



Forest Carbon Partnership Facility

Strategic Environmental and Social Assessment Proposal for Comment

Participants Assembly Meeting (FCPF PA2)
Washington, DC - October 26, 2009

Quality Enhancement & Risk Management



Risks and adverse impacts:
Identify, avoid,
minimize, mitigate

Environmental
impacts



Social
impacts

Quality Enhancement & Risk Management



Safeguards Policies



Risks and adverse impacts:
Identify, avoid,
minimize, mitigate

Environmental
impacts

Social
impacts

Quality Enhancement & Risk Management

Positive impacts:
Improved quality,
Targeting,
Sustainability,
Benefit sharing



Risks and adverse impacts:
Identify, avoid,
minimize, mitigate

Environmental
impacts

Social
impacts

Strategic Assessment

Vulnerability issues:

How will the poor and marginalized groups fare in proposed REDD strategy? Are there any threats to their land tenure rights, How to guarantee their participation in REDD revenues?
(Guyana R-PP, p. 42)

Political economy issues: Could benefits from REDD activities be centralized? What kind of opposition / distortion of activities by influential stakeholders are to be expected? How to overcome these?
(Guyana R-PP, p. 42)

- Identify key environmental and social issues relevant for the forest sector based on
 - Situation analysis
 - Stakeholder analysis
- Assess existing capacities and systems to manage these issues
 - What are the policy, legal, regulatory and institutional gaps?
- Assess the potential effects of the program on these key issues, capacities and systems
 - Positive: opportunities
 - Negative: threats
- Formulate policy, institutional, legal, regulatory adjustment and capacity building measures

Risk Analysis



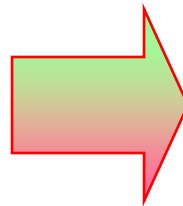
- Based on analysis of context and priorities
- Assess what can go wrong
 - Adverse impacts **caused by** the program, in particular vulnerability risks
 - Risks to the program
- Key questions for the analysis
 - Who are the winners and who are the losers?
 - How do stakeholders cope with environmental and social risk?
 - What actions can be taken to reduce or mitigate risk?
- Consider alternatives
- Design mitigation and risk management measures

World Bank Safeguard Policies

Environmental Assessment OP 4.01				
Natural Habitats OP 4.04	Forests OP 4.36	Pest Management OP 4.09	Dam Safety OP 4.37	Physical Cultural Resources OP 4.11



“Emphasis will be placed on ensuring benefits, land use rights and tenure, and the mitigation of potential environmental and social impacts”
(*Guyana R-PP, p. 21*)



Involuntary Resettlement OP 4.12	Indigenous Peoples OP 4.10
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International Waterways OP 7.50	Disputed Areas OP 7.60
Legal Safeguards	

SESA: Business Process (1)

1

DETERMINE APPLICABLE POLICIES

1. Government - WB jointly

- Based on national laws, policies and practices
- Gap filling where needed to meet World Bank safeguards standards

2

ANALYSIS OF ENVIRONMENTAL AND SOCIAL CONTEXT

2. Government, with WB assistance

- Environmental and social opportunities and risks
- Stakeholder analysis, including indigenous peoples and other affected groups
- Policies and institutions

3

4. Government, with WB assistance

- Based on principles, analysis, consultations
- Frameworks consistent with WB safeguards form the basis for future location-specific plans

CONSULTATION AND PARTICIPATION

3. Government, with WB assistance

- Based on impact and stakeholder analysis
- Prior and meaningful information, appropriate forums, disclosure, feedback and transparency

4

ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORKS

5

5. Government, with WB assistance

- Policies and regulatory frameworks as needed
- Institutional strengthening, coordination
- Continued citizen engagement

REFORMS AND INSTITUTIONAL STRENGTHENING

SESA: Business Process (2)

Phase 1: Proposal Formulation

Phase 2: Preparing for Readiness

Implementation

Assessment of R-PP

Assessment of Readiness Package

1

DETERMINE APPLICABLE POLICIES

2

ANALYSIS OF ENVIRONMENTAL AND SOCIAL CONTEXT

3

CONSULTATION AND PARTICIPATION

4

ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORKS

5

REFORMS AND INSTITUTIONAL STRENGTHENING

SESA: Two Phases

- **Phase 1 Expected Outcomes**
 - Initial diagnostic and consultations
 - Confirmation of Environmental Category
 - Terms of Reference for Phase 2
- **Phase 2 Expected Outcomes**
 - Proposals for implementation phase
 - Strengthened policies, legal and regulatory frameworks
 - Institutional strengthening and capacity building
 - Continued citizen engagement
 - Environmental and social management frameworks
 - Consistent with World Bank safeguards policies
 - For specific investments and programs during implementation phase

Steps for Good Consultations



1

- *Define the desired outcomes of consultations*

2

- *Develop a Consultation and Participation Plan and request endorsement through a national stakeholder workshop*

3

- *Select the consultation and outreach methods*

4

- *Define the issues to consult on*

5

- *Identify stakeholders*

6

- *Establish grievance and redress mechanism*

7

- *Conduct the consultations*

8

- *Analyze and disseminate results*



Thank you!