant Assessment Note. These activities have been selected after technical discussions with the National Forestry Corporation (CONAF), taking into account the technical and financial needs of Chile's REDD+ Readiness process, the comparative advantage of the World Bank in supporting them, as well as the complementarity with support made available by other partners as well as the ongoing GEF financed Sustainable Land Management Project (P085621).

Expected follow up (if any): Follow up project or grant (non-Bank funded)

Comment on follow up:

Follow up will be through the Emissions Reductions Program. Chile's request to participate in the Emissions Reductions Program has been endorsed. A Bank Executed Trust Fund to support preparation of Chile's Emissions Reduction Program Document (ERPD) has been approved and that Grant is also under implementation. Chile has started the process of ER Program Document (ER-PD) preparation and plans to present the ER-PD to the Carbon Fund in March 2016.

End Date of Last Site Visit:

Restructuring of Grant:

N/A

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OUTCOME

Comments on outcome achieved from 07/01/2014 to 06/30/2015

Significant progress has been made towards achieving expected grant outcomes.

Grant Outcome Indicators

Grant outcome indicators are listed below.

Consultations on the national REDD+ strategy are carried out with indigenous peoples, local communities, civil society, the private sector and other key stakeholders.

Baseline No REDD+ Strategy available

Value:

Date: 09/23/2013

Progress to Consultations with key stakeholders are being carried out throughout the country based on a consultation plan and linked to the SESA process.

Date:

Date: 09/30/2015

Target Value: All comments collected are reflected in the final version of the national REDD+ Strategy.

Date: 09/15/2016

A definition of forest degradation in the context of REDD+ has been established in consultation with key stakeholders.

Baseline No definition of forest degradation available

Value:

Date: 09/23/2013

Progress to A number of workshops have been carried out and a consortium has been hired to develop the National Forest Reference Level which will establish an operational definition of forest degradation based on the inputs of key stakeholders.

Date:

Date: 09/30/2015

Target Value: Forest degradation definition established

Date: 09/15/2016

The national forestry extension program is strengthened in order to enable small and medium landholders as well as indigenous peoples#communities to access the benefits of CONAF#s variousforestry programs.

Baseline Weak forestry extension program for small and medium landowners.

Value:

Date: 09/23/2013

Progress to ToRs for a major contract have been finalized and are being processed that will pilot a model of technical assistance from the field to market related to fuelwood production in the southern part of the country. A share of the participants in the pilot wil

Date:

Date: 09/30/2015

Target Value: Successful models of technical assistance for small and medium landowners have been demonstrated.

Date: 09/15/2016

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A SESA is conducted during the readiness phase and an ESMF is prepared in managing potential social and environmental impacts for the REDD+ strategy implementation phase.

Baseline No SESA or ESMF available.

Value:

Date: 09/23/2013

Progress to Date: A group of four professionals and 11 moderators have been hired to carry out the SESA process and develop the ESMF. SESA workshops are underway in each region of the country with five carried out so far.

Date: 09/30/2015

Target Value: SESA process and ESMF finalized.

Date: 09/15/2016

COMPONENTS

Output and Implementation by Component

Readiness Organization and Consultation (FCPF amount: US\$ 1,087,797)

Status: Under Implementation

Planned Output: University training course to strengthen REDD+ implementation capacity

Actual Output: See detailed comments on implementation progress below

REDD+ Strategy preparation (FCPF amount: US\$ 2,412,203)

Status: Under Implementation

Planned Output: REDD+ Strategy Preparation Studies (various)

Actual Output: See detailed comments on implementation progress below

Development of a National Forest Reference Emission Level and Monitoring Systems for REDD+ (FCPF amount: US\$ 300,000)

Status: Under Implementation

Planned Output: Technical Assistance for Development of the National Forest Reference Level and MRV system (with a focus on forest degradation)

Actual Output: See detailed comments on implementation progress below

Comment on planned and actual Output

Comment on component implementation progress

Component 1: Readiness Organization and Consultation (FCPF amount: US\$ 1,087,797)

Progress under this Component so far includes the bidding of a large contract to develop a university-based course aimed at strengthening CONAF's staff capacity in the regional offices across the country on issues related to forests and climate change related to the national REDD+ Strategy. During the mission of July 2015, CONAF informed the Bank that the Forests and Climate Change Roundtable (planned to be supported under this subcomponent) would be substituted by the recently established Forest Policy Council, which includes the same groups of key stakeholders. As such, the majority of the funds related to participation, consultation and information sharing have been reassigned to Component 2 to support the Participation and Consultation Plan as part of the SESA and ESMF development process, which was under budgeted, ensuring synergies and the efficient use of resources. Progress on the FGRM is expected with the remaining use of the funds under this component.

Component 2: REDD+ Strategy preparation (FCPF amount: US\$ 2,412,203)

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Major progress has been achieved under this component, including: i) the contracting of a consortium led by a local university to lead a study on the drivers of deforestation and forest degradation, and barriers to the enhancement of forest carbon stocks at the regional and national levels, and the identification of strategic mitigation options; ii) the contracting of a second consortium of national and international experts to lead a legal and social analysis of land tenure, carbon rights, and benefit sharing options related to the national REDD+ strategy and the ER-Program; iii) the contracting of a third consortium of national legal experts to provide technical assistance and inputs for integrating concepts of Payments for Environmental Services in new forest legislation being drafted; iv) the bidding of a large contract to pilot new models of sustainable firewood collection and marketing through increased technical assistance; v) the development of a Participation and Consultation Plan, and the contracting of two consultants and a group of moderators to carry out a series of information dissemination and early dialogue workshops with key stakeholder groups in each region of the country, which will provide key inputs to the Strategic Environmental and Social Assessment of the REDD+ Strategy. Six workshops have been carried out so far. The same consultants are expected to develop the Environmental and Social Management Framework.

Component 3: Development of a National Forest Reference Emission Level and Monitoring Systems for REDD+ (FCPF amount: US\$ 300,000)

Major progress has been achieved under this component as well, which is implemented in synergy with the resources from the Carbon Fund of the FCPF in preparation of the ERPD. A consortium led by Winrock International, and including the National Forestry Institute and a major national University located in the temperate zone of the country has been tasked with providing technical assistance for the development of the National Forest Reference Level and MRV system with a focus on forest degradation. A submission to the UNFCCC is expected in early 2016. In addition, Terms of Reference have been developed for a contract to strengthen the timber traceability system with a focus on firewood.

EXECUTION

Bank project related to the grant

Project ID / Name:	P124908 - CL FCPF REDD READINESS
Project Status:	Lending
Global Focal Area:	Climate change
Product Line:	CN - Carbon Offset

Implementing agency and contact details

Agency:	CONAF		
Contact:	Angelo Sartori;		
Address:	Paseo Bulnes N° 285, oficina 803, Santiago-Chile		
Phone:	5626630324	Email:	
Website:			

PROGRAM

Program Specific Questions

1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f

FCPF financed activities are very well coordinated with REDD+ related activities supported by other donors working in Chile (UNREDD and Swiss Cooperation Agency). A joint FCPF-UNREDD mission was organized to coordinate the structure of the National Program under the UNREDD with the Additional Funding under the FCPF. CONAF has an excellent capacity to

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coordinate all the REDD+ related activities and identify synergies.

2. Describe any important changes in the technical design or approach related to the Grant activities.

No major changes have been made in the technical design of the Grant activities. A certain amount of resources from component 1 have been reassigned to component two to support the Participation and Consultation Plan in line with the SESA process, which was under budgeted.

3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

No capacity gaps were identified during preparation or thus far during implementation of the grant.

4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

A team of professionals has been hired to support CONAF in implementing the SESA and FGRM and developing the ESMF in accordance with the Common Approach.

5. Is the ESMF prepared in accordance with the Common Approach?

Yes, as the World Bank is the Delivery Partner.

6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

Chile has developed a sound SESA and consultation plan that is currently under implementation. A series of participatory workshops are currently being carried out in each region of the country (five workshops carried out so far) as part of the SESA process to provide inputs to the development of the REDD+ strategy.

DISBURSEMENTS

Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2014	06/30/2014	0.00	0.00	0.00	0.00
07/01/2014	12/31/2014	0.00	0.00	0.00	0.00
01/01/2015	06/30/2015	0.00	0.00	300,000.00	300,000.00
07/01/2015	12/31/2015	0.00	0.00	0.00	0.00
01/01/2016	06/30/2016	0.00	0.00	0.00	0.00
07/01/2016	12/31/2016	0.00	0.00	0.00	0.00