

**FOREST CARBON PARTNERSHIP FACILITY (FCPF)  
TENTH PARTICIPANTS COMMITTEE MEETING  
October 18-19, 2011  
Berlin, Germany**

**Resolution PC/10/2011/1.rev\***

**Strategic Direction of the FCPF**

**Whereas:**

1. The Participants Committee (PC), through PC/6/2010/9, agreed that all REDD Country Participants shall be equally eligible to a Readiness Preparation Proposal (R-PP) Formulation Grant (Formulation Grant), and a Readiness Preparation Grant. Accordingly, regardless of the degree to which the REDD Country Participants actively participate in the FCPF and their progress towards REDD+ readiness, the Readiness Fund resources, up to a flat rate of US \$ 3.6 million per country, have, to date, been committed to all REDD Country Participants;
2. FCPF currently has 37 REDD Country Participants and no new Eligible REDD Country (that have either expressed an interest in the FCPF or have expressed an interest in attending a meeting as an observer) has joined the FCPF since the second PC Meeting, other than being invited by the Facility Management Team (FMT), in consultation with the Bureau of the PC, to Participants Assembly (PA) and PC meetings as an observer, in accordance with the Additional Decisions of PC7; and
3. The evaluation of the FCPF, carried out in 2011 in accordance with Article 17 of the FCPF Charter, and subsequent draft action plan prepared and disseminated by the FMT to the PC in FMT Note 2011-9 recognized the need to engage in a strategic level discussion to improve the efficiency and effectiveness of the operation of the Readiness Fund.

**The Participants Committee,**

1. Recognizing the need to increase the efficiency of the use of the Readiness Fund resources, requests the REDD Country Participants that have not signed a Participation Agreement to submit a signed Participation Agreement to the FMT by February 1, 2012. The REDD Country Participants that do not meet this requirement would need to reapply to be selected as a REDD Country Participant, in accordance with Section 6.2 of the FCPF Charter;
2. Noting that REDD Country Participants are in different stages of REDD+ readiness preparation, encourages REDD Country Participants that have not yet submitted their R-PP to submit their R-PP in time for formal consideration at or before PC14.<sup>1</sup> For those REDD Country Participants that submit their R-PP and whose R-PP is assessed by the PC at or before PC14, grants of up to

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\*Paragraph 10 of this Resolution was revised subsequent to the PC10 Meeting, to clarify when the listed activities will be carried out.

<sup>1</sup> The submission date for the R-PPs is generally ten weeks before the PC that would consider the R-PPs. The exact dates will be posted on the FCPF website at a later date once the PC Meeting dates are determined.

US\$ 3.6 million (Formulation and Readiness Preparation Grants) will be allocated. For those REDD Country Participants that are unable to do so, the grants of up to US\$ 3.6 million will only be allocated, provided that sufficient funds are available in the Readiness Fund at the time of the formal consideration and PC endorsement of the R-PP.

3. Decides that, at any given PC meeting, allocation of Readiness Preparation grants of up to US\$ 3.6 million to support R-PPs that are endorsed by the PC will take priority over the allocation of additional funding to the REDD Country Participants described in paragraph 5 below.
4. With the view to assist the annual budget plan for the Readiness Fund, encourages the REDD Country Participants that have not yet submitted their R-PP for formal consideration by the PC to confirm by March 31, 2012, their intention to submit an R-PP.
5. Noting that some REDD Country Participants have made significant progress towards REDD+ readiness, and recognizing the need to provide additional funding to encourage further progress on readiness preparation, decides to provide up to US\$ 5 million<sup>2</sup> in addition to the currently allocated maximum amount of US\$ 3.6 million grant per country to support REDD+ readiness activities for the REDD Country Participants that meet the following criteria:
  - i. The PC's consideration of the mid-term progress report provided by the REDD Country Participant to the PC recognizes significant achievement of progress;<sup>3</sup>
  - ii. The REDD Country Participant's commitment of at least 50% of the Formulation/Readiness Preparation Grant(s) of up to US\$ 3.6 million that has already been allocated at the time of the request for additional funding;
  - iii. Additional funding shall be used to support activities consistent with the endorsed R-PP, to be agreed with the relevant Delivery Partner, taking into account countries' needs;
  - iv. The REDD Country Participants will submit a proposal for this additional funding in accordance with a process to be agreed by the PC by PC 13; and
  - v. Allocation of additional funding to a REDD Country Participant that meets the conditions set forth in paragraphs (i) through (iv) above shall be approved, subject to availability of resources in the Readiness Fund.
6. Recognizing the importance of scaling up the efforts to support the REDD Country Participants for effective implementation of REDD+ readiness processes, instructs the FMT to present a detailed proposal and budget estimate for analytical work, knowledge management, and centrally sourced activities,<sup>4</sup> including South-South exchange and linkages between REDD+ and FLEGT, by PC12. Such a proposal shall be based on a quick assessment of the REDD countries' readiness needs and take into account similar existing or planned activities undertaken by other

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<sup>2</sup> Subject to no-objection by PC members in the next fourteen (14) days.

<sup>3</sup> REDD Country Participants shall submit a mid-term progress report to the PC, pursuant to PC Resolution PC/7/2010/3.

<sup>4</sup> These activities represent practical aspects of readiness preparation that are common across countries and for which good practices have or may be developed, with a view to achieving economies of scale and accelerating learning among REDD countries.

initiatives, including the Forest Investment Programme (FIP) and UN-REDD Programme. This analysis should also take into account the FMT's capacity to support the proposed activities.

7. Requests the FMT to conduct a mapping exercise of the activities being supported by the existing Indigenous Peoples (IP) and Civil Society Organization (CSO) capacity building programs, such as the FIP's Dedicated Grant Mechanism for Indigenous Peoples and Local Communities, UN-REDD Programme and other programs, with the view to identifying existing activities and needs and exploring modalities for channeling the resources in an efficient and transparent manner. The mapping exercise should consider enhancing participation of both social and environmental CSOs and Forest-Dependent Indigenous Peoples and Forest Dwellers, as defined in the FCPF Charter, in the REDD+ readiness process.
8. The FMT Note 2011-10 will be revised, taking into account the findings from the mapping exercise described in paragraph 7 above, and it shall be presented to the PC for consideration no later than PC11.
9. Taking into account the mapping exercise described in paragraph 7 above, provisionally allocates US\$ 5.5 million for FY12-15 (July 1, 2011 – June 30, 2015) for the enhanced support for engagement of CSOs and Forest-Dependent Indigenous Peoples and Forest Dwellers in REDD+ readiness process, subject to availability of resources in the Readiness Fund.<sup>5</sup>
10. In the context of paragraph 9 above, approves the budget of up to US\$ 1 million for FY12 and FY13 (July 1, 2011 – June 30, 2013), subject to availability of resources in the Readiness Fund to: 1) support participation of Southern CSOs in PA and PC meetings in FY 12 (up to US\$ 60,000); and 2) conduct Dialogues with Indigenous Peoples on the FCPF, namely two Regional Dialogues in FY12 and one Regional Dialogue in FY13 (up to US\$ 600,000 in total), and one Global Dialogue in FY13 (up to US\$ 340,000). The Dialogues with Indigenous Peoples will be carried out in coordination with other relevant regional or global REDD+ initiatives, as feasible.
11. Recognizing that a number of additional Eligible REDD Countries have expressed interest in joining the FCPF, instructs the FMT to i) solicit further information from interested Eligible REDD Countries on their potential level of engagement in the FCPF, ii) propose criteria and process for approving such additional Eligible REDD Countries for possible inclusion into the FCPF, and iii) analyze implications for costs, human resources, and support for REDD+ readiness to the existing REDD Country Participants, no later than PC12 for its consideration.
12. Requests the FMT to prepare options with budget estimates to facilitate discussion by PC12 for prioritization of activities described in this Resolution.

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<sup>5</sup> This amount is additional to the FCPF's existing Indigenous Peoples Capacity Building Program, which totals US\$ 1 million for FY09-13.