

**Task Force on a Common Approach to  
Environmental and Social Safeguards for  
Multiple Delivery Partners**

**Final Report to FCPF Participants  
Committee (PC9)**

**Oslo, Norway**

**20 June 2011**

# Outline

- Background on Task Force (TF)
- TF Recommendation of a Common Approach
- Potential Delivery Partners' Use of the Common Approach
- Lessons-to-be Learned about the Common Approach
- Targeted Outreach

# TF Mandate

## TF Mandate:

- Develop a common approach to ensure DPs administer Readiness Grants in a manner consistent with FCPF Charter
- Formulate recommendations for:
  - learning lessons from the CA pilot countries
  - targeted outreach

## Invitations to Participate Extended to:

- 5 pilot countries;
- 5 donor countries/CF participants;
- 6 delivery partners;
- 3 Indigenous Peoples representatives; and
- 4 CSO representatives

# TF Process

## TF Process:

- Following PC7 FMT prepared Concept Note and Terms of Reference
- Facilitator chosen (Meridian Institute)
- Independent Analysts chosen (Bruce Jenkins and Elspeth Halverson)
- TF has met 10 times via teleconference and twice in-person

# Consensus Recommendation of a Common Approach

- The recommended Common Approach is the result of an intensive deliberative process.
- It represents a consensus recommendation from those TF members who actively contributed to its development.
- It uses a performance-based approach in which DPs commit to achieving a performance standard the TF referred to as “Substantial Equivalence.”

# Common Approach

## Table of Contents

- I. Introduction
- II. Overview of the FCPF Readiness Preparation Process
- III. Environmental and Social Safeguards in the FCPF Readiness Fund
- IV. Strategic Environmental and Social Assessments (SESAs) and Environmental and Social Management Frameworks (ESMFs)
- V. Stakeholder Engagement in REDD+ Readiness
- VI. Disclosure of Information
- VII. Accountability and Grievance Mechanisms

# Substantial Equivalence

During the administration of FCPF Readiness Preparation grant agreements DPs shall:

- achieve “Substantial Equivalence” with the *material elements* of the WB’s environmental and social safeguard policies and procedures applicable to the FCPF Readiness Fund; and
- comply with *FCPF requirements* that are in place when signing the Transfer Agreement.

# Substantial Equivalence (Cont.)

- “Material elements” are those procedural and substantive elements of the World Bank’s environmental and social safeguard policies and procedures applicable to the FCPF Readiness Fund that will have a significant impact on the outcomes that are likely to be achieved through the application of WB environmental and social safeguard policies and procedures under the FCPF Readiness Fund.
- If the environmental and social safeguard policies and procedures of the DP are more stringent and/or protective than those of the WB, the DP shall apply its policies and procedures to FCPF Readiness Fund activities.



# Environmental and Social Safeguards Relevant to FCPF Readiness Fund

1. Environmental Assessment
2. Natural Habitats
3. Forests
4. Physical and Cultural Resources
5. Indigenous Peoples
6. Involuntary Resettlement

# FCPF Requirements

- Guidelines & Generic Terms of Reference for Strategic Environmental & Social Assessments (SESAs) and Environmental and Social Management Frameworks (ESMFs);
- Guidelines on Stakeholder Engagement in REDD+ Readiness Preparation;
- Guidance on Disclosure of Information; and
- Accountability Mechanism described in Section VII of Common Approach, including assistance to Pilot Country compliance with Guidelines for Establishing Grievance and Redress Mechanisms.

# Updating the Common Approach

- The Common Approach will be modified as needed by the PC to reflect changes in FCPF Requirements, WB policies, evolution of UNFCCC, apply lessons learned, etc.
- Modifications will not apply retroactively to existing Transfer Agreements (TAs).
- Existing TAs may be amended to incorporate modifications to the Common Approach, if agreed to by the Delivery Partner.

# Independent Analysis of DP Policies and Procedures

- Step 1: DPs submit Background Information in a framework developed by the TF;
- Step 2: Independent analysts review Background Information and identify potential gaps between safeguards of DP and with WB;
- Step 3: After consultations, DPs refine and update their submissions; and
- Step 4: Independent analysts produce a final version of a “gap analysis.”

# Independent Analysis (cont.)

- IDB and UNDP completed all four steps.
- The final products are available to all FCPF stakeholders and will serve as an important resource to track DP implementation of the “Substantial Equivalence” performance standard.
- ADB has completed first three steps. FAO has completed the first step.
- Additional work will be done prior to PC10 to complete the independent analysis of Background Information provided by ADB and FAO.

# PC Approval of Delivery Partners

- TF mandate was to develop recommendation on a Common Approach; not to recommend whether particular DPs should be approved to implement it.
- IDB and UNDP helped develop the consensus on Common Approach by providing useful background information and allowing external review of their safeguards.
- The results of this process led the TF to conclude that a performance-based approach could work.
- IDB and UNDP are committed to filling gaps that were indentified in the TF process in a manner that achieves “Substantial Equivalence.”

# Lessons-to-be-Learned Regarding Common Approach

- Appendix B of the Conveyance Memo contains a list of possible lessons-to-be-learned regarding the development and implementation of the Common Approach.
- The TF recommends that the PC allocate sufficient resources so that the information regarding lessons-learned is gathered and reported to the PC in a timely manner.

# Conclusion

- While not all TF members were able to participate actively at all stages, the TF process represents a significant amount of effort by diverse and reasonably balanced group of FCPF stakeholders.
- TF members learned from each other and from the information that was submitted by potential DPs and carefully analyzed by independent experts.
- **TF members urge the PC to adopt the Common Approach as presented and follow the same four-step process for any future consideration of potential DPs as part of the FCPF Readiness Fund.**



# FMT Proposal for Targeted Outreach

- Neither the FMT nor Delivery Partners will organize or conduct dedicated events for targeted outreach.
- FMT will participate or take advantage of TF member participation in up to 10 REDD-related events held by other parties at which presentations can be given on the Common Approach.
- FMT will prepare slides for such presentations and will work with Task Force members during the PC9 and onwards to identify a targeted list of events where such presentations can be made.