

# World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF017919  
Printed On: 03/15/2018

Reporting Period: 07/01/2016 to 06/30/2017  
Report Type: Progress Report  
Report Status: Approved

Assignment: TF017919

## BF: FCPF Readiness Preparation Grant

### FCPFR - Forest Carbon Partnership Facility

Task Team Leader: 00000244431 - Loic Jean Charles Braune  
Approving Manager: 00000086169 - Benoit Bosquet

Summary Information	
TF Status	ACTV
Recipient Country	Burkina-Faso
Executed By	Recipient
Managing Unit	9268 - GEN07
Grant Start Date / End Date	02/26/2015 to 12/31/2018
Original Grant Amount	3,800,000.00
Grant Amount	3,800,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	507,559.68 as of 06/30/2017
Disbursed 07/01/2016 to 06/30/2017	263,049.85
Donor	TF602001 - MULTIPLE DONORS

*This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.*

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## OVERVIEW

### Overall Assessments

#### Grant Objectives:

The proposed Project Development Objective is to reinforce Burkina Faso's capacity to coordinate the REDD+ readiness process including a socially and environmentally sound national strategy and other key governance systems for REDD+ implementation.

#### Overall progress from 07/01/2016 to 06/30/2017 with regard to Achieving Grant Objectives:

##### Comment:

The grant was effective end of February 2015. It complements the Forest Investment Project and both projects are managed by the same team. The REDD+ Readiness activities, as defined in the R-PP, are supervised and sponsored by the REDD+ Focal Point, advisory to the Minister, with the support of a Lead REDD+ expert.

A first expert was hired in September 2015 and provided a great boost to the implementation. However, the expert showed technical limitation and progressively disengage in early 2017. He resigned in April 2017 and a new lead REDD+ expert was hired in September 2017. This caused additional delay in the project, as many activities were postponed to FY18.

However, the REDD+ Readiness in Burkina Faso is supported by 5 different operations and, despite the delays on the FCPF grant implementation, the progress toward Readiness are seen as MS, especially regarding (i) the MRV, (ii) the institutional arrangements and (iii) the design of an Emission Reduction model that could be turned into an Investment program. Additional work is aiming at creating a partnership with the private sector on a "zero deforestation" supply chain approach for some commodities - mainly cashew nuts and shea butter.

As a consequence, Burkina Faso started drafting an ER-PIN and conducted its MTR early FY18. one of the major result for FY17 is the decision from the government to establish a large jurisdictional Emission Reduction Investment Program, and to start contacting multiple donors, including IDA and GCF, to finance the REDD+ approach - showing the endorsement by the government.

#### Overall progress from 07/01/2016 to 06/30/2017 with regard to Implementation of Grant Financed Activities:

##### Comment:

The project was already delayed significantly at launching because of the political situation (coup, change in government, terror attacks). Additional issues have appeared as the fiduciary team has been slower than expected to procure, sign and even disburse on the contracts.

In June 2017, Burkina Faso was showing good progress on (i) MRV, (ii) the institutional arrangements, (iii) the political support and cross-sectorial work. While less progress can be showed on (i) the implementation of the consultation plan, (ii) the design of the REDD+ national strategy and (iii) the SESA, the procurement phase is now over and more than 70% of the grant is committed. The impact will show in FY18. A Public Private Partnership is also under consideration for the "zero deforestation" supply chain approach.

Thus, the rating for FY17 is MU, but, by the time of the writing of this report (October), the situation is back to MS.

### Grant follow-up and structure

#### Description and context of Grant:

Burkina Faso's experience with integrated agro-sylvo-pastoral rural development approach to land planning provides a natural bridge to the REDD+ process, even if as a Sahelian country it does not seem to be an obvious forest related mechanism. Based on Burkina Faso's extensive, and successful, experience in participatory management of woodland and forest resources over the past 30 years, Burkina Faso was selected to be one of eight pilot countries of the Forest Investment Program (FIP) of the Strategic Climate Fund by a group of experts appointed through the FIP Sub-Committee. In Parallel, Burkina Faso joined the Forest Carbon Partnership Facility (FCPF), first as an observer, and later as a Participant upon its formal acceptance into the FCPF in December of 2013. The REDD+ approach represents an opportunity to conserve natural resources that will support the livelihoods of the rural populations in the long term. REDD+ is also an opportunity to make significant changes in land use and forest management, agro-forestry and farming systems to reduce forest related GHG emissions and contribute to the mitigation of global climate change.

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Burkina Faso offers a unique opportunity for a triple win of mitigation, adaptation, and poverty alleviation. Burkina Faso's REDD+ approach derives its originality from three aspects: (i) its contribution to the REDD+ approach, reflecting the #ecological sensibilities# of a Sahelian country and potential to serve as a model for other countries with similar Sahel-type ecosystems; (ii) its innovative approach to managing dry forests and the broader landscape vision of REDD+ that includes trees outside of protected areas; and (iii) the potential to reduce poverty by focusing on the more densely populated areas outside of forests rather than only on protected areas that have lower population densities. Enhancing the management of forest resources will strengthen the adaptive potential in response to the adverse impacts from climate change and will create positive spill-over effects for poverty alleviation, such as enhanced forest and agricultural productivity (e.g. agroforestry).

This grant supports activities that will form the foundation for a strong REDD+ program across the three phases of REDD+.

**Expected follow up (if any):** Follow up Bank project/loan/credit/grant

### Comment on follow up:

The government has requested World bank support for REDD+ investment and launch the design of a large, jurisdictional Emission Reduction Investment Program. It is expected that follow-up investment will be aligned with the up-coming REDD+ strategy.

### End Date of Last Site Visit:

### Restructuring of Grant:

None

## OUTCOME

### Comments on outcome achieved from 07/01/2016 to 06/30/2017

By June 30, 2017, none of the planned outcome had been achieved. However, the implementation was satisfactory for (i) the MRV, (ii) the institutional arrangement and integration into the national cross-sectorial strategies and (iii) the definition of the Investment models for a future ER-Program. A Public Private Partnership with key private sector players is under consideration and would help the REDD+ strategy to include a supply chain approach for some commodities (shea butter, cashew, fruits, fuelwood).

### Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

## COMPONENTS

### Output and Implementation by Component

#### Strengthening REDD+ and forest governance

**Status:** Under Implementation

**Planned Output:** Aim to support the efficient governance of the REDD+ process and facilitate the technical coordination as well as the planning and monitoring of REDD+ related activities

**Actual Output:** International REDD+ has been hired; REDD+ is directly integrated in the National Development Strategy and the Green Economy strategy. Political support is effective

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### Development of National REDD+ Strategy

**Status:** Under Implementation  
**Planned Output:** The studies on drivers of deforestation as well as the strategy itself  
**Actual Output:** Aim to support the efficient governance of the REDD+ process and facilitate the technical coordination as well as the planning and monitoring of REDD+ related activities

### Readiness Monitoring, Coordination and Communication

**Status:** Under Implementation  
**Planned Output:** Operational Support for grant implementation  
**Actual Output:** Delay in disbursement, issues with fiduciary management

## Comment on planned and actual Output

### Comment on component implementation progress

#### Component 1:

**Deliverable:** A resident expert, responsible for oversight of the entire component and costs related thereto. **Situation:** The hiring of international expertise in 2015 was complicated due to the political events, and no suitable candidates were answering the calls. After a first unfruitful bidding process, the project hired late 2015 a first expert that was not able adapt to the Burkinabé approach of REDD+. He was swiftly replaced after 15 months by a more experienced expert, who is now performing with satisfaction.

**Deliverable:** REDD+ Focal Point activities, including: presentation of REDD+/FIP activities in Burkina Faso at the international level, communication and outreach tools for potential donors for funding the implementation of REDD+, participation in international discussions on REDD+. **Situation:** REDD+ Focal Point contributed to the design of the R-PP and the broader technical solidity of the REDD+ concept in Burkina Faso #which is a challenge as Burkina Faso is not a humid forest country. This led to the attribution of a 3.8 million USD cofinancing from FCPF to support the country#s preparation phase for REDD+.

**Deliverable:** Implementation of a REDD+ framework and the development of REDD+ consultative and managements structures described above. **Situation:** The decree defining the consultative (National, regional, and communal platforms) and management (National, regional, and communal Committees, National, regional, and communal Technical Secretariats) structures guiding the REDD+ implementation process is ready for presentation to the Council of Minister.

#### Component 2:

**Deliverable:** Studies to supplement existing knowledge on the drivers of deforestation.

**Situation:** The team in charge of coordinating the studies has been recruited. The ToRs for 6 prioritized studies are ready and procurement is on-going.

**Deliverable:** Draft a national REDD+ strategy, integrating feedback from village level consultations and experiences from the implementation of the project; the drafting of the national REDD+ strategy will include further studies, especially on legal and institutional issues including the implementation of REDD+.

**Situation:** The draft of the REDD+ strategy is not yet available. The first wave of consultation has begun, with village-level consultations (called the "village forum") underway, with positive feedback so far. Once this first-of-its-kind wave will be completed, the subsequent village consultation should be quicker to implement.

Supporting studies on legal and institutional issues have not yet started. Hiring of firms or NGOs to provide the technical content, create communication tools, facilitate consultations on the ground, as well as training resource persons for the waves of consultation.

The CESAO was hired to provide the technical content, create communication tools, facilitate consultations on the ground, as well as training resource persons for the waves of consultation. The contract was implemented with satisfaction and may be reconducted for the future waves. In addition, this approach based on national resources is creating capacities within the

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country.

Deliverable: Strategic environmental and social impact assessment (SESA).

Situation: The implementing firm has been recruited and has designed its approach. The study will start once the studies on the strategic options (itself dependent upon the studies on the drivers of deforestation) have been completed.

Component 3:

Deliverable: Training of government resource persons and officers.

Situation: Trainings on REDD+ have been provided to government officials to promote the integration of climate change into the country's sectoral strategies for development. This activity is permanent, as trainings are provided regularly to build government capacity on REDD+.

Investments. The ToRs to conduct an audit of the national environmental information system design have been elaborated to clarify current information systems for collecting, processing, and disseminating environmental data. The long-term objective of this activity is to ensure that the country will be able to report not only on carbon but also on social, environmental and economic co-benefits (biodiversity, poverty reduction, soil conservation, reduction of desertification#), using the national environment information system.

## EXECUTION

### Bank project related to the grant

Project ID / Name:	P149827 - Burkina Faso-REDD Readiness Preparation
Project Status:	Lending
Global Focal Area:	Climate change
Product Line:	CN - Carbon Offset

### Implementing agency and contact details

Agency:	FIP Coordination Unit		
Contact:	'Ibrahim Lankoande' <ibralanko@yahoo.fr>		
Address:	Route de Po		
Phone:	(+226) 76 53 40 11	Email:	ibralanko@yahoo.fr
Website:			

## PROGRAM

### Program Specific Questions

**1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f**

A unique REDD+/FIP team is coordinating the REDD+ agenda.

**2. Describe any important changes in the technical design or approach related to the Grant activities.**

Because of the need to focus FIP resources on the actual investment to the communes, the FIP project has been restructured to lower its participation in REDD+ Readiness activities. Thus, more "basic" REDD+ Readiness activities (strategy, consultation plan...) are now financed by this TF, and complex activities, such as the partnership with the Ministry of Justice, have been dropped or postponed to a future financing.

In addition, activities related to the Carbon measurement (supervision of the MRV firm, MoU with BUNASOL and IGB) have proven to be more expensive than expected. An international expert has been hired in part time for MRV supervision.

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### 3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

Technical capacities for the team has increased significantly. While REDD+ was mastered by very few people 2 years ago, it is now a concept widely understood and integrated into national policies. This is a huge success. M&E capacities have also increased, with the development of a GIS system attached to the EXAct FAO tool to measure the carbon impact of investment plans.

Meanwhile, the fiduciary capacities are very low. For the investment segment (FIP), the project will now externalize the funds and use a National Fund (the Intervention Fund for the Environment - FIE) that will open a dedicated REDD+ window. However, for soft activities (including Readiness) the capacities remains low. technical assistance is provided since August 2017 to accelerate implementation, with good result.

### 4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

The SESA firm was hired in August 2017. The Tors are aligned with the Common Approach.

### 5. Is the ESMF prepared in accordance with the Common Approach?

This is expected, with a focus on the area for the jurisdictional investment program.

### 6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

A large number of consultation are planned as part of the participative approach used in Burkina Faso. In FY17, most of the work was focusing on the design of the consultation modules and the training of the operators. The first round of consultations was launched in September 2017.

## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2015	06/30/2015	0.00	0.00	0.00	0.00
07/01/2015	12/31/2015	0.00	0.00	243,309.00	243,309.00
01/01/2016	06/30/2016	0.00	0.00	244,509.83	1,200.83
07/01/2016	12/31/2016	0.00	0.00	321,110.60	76,600.77
01/01/2017	06/30/2017	0.00	0.00	507,559.68	186,449.08
07/01/2017	12/31/2017	0.00	0.00	807,923.96	300,364.28
01/01/2018	06/30/2018	0.00	0.00	1,144,293.85	336,369.89
07/01/2018	12/31/2018	0.00	0.00	0.00	0.00