



Forest Carbon Partnership Facility

R-Package Structure & Assessment

R-Package Drafting Group

2nd Conference Call

September 22, 2011

R-Package: Context and Purpose

- FCPF is a pilot program
 - Provide funding and assistance to countries for initial preparations to participate in a future international REDD+ mechanism
 - The R-Package is an important benchmark in a long-term process that requires learning and capacity-building through piloting
 - Objective of REDD+ readiness process is not to sell forest carbon or to design the international regime
- R-Package will provide confidence and transparency to national and international actors that
 - The country is making progress on REDD+
 - It is testing activities within a transparent framework
 - It mitigates social and environmental risks
- R-Package needs to
 - Define the critical elements that need to be put in place as part of early action on REDD+
 - Determine whether a country has made significant progress and reached important milestones

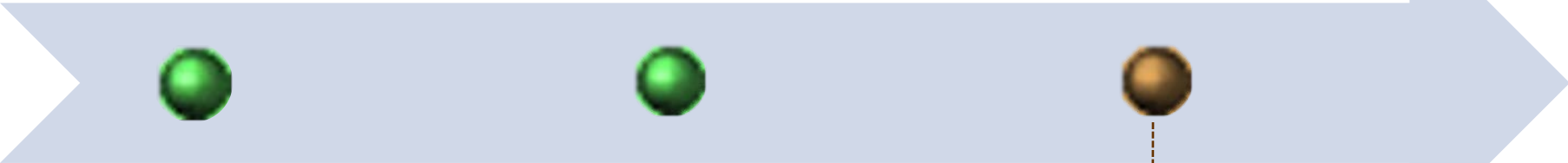
Readiness Package is 'Gateway' to Carbon Fund

Readiness Fund



Progress Report

R-Package



ERPA



Carbon Fund

R-Package Submission

- R-Package submission is voluntary; assessment at REDD Country Participant's request
- R-Package is a benchmark for REDD+ readiness with focus on progress, not an assessment of R-PP implementation
- Countries will need to meet minimum standard; some will be more advanced than others
 - Review process qualitatively assesses accomplishments above-and-beyond minimum standards
 - Some countries may ...
 1. “have significantly progressed towards Readiness to enter into an ERPA with the FCPF Carbon Fund”
 2. “have significantly progressed towards Readiness and meet basic requirements but require more time and assistance for developing some elements/components”
 3. “be progressing towards Readiness but have not advanced on all elements/components to meet minimum standard”

Cancun Elements

- a) **A national strategy or action plan**
- b) **A national forest reference emission level and/or forest reference level** or, if appropriate, as an interim measure, subnational forest reference emission levels and/or forest reference levels, in accordance with national circumstances, and with provisions contained in decision 4/CP.15, and with any further elaboration of those provisions adopted by the Conference of the Parties
- c) **A robust and transparent national forest monitoring system for the monitoring and reporting of the activities** referred to in paragraph 70 above, with, if appropriate, subnational monitoring and reporting as an interim measure, in accordance with national circumstances, and with the provisions contained in decision 4/CP.15, and with any further elaboration of those provisions agreed by the Conference of the Parties
- d) **A system for providing information on how the safeguards** referred to in appendix I to this decision are being addressed and respected throughout the implementation of the activities referred to in paragraph 70 above, while respecting sovereignty

R-PP Components

Component 1: Organize and Consult

- 1a. National Readiness Management Arrangements
- 1b. Information Sharing and Early Dialogue with Key Stakeholder Groups
- 1c. Consultation and Participation Process

Component 2: Prepare the REDD-plus Strategy

- 2a. Assessment of Land Use, Forest Law, Policy and Governance
- 2b. REDD-plus Strategy Options
- 2c. REDD-plus Implementation Framework
- 2d. Social and Environmental Impacts during Readiness Preparation and REDD-plus Implementation

Component 3: Develop a Reference Level

Component 4: Design a Monitoring System

- 4a. Emissions and Removals
- 4b. Multiple Benefits, Other Impacts, and Governance

Component 5: Schedule and Budget

Component 6: Design a Program Monitoring and Evaluation Framework

Three Options for R-Package Structure

- 1. Strictly follow Cancun elements and sequence**
- 2. Cancun cross walk with R-PP**
- 3. Build on current R-PP structure**

Option 1: Strictly Follow 4 Elements of Cancun Decision (without addressing other R-PP components)

| Pro | Con |
|------------------|---|
| Simple framework | No guidance available on how countries would develop the elements in order to implement and assess them |
| | Rich country experience is not harnessed |
| | No logical order or sequence, just a set of elements |

- Therefore, it seems preferable to maintain richness of R-PP components

Rationale for Building on R-PP Components (1)

- Recognizes country ownership and experience of 26 FCPF REDD Country Participants, continuing the logical framework (sequence) of Readiness activities
- Builds on 3 years of debate within the FCPF, both by PC members and Civil Society
- 26 out of 54 REDD+ Partnership countries have presented an R-PP to the PC using this framework
- Incorporates all 4 Cancun elements

Rationale for Building on R-PP Components (2)

- Continuity of guidance and sequencing of activities to countries
- Builds directly on current 12 R-PP component and sub-component standards by fine-tuning; progress indicators to assess whether standard is met
- Already agreed and in use with UN-REDD Programme

Option 2: Cancun/R-PP Cross-Walk (1)

| Cancun text | Corresponding R-PP components |
|---|-------------------------------|
| National strategy or action plan | 1a, 2a, 2b, and 2c |
| National forest reference emission level and/or forest reference levels | 3 |
| Robust and transparent national forest monitoring system | 4a |
| System for providing information on safeguards | 1b, 1c, 2d and 4b |

Option 2: Cancun/R-PP Cross-Walk (2)

| Pros | Cons |
|---|---|
| Follows Cancun COP decision element, sequence, and the result of years of negotiation | Does not have the logical framework and sequence that has evolved as a result of PC discussions over 3 years; putting early actions first |
| Recognizes country ownership and experience of 26 FCPF REDD Country Participants | May be confusing to FCPF REDD Country Participants, PC, and FCPF community |

Option 3: Build directly on R-PP Components (1)

| Pros | Cons |
|---|--|
| Recognizes country ownership and experience of 26 FCPF REDD Country Participants, continuing the logical framework [sequence] of Readiness activities | Does not strictly follow Cancun decision components and sequence |
| Builds on 3 years of intensive debate within the FCPF Partnerships both by PC members and Civil Society | Goes beyond Cancun elements and relies on a complex framework |
| 26 out of 54 developing countries already have presented an R-PP to the PC using this framework | Only 26 out of 54 developing countries members of the REDD+ Partnership are familiar |

Option 3: Build directly on R-PP Components (2)

| Pros | Cons |
|---|--|
| Incorporates all 4 Cancun elements | Cancun elements not fully defined; country proposed R-PP components are diverse and innovative |
| Continuity and sequencing of formatting of the R-PP | |
| Builds directly on current 10 R-PP sub-component standards, by sharpening and fine-tuning them, and using progress indicators | |
| Already agreed and in use with UN-REDD Programme | |

R-Package Structure: Questions for Discussion

- FMT recommends Option 3
 - Preferable on balance
 - But, are there other options?
- The choice will have to be vetted by the Drafting Group and the PC, but also by the other Delivery Partners and the UN-REDD Programme

R-Package Assessment

- Use standards approach
 - Cover all 4 Cancun elements and all R-PP components
- Build on R-PP assessment standards
 - Established standards from R-PP review process
 - UNFCCC doesn't provide guidance on assessment
 - FCPF Carbon Fund Methodological approach based Standards (proposed)
- Rely on Technical Advisory Panel
- Some examples of standards in the following slides
 - R-PP standard presented are from R-PP review template
 - Proposed R-Package standards are **examples for discussion only**

Assessment Standards

Example 1: REDD+ Strategy (1)

- Relevant R-PP components and standards: 1a, 2a, 2b, 2c
- 1a: National Readiness Management Arrangements
 - **R-PP Standard:** Management arrangements reflect cross-cutting nature of REDD+ and include relevant stakeholders, government agencies; capacity building included in work plan.
 - **R-Package Standard:** *Broad-based body of government agencies and national stakeholders is effectively facilitating policy dialogue and steering technical preparations.*
- 2a: Land Use, Forest Law, Policy, and Governance
 - **R-PP Standard:** Completed assessment identifies land use trends, assesses drivers, recognizes land tenure/governance, documents previous policies, provides rationale for REDD+ strategy.
 - **R-Package Standard:** *Completed analysis quantifies land use trends, links key drivers to land use change; policies address drivers, land tenure and governance.*

Assessment Standards

Example 1: REDD+ Strategy (2)

- 2b: REDD+ Strategy Options

- **R-PP Standard:** Proposed strategy is aligned with drivers and national/sector strategies, analytical work for REDD+ strategy options are summarized (incl. design options, cost and benefits, feasibility etc.).
- **R-Package Standard:** *REDD+ strategy is based on sound analytical work of drivers, costs and benefits (people, biodiversity, development), feasibility, synergies/conflicts with other national strategies, management of domestic leakage; and includes a prioritized and budgeted action plan.*

- 2c: REDD+ Implementation Framework

- **R-PP Standard:** Activities and work plan to develop institutional arrangements, and key issues for implementation are identified.
- **R-Package Standard:** *Clear institutional responsibilities and work plans are defined, and necessary human resources and budget are in place; explicitly addresses the scale and phasing of implementation and evolves through the promotion of demonstration activities; REDD+ registry designed and benefits sharing system adopted.*

Assessment Standards

Example 2: Forest Monitoring System (1)

- Relevant R-PP component and standard: 4a
- 4a: National Readiness Management Arrangements
 - **R-PP standard:** A proposal and work plan for initial design of an integrated system to measure, report and verify forest cover is presented, including necessary capacity enhancements to monitor emissions, basic methodological approach, local participation, and use of monitoring to guide REDD+ implementation.
 - **R-Package standard:** *A framework for forest monitoring has been defined which includes a methodological approach to map forest cover change using data from existing sources or ongoing mapping activities; basic technical capacities are in place to track forest cover change and clear institutional roles have been assigned; a road map exists to develop initial mapping and inventory work into a full national system; data from monitoring are used to inform forest resource management and REDD+ activities.*

Assessment Standards

Example 2: Forest Monitoring System (2)

- **Possible Indicators (draft example for discussion only):**
 - *A scientifically sound and internally consistent method is used to map recent forest cover change at the national scale (or for a sub-region relevant for REDD+) following internationally recognized good practices and established mapping protocols and providing a accurate estimate of the national (or sub-national as appropriate) deforestation rate. Methods and results undergo peer-review by international and national experts.*
 - *An approach and data sources to map contemporary forest cover change is identified and tested in areas relevant for REDD+ combining remote sensing, and as appropriate, data collected by local forest communities.*
 - *A first-order estimate of recent emissions from deforestation and forest degradation and/or forest carbon stock at the national (or sub-national as appropriate) level is available following IPCC protocols.*
 - *Mapping and emissions analysis is performed with significant participation of national experts and technical agencies.*
 - *Generated and existing map products readily provide a stratification of the national (or sub-national as appropriate) forest area by carbon stock and/or recent historical forest cover change.*

Next Steps

- **Before PC10:**
 - Refine presentation
 - Prepare full set of examples of standards and proposed indicators
- **At PC10:**
 - Obtain expression of PC preference for structure
 - First discussion of standards
- **Between PC10 and PC11:**
 - Based on agreed-upon structure, standards are further modified and indicators developed
 - Formal solicitation of feedback prior to COP17 and revision by PC11



THANK YOU!

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