

# World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF013447  
Printed On: 12/02/2016

Reporting Period: 07/01/2015 to 06/30/2016  
Report Type: Progress Report  
Report Status: Approved

Assignment: TF013447

## Vietnam Readiness Preparation Proposal

### FCPFR - FOREST CARBON PARTNERSHIP FACILITY

Task Team Leader: 00000186457 - Lan Thi Thu Nguyen  
Approving Manager: 00000066054 - Iain G. Shuker

Summary Information	
TF Status	ACTV
Recipient Country	Vietnam
Executed By	Recipient
Managing Unit	9269 - GEN2A
Grant Start Date / End Date	01/22/2013 to 05/31/2017
Original Grant Amount	3,800,000.00
Grant Amount	3,800,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	2,640,858.50 as of 06/30/2016
Disbursed 07/01/2015 to 06/30/2016	1,054,880.32
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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## OVERVIEW

### Overall Assessments

#### Grant Objectives:

The objective of the grant is to support Vietnam to become ready for future REDD+ implementation by preparing the key elements, systems and policies needed in a socially and environmentally sound manner.

#### Overall progress from 07/01/2015 to 06/30/2016 with regard to Achieving Grant Objectives:

##### Comment:

The FCPF provides grant funding to contribute to the preparation for REDD+ Readiness of Vietnam, including the development of supporting policies and systems, support for adopting national REDD+ strategies, development of reference emission levels (RELs); the designing of a measurement, reporting, and verification (MRV) systems; and setting up REDD+ national management arrangements, including improvements to existing environmental and social safeguards. FCPF is also supporting work on stakeholder consultations and engagement at different levels, including consultation work with forest dependent village communities, protected area Management Boards, State Forest Companies, District and Provincial officials and non government organizations. The Grant implementation is on track of achieving the grant objectives and is assessed to be Satisfactory.

#### Overall progress from 07/01/2015 to 06/30/2016 with regard to Implementation of Grant Financed Activities:

##### Comment:

Significant progress in REDD Readiness preparation has been achieved including capacity building activities at various level; contribution to the review of National REDD Action Plan (NRAP); development of selective Provincial REDD Action Plan (PRAPs) as well as coordinating other bilateral supports to provide inputs into the Emission Reduction Program Document (ERPD) for the North Central region of Vietnam, the advanced version of which has become available and the Program will be presented to the donors Participants' Committee planned to be in December 2016. Progress has also been achieved under the large package including the SESA work, Socio-Economic Study for the ER Program Area, completion of the R-Package Assessment, Mid Term Review Report and consultation work. The two-stage approached SESA had been adopted with the first stage SESA being completed for the ER-Program area. Since most of the key tasks had satisfactorily been completed, the implementation of the grant is upgraded to Satisfactory from the status of MS in the last reporting period.

### Grant follow-up and structure

#### Description and context of Grant:

**Expected follow up (if any):** Follow up Bank project/loan/credit/grant

#### Comment on follow up:

Progress after June 2016 focused on; (i) the completion of the draft ERPD to initiate the review of the TAP, (ii) revision of the ERPD based on TAP's review report and make it ready for PC presentation; (iii) completion of the R-Package report to be ready for presentation in September 2016, and (iv) completion of the preparation for Additional Funding (AF) for REDD Readiness and signing of the AF's Grant Agreement.

#### End Date of Last Site Visit:

#### Restructuring of Grant:

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## OUTCOME

### Comments on outcome achieved from 07/01/2015 to 06/30/2016

#### Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

## COMPONENTS

There are no components defined for this trust fund / grant.

### Comment on planned and actual Output

### Comment on component implementation progress

## EXECUTION

### Bank project related to the grant

Project ID / Name: P124584 - Vietnam- FCPF REDD Readiness  
Project Status: Lending  
Global Focal Area: Climate change  
Product Line: CN - Carbon Offset

### Implementing agency and contact details

Agency: Ministry of Agriculture and Rural Development  
Contact: Dr. Cuong Manh Pham  
Address: 2 Ngoc Ha, Hanoi, Vietnam  
Phone: +84 988809696 Email: manhcuongpham@vnforest.gov.vn  
Website:

## PROGRAM

### Program Specific Questions

#### 1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f

The Grant activities ensure complementary contribution to the country's REDD Readiness by: (i) regular consultation with other donors active in the sector during grant preparation and implementation; (ii) coordinating with other donors for support to the ER-Program, proven by the resources mobilized by other donors and organizations such as USAID through the Vietnam Forest Delta (VFD) program, UNREDD Program, JICA, SNV...

#### 2. Describe any important changes in the technical design or approach related to the Grant activities.

The two-staged approached SESA with the first stage to be completed for the ER-Program Area which would inform the 2nd stage which is at national level.

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### 3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

Changes in key staffing has significantly improved implementation (eg. the appointment of the full time position of the PMU Deputy Director and Vietnam REDD Office). Fiduciary trainings including on FM and procurement were provided to PMU staff and implementation support has been provided by the Bank's task team.

### 4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

SESA consultant position in the PMU was filled and the consultant was fully on board to supervise the implementation of the SESA package which is in accordance with the Common Approach.

### 5. Is the ESMF prepared in accordance with the Common Approach?

With the initiative of key donors in Vietnam such as WB, ADB, DFAT, USAID and partners, streamlining procedures and instruments for social and environment safeguards are being discussed, including an ESMF template that was recently developed for Vietnam. It was agreed that the environmental and social instruments and approaches used in the FCPF program will made consistent with the approach used for other donor/WB projects in Vietnam, which is in accordance with the Common Approach.

The SESA/ESMF work focussed on both national and provincial REDD strategies, appropriate consultations, analytical work including the review of the newer Land and Environmental laws as they pertain to the ER programs. There is a wealth of very good background technical material from numerous partners (e.g., USAID, SNV, Winrock, JICA, SRD, GIZ etc.) that can provide solid evidence and inputs for these efforts. Plans for further refinement around the unique aspects of drivers of forest degradation and deforestation at the provincial level will be addressed.

### 6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

- # A comprehensive communications and stakeholder consultation plan for potential stakeholders was developed in April 2014, based on which numerous communication activities were organized.
- # The Project has improved communication on the FCPF REDD+ at provincial and lower levels, including awareness raising;
- # The project has supported awareness raising and training of trainers for FPIC in three FCPF provinces.
- # Work has been undertaken with FCPF pilot village communities and provinces on developing procedures for improved implementation of Payment for Forest Environmental Services (PFES) and forest land allocation and review of the impact of the new Land Law (ongoing from August 2014);
- # Intensive consultation were carried out during the development of the PRAPs, ERPD, land tenure study, SESA/ESMP development and Benefit Sharing Mechanism, among others.

## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2013	06/30/2013	0.00	0.00	0.00	0.00
07/01/2013	12/31/2013	0.00	0.00	400,000.00	400,000.00
01/01/2014	06/30/2014	0.00	0.00	501,152.94	101,152.94
07/01/2014	12/31/2014	0.00	0.00	1,210,470.67	709,317.73
01/01/2015	06/30/2015	0.00	0.00	1,585,978.18	375,507.51
07/01/2015	12/31/2015	0.00	0.00	2,432,634.12	846,655.94
01/01/2016	06/30/2016	0.00	0.00	2,640,858.50	208,224.38

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01/01/2017	06/30/2017	0.00	0.00	0.00	0.00