

Safeguard Policy Developments at the World Bank: An Information Session

Stephen F. Lintner
Senior Technical Advisor
World Bank

Global Consultation with Indigenous Peoples
on the
Forest Carbon Partnership Facility (FCPF)

Gaigirgordub, Panama
September 2011



Part A

Updating and Consolidation Process

World Bank

- Focus on Poverty Reduction, including the Millennium Development Goals
- Commitment to Environmentally and Socially Sustainable Development, supporting Outcome of Rio and Johannesburg Summits
- Promoting Country Driven Development Effectiveness, including the Paris Declaration and Accra Agenda for Action
- Addressing Climate Change – both Mitigation and Adaptation, as well as REDD+ – as a Development Issue
- Providing Global Knowledge to Support Public and Private Sector Policies, Strategies, Programs and Projects

Safeguard Policies: Key Objectives

- Promote sustainable development and support integration of environmental and social aspects of programs and projects into decision making process
- Provide a mechanism for addressing environmental and social issues in program and project design, implementation and operation
- Use to identify and manage impacts and risks
- Provide a framework for consultation and disclosure
- Support development effectiveness – increase results on the ground both short and long term

Complementary Objectives

- Increase effectiveness, efficiency and timeliness in the application of safeguard policies, including measures to facilitate improved harmonization within the World Bank Group
- Update the Policy Framework to address emerging issues and recent developments in methods and approaches for assessment of impacts and risks
- Strengthen implementation, monitoring and reporting in application of safeguard policies

World Bank - Safeguard Policies

Impacts, Risks and Sustainability

- Environmental Assessment
- Natural Habitats
- Forests
- Pest Management
- Physical Cultural Resources
- Involuntary Resettlement
- Indigenous Peoples
- Safety of Dams
- Projects involving International Waters
- Projects in Disputed Areas

Access to Information Policy

Piloting Use of Country Systems for Environmental and Social Safeguards

9 Policies Covered in the Update Process

- Environmental Assessment
 - Natural Habitats
 - Forests
 - Pest Management
 - Physical Cultural Resources
 - Involuntary Resettlement
 - Indigenous Peoples
 - Safety of Dams
 - *Piloting Use of Country Systems for Environmental and Social Safeguards*
-

Legal Safeguard Policies

- Not included:
 - Policy on Projects involving International Waterways – Will be undertaken as a separate process
 - Policy on Projects in Disputed Areas – No changes are anticipated at this time

Consultations

Consultations with Stakeholders:

- Governments (National and Sub-National)
- Development Partners
- Private Sector
- Academic and Applied Research Institutes
- Professional Organizations
- Labor Unions
- Indigenous Peoples
- Civil Society Organizations
- Nongovernmental Organizations

Consultations will be a major focus of work during 2013

Draft Policy will be available in multiple languages

Consultation Process - Translations

- Arabic
- Chinese
- English
- French
- Portuguese
- Russian
- Spanish

Proposed Schedule

- 2012 - Approach Paper for Review by Board of Executive Directors and Followed by External Meetings
- Spring 2013 – 1st Stage of Consultations on Draft Policy
- Fall 2013 – 2nd Stage of Consultations on Revised Draft Policy
- December 2013 – Proposed Presentation to World Bank Board of Executive Directors

2012 and 2013 - Meetings Planned to be Held in Conjunction with United Nations Permanent Forum on Indigenous Issues in New York

Indigenous Peoples Policy: Issues Raised

- The Indigenous Peoples Policy should remain a stand-alone policy
- The Bank should adopt a stand-alone Human Rights safeguard policy
- The Bank should adopt the standard of Free, Prior and Informed Consent for Indigenous Peoples
- The Bank should carry out more extensive consultations with Indigenous Peoples, especially during the safeguard policy updating and consolidation process
- A rights-based approach is particularly important for climate programs, including REDD+

More Information on World Bank Group Environmental and Social Policies

A dedicated site for the Updating and Consolidation Process will soon be operational – links will be placed on the Safeguards and Use of Country Systems websites listed below:

- Safeguards Website
www.worldbank.org/safeguards
- Use of Country Systems Website
www.worldbank.org/countrysystems
- Strategic Environmental Assessment (SEA) Tool Kit Website
www.worldbank.org/seatoolkit
- IFC's Sustainability Policy Framework
www.ifc.org
- Environmental Health and Safety
www.ifc.org/ifcext/enviro.nsf/context/EnvironmentalGuidelines



I

Part B

**Implementation of the
Indigenous Peoples Policy
(FY 2006 – 2008):
A Learning Review**

Methodology

- Search in World Bank databases for projects triggering OP 4.10
- Development of a Fact Sheet for every project
- Built on previous Social Development Review experience
- Analysis of IPPs in 5 categories
 1. Screening
 2. Social Assessment
 3. Consultation, participation, community support
 4. Quality of the Indigenous Peoples Plans (IPPs)
 5. Special considerations

Methodology

- Ranking of IPPs according to 15 criteria
- Analysis of Indigenous Peoples Policy Frameworks (IPPFs) in 3 categories
 1. Identification of potential effects
 2. Plans for Social Assessment and consultation
 3. Provisions for screening , evaluation of effects, and preparation of IPPs
- Ranking of IPPFs according to 7 criteria

Key Findings

- **The quality of project IPPs is significantly improving, particularly regarding:**
 - Staff and Borrower awareness of the policy
 - Screening for the application of the policy
 - Tailoring benefits to indigenous communities
 - Avoidance of involuntary resettlement
 - Use of consultation processes designed for indigenous communities

Key Findings

- **Areas for strengthening:**
 - Analysis of the legal framework
 - Social Assessment of indigenous communities
 - Documentation of broad community support
 - Adoption of specific monitoring indicators
 - Improved in-country disclosure
 - Increased focus on protection of land rights
 - Establishment of grievance mechanisms

Recommended Actions

- Expanded knowledge sharing and training on OP 4.10
- Preparation of Indigenous Peoples Country Profiles in selected countries
- Increased promotion of country-based dialogue mechanisms involving Indigenous Peoples
- More emphasis on issues related to land and resources rights in Bank operations
- Inclusion of institutional strengthening and capacity building of Indigenous Peoples organizations in IPPs
- Preparation of regional operational guidelines on the application of OP 4.10
- Streamlining of Bank information databases
- Ensuring more effective in-country disclosure of OP 4.10 instruments (IPP or IPPF)

More Information

- Safeguards Website

<http://worldbank.org/safeguards>

- Learning Review

http://siteresources.worldbank.org/INTSAFEPOL/Resources/Indigenous_peoples_review_august_2011.pdf



Contact

Stephen F. Lintner
Senior Technical Advisor
World Bank
1818 H Street, NW
Washington, DC 20433
USA

E-Mail: slintner@worldbank.org