

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC1069

Date ISDS Prepared/Updated: 15-May-2015

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I. BASIC INFORMATION

A. Basic Project Data

Country:	Burkina Faso	Project ID:	P149827
Project Name:	BF REDD+ Readiness Preparation (P149827)		
Task Team Leader(s):	Loic Jean Charles Braune		
Estimated Board Date:			
Managing Unit:	GENDR		
Sector(s):	Forestry (60%), General agriculture, fishing and forestry sector (20%), Public administration- Agriculture, fishing and forestry (20 %)		
Theme(s):	Climate change (20%), Land administration and management (20%), Environmental policies and institutions (20%), Other environment and natural resources management (20%), Rural policies and institutions (20%)		
Financing (In USD Million)			
Total Project Cost:	3.80	Total Bank Financing:	0.00
Financing Gap:	0.00		
Financing Source		Amount	
Borrower		0.00	
Readiness Fund of the Forest Carbon Partnership Facility		3.80	
Total		3.80	
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

The proposed Project Development Objective is to reinforce Burkina Faso's capacity to coordinate the REDD+ readiness process including a socially and environmentally sound national strategy and other key governance systems for REDD+ implementation.

C. Project Description

This project builds on Burkina Faso' R-PP and the FIP Investment Plan that serves as the framework for the process known as REDD+ Readiness. The R-PP approved by the FPCF in December 2013 is organized in six sections: 1) Organization and Consultation; 2) Development of the national REDD+ strategy; 3) Development of a baseline scenario; 4) Measurement, Reporting, and Verification Systems; 5) Budget and Timeline and; 6) Monitoring Plan and Productive Measurement Framework.

Most of the activities outlined in sections 3, baseline/reference scenario, and 4, monitoring, reporting and verification, of the R-PP will be addressed through interventions funded by the FIP and channeled through the African Development Bank, while some of the activities outlined in sections 1, organization and consultation, 2, national REDD+ strategy, and 6, monitoring framework, of the R-PP will be addressed through interventions funded by the FIP and channeled through the World Bank. However, a financing gap was identified and this project seeks to fill will finance activities needs that are still not addressed by the two FIP projects.

There is a strong need for coordination among the various initiatives, and the REDD+ program provides an overarching framework that will ensure complementarity among different initiatives. The breakdown of funding for REDD+ Readiness activities can be found in Annex II.

FCPF Readiness funding will be used to strengthen organizational arrangements for REDD+, to carry out studies that will deepen the understanding of the strategic options for REDD+, to strengthen the REDD+ implementation framework, to strengthen the social and environmental safeguard assessment process, to the development of national monitoring, reporting and verification system that includes the monitoring of co-benefits.

Component 1: Strengthening REDD+ and forest governance (US\$1.6 million).

The costs of the institutional arrangements described above (creating the various bodies as well as the operating costs for the meetings) will largely be supported by other programs (such as the FIP). However, this FCPF financing will support the efficient governance of the REDD+ process and facilitate the technical coordination of the team working on the REDD+ agenda. In addition, it will set up the tools that will facilitate the planning and monitoring of REDD+ related activities.

Activity 1.a: Building REDD+ technical capacities (about \$US550.000). This activity will include the renewal for 2 years of the Lead Technical Assistant ("Conseiller Technique Principal"), local Technical Assistance (full time and/or part time) and support to communication and knowledge management tools and products at national and international levels.

Activity 1.b: Development of a Grievance and Redress Mechanism (about \$US300.000). A study will be carried out to determine the most appropriate Grievance and Redress Mechanism for the context of Burkina Faso (based on the existing national mediation system) as well as recommendations for implementation. The project will then support the setting up of the grievance and redress mechanism.

Activity 1.c: Setting up partnerships with the Ministry of Justice and Ministry of Interior for Forest Law promotion and enforcement (about \$US350.000). The technical Ministries operating in rural areas have been closely associated with the REDD+ approach for land use planning, however formal law enforcement will require complementary actions in partnership with the Ministry of Justice, the Ministry of Interior and Customs.

Activity 1.d: Development of a Monitoring System for Co-Benefits (about \$US400.000). The purpose of this monitoring is, in part, to assess the non-monetary and long-term effects of the implementation of a REDD+ strategy such as the positive effects of REDD+ on biodiversity, soils,

water resources, the fight against desertification, poverty reduction, development, gender equity, governance, food security, culture, etc. and therefore to point the complementarity with the approach adopted in the SCADD.

Component 2: Development of National REDD+ Strategy (US \$1.5 million).

Burkina Faso will develop a National REDD+ Strategy that will seek to address the complex dynamics that lead to deforestation and forest degradation and will drive subsequent investments in the country. The REDD+ strategy will be drawn on the initial work executed during the preparation of the R-PP and for the FIP Forest Investment Plan. To prepare the strategy, the project will finance various studies to address gaps in data regarding the impact and quantification of some direct and indirect drivers of deforestation.

Activity 2.a: Design and elaboration of the strategy (US\$750.000). The elaboration of the strategy will be supervised by the REDD+ Focal Point with the close support of the REDD+ Lead Technical Assistant, under guidance and control of the REDD+ National Committee. The consulting firm will provide a pool of experts (that would include at least a REDD+ expert, a forestry expert and a social specialist for the participatory consultations – plus extra relevant experts) and make sure they will be available on demand up to a certain amount of days thorough the strategy preparation process.

Activity 2.b: Enhancing the Informational Base related to REDD+ (US\$650.000). This activity will finance in part or in whole studies that analyze different threats and causes of deforestation and forest degradation in Burkina Faso as part of the effort to develop a detailed understanding of the threats as well as the impacts of different activities, in collaboration with the studies implemented to design the reference scenario. Those studies will put a special focus on social aspects (migration, poverty and land ownership change trends).

Activity 2.c: Strategic Environmental and Social Assessment (SESA) (about US\$100.000). This activity will support a Strategic Environmental and Social Assessment (SESA) that will inform the national REDD+ strategy. The SESA will contribute to the REDD+ readiness process in Burkina Faso by assessing how REDD+ strategy options address environmental and social priorities associated with current patterns of land use and forest management. Gaps identified through this assessment would lead to adjustments in the REDD+ strategy options to close the gaps. Also, the SESA will provide an Environmental and Social Management Framework (ESMF) that will outline the procedures to be followed for managing potential environmental and social impacts of specific policies, actions and projects during the implementation of the REDD+ strategy.

Component 3: Readiness Monitoring, Coordination and Communication (US\$0.7 million).

Activities will be carried to allow the REDD+ National Committee, the FIP Coordination Unit and the REDD+ Focal Point to implement and supervise REDD+ Readiness preparation and to report to the FCPF Participant Committee about the progress toward Readiness.

Activity 3.a: Operational Support for project implementation (about US\$200.000). This activity will cover supervision, evaluation and external control. It will finance additional project management costs for the FIP Coordination Unit, such as additional support staff (in particular for fiduciary management), additional equipment purchase for REDD+ team and other various incremental operational costs (missions, training...).

Activity 3.b: REDD+ Readiness compliance, control and monitoring (about US\$500.000). In compliance with the guidelines and the monitoring framework developed by the FCPF, this component will support the development of effective Monitoring and Reporting systems that can

feed into eventual verification, in line with component 6 Monitoring Plan and Framework for Productivity Measurement of Burkina Faso's R-PP. This would include (i) the definition of the relevant indicators to follow progress toward Readiness chosen among the 34 criteria set out in the Readiness Package Assessment Framework developed by the FCPF, (ii) the external review (audit) of the country baseline and MRV system to ensure they are reliable and compliant with international standards and requirements, and can be used for carbon finance purposes; (iii) reporting to FCPF Participant Committee and (iv) independent reviews and audits to update the readiness assess. The ultimate goal of the subcomponent is to develop the "R -Package " and REDD+ documents that would eventually facilitate the set-up of REDD+ or Carbon financing, such as the Carbon Fund.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

This project does not entail activities on the ground. Rather, it is focused on providing technical assistance to the government for the development of technical and institutional capacities to participate in a future international REDD+ mechanism.

E. Borrowers Institutional Capacity for Safeguard Policies

The Government of Burkina Faso has gained significant experience in implementing projects that are similar to this project and using, in a satisfactory manner, the World Bank safeguards instruments. Since the thematic areas under the new proposed project are relatively similar to past projects, the team is confident that the Government has the appropriate capacities to properly prepare and enforce Safeguards Policies. In addition, the project will be implemented by the Coordination team set-up for the FIP Project (Decentralized Forest and Woodland Management – DFWM) that is already in charge of implementing and monitoring the Safeguards for the FIP project in partnership with SP-CONEDD and with the support from the BUNEE..

The Strategic Environmental and Social Assessment (SESA) for the national REDD+ Readiness process carried out through inclusive consultations, as part of the more general thorough consultation scheme financed by the DFWM.

The SESA exercise itself will assess the national capacity needs required for the country to be capable of monitoring and implementing the safeguards related to a national REDD+ mechanism and will make recommendations for institutional strengthening.

F. Environmental and Social Safeguards Specialists on the Team

Abdoulaye Gadiere (GENDR)

Lucienne M. M'Baipor (GSURR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	The FCPF readiness preparation grant must comply with World Bank safeguard policies regarding the management of environmental and social impacts. The readiness preparation phase is meant primarily for technical assistance and capacity building activities. The grant will not finance any implementation of REDD+ activities on the ground

		<p>(investments, pilot activities). In order to identify the potential negative consequences from the implementation of the National REDD+ Strategy, the country will carry out a Strategic Environmental and Social Assessment, which should ensure: i) the integration of social and environmental considerations into the final REDD+ Strategy; ii) a participatory process for the preparation of the national REDD+ strategy; and iii) the establishment of an Environmental and Social Management Framework (ESMF) to support the country in managing and mitigating potential future negative consequences from the implementation of the REDD + strategy.</p> <p>The Strategic Environmental and Social Assessment (SESA) to be carried out under the project will serve to identify, avoid, and mitigate the potential negative environmental and social impacts associated with future activities to be supported by the final REDD+ strategy by providing guidance and key elements for the preparation of an Environmental and Social Management Framework (ESMF). The SESA will also influence the final national REDD+ strategy, by ensuring that social and environmental risks are factors in the preparation of the strategy.</p>
Natural Habitats OP/BP 4.04	Yes	<p>The application of this policy seeks to ensure that all options proposed in the National REDD+ Strategy take into account the conservation of biodiversity, as well as the numerous environmental services and products that natural habitats provide to human society. Overall, REDD+ activities are expected to have significant positive impacts on natural habitats, as the country puts in place an effective strategy to reduce loss of natural forests. The SESA will address issues related to natural habitats and potential impacts of the National REDD+ Strategy, which will later be included in the ESMF.</p>
Forests OP/BP 4.36	Yes	<p>Overall, future REDD+ activities are expected to have significant positive impacts on forest, in that the main goal of the program is to reduce deforestation and forest degradation, while contributing to the well-being of forest-dependent communities.</p> <p>Potential impact of the National REDD+ Strategy on natural forests will be assessed through the SESA and included in the ESMF. The SESA and associated ESMF will reflect the requirements of the Bank's Operational Policy regarding forest management, in</p>

		particular as these relate to the establishment of plantations.
Pest Management OP 4.09	No	No Agricultural intensification and reforestation activities, are likely to require pest management in the course of project implementation.
Physical Cultural Resources OP/BP 4.11	Yes	Future REDD+ activities are likely to be implemented in areas containing sites deemed physical cultural resources by rural communities living there (e.g. holy/secret sites such as sacred groves, sacred forests, etc.). Though it is not anticipated that the project will have negative impacts on any such sites, the SESA will address issues related to physical cultural resources and potential impacts of the National REDD+ Strategy, which will later be included in the ESMF and a specific dedicated framework.
Indigenous Peoples OP/BP 4.10	No	There are no Indigenous Peoples in the project area.
Involuntary Resettlement OP/ BP 4.12	Yes	Future REDD+ activities may trigger Involuntary Resettlement in situations involving involuntary restrictions of access to legally designated parks, protected areas, or forest management / reforestation areas. The policy aims to avoid involuntary resettlement to the extent feasible, or to minimize and mitigate its adverse social and economic impacts in cases where resettlement or other involuntary restrictions cannot be avoided. Through the SESA process, any issues related to land acquisition or involuntary resettlement will be identified, and a Resettlement Policy Framework will be prepared. In case of restriction to natural resources, a Process Framework will be prepared as well.
Safety of Dams OP/BP 4.37	No	The project activities do not involve dams.
Projects on International Waterways OP/BP 7.50	No	N/A
Projects in Disputed Areas OP/ BP 7.60	No	N/A

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 30-Jun-2017

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

The main safeguard instrument to be launched is the Strategic Environmental and Social Assessment (SESA). The SESA procurement process may start 3 months after grant signature, once the REDD+ team is settled. The SESA process is expected to last about 2 years to capture the feedback from the pilot activities developed by the FIP Program.

An Environmental and Social Management Framework (ESMF) and four related frameworks (Resettlement Policy Framework (RPF); Process Framework; Cultural Heritage Framework) will complement the set of safeguards instruments.

IV. APPROVALS

Task Team Leader(s):	Name: Loic Jean Charles Braune	
<i>Approved By:</i>		
Regional Safeguards Advisor:	Name: Alexandra C. Bezeredi (RSA)	Date: 15-May-2015
Practice Manager/ Manager:	Name: Benoit Bosquet (PMGR)	Date: 23-May-2015