# Modalities and Guidelines for REDD+Reference Levels

Prepared for The Government of Norway



#### Authors

#### **Arild Angelsen**

Norwegian University of Life Sciences & CIFOR

#### **Doug Boucher**

**Union of Concerned Scientists** 

#### **Sandra Brown**

Winrock International

#### Valérie Merckx

**European Forest Institute** 

#### **Charlotte Streck**

Climate Focus

#### **Daniel Zarin** (Project Leader)

Climate and Land Use Alliance

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Marco Albani

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D James Baker

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William Boyd

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**Augusto Castro** 

Andrea Cattaneo

**Bas Clabbers** 

Tim Clairs

**Steve Cornelius** 

Pham Manh Cuong

Andreas Dahl-Jørgensen

Florence Daviet

**Christine Dragisic** 

Manuel Estrada Porrua

Mochammad Farhan Helmy

Jose Carlos Fernandez

Horst Freiberg

Alfred Gichu

Peter Graham

Nora Greenglass

**Bronson Griscom** 

Pablo Gutman

Ragna John

**Promode Kant** 

Jagdish Kishwan

Antonio La Viña

Donna Lee

Cyril Loisel

**Fabien Monteils** 

**Daniel Nepstad** 

Michael Obersteiner

Lydia Olander

Erika Oord

Yaw Osafo

Jim Penman

Per F I Pharo

Catherine Potvin

**Audun Rosland** 

Lucio Santos

Maria Sanz Sanchez

Sebastian Scholz

Osvaldo Stella Martins

Bernardo Strassburg

Doddy Surachman Sukadri

Naomi Swickard

Victoria Tauli-Corpuz

Natalie Unterstell

**Andrew Ure** 

Gerardo Vergara Asenjo

Michael Wolosin

### Goals – Inform SBSTA and COP-17

#### Stage I

 Assess issues that UNFCCC negotiators need to address to develop modalities for REDD+ Reference Levels and Reference Emissions Levels (RLs)

#### Stage II

 Propose guidelines for RLs for REDD+ for developing countries as part of UNFCCC modalities.

## A REDD+ Reference Level is a benchmark for monitoring progress in reducing emissions

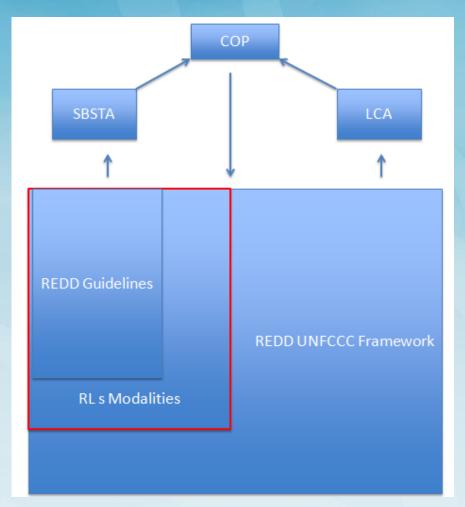
- Reference (Emission) Levels (RLs/RELs) are business-as-usual (BAU) baselines against which actual emissions are compared.
- Emission reductions are estimated as the difference between RLs and actual emissions within an established period.

#### Functions of RLs

- Inform on the effectiveness of REDD+ interventions.
- RLs underpin the credibility of REDD+.
- The process of establishing RLs can inform development and implementation of (sub)national REDD+ policies.
- RLs can inform the determination of results-based payments for REDD+.

#### Modalities and Guidelines for RLs

- Modalities: a set of binding requirements included in a COP decision formulating rules and standards
- Guidelines: a set of principles, criteria and steps included in a COP decision that assist countries in preparing a submission or meet an objective formulated by the COP



## Developing a RL

Start from IPCC categories

**Historic emissions and removals** 

Develop plan and procure the data needed

Develop activity data and emission factors

**National circumstances** 

Identify relevant national circumstances

Bring robust and reviewed evidence justifying adjustments

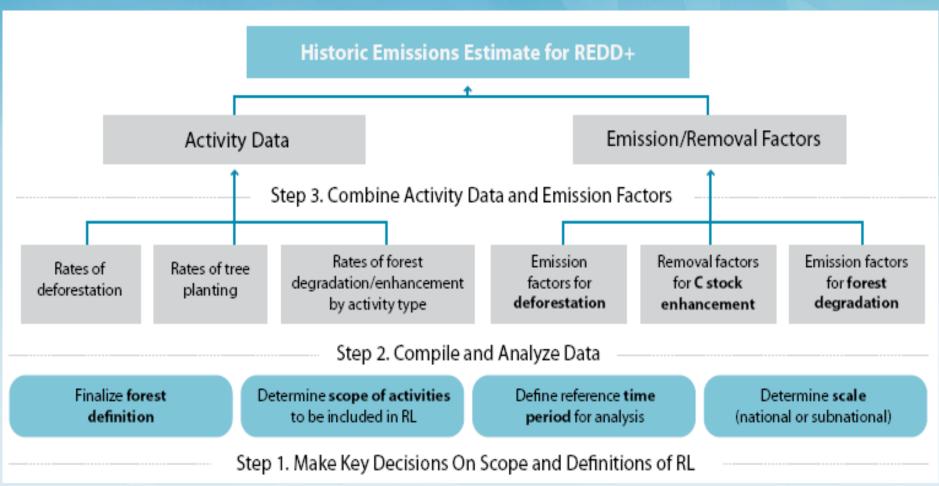
## IPCC Reporting Principles

- Transparency
  - Clear and fully described assumptions and methods
- Completeness
  - Relevant pools and activities included
- Consistency
  - Accepted standards of carbon accounting followed
- Comparability
  - Comparisons among countries should be straight-forward
- Accuracy
  - Avoid bias, reduce uncertainty.

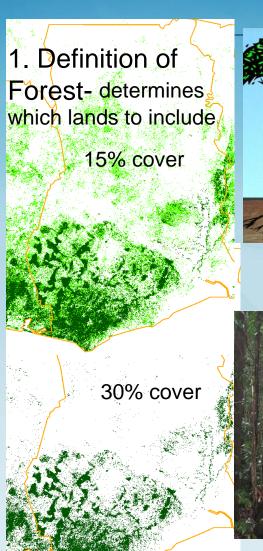
## Scope of REDD+ RLs

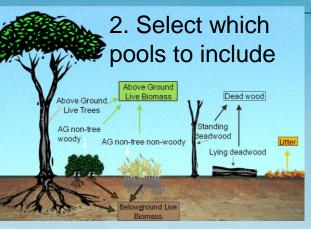
- Forests converted to other lands
  - Deforestation
- Forests remaining as forests
  - Forest degradation
  - Conservation of forest carbon stocks
  - Sustainable management of forests
  - Enhancement of forest carbon stocks in existing forests
- Other lands converted to forests
  - Enhancement of carbon stocks through A/R

#### Historic Emissions and Removals



### Data collection examples





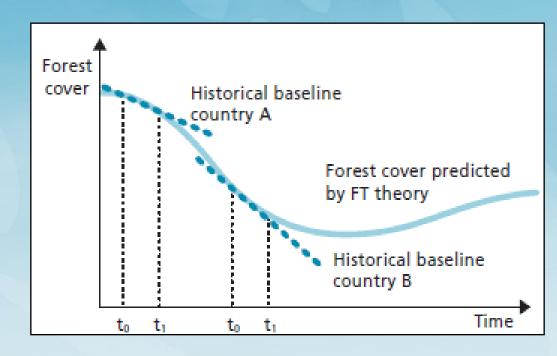
4. Identify drivers of forest cover change



5. Interpret remote sensing imagery

## National Circumstances: General adjustments considered

- Forest TransitionStage (forest cover, GDP)
- Drivers (e.g. agricultural commodity prices)



#### National Circumstances: Conclusions

- To date there is insufficient empirical evidence support generalized adjustments to RLs from historic emissions and removals
- Case-by-case adjustments should be supported by robust and verifiable empirical data, e.g.
  - evidence of implementation of national policies that have major impacts on future forest use
- Equity-based adjustments (e.g. forest cover, GDP) to influence REDD+ financing should be considered separately from adjustments to RLs.

#### Subnational RLs

- Parties can develop subnational RLs that constitute a step toward adopting national RLs.
- Subnational RLs should follow the same principles and steps laid out here for national ones.
- Subnational RLs should follow a common set of criteria that facilitate the subsequent reconciliation of RLs on the national level.

## UNFCCC adoption of RLs

- RLs could be adopted through one of several alternative procedural approaches, including:
  - A single-undertaking top-down process
  - A country-driven sequential process
  - Hybrid process: political decision at the international level and consolidation with country submissions

## Temporal Validity of RLs

#### RLs may be:

- Re-negotiated within a specific timeframe
- Re-submitted for review and adjustment
- Automatically revised
- Reviewed every X number of years e.g. in the context of national communications

## Potential Outline for REDD+ RL Modalities: A Suggestion for SBSTA

- Principles
- Guidelines on RL Development
- Data Submission
- Notification of Preliminary RLs
- International Adoption of Final RLs
- Data Administration

## REDD+ Reference Level reports are available online:

www.redd-oar.org

