

## Report of the Global Dialogue with Indigenous Peoples on the Forest Carbon Partnership Facility (FCPF)

### I. Introduction

An unprecedented global meeting took place on the island of Gaigirgordub, Guna Yala, Panama from September 27 through 29, 2011. Participants included Indigenous Peoples representatives from 28 countries, World Bank representatives, officials from Delivery Partners and northern and southern NGOs, as well as representatives of several governments in representation of the Forest Carbon Partnership Facility (FCPF) Participants Committee.

At the end of three days of information sharing and intense discussions the Indigenous Peoples Steering Committee presented their own consensus proposals which were noted with appreciation by World Bank and other representatives for their objective approach and spirit of partnership. The document was characterized by the Indigenous Peoples as needing minor refinements. These were made by the Indigenous Peoples representatives and the revised document is presented in Annex I as the “Action Plan of Indigenous Peoples on the FCPF”.

Numerous factors contributed to the meeting’s success, including the following:

- The graciousness, hospitality and exemplary forest stewardship exhibited by our hosts, the Guna Yala Authorities and the Guna people;
- The deep and broad understanding, common underlying commitment, hard work and good will of the participants;
- The inspirational setting and daily ceremonial openings that served as reminders of myriad traditional ways in which Indigenous Peoples have treasured and preserved the world’s forests;
- The ability, commitment, and willingness of the Facility Management Team (FMT) to foster positive dialogue and relationship building in ensuring the sustainable inclusion of Indigenous Peoples in REDD+ processes.

### II. Acknowledgements and Appreciation

On behalf of the participants and the entire FCPF, the joint FMT and World Bank team wishes to reiterate its profound gratitude the Guna Yala Authorities (General Congress) represented by the Sagla Dummads and their advisors, for their offer to host us in Gaigirgordub. We are grateful that you spent the whole time with us, patiently following the debates and relating them to your reality in Guna Yala. We are thankful to the Guna people for their hospitality during our stay. You went out of your way to make our stay enjoyable and the event a success. We will remember

the care with which you protect and manage your territory and its natural resources. Your vision has inspired us.

We thank all the participants, starting with the Indigenous Peoples representatives who traveled long distances and endured difficulties along the way. Not all of them were able to reach Gaigirgordub for reasons involving health and immigration issues. We wish to reassure everyone that the FMT did its utmost to secure maximum participation despite the tight deadlines we were facing. We thank you for coming to Gaigirgordub in good faith and working hard over the course of the event to produce a well-articulated Action Plan. The Action Plan was developed and prepared by the Indigenous Peoples and does not necessarily represent the views of, nor is endorsed by, the World Bank, the FCPF, or the FMT.

We wish to recognize the great contribution of the members of the Steering Committee in preparing for and leading this event to a successful conclusion, and look forward to seeing most of them at the meetings of the Participants Assembly and Participants Committee in Berlin in October 2011, where they will present the conclusions and recommendations.

We also thank the Participants Committee members (representing both REDD Country Participants and Donor Participants) and the observers from civil society organizations who made the effort to attend the event in Gaigirgordub. Their presence was important and will facilitate the upcoming PC discussions on the recommendations coming out of the event.

We also thank our fellow Delivery Partners for joining us in Gaigirgordub given the need for the FCPF to adopt a harmonious position on the important questions that were debated during these three days.

Thank you to the interpreters for working very long hours, lending their voices to the voices of the many speakers and contributing to the translation of the concluding document.

Last but not least, thank you to our two facilitators for leading us through the three days and producing this report, and to the staff and volunteers of the *Instituto de Investigación y Desarrollo de Kuna Yala* (IIDKY) under the leadership of Mr. Atencio López for supporting this event with such graceful diligence despite the serious challenges along the way.

The event was memorialized by countless photos, many of which have been posted on the FCPF Facebook page at <http://www.facebook.com/media/set/?set=a.256631601045101.57968.100000947906732&l=bed029bb1f&type=1>. Enjoy!

### III. Background about the Global Meeting

On April 11, 2011 Ms. Joan Carling and Mr. Juan Carlos Jintiach addressed a letter to the World Bank requesting a global consultation meeting with Indigenous Peoples on the FCPF. The World Bank responded on May 10. A proposal was made to the FCPF Participants Committee, which approved the request in Oslo in June. Preparation started to convene the meeting in Panama in October, given the proximity to the UNFCCC negotiations. An Indigenous Peoples Steering Committee was formed, comprised of six Indigenous Peoples leaders (Mr. Kapupu Diwa Mutimanwa for Francophone Africa, Mr. Nicholas Meitiaki Soikan for Anglophone Africa, Ms. Joan Carling for Asia, Mr. Onel Masardule for Meso-America, Mr. Juan Carlos Jintiach for South America, and Mr. Fiu Mataese Elisara for the Pacific). The Steering Committee instructed the FMT to prepare for a three-day event to take place on indigenous land. The FMT soon received a letter from the Guna Yala General Congress indicating that the location would be the island of Gaigirgordub (formerly known as El Porvenir).

The Steering Committee selected participants from six regions, whose names were communicated to the FMT. The Steering Committee also instructed the FMT to invite a number of observers and worked with the World Bank to finalize the proposed agenda.<sup>1</sup> Intense efforts were produced to obtain visas and plane tickets for the participants.

As far as the agenda was concerned, time was allocated for presentations of key information such as an Introduction to the FCPF, the FCPF and the Cancun Decision, the World Bank's Safeguard Policies, and the topic of Delivery Partners under the FCPF Readiness Fund. Each presentation was followed by question and answer (Q&A) sessions. Time was also set aside for two caucuses of Indigenous Peoples. With the goal of the final session to "arrive at a possible action plan," the agenda evolved as necessary to serve that purpose and the needs of the group.

"The Action plan of Indigenous Peoples on the FCPF", Meeting Agenda and Participants List are provided as Annexes below.

### IV. Sessions

The FMT and World Bank team provided the following presentations:

- An overview of the FCPF (see the presentation at [http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/2\\_FCPF\\_Overview\\_0.pdf](http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/2_FCPF_Overview_0.pdf));

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<sup>1</sup> The correspondence exchanged before the event, the preparatory plan of action prepared by the Steering Committee and the FMT, the agenda, the background documents and the presentations made in Gaigirgordub are all accessible on the FCPF website at <http://www.forestcarbonpartnership.org/fcp/node/327>.

- The way that the FCPF approaches safeguards, namely the Strategic Environmental and Social Assessment (SESA) and Environmental and Social Management Framework (ESMF) (see the presentation at [http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/3\\_FCPF\\_Common\\_Approach%26SESA%26ESMF.pdf](http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/3_FCPF_Common_Approach%26SESA%26ESMF.pdf));
- Practical cases of SESA implementation in Costa Rica and Mexico (see the presentation at [http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/4\\_FCPF\\_Panama\\_Consultations\\_SESA-LAC\\_Presentation\\_FINAL.pdf](http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/4_FCPF_Panama_Consultations_SESA-LAC_Presentation_FINAL.pdf)); and
- Safeguard Policy Developments at the World Bank: An Information Session (see the presentation at [http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/4\\_FCPF-Panama\\_2011\\_Lintner-Final\\_kgg.pdf](http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/4_FCPF-Panama_2011_Lintner-Final_kgg.pdf)).

During the ensuing discussions, Indigenous Peoples participants provided reactions to the presentations, to which the FMT and World Bank team responded. A summary of these exchanges is provided in the following paragraphs (each reaction is marked in bold, and the FMT and World Bank team's response is presented as bullet points underneath each reaction).

**There are differences between the WB and UN standards as they appear in the so-called “joint” guidelines on stakeholder engagement between FCPF and UN-REDD.**

- The World Bank recognizes that there are wording differences between the UN-REDD and FCPF approaches. Nevertheless, in practice the results should be the same.
- In practice the FCPF takes the position that if there is a difference of views as to what the “higher standard” among the Delivery Partners is, the “higher standard” should be applied when the Delivery Partners work side by side.
- In countries that have ratified ILO 169, the FCPF takes the position that these countries should comply with this treaty's obligations.
- Section 3.1 (d) of the FCPF Charter provides that the FCPF shall “comply with the World Bank's Operational Policies and Procedures, taking into account the need for effective participation of Forest-Dependent Indigenous Peoples and Forest Dwellers in decisions that may affect them, respecting their rights under national law and applicable international obligations”

**Full and effective participation is necessary, which can be interpreted to require FPIC where appropriate (e.g., for pilot projects). How will the FCPF gauge full and effective participation of Indigenous Peoples?**

- The express language is that the Parties take note of the adoption of UNDRIP by the UN General Assembly.

- As per the Readiness Preparation Proposal (R-PP) guidelines (component 1a), the national readiness management arrangements must include Indigenous Peoples representatives.
- Joint guidelines on stakeholder engagement outline a rigorous process, with concrete steps, for ensuring the consultation and participation of Indigenous Peoples. These steps help countries meet the R-PP requirements on consultation and participation.
- It is worthwhile recalling the main components of the R-PP:
  - Component 1: Organize and Consult
    - 1a. National Readiness Management Arrangements
    - 1b. Information Sharing and Early Dialogue with Key Stakeholder Groups
    - 1c. Consultation and Participation Process
  - Component 2: Prepare the REDD+ Strategy
    - 2a. Assessment of Land Use, Forest Law, Policy and Governance
    - 2b. REDD+ Strategy Options
    - 2c. REDD+ Implementation Framework
    - 2d. Social and Environmental Impacts during Readiness Preparation and REDD+ Implementation
  - Component 3: Develop a Reference Level
  - Component 4: Design a Monitoring System
    - 4a. Emissions and Removals
    - 4b. Multiple Benefits, Other Impacts, and Governance

#### **How is land tenure taken into account in the FCPF?**

- R-PP component 2a requires that countries assess their land use, forest law, policy and governance. In turn, that assessment is subject to the reviews of the Technical Advisory Panel (TAP) and Participants Committee (PC).
- World Bank OP 4.10 provides for a social assessment to be carried out and a plan or framework to be prepared that pays particular attention to the customary rights of the Indigenous Peoples, both individual and collective, pertaining to lands or territories that they traditionally owned, or customarily used or occupied, and where access to natural resources is vital to the sustainability of their cultures and livelihoods, and to the need to protect such lands and resources against illegal intrusion or encroachment.

#### **How are human rights reflected in World Bank policies?**

- The express objective of World Bank OP 4.10 is for the development process to fully respect the dignity, human rights, economies, and cultures of Indigenous Peoples.

#### **What are the mechanisms for equitable benefit sharing and how are Indigenous Peoples participating in the design of these mechanisms?**

- Components 2b, 2c and 2d of the R-PP require countries to develop such mechanisms as part of becoming ready for REDD+, and to do so in an inclusive manner.
- Component 4b requires that the national MRV system cover the monitoring of social and environmental benefits.
- REDD Country Participants will report at mid-term on execution of the readiness grant.
- Most REDD Country Participants that have received a grant are expected to produce a Readiness Package, which will be assessed by the PC, based on standards and criteria to be agreed by June 2012. Indigenous Peoples have been invited to join the Readiness Package drafting group.
- The Delivery Partner will produce an annual grant report, which includes coverage of safeguards aspects.
- Step 4 of the Consultation and Participation guidelines (in the joint guidelines on stakeholder engagement provide for the “design of benefit-sharing systems for equitable and effective distribution of REDD+ revenues”.

**Go on record that the World Bank believes that the elements set forth in the Cancun Decision on REDD+ are captured by the WB’s safeguard policies, including OP 4.10 on Indigenous Peoples**

- The World Bank believes that the elements set forth in the seven safeguards of the Cancun Decision on REDD+ are captured by the World Bank’s safeguard policies, including OP 4.10 on Indigenous Peoples, as shown in the table below.

Cancun Safeguards	World Bank Safeguards
(a) Actions complement or are consistent with the objectives of national forest programmes and relevant international conventions and agreements	OP 4.01 on Environmental Assessment and OP 4.36 on Forests
(b) Transparent and effective national forest governance structures, taking into account national legislation and sovereignty	OP 4.36 on Forests
(c) Respect for the knowledge and rights of indigenous peoples and members of local communities, by taking into account relevant international obligations, national circumstances and laws, and noting that the United Nations General Assembly has adopted the United Nations Declaration on the Rights of Indigenous Peoples	OP 4.10 on Indigenous Peoples

Cancun Safeguards	World Bank Safeguards
(d) The full and effective participation of relevant stakeholders, in particular, indigenous peoples and local communities	OP 4.01 on Environmental Assessment, OP 4.04 on Natural Habitats, OP 4.36 on Forests, OP 4.10 on Indigenous Peoples, and OP 4.12 on Involuntary Resettlement
(e) Actions are consistent with the conservation of natural forests and biological diversity, ensuring that actions are not used for the conversion of natural forests, but are instead used to incentivize the protection and conservation of natural forests and their ecosystem services, and to enhance other social and environmental benefits	OP 4.04 on Natural Habitats and OP 4.36 on Forests
(f) Actions to address the risks of reversals	OP 4.01 on Environmental Assessment, OP 4.04 on Natural Habitats, and OP 4.36 on Forests
(g) Actions to reduce displacement of emissions	OP 4.01 on Environmental Assessment (Annex A)

**How does the World Bank address its own capacity issues?**

- At the Bank’s headquarters, additional staff with relevant expertise (e.g., safeguards, mediation) has been or is being recruited and will be working on REDD+, including the FCPF.
- For field offices, outreach is being undertaken to help field office staff to provide better support to REDD+ readiness activities in-country.

**Will effective grievance mechanisms put in place?**

- As part of REDD+ readiness, a REDD Country Participant under the FCPF is expected to take the steps to use or establish an appropriate feedback and grievance mechanism. This appears in various places in the R-PP template, but most specifically in Component 1a and is also provided for under the Common Approach (in addition to accountability mechanisms that may be in place or being developed at the Delivery Partner level).
- The World Bank has created a new dialogue and mediation support function and hired Mr. Amar Inamdar to help fulfill this role, in which he will assist in-country efforts for culturally appropriate problem solving related to projects.

**Does the World Bank have the authority to engage in a ‘global consultation’? How does this consultation go down to national and local levels? Is it possible**

**to conduct consultations at the regional level (e.g., two or three countries together)?**

- “Global consultation meeting” is the terminology used in the April 11, 2011 letter from Ms. Carling and Mr. Jintiach to the World Bank, but this event has been renamed a “global dialogue” at the suggestion of the Steering Committee. It is understood that this event should not be construed as a consultation with Indigenous Peoples the way Indigenous Peoples understand that term under OP4.10, ILO 169 or UNDRIP, but rather as a global dialogue.
- REDD Country Participants have to conduct consultations at the national level, as per the R-PP guidelines and joint UN-REDD/FCPF guidelines on stakeholder engagement.
- We look forward to receiving the specific request for additional events as part of the Action Plan.
- We understand there is an interest in conducting events at the regional level in both Africa (e.g., southern or eastern Africa) and the Southern Cone of South America.

**What is the future of REDD+? Is the green economy linked to REDD+? How does the carbon market collapse affect REDD+?**

- REDD+ is not directly affected by the current vagaries in the carbon market since REDD+ is not covered by the carbon market, other than the voluntary market, which continues to grow, despite the small volumes.
- Independent from the market, there are substantial resources available in the FCPF, UN-REDD Programme, Forest Investment Program, the special window of the Global Environment Facility dedicated to REDD+, the Congo Basin Forest Fund, and other multilateral initiatives (over \$1.6 billion in total).
- If these resources are used well and the REDD+ countries put in place enabling frameworks and undertake activities accordingly, one can hope that more financial resources would flow in the future. A lot of the measures required under REDD+ readiness are ‘no-regrets’ type, i.e., they are good for other reasons than REDD+ and have been sought in the past.

**Why can’t Indigenous Peoples have direct access to FCPF or World Bank funding?**

- Indigenous Peoples do have direct access to resources managed by the World Bank and other institutions, e.g., the FCPF Indigenous Peoples Capacity Building Program (see <http://www.forestcarbonpartnership.org/fcp/node/248>), and the Dedicated Grant Mechanism under the Forest Investment Program (see <http://www.climateinvestmentfunds.org/cif/node/1956>).

**What happens when community says it does not want REDD?**

- Under World Bank OP4.10, if it cannot be ascertained whether the affected Indigenous Peoples' communities provide their broad support for the proposed project, the World Bank will not provide funding for it.

**What happens when the government violates the rights of Indigenous Peoples using military force?**

- Under World Bank OP4.10, the development process must fully respect the dignity, human rights, economies, and cultures of Indigenous Peoples. If it cannot be ascertained whether the affected Indigenous Peoples' communities provide their broad support for the proposed project, World Bank financing is not provided

Annexes I, II and III below contain the "Action Plan of Indigenous Peoples on the FCPF, the meeting Agenda as it occurred and the Participants List, respectively.

## Annex I

Global Dialogue of Indigenous Peoples on the  
Forest Carbon Partnership Facility (FCPF)  
Gaigirgurub, Guna Yala, Panama, 27<sup>th</sup> to 29<sup>th</sup> September, 2011

### **Indigenous Peoples Action Plan regarding the FCPF**

Recognizing and appreciating the Guna Peoples for hosting this event in Gaigirgurub, Guna Yala, Panama from 27<sup>th</sup> to 29<sup>th</sup> September, 2011.

And appreciating the support of the FCPF Participants Committee (PC) and the Facility Management Team (FMT) for enabling the global dialogue,

We, the global Indigenous Peoples participants, hereby agree to put forward the following action plan relating to FCPF:

#### **Preamble:**

Acknowledging that this dialogue of indigenous peoples on the FCPF is the beginning of a global process of engagement between Indigenous Peoples and the FCPF;

*Recognizing* that the dialogue must be based on the framework of the recognition and respect of Indigenous Peoples rights as enshrined in the United Nations Declaration on the Rights of Indigenous Peoples (UNDRIP), the ILO Convention 169 and other international instruments relating to indigenous peoples as a minimum standard;

Emphasizing the critical need for strengthening the capacities of indigenous peoples as essential for their full and effective participation in processes and mechanisms -- at the local, national, regional and global levels -- relating to FCPF and REDD+;

Requiring that the future global dialogue on FCPF shall be coordinated by the Indigenous Peoples International Steering Committee composed of duly-designated indigenous peoples by geographical region

#### **Objective:**

The objective of this action plan is to ensure that the FCPF implements the Cancun agreement on REDD+, particularly in relation to ensuring the full and effective participation of indigenous peoples, respecting their rights and traditional knowledge as well as the provision on information system on safeguards for the Monitoring, Reporting and Verification (MRV).

**Action Plan:**

The following three Action Plans (A, B and C) shall be implemented immediately and in full:

**A. Capacity building and participation**

1. Strengthening the capacity of indigenous peoples through awareness-raising and information-sharing at the international, regional, national and sub-national levels.
2. Increased focus for strengthening the capacity of women and youth for their effective participation -- taking into account their specific issues and concerns -- in the FCPF process and mechanism.
3. Facilitating sustained communication channels between indigenous peoples organizations and FCPF through the duly designated regional focal points, including the provision for translation of documents and interpretation in Spanish, French and English
4. Ensuring full and effective, participation of indigenous peoples -- with financial support to indigenous peoples representatives -- at the national, regional and international FCPF processes.
5. Inviting duly selected indigenous peoples lawyers -- with logistic support from FCPF -- to dialogues and meeting of indigenous peoples relating to FCPF.
6. Requiring participation of all FCPF delivery partners in the mechanisms for engagement with indigenous peoples at the regional level.
7. Supporting initiatives by indigenous peoples for strengthening their ownership and management of forests, as well as their traditional governance systems.
8. Ensuring the participation of indigenous peoples experts at the UNPFII and other relevant UN bodies and procedures to the FCPF process and mechanism including their participation in the FCPF-PC.
9. Including indigenous peoples experts endorsed by indigenous organizations in the conduct of FCPF activities or projects contracted to consultants.

**ACTION NEEDED FROM FCPF: Allocation of adequate financial resources to support this action plan on capacity building and participation.**

**B. Securing rights of indigenous peoples through effective implementation of the safeguards and monitoring of performance indicators.**

1. The FCPF supports a global study on the situation of indigenous peoples' forest land tenure with a view to recommend measures to strengthen land tenure reforms and forest governance in FCPF countries. The Indigenous Peoples Global Steering Committee shall define the Terms of Reference (ToR) of this study with technical

support from FMT. The FCPF shall provide the necessary financial resources for the conduct of this study.

2. The FCPF develops and implements a robust and comprehensive framework to assess performance and to monitor impacts of safeguards -- including effective participatory monitoring indicators -- throughout the REDD+ cycle. In this context, the FCPF shall fully and effectively engage with indigenous peoples in good faith to review and develop the guidelines and indicators for monitoring, assessment and evaluation of safeguards in REDD+, particularly -- but not limited to -- in the Common Guidelines for Stakeholders engagement, Strategic Environmental and Social Assessment (SESA), Environmental and Social Management Framework (ESMF), EFMA, R-Package, the carbon fund, etc. This review shall look into key areas relating to respect for the rights and traditional knowledge of indigenous peoples in the following critical issues

- Forest land tenure
- Sustainable livelihoods, including traditional livelihoods
- Equitable Benefit sharing
- Full and effective participation of indigenous peoples including the implementation of Free Prior and informed Consent (FPIC)
- Governance
- MRV

3. FAO shall engage indigenous peoples in the review of its proposal submission to become a delivery partner, particularly its policy of environmental impact assessment and proposal for an accountability mechanism.

4. FCPF will ensure information and awareness of indigenous peoples on the safeguards, accountability mechanisms and related guidelines of the delivery partners and the sustained engagement of indigenous peoples with delivery partners at all levels (national-regional and international).

5. FCPF will require review of the guidelines for the stakeholders involvement to include the commitment to implement the UNDRIP in FCPF and UNREDD countries, the ILO Convention 169 in countries that have ratified the Convention and the other applicable international human rights instruments where they have been ratified or adopted.

6. FCPF will establish regional recourse mechanisms with the full and effective participation of indigenous peoples in designing the regional recourse mechanisms and in defining the ToR.

7. FCPF will carry out a thorough information dissemination to and consultations with indigenous peoples on the carbon fund set up under the FCPF to ensure that their concerns and issues as rights holders are fully accounted.

**ACTION NEEDED FROM FCPF: Allocation of adequate financial resources to**

**support this action plan on securing rights of indigenous peoples through effective implementation of the safeguards and monitoring of performance indicators**

**In particular, the PC and FMT shall enable the conduct of regional workshops and subsequently a global meeting within 2012 with indigenous peoples to address the following;**

1. Review FCPF guidelines and instruments particularly the Common Guidelines on Stakeholders Engagement, the Strategic Environment and Social Safeguards (SESA), the Environmental and Social Management Framework (ESMF), the Readiness (R)-Package relating to safeguard implementation, performance indicators, monitoring, assessment tools and related concerns.
2. Establishment of regional process of engagement of indigenous peoples on FCPF with the participation of FCPF delivery partners including presentation of their specific safeguard policies, guidelines and related documents; and the establishment of recourse mechanisms at the regional level
3. Presentation and discussions on the carbon fund with a view of ensuring that concerns of indigenous peoples are effectively addressed

### **C. Allocation of Adequate and Dedicated Resources for indigenous peoples**

1. The FCPF increases its indigenous peoples' capacity building fund from US \$ 1 million (200, 000 per year) to US \$ 4 million for the readiness phase (2011-14) to enable the implementation of the indigenous peoples action plan. A Global Advisory body with a decision-making power shall be created and to be composed of the following: Two (2) indigenous peoples representatives from each of these regions-- Africa, Latin America, Asia and one (1) IP representative from the Pacific region: two (2) representatives of the PC and two (2) representatives from the FMT. The indigenous representatives shall be chosen through a self-selection process.

**ACTION NEEDED FROM FCPF: Approving additional US \$ 3 million for the readiness phase.**

2. FCPF shall ensure full and effective, participation of indigenous peoples with financial support to indigenous peoples representatives at the regional and international processes, relating to FCPF.

## Global Dialogue with Indigenous Peoples on the Forest Carbon Partnership Facility (FCPF)

**El Porvenir / Gaigirgordub  
Guna Yala, Panama, September 27-29, 2011**

**Tuesday, September 27**

<i>06:00</i>	<i>Early morning transfer of (last) participants to Gaigirgordub Island</i>	
<b>Session 1: Opening</b>		
10:00	Welcome and opening ceremony	Guna Yala Authorities, Steering Committee
11:00	Welcoming words	Steering Committee and Facilitation Team
11:15	Welcoming words	World Bank/FMT
11:30	Workshop objectives and review of agenda	Steering Committee and Facilitation Team
<i>12:30</i>	<i>Lunch</i>	
<b>Session 2: Introduction to the FCPF</b>		
13:30	Overview of the FCPF and engagement of Indigenous Peoples + questions & answers	Benoît Bosquet
14:30	Plenary discussion and summary	Facilitation Team
<i>15:45</i>	<i>Health break</i>	
<b>Session 3: Cancun Decision on REDD+</b>		
16:15	Perspectives from Indigenous Peoples	Indigenous Peoples, Facilitation Team
17:15	FCPF and the Cancun Decision + questions & answers	Benoît Bosquet, Charles Di Leva, Kennan Rapp
18:00	Plenary discussion and summary	Facilitation Team
<i>19:30</i>	<i>Dinner</i>	

## Wednesday, September 28

<i>07:00</i>	<i>Breakfast</i>	
08:00	Ceremonial opening of morning session	Indigenous Peoples groups per Region
08:30	Pending questions and answer from Sessions 2	Benoît Bosquet, Charles Di
<b>Session 4: World Bank Safeguard Policies</b>		
09:30	Examples of safeguard application in FCPF operations + questions & answers	Glenn Morgan, Mi Hyun Bae
10:00	Plenary discussion and summary	Facilitation Team
<i>10:30</i>	<i>Health break</i>	
11:00	Information session: Ongoing policy developments at the World Bank and findings of Learning Review on implementation of the	Stephen Lintner
11:30	Perspectives from Indigenous Peoples	Indigenous Peoples, Facilitation Team
12:30	Plenary discussion and summary	Facilitation Team
<i>13:00</i>	<i>Lunch</i>	
<b>Session 5: Caucusing Time</b>		
14:00	Caucus(es) for Indigenous Peoples	Indigenous Peoples
<i>16:30</i>	<i>Health break</i>	
<b>Session 6: Multiple Delivery Partners</b>		
17:00	Overview + questions & answers	World Bank, IDB, UNDP, FAO
17:30	Perspectives from Indigenous Peoples	Indigenous Peoples, Facilitation Team
18:00	Plenary discussion and summary	Facilitation Team
<i>20:00</i>	<i>Dinner and cultural event</i>	

**Thursday, September 29**

<i>07:00</i>	<i>Breakfast</i>	
<b>Session 7: Caucusing Time</b>		
08:00	Ceremonial opening of morning session	Indigenous Peoples groups per Region
08:30	Caucus(es) for Indigenous Peoples	Indigenous Peoples
11:00	Presentation of caucus conclusions and recommendations in plenary	Indigenous Peoples and Facilitation Team
<i>12:00</i>	<i>Lunch break</i>	
<b>Session 8: Conclusions and Recommendations</b>		
13:00	Preliminary responses	World Bank/FMT, IDB, UNDP,
13:30	Plenary discussion	Facilitation Team
14:30	Conclusions and action plan	Facilitation Team
<b>Session 9: Closing</b>		
15:30	Closing ceremony	Guna Yala Authorities and Steering Committee
<i>16:00</i>	<i>Departure and transfer to Panama City (air and land)</i>	

**Global Dialogue with Indigenous Peoples on  
the Forest Carbon Partnership Facility (FCPF)  
(El Porvenir/Gaigirgordub, Guna Yala, Panama, September 27-29,  
2011)**

**Background**

Forest-Dependent Indigenous Peoples and Forest Dwellers sent a letter to World Bank Management on April 11, 2011, in which they requested the World Bank to conduct a Global Consultation (renamed as Global Dialogue) meeting with Indigenous Peoples on the FCPF. The objectives of this Global Dialogue are to:

1. Update Indigenous Peoples on the FCPF;
2. Reach a common understanding on how the FCPF will implement the Cancun decision on Reduction of Emission from Deforestation and Forest Degradation (REDD+) in the context of the draft Guidelines on Stakeholder Engagement in REDD+ Readiness;
3. Reach a common understanding on the application of relevant environmental and social safeguard policies in the Multiple Delivery Partner arrangement;
4. Reach an agreement on future mechanisms, processes and funding for the effective engagement of indigenous peoples in FCPF processes; and
5. Conduct a two-hour information exchange and update on the Bank's process of updating and consolidating the Bank's Safeguard Policies with a focus on those safeguards of particular concern to Indigenous Peoples (e.g., Indigenous Peoples, Involuntary Resettlement, Forests).

Background documents:

Letter of Indigenous Peoples to the World Bank of April 11, 2011:

<http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Jun2011/7b.Letter%20to%20WB%20on%20FCPC-%20Final.pdf>;

Reply from the World Bank of May 10, 2011:

<http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Jun2011/7b.Reply%20to%20Joan%20Carling%20and%20JC%20Jintiach.pdf>;

Presentation of Indigenous Peoples (Ms. Joan Carling) representatives to PC9:

<http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Jun2011/Global%20Meeting%20of%20IPS%20on%20FCPF.pdf>;

FMT presentation to PC9 regarding the Global Consultation and self-selection process among Indigenous Peoples:

<http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Jun2011/7b.%20FCPF%20FMT%20Note%20011-5%20Indigenous%20Peoples%20rev.pdf>.

## **Session 1: Opening**

The Guna Yala Authorities, the Steering Committee and Facilitation Team and the World Bank/FMT will welcome Participants to the meeting with highlights of the reasons for this dialogue and the expected outcomes.

## **Session 2: Introduction to the FCPF**

The FCPF aims to assist developing countries in their efforts to reduce emissions from deforestation and forest degradation (REDD+)<sup>2</sup> by building national capacity for REDD+ activities, and testing a program of performance-based incentive payments in certain pilot countries.

FMT and World Bank staff members<sup>3</sup> will present the FCPF program and provide an overview focusing on those aspects of relevance to Indigenous Peoples, such as safeguards (including the Strategic Environmental and Social Assessment (SESA) and the Environmental and Social Management Framework (ESMF)), engagement of Indigenous Peoples in the FCPF, the Common Approach to safeguards for Multiple Delivery Partners, the Carbon Fund, etc. They will also explain how the engagement of Indigenous Peoples in the FCPF is part of the World Bank's direct dialogue with Indigenous Peoples.

The session will include time for questions and answers and conclude with a summary by the Facilitation Team.

### **Background Documents:**

Charter of the FCPF, available in English, French and Spanish at [http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Sep2010/FCPF\\_Charter-August\\_2010\\_clean.pdf](http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Sep2010/FCPF_Charter-August_2010_clean.pdf);

Draft guidelines on Stakeholder Engagement in REDD+ Readiness, produced jointly by the FCPF and UN-REDD Programme, are available in English, French and Spanish at [http://www.unredd.net/index.php?option=com\\_docman&task=cat\\_view&id=1120&Itemid=53](http://www.unredd.net/index.php?option=com_docman&task=cat_view&id=1120&Itemid=53).

Lessons Learnt: Harvesting Knowledge on REDD+: Early Lessons from the FCPF Initiative and Beyond, available in English at <http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2010/FCPF%20Harvesting%20Knowledge>

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<sup>2</sup> REDD+ means reducing emissions from deforestation and forest degradation, conservation of forest carbon stocks, sustainable management of forest, and enhancement of forest carbon stocks, in developing countries.

<sup>3</sup> Benoît Bosquet, Charles Di Leva, Mi Hyun Bae, Amar Inamdar, Stephen Lintner, Glenn Morgan, Dianna Pizarro, Navin Rai, Ranjith Ranjith, Kennan Rapp, Peter Saile and Haddy Sey.

[%20Nov%2019%202010-revised.pdf](#).

### **Session 3: Cancun Decision on REDD+**

The Indigenous Peoples representatives (either through one presenter or by Region or groups of Regions) will present the Decision on REDD+ adopted at COP16 as part of the Cancun Agreements and the implications for Indigenous Peoples. There is growing awareness at both international and national levels of the need for effective social and environmental safeguards. As acknowledged at COP16, the full and effective participation of Indigenous Peoples and local communities in the implementation of REDD+ safeguards is crucial for REDD+ to succeed. The Cancun Decision calls for countries to establish a system for providing information on how the safeguards referred to in Annex I to this Decision are being addressed and respected throughout the implementation of the activities. It was further recognized that when countries develop and implement their national strategies or action plans, they will address, inter alia, the drivers of deforestation and forest degradation, land tenure issues, forest governance issues, gender considerations and the safeguards identified in paragraph 2 of Annex I to the Decision, ensuring the full and effective participation of relevant stakeholders, inter alia Indigenous Peoples and local communities.

The World Bank/FMT will present how the FCPF is responding to the Cancun Decision, specifically the full and effective participation of Indigenous Peoples and local communities and present the approach that will be used in FCPF countries to implement safeguards policies, namely the Strategic Environmental and Social Assessment (SESA) and the Environmental and Social Management Frameworks (ESMF).

The session will include time for questions and answers and conclude with a summary by the Facilitation Team.

Background documents: Cancun Agreements, including the REDD+ Decision, available in English at [http://unfccc.int/files/meetings/cop\\_16/application/pdf/cop16\\_lca.pdf](http://unfccc.int/files/meetings/cop_16/application/pdf/cop16_lca.pdf); in French at <http://unfccc.int/resource/docs/2010/cop16/fre/07a01f.pdf#page=2>; and in Spanish at <http://unfccc.int/resource/docs/2010/cop16/spa/07a01s.pdf#page=2>.

### **Session 4: World Bank Safeguard Policies**

World Bank and FMT representatives will present the main World Bank Safeguard Policies relevant for the FCPF and how these policies apply in FCPF operations drawing on real examples. The session will include time for questions and answers and conclude with a summary by the Facilitation Team.

Background documents: World Bank Safeguard Policies are accessible in English at <http://go.worldbank.org/WTA1ODE7T0>.

In addition, the World Bank will also provide an informal overview of ongoing policy development and updating processes at the World Bank and discuss the

recent learning review of the implementation of the Indigenous Peoples Policy between 2006 and 2008. This would cover Investment Lending Reform, including the updating and consolidation of the Environmental and Social Safeguard Policies, and the proposed Policy on Programs for Results. The discussion of the safeguard policies would include the updating of the current Indigenous Peoples Policy.

Background documents:

- A brief explanation of the updating process is provided at <http://go.worldbank.org/00E973V3B0>.
- A Learning Review of the implementation of the World Bank's Indigenous Peoples Policy between 2006 and 2008 is accessible in English at [http://siteresources.worldbank.org/INTSAFEPOL/Resources/Indigenous\\_peoples\\_review\\_august\\_2011.pdf](http://siteresources.worldbank.org/INTSAFEPOL/Resources/Indigenous_peoples_review_august_2011.pdf).

### **Session 5: Caucusing Time**

The afternoon of the second day will be reserved for Indigenous Peoples to discuss amongst themselves, reflecting on the first four sessions and summarizing their thoughts and proposals. This caucus will continue during the morning of the third day (Session 7).

### **Session 6: Multiple Delivery Partners**

The FCPF set out with the World Bank covering all three functions for the Facility to operate: a fiduciary function vis-à-vis Fund Participants, a secretariat function (played by the FMT) and a function of delivering services and technical assistance to countries in REDD readiness preparation (what has become known as 'Delivery Partner'). The World Bank is not in all cases the best positioned to provide this function of Delivery Partner. Therefore, the PC mandated, at PC7 in November 2010, the FMT to develop a Common Approach which would allow other qualified Partners to deliver on services and technical assistance for REDD+ Countries. This Common Approach was designed by a Task Force including Indigenous Peoples representatives over a period of seven months and adopted at PC9 in June 2011.

The World Bank and FMT will present this Common Approach, which builds on the World Bank's Safeguard Policies, and the decision to integrate Multiple Delivery Partners (MDPs) into REDD+ readiness activities. Representatives of two other Delivery Partners, namely the Inter-American Development Bank (IDB) and the United Nations Development Programme (UNDP), will share their insights on the Common Approach with participants. A representative from the Food and Agriculture Organization (FAO) will also be available to participate in the discussions.

The session will include time for questions and answers and conclude with a summary by the Facilitation Team.

Background documents: The Common Approach and related documents are

available in English, French and Spanish at  
<http://www.forestcarbonpartnership.org/fcp/node/301>.

### **Session 7: Caucusing Time**

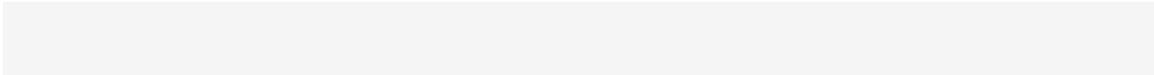
The morning of the third day will be also reserved for Indigenous Peoples to keep discussing amongst themselves, reflecting on the sessions of the first two days and summarizing their thoughts and proposals. They will present their recommendations to the plenary.

### **Session 8: Conclusions and Recommendations**

This final session will give an opportunity to the World Bank/FMT, IDB, UNDP and FAO to offer preliminary responses to the proposals received from the Indigenous Peoples, following which the Facilitation Team will steer a plenary discussion to arrive at a possible action plan. Based on daily documentation and wrap-ups, and additional inputs produced by participants, the Facilitators will produce the final report after the end of the dialogue meeting. This report will have to be approved by the Steering Committee and the FMT.

### **Session 9: Closing**

The Guna Yala Authorities and the Steering Committee will formally close the dialogue meeting and bid farewell to the participants before they depart for Panama City.



## Annex III

Participants List:

#	Region	Nationality	Male/Female	Names		
				Last Name	Middle Name	First Name
1	LAC	Panama	M	Abrego		Gilberto
2	Africa	Ethiopia	F	Ahmed	Yasin	Keriya
3	LAC	Mexico	F	Alonso		Gabriella
4	Africa	Cameroon	M	Amadou	Njobdi	Ibrahim
5	LAC	USA	F	Bae Mbayo		Mi Hyun
6	EAP	Philippines	F	Balawag		Grace
7	LAC	Guatemala	M	Batzin	Ramiro	Francisco
8	America	Canada	F	Bishop	A	Kristyna
9	Europe	Belgium	M	Bosquet		Benoit
10	LAC	Colombia	M	Cabria Medina		Henry
11	LAC	Honduras	F	Calderon G.	Maria	Wilma
12	EAP	Philippines	F	Carling	Umaming	Joan
13	EAP	Vietnam	M	Cong	Hoang	Duong
14	America	Brasil	F	Da Cunha		Maria
15	America	USA	M	Di Leva		Charles
16	EAP	Nepal	F	Dolma Sherpa		Pasang
17	Pacific	Samoa	M	Elisara	Mata'ese	Fiu
18	LAC	Panama	M	Escala		Anibal
19	America	France	M	Guedez		Pierre Yves
20	LAC	Panama	M	Hernandez		Carlos
21	LAC	Panama	M	Herrera Robles		Heraclio
22	LAC	Chile	F	Hidalgo		Hortencia
23	America	USA	M	Huyler, Jr.		John
24	America	USA	M	Inamdar	A.	Amar
25	LAC	Guyana	M	James		Tony
26	LAC	Ecuador	M	Jintiach		Juan Carlos
27	Africa	Kenya	F	Kaptoyo		Edna
28	America	USA	M	Lichtenstein		Joshua
29	America	USA	M	Lintner		Stephen
30	Africa	Kenya	M	Litembekho	Murila	Basil
31	LAC	Panama	M	Lopez		Atencio
32	LAC	Panama	M	Lopez		Belisario
33	LAC	Panama	M	Lopez		Breido
34	LAC	Panama	M	Lopez Hernández		Heraclio
35	LAC	Nicaragua	M	Mairena Arauz		Dennis
36	LAC	Panama	M	Marin		Leslie
37	LAC	Panama	M	Martinez		Inocencio

#	Region	Nationality	Male/Female	Names		
				Last Name	Middle Name	First Name
38	LAC	Panama	M	Martinez		Manuel
39	America	Italian	M	Martone		Francesco
40	LAC	Panama	M	Masardule		Onel
41	LAC	Panama	M	Melgarejo		Carlos
42	LAC	Nicaragua	M	Mendoza Lewis		Jadder
43	LAC	Panama	M	Moreno		Rogelio
44	America	Canada	M	Morgan		Glenn
45	LAC	Argentina	M	Nahuel		Jorge
46	Africa	Gabon	M	Odambo Adone		Leonard Fabrice
47	LAC	Peru	M	Palacin		Miguel
48	LAC	Panama	M	Palacios	Abrego	Gilberto
49	Africa	Tanzania	M	Parmelo	Laurent	Saitoti
50	LAC	Panama	M	Perez Junka		Tomas
51	EAP	Cambodia	M	Pheap		Sochea
52	America	USA	F	Pizarro		Dianna M.
53	Africa	Ghana	M	Quarm	Dankama	Kwasi
54	America	Nepal	M	Rai		Navin
55	America	USA	M	Ranjith		Ranjith
56	Europe	Norway	M	Ranum	Hermann	Nils
57	America	USA	M	Rapp		Kennan
58	EAP	Thailand	M	Rattanakrajangsri		Kittisak
59	Europe	Germany	M	Saile		Peter
60	LAC	Mexico	M	Sanchez		Gustavo
61	LAC	Mexico	M	Sandoval		Alberto
62	Africa	Kenya	M	Sena	Kanyinke	Paul
63	EAP	Indonesia	F	Setra	Susana	Mina
64	America	USA	F	Sey		Haddy
65	Africa	Kenya	M	Soikan		Nicholas Meitiaki
66	EAP	P.R. Lao	M	Soubandith		Khamla
67	Europe	Norway	F	Svenskerud		Monica
68	LAC	Suriname	F	Tokoe	Aloema	Josien
69	Africa	Uganda	M	Topoth		Charles Angella
70	EAP	Nepal	M	Tripathee	Ram	Rishi
71	Pacific	Soloman Islands	M	Vahia		Graham
72	America	USA	M	Verdieck	Dennis	John
73	EAP	P.R. Lao	F	Youngchialorsautouky		Souknida