



Forest Carbon Partnership Facility

Readiness Package: Purpose and Assessment Approach

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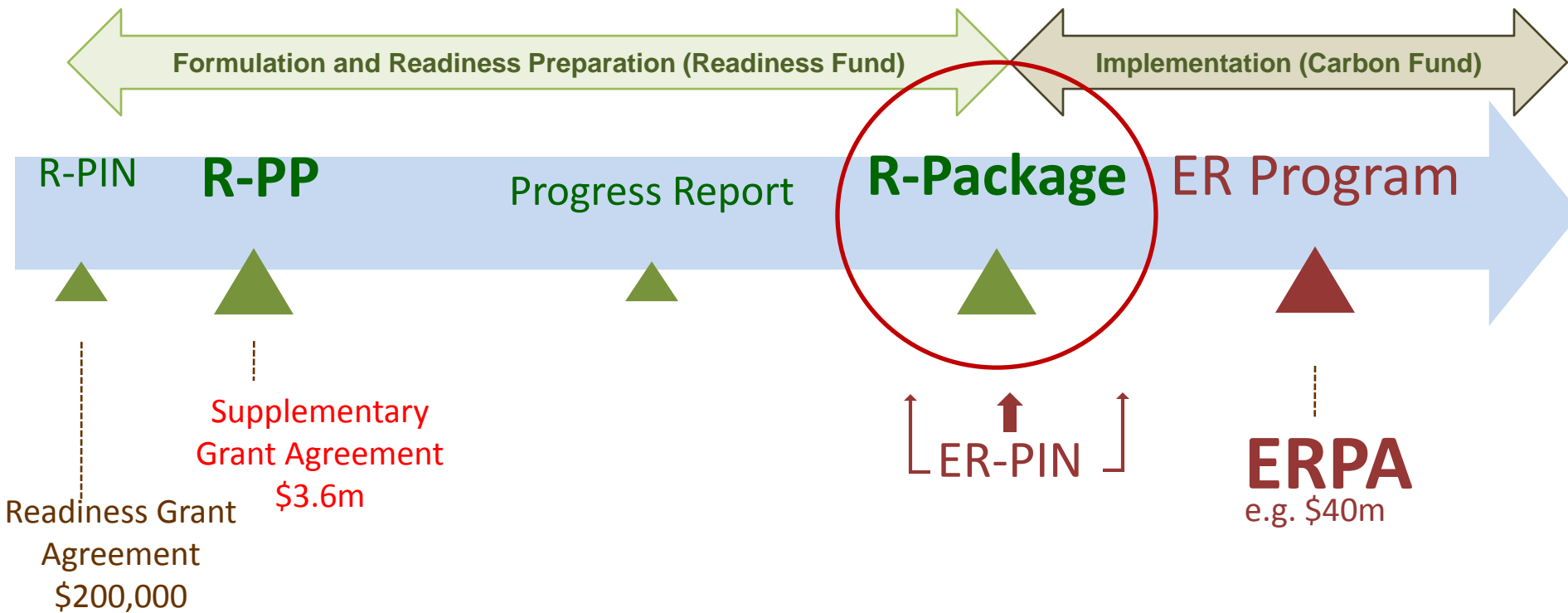
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Readiness Package: Context

- FCPF Charter states
 - R-Package is defined as milestone of Readiness preparation
 - R-Package submission is voluntary
 - PC 'Endorsement' is required before signing a Emission Reductions Payment Agreement (ERPA) with Carbon Fund

From Readiness to Performance Payments

R-Package is major milestone in phase 1 (Readiness preparation including development of national strategies for REDD+) and should come before the phases 2 and 3 (implementation of strategies and results based actions) respectively



Readiness Package: Concept

- PC10: FMT was asked to draft a R-Package Concept Note
 - Define Purpose, Scope, and Content
 - Develop Draft Assessment Framework
 - FMT Note 2011-14 prepared and posted Dec. 31, 2011
 - Multiple and often diverging views on key aspects
 - Purpose
 - PC endorsement
 - Assessment Framework

R-Package: Content

- Basis should be building blocks of readiness as presented in by countries in the Readiness-Preparation Proposal (R-PP)
- R-Package Concept Note follows the R-PP structure i.e.
 1. Readiness Organization and Consultation
 - a. National REDD management arrangements
 - b. Consultation, Participation, and Outreach
 2. REDD+ Strategy Preparation
 - a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance
 - b. REDD Strategy Options
 - c. Implementation Framework
 - d. Social and Environmental Impacts
 3. Reference Levels
 4. Monitoring Systems for Forests and Safeguards
 - a. National Forest Monitoring System
 - b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards

R-Package Concept Note: Proposed Draft Assessment Framework

- Assessment Framework based on a Standards approach and two categories of assessment proposed for each standard
 - “Partially met”
 - “Significantly advanced”
- Overarching principles that cut across all standards
 - Participatory approach is applied
 - Capacity building is promoted
 - Action plans are budgeted
 - REDD+ actions are monitored and evaluated
 - Common Approach to Safeguards is applied
 - Consistency with UNFCCC (as reflected in the feedback)

The Common Approach and FCPF Safeguards

- Implementation of SESA (per FCPF Common Approach) is how relevant safeguards are complied with during **both the preparation and the implementation of the REDD+ strategy**
- These safeguards are consistent with the 7 Cancun safeguards, and go beyond them in several important respects
- The FCPF approach is designed to generate data that could be captured in a system of information on how safeguards are being addressed and respected (as referred to in Cancun Agreement)
- Country to provide a summary of how SESA was conducted during R-PP Formulation and Readiness Preparation and the production of an ESMF/advanced draft ESMF

Example of Draft Standard from Concept Note: R-Package Component 1a. National REDD+ Management Arrangements “significantly advanced”

- “National REDD+ institutions and management arrangements are **substantially and consistently engaging** key stakeholders*, and **consistently and transparently sharing** information;
- are **leading** the national readiness process, based on a **formal mandate and with sufficient budget**; and
- **are demonstrating** capacity to influence the design and implementation of national policies relevant to REDD+,
- including across sectors and different levels of government.”

*key stakeholders is defined as: government agencies that influence land use decisions, forest-dependent Indigenous Peoples and other forest-dependent local communities, civil society, and private sector);

**Example of Draft Standard from Concept Note:
R-Package Component 1a. National REDD+ Management
Arrangements “significantly advanced” (Cont.)**

- “Institutions and arrangements are **effectively supervising** technical preparations relevant to REDD+; and
- **have the capacity** to receive and manage REDD+ funds from various sources.
- A mechanism for feedback and grievance redress **is functioning**, and its relationship to the national REDD+ management arrangements **is clear.**”

Key Points of General Agreement among FCPF Countries, Donors and Observers

- R-Package is an appropriate organizing framework for Readiness activities, piloting and investments
 - Requires consistency with COP decisions
 - Building on R-PP provides continuity and consistency
- R-Package should encompass Readiness overall
 - Includes FCPF, UN-REDD activities, and support from other development partners
 - Consistency and synergy with UN-REDD is important
 - R-Package is a single document drawing on readiness work output
- Assessment should occur at national level (self-assessment) and internationally (TAP, PC)
 - Demonstrate a country's commitment to REDD+ and consistency with the international framework
 - Feedback to countries is important to identify gaps and provide guidance

Diverging and Multiple Views the Role, Purpose, Assessment and Review Process

Feedback from Participants and Observers raises key questions:

1. Is the R-Package a 'reporting requirement' or an opportunity to show progress and attract funding?
2. Should the R-Package be more closely linked to the Carbon Fund? Or a comprehensive assessment of national Readiness progress?
3. Is the assessment primarily a opportunity to provide constructive feedback? Or an assessment against standards for an international audience?
 - National self-assessment with focus on progress?
 - What is a meaningful multi-stakeholder assessment?
 - What is the appropriate level of detail for the assessment?
 - How can the assessment approach be made operational and most useful?
4. What are the outcomes of the assessment, and what does PC endorsement mean?
 - What role does the TAP play?
 - What role might the UN-REDD Policy Board have in the assessment process?

3 main concerns raised by Indigenous Peoples in the context of the ongoing discussion on the R-Package

- Need to define specific criteria to assess promotion and respect of indigenous peoples' rights
 - This will be addressed when the assessment framework is defined (at PC12 the PC is to agree on R-Package content, assessment criteria follow later)
- Need to define the purpose of the R-Package more clearly (i.e., general assessment of progress vs. criteria to access Carbon Fund)
 - Discussions among Participants are consistent with this concern and the assessment of REDD+ readiness is to be distinguished more clearly from Carbon Fund requirements
- Need to consider if the scope of the R-Package is general readiness including activities by other initiatives and not just limited to FCPF activities
 - Discussion thus far stressed the need to collaborate closely with UN-REDD, which includes definition of criteria that are consistent with two programs

Next Steps: Post-PC11 in Paraguay

- FMT to prepare Paper to analyze options for defining scope, purpose, and an assessment process for the R-Package by mid-May
 - discuss the options paper with Participants
 - preparatory meeting for discussions before PC12
- PC is to agree on R-Package content by PC12; assessment approach will follow

An aerial photograph of a vast, dense forest. The trees are mostly green, with some brownish patches, suggesting a mix of species or perhaps a dry season. In the upper right corner, a small body of water is visible. The overall scene is a lush, natural landscape.

Asante

Thank you

Merci

Obrigado

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