PC Working Group on the FCPF Evaluation Recommendations

Presented on behalf of the WG by Alain Lafontaine (Baastel)

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Background

- After PC8: Working Group established to undertake ground work to help structure the discussions on the recommendations from the independent evaluation of the FCPF for PC9;
- Three teleconferences held on May 13th, May 25th and June 3rd, 2011.

Members

- 3 REDD plus member country representatives (Kenya, Vietnam and Mexico);
- 3 donor partner representatives (Australia, Germany and Norway);
- 1 Indigenous Peoples representative;
- 1 Civil Society representative (Bank Information Center).

Recommendation Groupings

- •All 23 recommendations deemed important and to be acted upon within the next 12 months except (except R3 which is medium term)
- Based on discussions recommendations grouped as follows:
 - Regarding future strategic direction of Readiness Fund;
 - Require further discussion by the PC and implementation based on outcomes of PC decision
 - Other actionable recommendations
 - Including themes to be acted on, some decisions could be taken at this PC meeting
 - Not requiring immediate action but to be taken note of and/or acknowledged;

1. Recommendations related to future strategic direction of FCPF

*Refer to the table in PC working group report for recommendation numbers

(1) Strategic Recommendations

5. Consider provision of dedicated funds available to national civil society actors (where other sources of funding do not exist) to support a more deliberate process of civil society and IP engagement. Funding support should be made available through global mechanism rather than through country grants channeled to government, to avoid conflict of interest.

- Feedback positive regarding the capacity-building of IPs;
- Potentially expanded to other civil society organizations;
- Be mindful of potential duplications and limitations of financing;
- Possibly access other sources of funding (ie. GEF).

(2) Strategic Recommendations

6. Strengthen participation of key sectoral ministries in national R-PP planning processes ...etc.

- Merged with Recommendation 7, bundled possibly with recommendations 6-9, close link to recommendation 11;
- To be addressed within the R-PPs rather than at the program level;
- Challenge of some REDD countries: engaging some ministries in the readiness process -what can the FCPF as a whole do?

(3) Strategic Recommendations

- 7.Strengthen participation of "non-sectoral" ministries such as Ministries of Finance, Rural Development and Local Government;
- 8. Strengthen efforts to learn from previous experiences, lessons, successes and failures...in forest management initiatives;

(4) Strategic Recommendations

- 12. Move away from "flat rate" disbursements of Preparation and Readiness Grants etc;
- 13. Develop clearer plans regarding the expansion of the program to new countries;

2. Actionable recommendations

1. Streamline R-PP review process to ensure that TAP review comments are timely and that adequate time is left to country teams to address TAP comments and own the final product.

Early Thoughts

- Countries should have at least two weeks to integrate TAP comments prior to submission of their R-PP for discussion at the PC;
- PC members are given adequate time (at least two weeks) for review;
- FMT proposal at PC8: informal reviews by the TAP reduced to one prior to formal submissions;
- The PC should review only one version.

Next Steps

In light of these timeline concerns **FMT would make a proposal to streamline the process.**

2. Ensure translation in key meetings and that materials developed by FCPF are available in all main languages

Early Thoughts

- Type of documents to be prioritized given both time constraints and costs;
- REDD country requests: the TAP reviews to be translated.

Next Steps

The **FMT** will **present list of documents that could be translated, the time and budget estimates** required for PC consideration.

3. Look at the option for further decentralizing FMT staff to other regions beyond Africa.

Early Thoughts

- Must also take into account the options from support from delivery partners;
- Case-by-case basis;
- It comprises a transfer of capacity to delivery partners;
- Need to maintain close communication with FMT;
- Be mindful of the **budget considerations**.

Next Steps

FMT Note for PC10 on the way forward.

4. Pursue with energy the process of development and operationalization of a comprehensive monitoring and evaluation framework for the readiness process.

Early Thoughts

- Linked to R19 (communication strategy) & R21 (state of readiness);
- Target primarily the program level building on what already exists;
- Feedback loops could be enhanced (i.e. 'stock-taking': for those countries that have yet to present an R-PP and what is happening in the countries after final R-PP approval.)

Next Steps

FMT to present a Note on different ideas on how to enhance **M&E** at PC10.

9. In view of capacity and institutional challenges found in many Participant Countries and the need to advance the REDD agenda, focus capacity building efforts around the early building blocks of the readiness process.

Early Thoughts

- Closely linked to Recommendation 21, should be discussed in conjunction with it;
- Building capacities at various levels fully functional national architecture for REDD implementation;
- How would IPs be involved in this process?

Next Steps

To be discussed as part of the operationalization of recommendation 21.

10.Actively support learning and reflection around the SESA process – by ensuring effective and efficient transfer of early experiences from countries piloting SESA but also by linking externally to other initiatives exploring social and environmental impacts of REDD at national levels.

Early Thoughts

- Key for countries now embarking on the SESA process;
- Some action has already been initiated by the FMT on this with the currently hiring process for a SESA expert;
- Possible allocation of additional resources for capacity building in this area from overall FCPF readiness allocation



linking closely at the country level via existing national consultation process;

10. CONTINUED

Early Thoughts

- Assistance of delivery partners with their expertise and resources;
- Ensure the uptake of lessons from SESA implementation in the first few countries: share with other countries;
- Country specific safeguard initiatives should be taken into account.

Next Steps

FMT will present at PC9 its 5 year business plan in which a budget estimate is assigned for this and could be discussed and adjusted based on proposals.

11. Scale up technical and financial support to regional measures designed to foster South-South exchange and learning

Early Thoughts

- Regional workshops good approach: more hands-on to enhance the exchange of country and regional experiences;
- When possible take into account new regional initiatives;
- Build synergy and not overlap with regard to other forums;
- Possible budget allocation from global FCPF allocation;
- Link to actions under recommendations 7,8, 9, 10 and 22.

Next Steps

General discussion and identification of joint areas of interest at PC9. Also linked to strategic actions by FCPF to support REDD countries during readiness.

14. While pursuing efforts to streamline the process of approval and disbursement of funds, continue to foster greater coordination with bilateral and multilateral partners at the country level etc.

Early Thoughts

- •Efforts already occurring on the ground (i.e. donor workshop in Mexico);
- •This is still a challenge for countries;
- •Brought together with recommendation 18.

Next Steps

Update from FMT on fund disbursement; No need for action by PC; efforts already ongoing at the country level.

See R 18 as well.

17. Continue to strengthen coordination with UN-REDD, to take advantage of mutual strengths and limitation in delivery mechanisms.

Early Thoughts

- Coordination ongoing: make use of common RPP-Template in both initiatives;
- Enable UN-REDD agencies to provide targeted support in FCPFcountries via the Common Approach;
- Revised version of stakeholder engagement guidance helps clarify how FPIC could be implemented in those countries that have adopted UNDRIP.

Next Steps

FMT to continue coordination with UN-REDD; the common approach is to be agreed at PC9 which should help move ahead on safeguards.

The FMT should take stock of the first efforts at this level of implementation;

18. Strengthen move towards greater alignment and harmonization of FCPF funds with other multi-lateral and bilateral funding sources.

Early Thoughts

- •Country-led;
- Brought as an operational measure under recommendation 14;
- •Include activities supported by other delivery partners (including bilateral) in the R-PP and R-Package;
- •Joint missions: enhance coordination & fill funding gaps;
- •Formal approval of the R-PP: basis for donor consultation in the country.

Next Steps

Exchange of experience between REDD country participants, discussion at PC9.

19. Develop and implement a communication and outreach strategy to disseminate and package FCPF outcomes more widely for use at country-level, within the WB and to external audiences.

Early Thoughts

- Two pronged approach desired:
- a) Messages emanating from program level work of FCPF for global community involved in REDD
- b) Dissemination of work at country level in FCPF REDD countries to help provide opportunity for feedback from other stakeholders.
- Systematize communication and outreach: more proactive;
- Develop appropriate communication actions for quick Implementation possibly including hiring full time staff;
- See also Targeted Outreach of the Common Approach.

Next Steps

FMT will present **communication options at PC9**, while soliciting further views from Participants at PC10 as relevant

20. Consider, in close coordination with other REDD-related funding mechanisms, measures to strengthen participation of responsible private sector players in REDD-plus processes etc.

Early Thoughts

Different views on this agenda item:

Clearly exceeds the FCPF's mandate esp. in Readiness phase Important to engage the private sector at the country level with other funds targeted towards engaging the private sector

- •Active outreach to mobilize private sector participants in the Carbon Fund;
- •Targeting the private sector: via the communication strategy at this stage.

Next Steps

No formal steps required at this stage.

21. Beyond R-PP development, with a view to operationalizing the Carbon Fund, engage as early as possible a reflection at the PA-level on minimum readiness conditions ("triggers") required to access the Carbon Fund.

Early Thoughts

- This is considered a **key** recommendation;
- The FMT will propose early thoughts for the PC to initiate discussion

Next Steps

Panel discussion will be organized at PC9 and FMT concept note to kick start the process.

In terms of timing, it would be helpful to have a readiness package defined by PC11.

23. Ensure during the operationalization phase of the Carbon Fund, that it is building on the lessons of the FCPF preparation phase.

Early Thoughts

- Not a short cut on due diligence;
- SESA (Readiness phase) and ESMF: basis for safeguards application for the Carbon Fund;
- Potential for transaction delays should be reduced.

Next Steps

No action required at this stage beyond the on-going dialogue in place with delivery partners.

3. Recommendations to be taken note of

Taken note of

 16. Provide limited flexibility with respect to specific budget allocations under the Readiness grant;

• 22. As part of the reflection on triggers, also engage with countries on options for governance and institutional set up to ensure transparency and agreed approaches to benefit sharing in this operationalization.

15. Continue efforts through the Task Force on Multiple Delivery Partners to identify delivery channels outside the World Bank etc.

Early Thoughts

- Expresses support of the Task Force work on common approach (to be finalized by PC 9);
- Decision on operationalization no later than PC 10;
- Must be cautious of expanding beyond the six potential delivery partners already identified.

Next Steps

No further action is required from PC beyond those already ongoing on this agenda item.

Thank you for your attention!