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Report No: 121033 - UG

INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

PROJECT PAPER

ON A

PROPOSED ADDITIONAL GRANT

IN THE AMOUNT OF US\$3.75 MILLION

TO THE

REPUBLIC OF UGANDA

FROM

THE FOREST CARBON PARTNERSHIP FACILITY

FOR

ADDITIONAL REDD+ READINESS PREPARATION SUPPORT

SEPTEMBER 19, 2017

ENVIRONMENT & NATURAL RESOURCES GLOBAL PRACTICE
AFRICA REGION

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CURRENCY EQUIVALENTS

(Exchange Rate Effective 9/19/17)

Currency Unit = UGX
3,600 UGX = US\$1

FISCAL YEAR

July 1 – June 30

ABBREVIATIONS AND ACRONYMS

AF	Additional Funding
ADC	Austrian Development Cooperation
CIF	Climate Investment Fund
CPF	Country Partnership Framework
ER	Emission Reductions
FAO	Food and Agriculture Organization of the United Nations
FCPF	Forest Carbon Partnership Facility
FIP	Forest Investment Program
FREL	Forest Reference Emissions Level
FSSD	Forestry Sector Support Department
GHG	Greenhouse Gas
GoU	Government of Uganda
MRV	Monitoring, Reporting and Verification
MWE	Ministry of Water and Environment
NCCAC	National Climate Change Advisory Committee
NDC	Nationally Determined Contribution
NFA	National Forestry Authority
NFMS	National Forest Monitoring System
NTC	National Technical Committee
PC	Participants' Committee (of the FCPF)
PPCR	Pilot Program for Climate Resilience
REDD+	Reducing emissions from deforestation and forest degradation, conservation of forest carbon stocks, sustainable management of forest, and enhancement of forest carbon stocks in developing countries
R-PP	Readiness Preparation Proposal
SESA	Strategic Environmental and Social Assessment
SCF	Strategic Climate Funds
STEP	Systematic Tracking of Exchanges in Procurement
UGX	Uganda Shilling
UN-REDD	United Nations Programme on Reducing Emissions from Deforestation and Forest Degradation

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REPUBLIC OF UGANDA

ADDITIONAL REDD+ READINESS PREPARATION SUPPORT

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REPUBLIC OF UGANDA

ADDITIONAL REDD+ READINESS PREPARATION SUPPORT

PROJECT PAPER DATA SHEET

Basic Information - Additional Funding (AF)	
Country Director: Diarietou Gaye	Sectors: Forestry
Practice Manager/ Senior GP Director: Magda Lovei / Karin Kemper	Themes: Forests, Climate Change
Team Leader: Ross Hughes	Environmental category: B – Partial Assessment
Project ID: P124296	Expected Closing Date: December 31, 2019
Expected Effectiveness Date: July 1, 2017 (retroactive)	Joint IFC: No
Lending Instrument: Recipient Executed Activities	
Basic Information - Original Project	
Project ID: P124296	Environmental category: B – Partial Assessment
Project Name: REDD+ Readiness Preparation Support	Expected Closing Date: June 30, 2017
Joint Level: None	Joint IFC: No
Lending Instrument: Carbon Offset	Fragility or Capacity Constraints []
	Financial Intermediary []
	Series of Projects []
AF Project Financing Data	
[] Loan [] Credit [X] Grant [] Guarantee [] Other:	
Proposed terms: n/a	
AF Financing Plan (US\$m)	
Source	Total Amount (US\$m)
Total Project Cost:	3.75
Cofinancing:	
Borrower:	
Total Bank Financing:	
IBRD	
IDA	
New	
Recommitted	
Others: FCPF	3.75
Client Information	
Recipient: Republic of Uganda	
Responsible Agency: Ministry of Water and Environment	
Contact Person: Alfred Okot Okidi, Permanent Secretary	
Telephone No:+256 414 505 942	
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Email: alfred.okidi@mwe.go.ug ; Alfred.okidi64@gmail.com	
AF Estimated Disbursements (Bank FY/US\$m)	

FY	2018	2019	2020	--	--	--
Annual	0.75	1.6	1.4			
Cumulative	0.75	2.35	3.75			
Project Development Objective and Description						
Original project development objective: To design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level.						
Safeguard and Exception to Policies						
Safeguard policies triggered:						
Environmental Assessment (OP/BP 4.01)	[X] Yes [] No [] TBD					
Natural Habitats (OP/BP 4.04)	[X] Yes [] No [] TBD					
Forests (OP/BP 4.36)	[X] Yes [] No [] TBD					
Pest Management (OP 4.09)	[] Yes [] No [X] TBD					
Physical Cultural Resources (OP/BP 4.11)	[] Yes [] No [X] TBD					
Indigenous Peoples (OP/BP 4.10)	[X] Yes [] No [] TBD					
Involuntary Resettlement (OP/BP 4.12)	[X] Yes [] No [] TBD					
Safety of Dams (OP/BP 4.37)	[] Yes [X] No [] TBD					
Projects on International Waterways (OP/BP 7.50)	[] Yes [X] No [] TBD					
Projects in Disputed Areas (OP/BP 7.60)	[] Yes [X] No [] TBD					
Is approval of any policy waiver sought from the Board (or MD if RETF operation is RVP approved)?					[] Yes [X] No	
Has this been endorsed by Bank Management? (<i>Only applies to Board approved operations</i>)					[] Yes [] No	
Does the project require any exception to Bank policy?					[] Yes [X] No	
Has this been approved by Bank Management?					[] Yes [] No	
Conditions and Legal Covenants:						
Financing Agreement Reference	Description of Condition/Covenant			Date Due		
	None					

I. Introduction

1. This Project Paper seeks management approval to provide an Additional Funding (AF) Grant to the original REDD+ Readiness Preparation Support in the amount of US\$3.75¹ million from the Forest Carbon Partnership Facility (FCPF) to the Republic of Uganda. This AF Grant complements the original \$3.634 million Readiness Preparation Grant². All activities under the original Readiness Preparation Grant (original grant) are on track and were satisfactorily completed by the original Grant Closing Date of June 30, 2017. As of August 20, 2017, the original grant is at a 89 percent disbursement level³, with remaining undisbursed funds fully committed.

2. Uganda's request for AF was approved in 2016 by the FCPF Participants' Committee (PC), the Governing Body of the FCPF^{4,5}. In accordance with the PC Resolution, the World Bank, as the Delivery Partner for Uganda, conducted its due diligence in order to provide the AF. The AF grant will be retroactively effective as of July 1, 2017.

3. Drawing on an independent mid-term evaluation of the REDD+ process in January-February 2016, Uganda self-assessed its REDD+ Readiness progress and identified the remaining technical gaps *vis-à-vis* Uganda's roadmap for REDD+ Readiness (Readiness Preparation Proposal (R-PP)) and associated financial needs. Detailed information on progress achieved under the original grant and the rationale for additional funds are contained in Uganda's Mid Term Progress Report⁶ (MTR) and Request for Additional Funding (see Annexes 1 and 3). The proposed additional activities seek to complete the REDD+ Readiness process within a two-and-a-half year period, with the Closing Date of December 31, 2019.

4. The proposed AF Grant has the same Project Development Objective (PDO) as the original Readiness Preparation Grant, to design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level. The component structure will remain the same. The institutional arrangements for the implementation of the AF Grant will be fully consistent with those of the original Readiness Preparation Grant, with the Ministry of Water and Environment (MWE) through its Forest Sector Support Department (FSSD) serving as the grant Implementing Agency. There are

¹ All dollar amounts are U.S. dollars, unless otherwise indicated.

² Grant Agreement signed on June 17, 2013; grant became effective in December 2013.

³ The grant disbursement profile is consistent with the nature of financed activities.

⁴ Ref. Resolution PC/21/2016/1

⁵ Participants encouraged Uganda to take into account the following issues raised by the PC, during further readiness preparation:

- Foster gender integration in national REDD processes, and monitor progress thereof through inclusion of appropriate indicators in national REDD monitoring framework;
- Continue to strengthen national and subnational consultation platforms for participation of relevant stakeholder groups especially Forest Dependent Indigenous Peoples and local communities, and Civil Society Organizations whilst ensuring efficiencies in use of funding available for consultations through multiple financing sources;
- Continue to carefully coordinate FCPF, Forest Investment Program (FIP), and other financing sources as part of the national REDD+ Strategy and national REDD+ agenda.

⁶ As per Section 6.3(b) of the FCPF Charter and Resolution PC/7/2010/3, during implementation of a Readiness Preparation Grant Agreement, a REDD Country Participant must submit a mid-term progress report to the FCPF Participants' Committee. The objective of the mid-term progress report is to report on the progress made in activities funded by the FCPF Readiness Preparation Grant, while also providing an overview of the overall progress in the implementation of the R-PP.

no new safeguards policies triggered, and the Environmental Assessment Category remains B (Partial Assessment).

5. It should be noted that the activities to be financed by the FCPF in support of the REDD+ Readiness Program in Uganda do not include investments or the implementation of REDD+ programs on the ground. The FCPF support is limited to analytical studies, capacity building, and consultation processes at the national and sub-national levels. Through the Readiness Program, the Government of Uganda (GoU) is expected to identify priority investment needs to achieve the goals of REDD+. It is anticipated that these investment needs will be financed by public and private donors and investors, and the Government itself, and not by the FCPF Readiness Grant.

II. Background and Rationale for Additional Funding

6. **Uganda's forest sector has significant potential to contribute to climate change mitigation and adaptation.** The National Climate Change Adaptation and Mitigation Strategy (2013) and Uganda's Nationally Determined Contributions (NDC) document presented prior to the Conference of Parties 21 of the United Nations Framework Convention on Climate Change (UNFCCC)⁷ identify *Agriculture, forestry and other land uses* as one of the four strategic areas with most potential for emission reductions in the country. Currently, land use change and forest account for 91 percent of the national greenhouse gas (GHG) emissions and deforestation accounts for 69 percent of the GHG emissions.

7. **Besides emissions reductions, it is anticipated that interventions to improve forest and landscape management in Uganda will have extensive co-benefits.** These include improvements to rural livelihoods, building resilience to climate change, conserving biodiversity and enhancing other environmental services. This is because forests and woodlands contribute a wide variety of environmental goods and services – for example, food, shelter, and cash income. Forests provide wood fuel and charcoal to meet the household energy needs of 94 percent of the population (accounting for over 91 percent of national energy demand); other non-timber forest products, such as honey, mushrooms, fruits and traditional medicines, are important for nutrition, health, and local incomes. The country's rich biodiversity is a global public good as well as the base for the tourism sector. According to recent estimates⁸, the total economic contribution of tourism to Uganda's GDP is nearly 8 percent – most of which is nature-based tourism dependent on forest and woodland resources. The main beneficiaries of forest and landscape interventions will be forest dependent and agricultural communities, including women.

8. **Links to Country Partnership Framework.** Uganda's REDD+ Program supports the delivery of the Country Partnership Framework (CPF) for Uganda for FY2016 to FY2021⁹, which recognizes the importance of sustainable natural resource management to supporting rural livelihoods and for addressing climate change priorities. The grant-supported activities contribute to the CPF Strategic Focus Area B: Raising Incomes in Rural Areas, more specifically under its objective of enhancing resilience of the poor and vulnerable. They also contribute to

⁷ MWE (2015). *Uganda's Intended Nationally Determined Contribution*. Ministry of Water and Environment.

⁸ WTTC (2015). *Travel & Tourism Economic Impact: Uganda 2015*. World Travel and Tourism Council.

⁹ World Bank Group (2016). *Country Partnership Framework for the Republic of Uganda for the Period FY2016-FY2021*. (Report number 101173-UG, April 26, 2016)

achievement of the World Bank Group's Twin Goals – to end extreme poverty and to promote shared prosperity with environmental, social, and fiscal sustainability, by addressing the problems of costs of natural resource degradation and the developmental threat of climate change.

9. **REDD+ in the National Agenda.** Forest sector development is one of five key growth sectors as prioritized in the Second National Development Plan 2015-2020. The 2002 National Forestry Policy and the 2011 National Forest Plan provide fundamental and holistic visions for the forest sector in Uganda. The proposed activities will contribute to the overall policy goal of the National Forest Policy, i.e. an integrated forest sector that achieves sustainable increases in the economic, social and environmental benefits from forests and trees by all the people of Uganda, especially the poor and vulnerable. The REDD+ activities add value to ongoing forestry programs in the following areas: baseline information and inventory, monitoring of forest cover and emissions, sustainable management of forest resources, enhancing incomes from forestry resources management, and promotion of stakeholder participation in forestry resources development and management. REDD+ is an integral part of Uganda's Climate Change Policy and the development of Uganda's REDD+ Strategy is a key building block of Uganda's NDC.

10. **National REDD+ Strategy.** The draft National REDD+ Strategy was prepared under the original REDD+ Readiness support – the strategy preparation process is building heavily on the existing national plans (e.g. National Forest Plan) and the upstream work during Uganda's R-PP preparation. The draft National REDD+ Strategy was prepared in June 2017 – it will be updated and further streamlined as the REDD+ process advances. The key focus of REDD+ Strategy is on enhancing forest protection (controlling forest utilization and encroachment) in protected forests and on private land, reforestation or restoration of degraded forest within forest reserves, afforestation activities and establishment of industrial plantations, promoting renewable efficiency technologies, sustainable land management practices, among others.

11. **Sector Coordination.** Given the multi-sectoral nature of the drivers of forest degradation and deforestation, there is a strong emphasis on involvement of stakeholders from different sectors in the REDD+ process. The National Climate Change Advisory Committee (NCCAC)

Uganda's REDD+ Strategy

Uganda's REDD+ Strategy is largely in place with support from the original FCPF grant. Other elements of REDD+ readiness have been developed as well, such as a Forest Reference Emissions Level (FREL), Monitoring, Reporting and Verification (MRV) system, Strategic Social and Environmental Assessment (SESA) and an Environmental and Social Management Framework (ESMF), a Feedback and Grievance Redress Mechanism (FGRM) and Benefit Sharing Arrangements. Further refinement of the strategy continues, and the emphasis will also switch to establishing emissions reductions programs (ER Programs) that will be designed to access forest carbon funds and markets in support of sustainable forest management at scale. A range of options for inclusion in the REDD+ strategy and ER programs will be considered in an integrated way and at landscape level – including forest restoration, establishment of plantations, improved fire management, the scale-up of climate smart agriculture and sustainable wood biomass supply and use.

coordinates policy formulation and implementation on climate change, including the REDD+ agenda.¹⁰ At the *technical oversight* level, the National Technical Committee on REDD+ (NTC) and its task forces¹¹ include representatives of all relevant sectors.

12. **Key Achievements under Original Grant.** The activities under the original financing are moving towards achieving their objectives, with Uganda increasingly mobilized for engaging in REDD+ through progress in formulating the national environmentally and socially sound REDD+ strategy and reference scenario. Overall progress is Satisfactory. The original preparation activities delivered major key outputs such as the Forest Reference Emissions Level (which also formed part of the national GHG inventory) and preliminary work on the appropriate benefit sharing mechanism and FGMR for REDD+. Formulation of the National REDD+ Strategy and the accompanying Strategic Environmental and Social Assessment process are well advanced. The national REDD+ readiness structures are in place and functional.

13. **Partnership Arrangements.** The REDD+ process in Uganda is supported by several partners: the FCPF REDD+ Readiness grant (US\$3.634 million); the UN-REDD National Programme (US\$1.8 million) signed on September 4, 2015 and closing on October 8, 2017¹², and the Austrian Development Cooperation (ADC) grant (Euro 650,000, 2013-2017) who are closely coordinating their support to the GoU. The Readiness process also created a good foundation for the formulation of Uganda's Forest Investment Plan (FIP) and the Strategic Program for Climate Resilience (SPCR) under the Pilot Program for Climate Resilience (PPCR). Both FIP and SPCR preparation processes were financed by the Climate Investment Funds (CIFs). The FIP and PPCR were both endorsed by the relevant sub-committees of the CIFs in June 2017 and received very positive feedback; however, there is no committed CIF financing for implementation of the identified priority investment projects.

14. **Uganda is also a recipient under a separate FCPF funded stream of support - the FCPF-funded Pan-African Forest-Dependent Indigenous Peoples Capacity Building Program on REDD+.** Under this facility, Uganda Civil Society Coalition on Indigenous Peoples, represented by Pro-Biodiversity Conservationists in Uganda, United Organization for Batwa Development in Uganda and Karamoja Women Cultural group received a grant of \$49,000. The funding is aimed at i) strengthening coordination of Uganda Civil Society Coalition on Indigenous Peoples, ii) capacity building of indigenous people and other forest dependent communities to effectively participate and voice their concerns in policy-making and decision-making processes within REDD+ activities; and iii) ensuring indigenous peoples' issues are mainstreamed in the policies, laws and strategies for REDD+.

III. Proposed Changes

¹⁰ NCCAC was established under the Climate Change Policy of Uganda and is a successor of the Climate Change Policy Committee, a former Steering Committee for REDD+ in Uganda. It also steers the PPCR and FIP processes in Uganda.

¹¹ These are (i) Policy Task Force; (ii) SESA/Safeguards Task Force; and (iii) Methodological/Reference Task Force.

¹² With a no-cost extension to December 31, 2017 currently under discussion. The United Nations Programme on Reducing Emissions from Deforestation and Forest Degradation (or UN-REDD Programme) is a collaborative program of the Food and Agriculture Organization of the United Nations (FAO), the United Nations Development Programme, and the United Nations Environment Programme.

A. Project Development Objective (unchanged)

15. The Project Development Objective is to design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level.

16. The key result from R-PP Implementation is the REDD+ Strategy for Uganda. The following are the specific results for the AF:

- a. Uganda will be REDD+ Ready (based on the assessment criteria of the Readiness package);
- b. A minimum of two jurisdictional Emission Reductions Programs (ER Programs) will be designed;
- c. A NFMS will be operationalized; and
- d. Indigenous peoples' organizations are better engaged on REDD+.

B. Additional Funding Activities

Description

17. The structure and implementation arrangements of the AF activities will remain as under the original grant. The AF activities are organized into five components that correspond with the components and sub-components of Uganda's R-PP, as follows:

	R-PP Component	Original Grant, \$ million	AF grant, \$ million
Component 1: Coordination and Monitoring of REDD+ Readiness Process	1A, 6	0.474	0.525
Component 2: Stakeholder Engagement and Feedback in Readiness Process	1B, 1C, 1[D]	0.585	0.1
Component 3: REDD+ Strategy and SESA	2	0.730	0.3
Component 4: REDD+ Implementation Framework	2	0.355	0.95
Component 5: National Reference Scenario and Inventory of Forest Resources	3, 4	1.040	1.4
<i>Contingency</i>		0.450	0.475
TOTAL		3.634	3.75

Component 1: Coordination and Monitoring of REDD+ Readiness Process (\$0.525 million, all from FCPF)

18. The objective of this component is to continue supporting national level coordination and monitoring of the REDD+ process. This support builds on structures and processes of the current REDD processes involving the REDD Secretariat and national REDD+ coordination, supervision

and stakeholder participation. Uganda also intends to prepare its Readiness Package (R-Package)¹³ for submission to the FCPF PC in early 2018.

19. The FCPF grant will support goods, workshops, training, services, and operational costs for the following:

- *Provision of Technical Support to the REDD+ Secretariat.* This will include support from a part-time Lead Technical Advisor, a full time Communications/Projects Officer (who will also be responsible for coordinating stakeholder engagement), and inputs from an International Consultant to provide targeted advise on ER Program design, including specific support on safeguards. This support will provide inputs and guidance to all aspects of the national REDD+ program.
- REDD+ Secretariat office and operational costs (including vehicle running costs).
- REDD+ representation in regional/international meetings and a South-South exchange event for learning and sharing experiences and lessons on REDD+ (potentially with Ghana as a country with the much advanced REDD+ agenda).
- National level supervisions / coordination and harmonization processes (meetings of NCCAC, NTC, task forces, etc.);
- Preparation of the R-Package; and
- Revisions and update of the M&E Framework for REDD+ to include gender-related indicators (it is expected that this work will be led by the REDD+ Secretariat and the validation workshop for these updates will be combined with a self-assessment workshop for the R-Package).

Component 2: Stakeholder Engagement and Feedback in Readiness Process (\$0.1 million, all from FCPF)

20. The objective of this component is to support broad stakeholder engagement – with a particular focus on implementation of the Uganda REDD+ gender strategy. The support aims to implement key actions as identified in the Uganda REDD+ Gender Strategy (to be further reviewed prior to implementation) and foster gender integration in national REDD+ processes. The approach recognizes that any future REDD+ investments will need to explicitly acknowledge how gender-specific barriers in future proposed REDD+ investments are addressed. The AF grant will provide particular focus of support to advancing the Uganda REDD+ gender strategy and building capacity of indigenous groups and forest dependent communities to engage in the discussions about future REDD+ interventions – this also comes as a response to specific recommendations by the FCPF PC, as expressed in the Co-Chairs’ Summary of the May 2016 PC meeting and builds on extensive engagement efforts supported by the original grant.

¹³ The Readiness Package (R-Package) is completed at a stage of the readiness preparation phase when activities proposed in the R-PP are well-advanced or completed. The R-Package consists of a national multi-stakeholder self-assessment by the country, using the Readiness Package Assessment Framework as guidelines. The Readiness Package Assessment Framework was formally endorsed (resolution PC/14/2013/1) by the FCPF PC at the 14th PC Meeting, March 19-21, 2013, Washington, DC.

21. It should be noted that stakeholder engagement is an integral element of the REDD+ process in Uganda and, as such, each activity supported by the FCPF grant will also integrate stakeholder engagement.
22. The FCPF grant will support goods, workshops, training, services, and operational costs to:
- Support implementation of key priority activities as identified in the Uganda REDD+ Gender Strategy; and
 - Support to capacity building and engagement of indigenous peoples in the Albertine region (which is considered as a possible jurisdiction for REDD+ implementation). Support to these forest dependent communities will enable their meaningful engagement in REDD+ and their informed participation. The grants will be specifically targeted at an indigenous people's organization working in the proposed ER program area, to further deepen the capacity of the indigenous peoples in engaging with such proposed ER programs, including building their coordination capacity with other groups. The specific IP group to be targeted will be selected in once the AF is approved. This will be a pilot-level activity; it will build on the lessons learnt from the support provided under another FCPF facility (the Pan-African Forest-Dependent Indigenous Peoples Capacity Building Program on REDD+)

Component 3: REDD+ Strategy and SESA (\$0.3 million, all from FCPF)

23. The objective of this component is to continue supporting the National REDD+ Strategy process and environmental and social elements of it. The initial design of Uganda's National REDD+ Strategy and Implementation Plan and Strategic Environmental and Social Assessment (SESA) and ESMF were completed in June 2017 with support from the original FCPF grant. However, the National REDD+ Strategy is a living document and it is important that the lessons from the ongoing global, national and sub-national REDD+ processes (including development of the ER Programs) feed into the REDD+ Strategy and the safeguards instruments.
24. Parties to the UNFCCC have agreed that seven safeguards should be promoted and supported when undertaking REDD+ activities. These safeguards were agreed at the UNFCCC Conference of Parties (COP) in Cancun in December 2010 and are known as the 'Cancun safeguards.' To help Uganda comply with this agreement, this component will also support development of the Safeguards Information System for Uganda REDD+ as an integral component of the REDD+ strategy.
25. The FCPF grant will support goods, workshops, training, services, and operational costs for:
- Revising and updating key Readiness documents (Uganda's REDD+ Strategy and Implementation Plan; finalizing safeguards instruments (the ESMF, Process Framework, and an Indigenous Peoples Planning Framework), and
 - The design and establishment of the Safeguards Information System (based on the roadmap earlier developed with the ADC support).

Component 4: REDD+ Implementation Framework (ER Programs) (\$0.95 million, all from FCPF)

26. Uganda's REDD+ Strategy and Implementation Plan define various strategies and country-appropriate options for reducing emissions from deforestation and forest degradation, sustainable forest management, and enhancement of carbon stock. The National REDD+ Strategy proposes that sub-national ER Programs be pursued as different approaches would be required for tackling deforestation and degradation in different parts of the country.

27. The objective of this component is to advance design the development of ER Programs nested in the National REDD+ Strategy. In this regard, the FCPF grant will support the development of design options and outlines for two or three landscape level ER Programs in selected landscapes. Priority consideration will be given to the ER Programs within the Albertine Rift and Mt. Elgon ecosystems. These landscapes are also target areas for the proposed landscape operations under the FIP and PPCR. The outline ER Program packages will include complete documentation covering implementation, financing, and safeguards aspects.

28. The FCPF grant will support goods, workshops, training, services, and operational costs for designing at least two such ER Programs (it is expected that preparation of the ER Programs will be contracted-out).

29. Uganda does not have a committed buyer for the ERs under these potential ER Programs – thus, development of short idea notes on design options and the outline ER Programs will be a first step (to be followed by a complete program development, all part of the same assignment), and the Government of Uganda will be able to use these to seek potential investors and buyers (including under the emerging REDD+ financing window of the Green Climate Fund).

Component 5: National Reference Scenario and Inventory of Forest Resources (\$1.4 million, all from FCPF)

30. The ongoing REDD+ preparation grant supported the development of the first reference scenario (Forest Reference Emissions Level (FREL)). Uganda made its first submission of its national FREL to UNFCCC in January 2017 and will submit revisions based on updates and improvements going forwards. The FREL provides an important part of the National Forest Monitoring System¹⁴ (NFMS), initial design of which is currently under development with support from the UN REDD Programme (under activities undertaken by the FAO).

¹⁴ Uganda's NFMS is intended to meet all the Measurement, Reporting and Verification (MRV) functions. The three technical pillars or building blocks of the NFMS that are essential to support its MRV function are as follows:

- Pillar 1: A Satellite Land Monitoring System to collect and assess, over time, the Activity Data related to forest land. The ultimate goal is to institutionalize this function which will have to be budgeted and supported by GoU core budget. This will still require time in order for the system to be fully functional and effective.
- Pillar 2: National Forest Inventory (NFI) to collect information on forest carbon stocks and changes, relevant for estimating emissions and removals and to provide emissions factors (EF – Emission Factors) – normally NFI is a continuous exercise which should be repeated regularly (with a minimum standard frequency of every 5 yrs). GoU has already institutionalized this function, but resources remain the biggest constraint.
- Pillar 3: A national GHG Inventory as a tool for reporting on anthropogenic forest-related GHG emissions by sources and removals by sinks to the UNFCCC Secretariat (Emissions in figure 3) – the National GHG inventory is maintained by the CCD at the MoWE; however, forestry related data is collected and reported by the NFA.

31. The objective of this component is to refine the National Reference Scenario / FREL – most notably by undertaking analysis to better determine forest degradation levels as well as establish and institutionalize the NFMS as approved by the national REDD+ structures. It is expected that the FAO will be engaged to support the GoU in the implementation of activities under this component, under a Technical Assistance framework agreement (this mechanism has operated effectively under the original grant).

32. A first round of grant support from ADC and technical inputs from FAO succeeded in providing a robust platform for the NFMS and its Monitoring, Reporting and Verification (MRV) functions; further support is now required to expand geographical coverage and to generate the additional data to enable Uganda’s MRV system to deliver to UNFCCC Tier 2 reporting level.

33. The FCPF grant will support goods, workshops, training, services, and operational costs to:

- Improve estimations of emissions from forest degradation. These are currently poorly understood and yet could comprise one of the largest sources of national GHG emissions. Uganda is currently considering the use of RADAR technology, although other options will be explored (including a system piloted by Ghana which is reportedly less costly to operate).
- Implement the 2018 National Forest Inventory to update forest inventory and quantify biomass and stock estimates for trees outside “forests”. This work will include updating the database of inventory data and training the National Forestry Authority (NFA) and the Uganda Wildlife Authority staff (and others) in forest inventory and data analysis. Inventory work will be at the national level but with a particular focus on the landscapes / areas proposed for the ER-Programs.
- Institutionalize the MRV system and its deployment at regional/zonal level – as per the approved design.
- A specific activity will pilot community-led monitoring and integrating community-based data into national MRV systems. This will include ground truthing of forest inventory land cover changes. It will explore existing community monitoring practices in Uganda, and how these could be beneficial in support of forest co-management, monitoring, benefit sharing and the design of landscape programs.

Table 1. Budget of the AF activities

Component/Activity	Budget, \$
Component 1: Coordination and Monitoring of REDD+ Readiness Process	525,000
Technical Support / REDD Secretariat personnel (LTA (part time); Communications / Project Officer; Additional international TA on REDD+ / ER Programs	250,000
REDD Secretariat Office and operational costs (including vehicle running costs)	60,000
REDD+ representation in regional/international meetings	30,000
National level supervisions/ coordination and harmonization processes (meetings of NCCAC, NTC, TFs)	90,000
Learning and sharing experiences and lessons (South-South exchange)	30,000
Preparation of the R-Package	35,000
M&E processes and strengthening application of REDD+ M&E framework	30,000

Component 2: Stakeholder Engagement and Feedback in Readiness Process	100,000
Support to implementation of Uganda REDD Gender Strategy (integrating Gender into REDD processes)	50,000
Capacity building engagement with Indigenous Peoples' groups in target landscapes for ER Program development	50,000
Component 3: REDD+ Strategy and SESA	300,000
Revisions and finalizing documentation (Uganda's REDD+ Strategy and Implementation Plan; Safeguards Frameworks)	250,000
Finalizing the design of Safeguards Information System	50,000
Component 4: REDD+ Implementation Framework (ER Programs)	950,000
Design of ER Programs	950,000
Component 5: National Reference Scenario and Inventory of Forest Resources	1,400,000
Improvement of the calculation/estimation of emissions from Forest Degradation	200,000
Updating 2018 data series and implementing 2018 NFI	400,000
Support (Capacity Building) for the institutionalization of MRV system and deployment of it at Regional/zonal level + improvement of measurement methodology and + data collection and analysis	730,000
Community level MRV	70,000
Subtotal	3,275,000
<i>Contingency</i>	<i>475,000</i>
Grand total	3,750,000

C. Key Risks and Mitigation Measures

34. The main risks and mitigation measures are related to institutional and ownership risks. Institutional risks are associated with weak the capacity of MWE to manage and progress a large and complex forest sector work program with innovative elements related to REDD+. This risk will be mitigated by harmonizing of grant supported activities with existing institutional structures and by supporting targeted consultancy support. Ownership risks arise because the main outputs of this work are analytical. Ensuring strong stakeholder engagement in the analytical process is the key mitigation measure. The overall risk rating is Moderate.

IV. Appraisal Summary

A. Technical

35. The activities to be financed by the grant were selected on the basis of technical discussions with the National Focal Point and various stakeholders and take full account of the technical and funding needs of REDD+ Readiness process and the current global REDD+ agenda. Uganda's Mid Term Review report (which included a request for additional funding with a preliminary description of activities) was reviewed by the FCPF Technical Advisory Panel. All activities will be coordinated with other institutions and ongoing initiatives in the forest sector. Proposals for the use of FCPF funding are designed to add value and complement

the ongoing REDD+ Readiness support. Nonetheless, careful coordination and timing of multiple donor activities during the grant implementation phase will be crucial.

36. **Project Beneficiaries.** REDD+ is a multi-stakeholder process, since it involves the interests of a multitude of actors. The REDD+ Readiness process in Uganda places a strong emphasis on consultations and on the creation of participatory mechanisms to ensure multi-stakeholder engagement. The direct beneficiary of the grant is the Government of Uganda and specifically forestry institutions under the MWE – including the NFA and the FSSD. The overall readiness will bring benefits to a range of stakeholders engaged directly and indirectly in the forest sector, including farmers, local communities, forest dependent communities, traditional authorities, central government authorities in the forestry and related sectors, private sector actors, NGOs, CSOs, cultural institutions and local authorities. The targeted capacity building engagements will also benefit local and indigenous peoples¹⁵ groups and forest dependent communities.

B. Financial Management

37. The financial management arrangements for the additional grant will follow the original grant procedures that ensure: (a) project funds are used for purposes intended in an efficient and economical way; (b) project financial reports are accurate, reliable and timely and (c) project's assets are safeguarded.

38. The Project financial management arrangement will be managed within the existing set-up for the original grant in the MWE, with the Permanent Secretary as the overall accounting officer, while day to day accounting functions will be undertaken by the Assistant Commissioner – Accounts with an accountant assigned to the project.

39. The MWE internal audit department with staff seconded from the Ministry of Finance, Planning and Economic Development (MoFPED) are providing internal audit services to the Ministry, including projects. The internal audit department will be expected to conduct risk based reviews to provide assurance services on the management of the grant.

40. The Government of Uganda accounting policies and procedures supplemented by the Bank Guidelines will be used for the project. These are documented in the government's Public Financial Management Act 2015 and the Treasury Accounting Instructions 2016, among others. These will be supplemented with the Bank Financial Management Guidelines as specified in the Grant Agreement. The financial reports of the project will be prepared on a cash basis in accordance with International Public Sector Accounting Standards. The MWE financial management system is computerized and is using the Integrated Financial Management Systems, though mainly for payments and limited government reports. The MoFPED is currently rolling out the project module to have all projects on the system, including report generation.

41. Disbursements to the project will continue using the Statement of Expenditure as per original grant. The disbursement methods available to the Ministry include advance, reimbursements, special commitments, and direct payment. The MWE will use the existing Designated Account (DA) of the original grant for the additional funding. An advance will be deposited into the DA upon submission of a withdrawal application, with the DA ceiling

¹⁵ In Uganda, this category of peoples is identified as Minority Ethnic Groups

maintained. Subsequent disbursement will be based on SOE reporting actual expenditure and requesting for additional advance if required.

42. Additional instructions for disbursements are provided in a Disbursement Letter issued for this grant.

43. The MWE will submit quarterly interim financial reports in a form and content acceptable to the Bank within 45 days after the end of the quarter. The format has been agreed with the Bank. The withdrawal applications will be submitted through E-Signature and E-Disbursement.

44. The Office of the Auditor General will be expected to carry out an annual statutory external audit on the Financial Statements of the Project as part of the main grant. The terms of reference for the external audit have been agreed with the MWE. The audit will be conducted in accordance with the International Standard on Auditing. The MWE will ensure that the audited Financial Statements together with the Management Letter are submitted to the Bank within six months after the end of the Financial Year.

45. The overall Financial Management risk rating is Moderate.

46. The grant proceeds will be disbursed under one category as established for the original grant as per Table 2 below.

Table 2. Allocation of the proceeds of the grant by disbursement category, in \$

Category of Expenditure	Allocation	Disbursement % (Type Total)
	Proposed	Proposed
Consultants' Services, Goods, Workshops and Training, and Operating Costs	3,750,000	100.00

C. Procurement

Component 1: Coordination and Monitoring of REDD+ Readiness Process	Estimated Cost	Market Approach	Selection Method	Review by Bank
Lead Technical Advisor	110,000	National	IC	Prior
Communications / Project Officer	86,000	National	IC	Post
Short Term TA to support ER Program design	54,000	National	IC	Post
Assessment Facilitator for the R-Package	35,000	National	IC	Post
Component 2: Stakeholder Engagement and Feedback in Readiness Process		National		Post
Support to implementation of Uganda REDD Gender Strategy (integrating Gender into REDD processes)	50,000	National	CQS	Post

Capacity building for IP organizations	50,000	National	SSS	Post
Component 3: REDD+ Strategy and SESA				
Revisions and finalizing documentation (Uganda's REDD+ Strategy and Implementation Plan; Safeguards Frameworks; Design of SIS)	300,000	International	CQS	Post
Component 4: REDD+ Implementation Framework (ER Programs)				
Design options / outline designs for ER Programs – 2-3 packages	950,000	International	QCBS	Prior
Component 5: National Reference Scenario and Inventory of Forest Resources				
Single package through FAO framework TA agreement	1,400,000	NA	UN Agencies / SSS	Prior

47. Procurement under the additional Grant will follow “World Bank Procurement Regulations for Borrowers under Investment Project Financing”, dated July 1, 2016, and hereafter referred to as “Procurement Regulations”. The project will be subject to the Bank’s Anticorruption Guidelines, dated July 1, 2016.

48. Procurement under the additional Grant shall be executed by the MWE (the Implementing Agency) through the existing REDD+ National Focal Point Project unit under FSSD. Procurement activities under the grant will be limited to the selection of individual consultants and hiring of consulting services (firms) and workshops / consultations. There may be also small goods procured in relationship to the workshops and consultations. REDD+ National Focal Point Project unit has successfully carried out similar activities under the original grant.

49. As per the requirement of the Procurement Regulations, a simple Project Procurement Strategy for Development was developed. The project will use Systematic Tracking of Exchanges in Procurement (STEP), a planning and tracking system.

Scope of Procurement

50. The Procurement Manual already prepared under the original grant shall be updated in line with Procurement Regulations within a month of the grant agreement signature.

D. Social and Environment (including Consultation, Participation, Disclosure and Safeguards)

51. AF activities trigger two new safeguards policies – OP4.10, Indigenous Peoples and OP4.12, Involuntary Resettlement. These safeguards policies are triggered based on preliminary findings from the SESA report and preliminary Bank review; the Environmental Assessment Category remains B (partial assessment). The AF activities are limited to analytical studies and consultation processes at the national and sub-national levels and do not include investment activities. The Strategic Environmental and Social Assessment (SESA) report will provide further analysis of the social and environmental impacts of the REDD+ Strategy with the

resulting frameworks providing mitigation measures. These frameworks will be finalized and reviewed by the Bank (expected in early 2018, during the AF implementation).

52. Safeguards considerations of the overall REDD+ Readiness are covered as part of the SESA. The FCPF is using a Strategic Environmental and Social Assessment (SESA) to integrate key environmental and social considerations into REDD+ Readiness by combining analytical and participatory approaches. The SESA ensures (i) integration of social and environmental considerations into the REDD+ Readiness process, in particular into the REDD+ strategy; (ii) stakeholder participation in identifying and prioritizing key issues; (iii) assessment of policy, institutional and capacity gaps to manage these priorities and recommendations; and (iv) disclosure of findings in the REDD+ Country’s progress reports on Readiness preparation.

53. The SESA preparation is financed with the original grant. The SESA process ran in parallel to preparation of the REDD+ Strategy and resulted in a SESA report and an initial scoping for the Environmental and Social Management Framework, produced in June 2017. The SESA and the ESMF will be reviewed and revised with the AF grant financing. In addition, a Process Framework and an Indigenous Peoples Planning Framework will be prepared.

54. Specifically for the ER Programs that are proposed to be developed with the AF support, once the ER programs are defined, specific safeguards plans (to be determined based on the safeguards guidance at the time) will then be developed out of the frameworks that are currently being generated under the SESA process.

55. **Safeguards Arrangements.** The safeguards arrangements are well imbedded in the existing structures of the GoU and the current REDD+ process. The MWE, which is the Implementing Agency for the FCPF support, is experienced in implementation of the national and World Bank safeguards requirements. For the REDD+ process specifically, a Communications and Consultations and SESA Task Force was established (see Annex 3 for its composition) – it is steering the SESA process and attends to careful consideration of the safeguards aspects of the proposed REDD+ options and actions.

56. **Gender.** The REDD+ process in Uganda is marked by a strong focus on gender aspects from the beginning. Uganda has been working towards gender equality through a gender-responsive action plan to enhance the effectiveness of REDD+. In 2012 a Gender and REDD+ Roadmap for Uganda was Prepared with Support from IUCN’s Global Gender Office (GGO). With the same support, the plan was revised and a detailed “Gender and REDD+ Action Plan for Uganda, 2015 and 2016” was prepared. The AF activities will support implementation of the “Gender and REDD+ Action Plan for Uganda, 2015 and 2016” (after review and updates, as needed) and as per the PC decision.

V. Proposed Team Composition

57. The team composition is as follows:

Name	Title	Unit
Ross Hughes	Senior Natural Resources Management Specialist, Task Team Leader	GEN01
Christine Kasedde	Environmental Safeguards Specialist	GEN01

Christiaan Johannes Nieuwoudt	Finance Officer	WFALA
Constance Nekessa-Ouma	Social Safeguards Specialist	GSU07
Damalie Evelyne Nyanja	Program Assistant	AFMUG
Edwin Nyamasege Moguche	Financial Management Specialist – Consultant	GGODR
Grace Nakuya Musoke Munanura	Senior Procurement Specialist	GGODR
Herbert Oule	Sr. Environmental Specialist	GENDR
Lesya Verheijen	Senior Operations Officer – Consultant	GENDR
Marjorie Mpundu	Senior Counsel	LEGAM
Mazhar Farid	Legal Analyst	LEGAM
Nicholas Meitiaki Soikan	Social Development Specialist	GSU07
Robert Griffin	Carbon Finance Specialist	GCCFL
Sachiko Morita	Senior Counsel	LEGEN
Yesmeana Butler	Program Assistant	GENDR

VI. World Bank Grievance Redress

Communities and individuals who believe that they are adversely affected by a World Bank (WB) supported project may submit complaints to existing project-level grievance redress mechanisms or the WB's Grievance Redress Service (GRS). The GRS ensures that complaints received are promptly reviewed in order to address project-related concerns. Project affected communities and individuals may submit their complaint to the WB's independent Inspection Panel which determines whether harm occurred, or could occur, as a result of WB non-compliance with its policies and procedures. Complaints may be submitted at any time after concerns have been brought directly to the World Bank's attention, and Bank Management has been given an opportunity to respond. For information on how to submit complaints to the World Bank's corporate Grievance Redress Service (GRS), please visit <http://www.worldbank.org/GRS>. For information on how to submit complaints to the World Bank Inspection Panel, please visit www.inspectionpanel.org.

Annex 1: Key Reference Documents

- **The Readiness Preparation Proposal and Annexes:**

[https://www.forestcarbonpartnership.org/sites/forestcarbonpartnership.org/files/Documents/PDF/May2012/Uganda%20R-PP%20Submitted%20\(Revised%20in%20Compliance%20with%20Resolution%20PC_9_2011_3%20\)%20Including%20Participation%20and%20Consultation%20Plan%20\(M.pdf](https://www.forestcarbonpartnership.org/sites/forestcarbonpartnership.org/files/Documents/PDF/May2012/Uganda%20R-PP%20Submitted%20(Revised%20in%20Compliance%20with%20Resolution%20PC_9_2011_3%20)%20Including%20Participation%20and%20Consultation%20Plan%20(M.pdf)

[https://www.forestcarbonpartnership.org/sites/forestcarbonpartnership.org/files/Documents/PDF/May2012/Uganda%20Appendix%201c%20Component%201c%20Consultation%20and%20Participation%20Plan%20\(Versio%20Final%20May%202012\)_0.pdf](https://www.forestcarbonpartnership.org/sites/forestcarbonpartnership.org/files/Documents/PDF/May2012/Uganda%20Appendix%201c%20Component%201c%20Consultation%20and%20Participation%20Plan%20(Versio%20Final%20May%202012)_0.pdf)

- **The Readiness Preparation Proposal Assessment Note:**

<https://www.forestcarbonpartnership.org/sites/fcp/files/2014/June/Uganda%20FCPF%20AN%20FINAL%20for%20disclosure.pdf>

- **Mid Term Progress Report with Annexes containing request for additional funding to the FCPF Participants Committee (PC) at its 21st meeting held in May 2016 in Washington, DC**

<https://www.forestcarbonpartnership.org/sites/fcp/files/2016/Mar/Uganda%20MTR.pdf>

- **Resolution PC/21/2016/1 adopted by the PC at its 21st meeting held in May 2016 in Washington, DC**

https://www.forestcarbonpartnership.org/sites/fcp/files/2016/May/FCPF_PC21_Resolution%20PC21_2016_1_Additional%20Funding_Uganda_FINAL.pdf

- **Co-Chairs' Summary PC Meeting May 2016**

<https://www.forestcarbonpartnership.org/sites/fcp/files/2016/May/FCPF%20CoChairs%20Summary%20PC21%20English%20final.pdf>

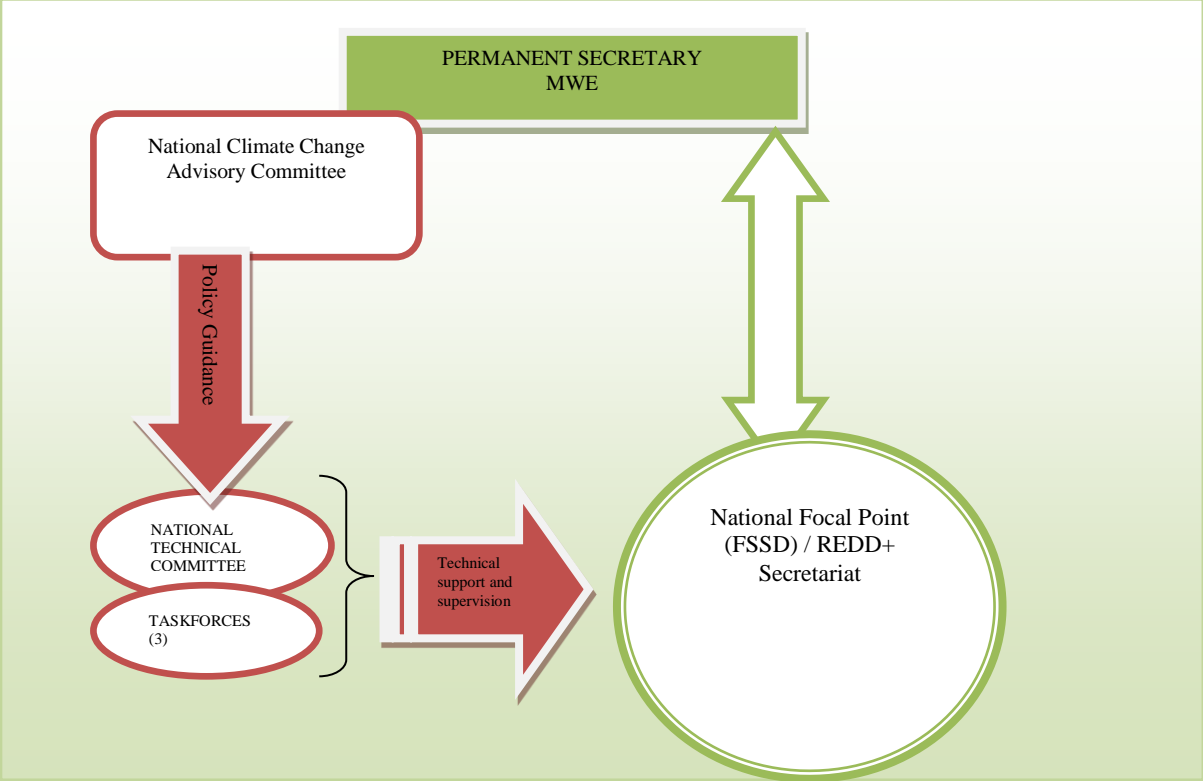
- **Uganda's Nationally Determined Contributions under Paris Agreement (UNFCCC):**

<http://ccd.go.ug/images/Publications/INDC%20Uganda%20final%20%2014%20October%20%202015%20minor%20correction28.10.15.pdf>

Annex 2. Mandate of Key Bodies of Uganda's REDD+ Readiness Process

1. REDD+ management in Uganda takes place at three different levels, each of which has roles and responsibilities towards the Readiness process, as described below: policy formulation and coordination (provided by CCPC); technical oversight (provided by the National Technical Committee); and implementation (provided by the REDD+ Secretariat hosted within the FSSD).
2. **Policy Formulation and Coordination:** Top policy guidance is provided by the National Climate Change Advisory Committee (NCCAC) that provides policy formulation and coordination at the National Climate Change Policy Advisory level. It is a high-level policy coordination committee responsible for overall climate change policy in the country. The Committee serves to provide overall coordination needs and inter-sectoral leadership and is chaired by the Permanent Secretary of the Ministry of Water and Environment.
3. **Technical Oversight:** The overall technical oversight to ensure timely progress and completion of activities shall be a responsibility of the National Technical Committee. All consultants and implementers will be contracted by the REDD+ Secretariat and will report on substance to the National Technical Committee. The Committee is chaired by Director, DEA, MoWE. It shall inform and guide decisions on REDD+ activities/ program development in Uganda, including Preparation and Finalization of REDD+ Strategy. The task forces, established under the auspices of the National Technical Committee for specific tasks, i.e. (i) SESA; (ii) Policy; and (iii) Forest Reference Level drawn from specialized agencies will work with the consultants and experts to provide specialist inputs in respective technical work and ensure quality outputs.
4. **Implementation:** The overall fiduciary responsibility and managing contracts for FCPF supported REDD+ activities rests with the FSSD. FSSD hosts the REDD+ Secretariat.
5. The MWE structures provide links between the Policy and Coordination levels, as FSSD (MWE) hosts the REDD+ Secretariat that serves as secretariat to the National Technical Committee chaired by DEA (MWE), and the MWE PS is a chair of the National Climate Change Advisory Committee.
6. **Intersectoral Coordination.** Given the multisectorial nature of the drivers of forest degradation and deforestation, there is a strong emphasis on involvement of stakeholders from different sectors in the REDD+ process. This will be ensured at the *policy formulation and coordination* level as the NCCAC is a multi-sectoral committee that includes among others representatives of the Ministry of Finance, Planning and Economic Development, Office of the Prime Minister, Ministry of Health, Ministry of Agriculture, Animal Industry and Fisheries, Ministry of Justice and Constitutional Affairs, Ministry of Energy and Mineral Development, Ministry of Works and Transport, Ministry of Local Government, Ministry of Gender, Labour and Social Development, National Planning Authority, Uganda National Meteorological Authority, National Agricultural Research Organisation, and National Environment Management Authority. The CCPC will also provide oversight for REDD+ to help ensure that cross-sectoral synergies and / or contradictions are considered. At the *technical oversight* level, the NTC and its task forces include representatives of all relevant sectors.

Figure 1. Project Implementation Structure/Organogram



Annex 3. Composition of key REDD+ management bodies

National Climate Change Advisory Committee

No.	NAME	INSTITUTION	DESIGNATION
1.	Alfred Okot Okidi	Ministry of Water and Environment	Permanent Secretary (MWE) And Chair CCPC
2.	Moses Ssonko	MoFPED	Senior Economist/Liaison Officer
3.	Koma Stephen	M.O.L.G.	Principal Inspector
4.	Pamela Komujuni	O.P.M.	Senior Disaster Management Officer
5.	Namanya B. Didacus	M.O.H.	Geographer
6.	Muwaya Stephen	MAAIF	UNCCD Focal Person & Senior Range Ecologist Directorate of Animal Resources
7.	Rwomushana Racheal	MOJCA	State Attorney
8.	James Baanabe	MEMD	Commissioner Energy Department
9.	Edith Kateme-Kasajja	National Planning Authority (NPA)	Deputy Executive Director
10.	Charles Mutemo	Ministry of Works and Transport	Senior Environmentalist
11.	Festus Luboyera	Uganda National Meteorological Authority	Executive Director
	Chebet Maikut	CCD/MWE	Commissioner/UNFCCC National Focal Point
12.	Festus Luboyera	National Meteorological Authority	Executive Director
13.	Sanyu Jane Mpagi	Ministry of Gender, Labour and Social Development	Director For Gender And Community Development
14.	Dennis Kavuma	Private sector	Uganda Tree growers Association/GM
15.	Margaret Lomonyang	Indigenous groups	Coordinator
16.	Ofwono Opondo	Uganda Media Centre	Executive Director
17.	Ambrose Agona (PhD)	National Agricultural Research Organization (NARO)	Director General
18.	Andrew G. Seguya	Uganda Wildlife Authority (UWA)	Executive Director
19.	Vincent Byaindamira	Ministry of Lands, Housing and Urban Development	Director of Lands
20.	Hirsch Shawna	ENR / Donor Partners Representatives	USAID
21.	Tom Okurut	NEMA	Executive Director
22.	Michael Mugisa	National Forestry Authority (NFA)	Executive Director
23.	Margaret Adata	Forestry Sector Department (FSSD)	Commissioner
24.	Paul Mafabi	DEA/MWE	Director
25.	James Lutalo	Ministry of Tourism Wildlife and	Commissioner Wildlife

		Antiquities	Conservation
26.	George Owoyesigyire	Ministry of Tourism Wildlife and Antiquities	Asst. Commissioner
27.	David Duli	CSO (International)	WWF Uganda Country Director
28.	Achilles Byaruhanga	CSO (Local/National)	Nature Uganda /Executive Director

National Technical Committee on REDD+

No.	NTC Nominees	Institution
1.	Dr. Justine Namaalwa Jumba Senior Lecturer	School of Forestry, Agricultural and Environmental Sciences (CAES), Makerere University
2.	Michael Mugarura Mitigation	Environmental Affairs, Kampala, Climate Change Dept.
3.	Mr. Mununuzi Nathan Senior Environmental Officer	Environmental Affairs, Kampala, Department of Environmental Sector Support
4.	Collins Oloya Commissioner	Environmental Affairs, Kampala, Wetlands Management Department
5.	Mr. Peter Obubu	Water Resources Management, MWE, Entebbe
6.	Dr. Hilary Agaba Director	National Agricultural Research Organisation
7.	Pauline Nantongo Executive Director	ECOTRUST -Uganda
8.	Mr. Ogwal Sabino Francis Natural Resources Manager (Biodiversity and Rangelands); NFP CBD	National Environment Management Authority, Kampala
9.	Mr. John Diisi Coordinator GIS/Mapping	National Forestry Authority
10.	Stephen Menhya Senior Statistician (in charge of environment statistics)	Uganda Bureau of Statistics
11.	Mr. Semakula Godfrey Deputy Director Land Development Division	Uganda Investment Authority, Kampala
12.	Mr. Michael Omara Mwangi Legal Empowerment Advisor	Uganda Land Alliance
13.	Ms. Carol Muyama	Uganda Media Centre
14.	Ms. Deborah Kasule Senior Science Officer	Uganda National Council of Science and Technology, Kampala.
15.	Mr. Muwembe Khalid Director, Forecasting Services	Uganda National Meteorological Authority
16.	Mr. Richard Kapere Acting Planning Coordinator/UWA Climate Change Focal Officer	Uganda Wildlife Authority, Kampala

No.	NTC Nominees	Institution
17.	Ms. Kamala Grace Senior Agricultural Officer/ Farmland Planning	Ministry of Agriculture, Animal Industries and Fisheries
18.	Mr. John Tumuhimbise	Ministry of Energy and Mineral Development, Kampala
19.	Mr. Alex Asiimwe Assistant Commissioner Occupational Safety	Ministry of Gender, Labour and Social Development
20.	Dr. Paul Kagwa Asst. Commissioner Health Services (Health Promotion and Education	Ministry of Health
21.	CP Taire Idhwege Commandant Environmental Police	Ministry of Internal Affairs
22.	Mr. Stephen Okello Ag. Secretary National NGO Board	Ministry of Internal Affairs
23.	Dr. Muge George Ag. Commissioner of Prisons Prisons Headquarters	Ministry of Internal Affairs
24.	Mr. Stephen Koma Ag. Asst. Commissioner, District Inspection	Ministry of Local Government
25.	Geoffrey Omolo George Deputy Secretary General/Program Manager	Uganda Local Governments Association
26.	Mr. George Owoyesigire Principal Wildlife Officer	Ministry of Tourism, Wildlife and Heritage
27.	Ms. Rachael Rwomushana	Ministry of Justice and Constitutional Affairs
28.	Ms. Juliet Bunuzi Vice President	Uganda Journalist and Press Association

SESA Task Force

No.	Name	Specialization/ Expertize	Institution/ Affiliation
1.	Stephen Mugabi	Policy /legal/Institutional Assessment (Environmental Safeguards)	DESS
2.	Fiona Driciru	Participatory Processes (CFM)	NFA
3.	Gertrude Kenyangi	Southern CSOs/IPs representative at the (CIF/FIP)	SWAGEN
4.	Bob Kazungu	Monitoring and Evaluation	FSSD
5.	Michael Opige	Natural Resources Governance	Nature Uganda
6.	Dr. Adonia Bintooro	Collaborative Resource Management / Manager, Community Benefits and Wildlife Enterprises	UWA
7.	Alinda Violet	Policy and Advocacy	TWAVEZA
8.	Tom Rukundo	SEA/EIA	NFA

9.	Doreen Ruta	Livelihoods	Private Consultant
10.	Joel Kiwanuka	Gender/Sociology	MWE/Sector Liaison
11.	Michael Mugarura	Climate Change – Mitigation	CCD
12.	Evelyn Atuhaire	Economics (Secretary)	FSSD
13.	Rachael Rwomushana	State Attorney	MOJCA
14.	Annet Kabarungi	Climate Desk Officer	MGLSD

Annex 4. Request for Additional Funding from the Government of Uganda

Telephone: 256 41 4707 000
: 256 41 4232 095
Fax : 256 41 4230 163
: 256 41 4343 023
: 256 41 4341 286
Email : finance@finance.go.ug
Website : www.finance.go.ug



THE REPUBLIC OF UGANDA

Ministry of Finance, Planning &
Economic Development
Plot 2-12, Apollo Kaggwa Road
P.O. Box 8147
Kampala
Uganda

In any correspondence on
this subject please quote No.ALD 141/205/74

3rd March 2016

Forest Carbon Partnership Facility
c/o Carbon Finance Unit
The World Bank
1818 H Street NW
Washington, DC 20433, USA
Email address: fcpfsecretariat@worldbank.org

REQUEST FOR ADDITIONAL FUNDING FOR UGANDA'S REDD+ READINESS PREPARATION PROJECT (IDA GRANT NO: TF014956)

As you are aware, Government of Uganda received a grant of US\$ 3.634 million from the Forest Carbon partnership Fund through the World Bank (WB/FCPF) to support Uganda's Reducing Emissions from Deforestation and Forest Degradation; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks (REDD+) Process through a project titled Uganda REDD Readiness Preparation Project. The World Bank signed a 3 year funding agreement with Uganda on 10th July 2013 which ends on 30th June 2017. In addition, Uganda received a Grant from Austrian Development Agency of US\$ 870,000 (through the Joint Water and Environment Sector Support Program) and UN-REDD Programme amounting to US\$ 1,798,670. The implementation of the National REDD+ process integrates these grants into one process leading to preparing Uganda to be ready for REDD.

A Mid-term review of the REDD+ Readiness Project took place between December 2015 and January 2016. The review focused among others on the broader achievements and lessons under the FCPF, UN-REDD and Austrian-funded activities, including recommendations for remedial actions. It also assessed progress on activities funded by the Forest Carbon Partnership Facility Readiness Preparation Grant, the UN-REDD and Austrian Development Cooperation financed activities. At the Mid-Term Review, Uganda identified additional funding requirements amounting to US\$ 3.75 million to be provided by Forest Carbon partnership Fund through the World Bank (WB/FCPF) in form of a grant to support the development of Uganda's a Forest Reference Scenario (Biomass assessment and forestry inventory) and, completing the design of Uganda's forest and safeguards monitoring system.

This is therefore to request you to consider providing additional financing for the project. A copy of the mid-term review report is attached for reference.

Please accept, the assurances of my highest consideration

Keith Muhakanzi

~~PERMANENT SECRETARY/~~ SECRETARY TO THE TREASURY

Copy to: - The Hon. Minister of Water and Environment
- The Permanent Secretary, Ministry of Water and Environment

Annex 5. Background to the FCPF

1. The FCPF is a global partnership of countries, business and civil society with the objective to help build the capacity in IBRD and IDA member countries in the tropics to reduce emissions from deforestation and forest degradation, forest carbon stock conservation, the sustainable management of forests, and the enhancement of forest carbon stocks (commonly referred to as REDD+). It was announced at the 13th Conference of the Parties (COP) to the UNFCCC in Bali in December 2007 and became operational in June 2008. The World Bank is the Facility's Trustee as well as one of its Delivery Partners. It also houses the FCPF Facility Management Team that is responsible for the operation of the Facility. The FCPF currently comprises 46 partner developing countries (17 in LAC, 18 in Africa, and 11 in the Asia-Pacific Region) and 17 financial contributors, from both the public and private sectors.
2. The FCPF has two separate yet complementary funding mechanisms - the Readiness Fund and the Carbon Fund. The **Readiness Fund** supports developing countries in preparing themselves to participate in a future, large-scale, system of positive incentives for REDD+. This includes: adopting national REDD+ strategies; developing reference emission levels (RELs); designing measurement, reporting, and verification (MRV) systems; and setting up REDD+ national management arrangements, including proper environmental and social safeguards.
3. REDD+ Readiness is a three phase process: (i) readiness preparation – supported in Uganda by the FCPF Readiness Fund, UN REDD Programme, and the ADC, (ii) investment and implementation, and (iii) results-based payments.
4. Uganda joined the FCPF in December 2008, after signing the Participation Agreement. It received the Formulation Grant of \$200,000 in August 2009 and utilized it for preparing its REDD+ Readiness Preparation Proposal (R-PP). Uganda submitted the R-PP to the FCPF in 2011, identifying a broad set of activities aimed at strengthening the technical and institutional capacities of a variety of stakeholders for enabling the country to participate in a future REDD+ mechanism. The overall financial envelop for carrying out these activities was estimated at approximately \$10.6 million, supported by a multilateral and bilateral financing arrangements in addition to the Government of Uganda's own contribution. After a positive review by a Technical Advisory Panel and the FCPF Participants Committee (PC), Uganda's R-PP was successfully endorsed June 2011. Following a due diligence process by the World Bank as the Delivery Partner, Uganda signed a Readiness Preparation Grant Agreement in June 2013, in the amount of \$3.634 million. The objective of the Preparation Grant was to support Uganda in designing a socially and environmentally sound national strategy to reduce emissions from deforestation and forest degradation (REDD+) and to develop a reference scenario of emissions from deforestation and degradation that takes into account national circumstances. This grant closed in June 2017.
5. In May 2016, Uganda presented a Mid-Term Report (MTR) on the progress made with its Readiness activities during the FCPF PC, acknowledging that there was still a financial gap to fully implement its REDD+ Readiness phase. The PC decided to allocate an additional funding of \$3.75 million to Uganda in order to continue its Readiness process.