



Forest Carbon Partnership Facility

2b. Task Force on Developing a Common Approach for Multiple Delivery Partners

Eighth Meeting of the Participants Committee (PC8)

Dalat, Vietnam

March 24-25, 2011





Outline

1. Background
2. Presentation by Task Force Facilitator
(Tim Mealey, Meridian Institute)
3. PC Resolution on additional budget for
Task Force



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Context of Task Force Creation (1)

- October 2009: FMT first proposed to open the Readiness Fund to include “delivery partners” other than the World Bank to assist countries where WB is not the best positioned (no forest sector program or another entity in the lead)
- Several discussions and PC resolutions in March and July 2010
- November 2010: Resolutions adopted:
 - Participants Assembly (PA/3/2010/2) created legal basis for “Multiple Delivery Partner” arrangement
 - Participants Committee (PC/7/2010/4)

Context of Task Force Creation (2)

- Resolution PC/7/2010/4:
 - Invited 6 candidate Delivery Partners and 5 REDD Countries to take part in pilot
 - Signature of \$3.6 million Readiness Preparation Grants would be subject to PC adoption at PC9 of a “Common Approach” on environmental and social safeguards to achieve consistency among the Multiple Delivery Partners
 - Set up a Task Force to develop the Common Approach
 - PC to decide whether and how to operationalize Multiple Delivery Partner arrangement by PC10

Pilot Countries

- PC approved 5 pilot countries, with some conditions
 - Cambodia (UNDP)
 - Guyana (IDB)
 - Panama (UNDP)
 - Paraguay (UNDP)
 - Peru (IDB)
- Conditions:
 - Cambodia: Clarity on procurement procedures
 - Paraguay: Agreement of indigenous peoples to proceed

Financial Flows from FCPF

- \$200,000 grants for R-PP formulation can proceed right away, subject to agreement between Trustee and Delivery Partner
 - Guyana has requested this grant
- \$3.4 million grants conditioned upon:
 1. PC adoption of the Common Approach on safeguards (PC9, June 2011)
 2. Signature of Transfer Agreement between Trustee and Delivery Partner (after PC9)
 3. Signature of agreement between Delivery Partner and Country (after Transfer Agreement is signed)
 4. Country-specific conditions (Cambodia and Paraguay)



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**Status Report to FCPF Participants
Committee (PC8)**

**Task Force on a Common Approach to
Environmental and Social Safeguards
for Multiple Delivery Partners**

**Da Lat, Vietnam
24-25 March 2011**

Background

TF Mandate:

- Resolution PC/6/2010/6 – Multiple Delivery Partners under the Readiness Fund; and
- Resolution PC/7/2010/4 – Piloting Multiple Delivery Partners.
- Develop a common approach to ensure DPs administer Readiness Grants in a manner consistent with FCPF Charter

Invitations to Participate Extended to:

- 5 pilot countries;
- 6 donor countries/CF participants;
- 6 delivery partners;
- 3 Indigenous Peoples representatives; and
- 4 CSO representatives

Background (cont.)

Initiation of TF Process:

- FMT prepared initial Concept Note and Terms of Reference for Facilitator (Meridian Institute) and Independent Analysts (Bruce Jenkins and Elspeth Halverson)
- Working Group meeting 15-16 September 2010; initial TF teleconference conducted on 17 December 2010; since then TF has met 5 times via teleconference and once in-person on 19-20 March 2011

Issues

- Significant time devoted to developing an appropriate process and framework for the Common Approach
- Technically challenging nature of the subject matter and overwhelming volume of information to be analyzed for all six DPs
- Delivery Partner Participation: IDB, UNDP and ADB actively engaged
- Teleconference participation challenging for IP Representatives & some pilot countries

Task Force on Track

- Working agreements have been reached on a framework for identifying and filling gaps
- TF will soon produce third draft of the Common Approach, several more iterations before PC9
- Common Approach to be presented at PC9 will likely include IDB and UNDP; it may include ADB; the other DPs will not be in the Common Approach to be considered at PC9
- In short, the TF is on track to complete the core of the assignment it was given in time for PC9

Key Topics in Emerging Common Approach

- WB environmental and social safeguards most relevant to FCPF Readiness Fund:
 1. Environmental Assessment
 2. Natural Habitats
 3. Forests
 4. Physical and Cultural Resources
 5. Indigenous Peoples
 6. Involuntary Resettlement
- Use of Strategic Environmental and Social Assessment (SESA) and Environmental and Social Management Framework (ESMF)
- Disclosure of information
- Accountability, dispute resolution, and recourse

Emerging Common Approach

Common Approach would require DPs to:

- Comply with FCPF Guidance on:
 - SESA & ESMF
 - Stakeholder Engagement
 - Disclosure of Information; and
 - Grievance and Redress Mechanisms at the National Level

AND

- Achieve “substantial equivalence” which means equivalence to the “material elements” of the World Bank’s environmental and social safeguard policies and procedures applicable to the FCPF Readiness Fund, including those pertaining to information disclosure and dispute resolution and redress.

Emerging Common Approach

- “Material elements” are those elements that will have a significant impact on the outcomes that are likely to be achieved through the application of WB policies and procedures under the FCPF Readiness Fund
- Common Approach will include overarching objectives for each of the key topics
- For each of these topics, there will also be a list of “material elements” that are critical to achieving “substantial equivalence”

Updating the Common Approach

- The PC will review and consider proposals to update and/or modify the Common Approach to:
 - Reflect changes to:
 - UNFCCC approved policy guidance on environmental and social safeguards;
 - FCPF requirements/guidance; and
 - Material elements of the WB and/or other DPs policies and procedures.
 - Add new DPs; and
 - Account for lessons learned from the pilots.

Next Steps for Task Force

- For each material element, DPs will identify their policies, procedures, and/or gap filling commitments necessary to achieve substantial equivalence
- Independent consultants will review submissions from DPs, engage in a consultation with the DPs and report their findings to the TF
- TF will review and discuss consultants' findings and seek to achieve consensus on a Common Approach
- Once finalized by the PC, the Common Approach will be a component of the legally binding Transfer Agreements between WB & DPs

Questions for Discussion

- Are there implications of including only IDB and UNDP (and, perhaps, ADB) in the Common Approach that will be considered at PC9?
- Are there any issues that would arise from adopting the Common Approach that includes FCPF guidance documents that have not been formally approved by the PC?
- What, if any, additional outreach should be conducted to enhance and broaden support for the Common Approach in relation to PC9, PC10, and thereafter?



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Task Force Working Arrangements

- Conduct bi-weekly teleconferences (December 2010 to May/June 2011)
- Organize in-person meetings: on March 19 and 20 in Dalat, Vietnam, and in May 2011 in Washington, DC
- Hired two researchers for comparing environmental and social safeguards policies and procedures of Delivery Partners (one hired by the FCPF FMT, another by UNDP)
- Task Force facilitated by Meridian Institute (funded separately by Norway and CLUA)

Proposed Additional Budget for the Task Force

Task Force Activities	Budget (US\$)
Payment for one researcher	36,000
FCPF FMT Staff costs	81,000
In-person Task Force meetings	95,128
March 2011 meeting in Dalat	48,165
May 2011 meeting in Washington DC	46,963
Translation of documents into Spanish and French	5,000
Total	217,128



THANK YOU!

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