



Forest Carbon Partnership Facility

Cancun & Durban Decisions on Safeguards and the FCPF

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Outline

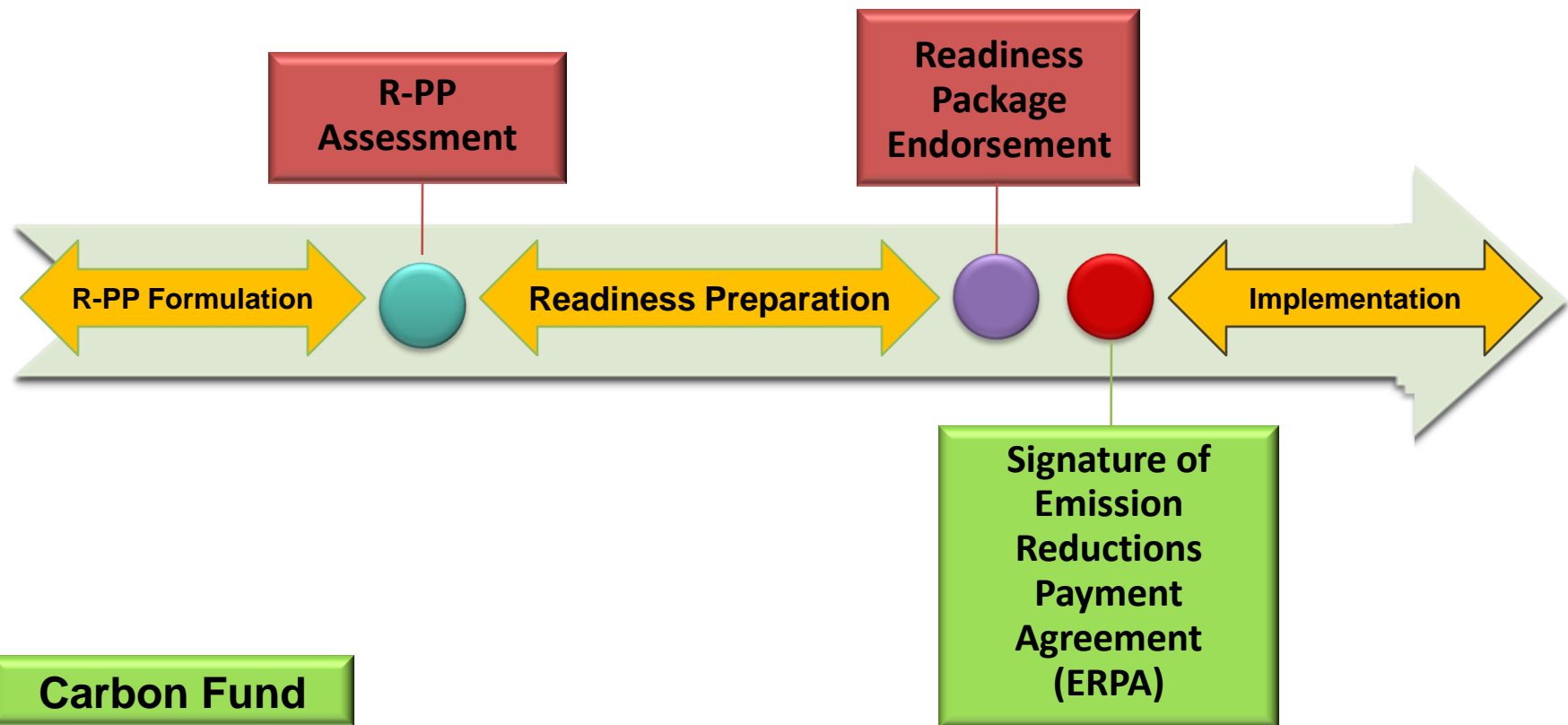
1. FCPF Approach to Safeguards
2. Link to UNFCCC Safeguards for REDD+
3. Safeguards in the Common Approach

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The FCPF Approach to Safeguards for REDD+

Readiness Fund



A central challenge of the Readiness Preparation process:

- REDD+ Readiness Preparation mainly consists of strategic planning leading to development of policies, programs, institutions and a set of actions to be implemented in the future

The agreed solution for the FCPF: SESA and ESMF

- SESA stands for “Strategic Environmental and Social Assessment”
- ESMF stands for “Environmental and Social Management Framework”

SESA for REDD+ Readiness Preparation



- The strength of SESA for REDD+
- The foundation: A robust consultation and participation process
- The strategic dimension of SESA

Key to Comprehensive Risk Management

SESA allows for incorporation of environmental and social considerations into the REDD+ Readiness Preparation process including safeguarding during **both** the preparation and the implementation of the REDD+ strategy, as follows:

- Enhancing the REDD+ strategy
- Environmental and social safeguarding

Environmental and Social Management Framework (ESMF)

- The ESMF serves as a framework for managing and mitigating the environmental and social risks and impacts for future investments (projects, activities, and/or policies and regulations) associated with implementing a country's REDD+ strategy
- Timing for the preparation of the ESMF

ESMF and Safeguards



- Coverage of the ESMF
- Scope of application of the ESMF

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Linking the Cancun Decision and WB Safeguards

Cancun Safeguards	World Bank Safeguards
(a) Actions complement or are consistent with the objectives of national forest programmes and relevant international conventions and agreements	OP 4.01 on Environmental Assessment; OP 4.36 on Forests
(b) Transparent and effective national forest governance structures, taking into account national legislation and sovereignty	Access to Information policy; OP 4.04 on Natural Habitats; OP 4.36 on Forests
(c) Respect for the knowledge and rights of indigenous peoples and members of local communities, by taking into account relevant international obligations, national circumstances and laws, and noting that the United Nations General Assembly has adopted the United Nations Declaration on the Rights of Indigenous Peoples	BP 4.36 on Forests; OP 4.10 on Indigenous Peoples; OP 4.12 on Involuntary Resettlement
(d) The full and effective participation of relevant stakeholders, in particular, indigenous peoples and local communities	OP 4.04 on Natural Habitats; OP 4.36 on Forests; OP 4.10 on Indigenous Peoples
(e) Actions are consistent with the conservation of natural forests and biological diversity, ensuring that actions are not used for the conversion of natural forests, but are instead used to incentivize the protection and conservation of natural forests and their ecosystem services, and to enhance other social and environmental benefits	OP 4.36 on Forests
(f) Actions to address the risks of reversals	OP 4.01 on Environmental Assessment; OP 4.36 on Forests
(g) Actions to reduce displacement of emissions	OP 4.01 on Environmental Assessment; OP 4.04 on Natural Habitats; Annex A to OP 4.04 on Natural Habitats

Durban Decision: Elaboration on a Safeguards Information System (SIS)

As part of the SESA process:

- Mechanisms for the collection and provision of relevant information are provided for at various points
- Iterative, multi-step nature of the process gives rise to a number of milestones
- Each milestone presents the REDD+ Country an opportunity to inform on progress
- A process or product associated with a milestone could serve as sufficient evidence that an applicable safeguard is being addressed and respected

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Safeguards and FCPF Delivery Partners other than the World Bank

- The Common Approach to Environmental and Social Safeguards for Multiple Delivery Partners (DPs)
- The Common Approach provides the World Bank and other DPs with a common platform for risk management and quality assurance in the REDD+ Readiness Preparation process



How will the Common Approach work?

- DPs will be required to achieve “substantial equivalence” to the “material elements” of the World Bank’s social and environmental safeguard policies
- What are the “material elements”?
- What does “substantial equivalence” consist of?

Maintaining the Strongest Possible Standards

- Under the Common Approach, whichever DP is in the lead in a REDD+ Country still applies its own safeguard policies
- If the safeguard policies/procedures of the lead DP are more protective than those of the WB, then those are the activities that will be undertaken as part of REDD+ Readiness

Other Sets of Requirements

- In addition to the material elements, four sets of guidelines are at the core of the Common Approach:
 - FCPF Guidelines and generic Terms of Reference for Strategic Environmental and Social Assessment (SESA) and the associated Environmental and Social Management Framework (ESMF)
 - FCPF/UN-REDD Guidelines on Stakeholder Engagement in REDD+ Readiness
 - FCPF Guidelines on the Disclosure of Information; and
 - FCPF Guidelines for Establishing Grievance and Redress Mechanisms at the Country Level

Relevant Outputs for the Readiness Package

- Summary of how SESA was conducted during R-PP Formulation and Readiness Preparation containing the following:
 - Institutional arrangements for coordinating SESA
 - WB safeguard policies triggered and the environmental and social studies or diagnostics carried out
 - Key environmental and social issues associated with the drivers of deforestation and causes of forest degradation
 - How the findings of studies and consultations fed into the selection and refinement of the REDD+ strategy options
 - Consultations, public participation, disclosure of information, and grievance redress on environmental and social issues
 - Results of the assessment of environmental and social risks and potential impacts of REDD+ strategy options, with an eventual focus on the final REDD+ strategy
- An ESMF/advanced draft ESMF

A Few Words on Dispute Resolution

- PC approved measures to enhance capacity for dispute resolution (and manage risks preventively)
 1. \$200,000 increase in Readiness Preparation grants to countries for feedback and grievance redress mechanism
 - Available immediately
 - Need to conduct assessment of existing in-country mechanisms(s), then amend existing grant agreements or include in new grant agreements
 - Standard Readiness Preparation grants raised to \$3.8 million fund-wide
 2. Total of \$650,000 budget for DP teams (= \$50,000 increase per country per year for World Bank regional teams in annual WPA)
 - Available from July 1, 2012
 3. Reserve of \$2 million for dispute resolution professionals
 - Modalities to be agreed by DPs and PC



Asante

Thank you

Merci

Obrigado

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