

# World Bank - Grant Reporting and Monitoring (GRM) Report

FOR OFFICIAL USE ONLY

Ref. TF018501

Reporting Period: 07/01/2016 to 02/09/2017

Report Type: Interim Progress Report

Report Status: Approved

Assignment: TF018501

## CO FCPF REDD+ Readiness Preparation

### FCPFR - FOREST CARBON PARTNERSHIP FACILITY

Task Team Leader: - Paola Agostini, Franka Braun

Approving Manager: - Raul Ivan Alfaro Pelico

Summary Information	
TF Status	ACTV
Recipient Country	Colombia
Executed By	Recipient
Managing Unit	9272 - GEN04
Grant Start Date / End Date	05/08/2015 to 09/30/2018
Program Manager	Simon J. Whitehouse
Original Grant Amount	3,600,000.00
Grant Amount	3,600,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	400,000.00 as of 06/30/2016
Disbursed 07/01/2015 to 06/30/2016	400,000.00
Donor	TF602001 - MULTIPLE DONORS

*This GRM report includes the following sections: Overview, Outcome, Components/Outputs, Execution, Program(FCPFR), Processing, Attached Documents, Disbursements, Internal Comments.*

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## OVERVIEW

### Overall Assessments and Ratings

#### Grant Objectives:

The Project Development Objective is to support a participatory and inclusive process with key stakeholders for the preparation of Colombia's REDD+ strategy.

#### Overall progress from 07/01/2016 to 02/09/2017 with regard to Achieving Grant Objectives:

##### Comment:

The readiness grant was signed on May 20, 2015 and was declared effective on August 27, 2015. Since last GRM (July 1, 2016), progress on the implementation of Readiness activities has been significant. Please refer to Sections #Components/Outputs# as well as #Outcomes# for a detailed presentation of the main progress achieved.

#### Overall progress from 07/01/2015 to 06/30/2016 with regard to Implementation of Grant Financed Activities:

##### Comment:

Progress in this timeframe was substantial.

### Grant follow-up and structure

#### Description and context of Grant:

The Readiness Preparation Proposal (R-PP) prepared by the Government of Colombia in 2013 identifies the necessary activities to strengthen Colombia's technical and institutional capacities to participate in a future REDD+ mechanism. The overall financial envelope needed for achieving REDD+ Readiness in Colombia has been estimated at US\$ 27.5 million (as per the final version of the R-PP dated September 2013). Since, Colombia has already implemented various activities under the REDD+ preparation process with the support from different sources, which include multilateral and bilateral financing arrangements, in addition to the Colombian government's own contribution. The FCPF grant focuses on a subset of components and activities of the R-PP; this subset of activities was selected jointly with the Ministry of the Environment, and include i) REDD+ Readiness organization and consultation, ii) Assessment of Social and Environmental Impacts, iii) Program monitoring and evaluation framework. Initially, there was some delay in signing the grant agreement, due to i) conditions made by the task team towards the counterpart (Ministry of the Environment) to implement initial consultation workshops before allocating the grant, and once those conditions were met subsequently ii) the need to clarify the legal and fiduciary arrangements between the World Bank, the Ministry of the Environment (MADS) and Fondo Accion, the grant executing entity.

**Expected follow up (if any):** Follow up project or grant (non-Bank funded)

#### Comment on follow up:

Colombia has been selected for preparation of a large-scale, performance based landscape program under the Initiative for Sustainable Forest Landscapes (ISFL) of the BioCarbon Fund. It is expected that this program will be prepared synergistically with the national REDD+. In addition, Colombia is also starting to prepare a Land-Use and Climate program for the Pacific region, which will most likely lead into a third large-scale, performance based landscape program (in addition to Amazon Vision - REDD+ Early Movers and BioCF Orinoquia). Finally, Colombia is one of currently seven countries preparing Programmatic Deep Dive Forest Notes as part of the Forest Action Plan (FAP) implementation. The FCPF support to the REDD+ strategy is a key element to this programmatic approach.

**End Date of Last Site Visit:** last supervision mission was held in July 2016

**Restructuring of Grant:** N/A

### Activity Risk

#### Comment:

No change for this period

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## OUTCOME

### Comments on outcome achieved from 07/01/2016 to 02/09/2017

Outcomes indicate substantial progress during the reporting period.

### Grant Outcome Indicators

Grant outcome indicators are listed below.

#### MADS representatives exposed to international REDD+ negotiations and Colombia sufficiently represented in international REDD+ space

**Baseline** 2 MADS representatives exposed to international REDD+ negotiations and events, Colombia not sufficiently represented in international negotiations

**Date:** 08/27/2015

**Progress to** 8 MADS representatives exposed to international REDD+ negotiations and events, Colombia sufficiently

**Date:** represented in international negotiations, active South-South exchange with Chile

**Date:** 02/09/2017

**Target Value:** 10 MADS representatives exposed to international REDD+ negotiations and events, Colombia sufficiently represented in international negotiations, active South-South exchanges with other REDD+ countries

**Date:** 08/30/2018

#### A technically strong ESMF has been finalized and validated by a broad range of stakeholders.

**Baseline**

**Value:** No ESMF prepared

**Date:** 08/27/2015

**Progress to**

**Date:** Initial proposal on ESMF content

**Date:** 02/09/2017

**Target Value:** ESMF finalized

**Date:** 11/30/2017

#### Participatory platforms are functioning and represent women, men, ethnic groups, youth and rural farmers

**Baseline**

**Value:** Participatory platforms exist at regional and national level but not regularly functioning

**Date:** 08/27/2015

**Progress to Date:** Platforms are functioning in two eco-regions (Pacific, Amazon), Platforms are strengthened and starting to function regularly in third eco-region (Orinoquia)

**Date:** 02/09/2017

**Target Value:** Indigenous, Afro-Colombian, women, campesino participatory platforms strengthened and functioning regularly in five eco-regions

**Date:** 11/30/2018

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## A comprehensive feedback and grievance mechanism for REDD+ is piloted

**Baseline** No GRM  
**Value:**  
**Date:** 08/27/2015  
**Progress to** TOR for GRM approved by WB, Conflict resolution specialist hired for design of GRM  
**Date:**  
**Date:** 02/09/2017  
**Target Value:** GRM implemented  
**Date:** 12/30/2017

## Ministry of Environment is adequate technically staffed to develop, consult and implement national REDD+ strategy

**Baseline** No Technical experts to prepare National REDD+ Strategy recruited  
**Value:**  
**Date:** 08/27/2015  
**Progress to** 95% of all technical experts to prepare National REDD+ Strategy recruited, new coordinator needs to be hired  
**Date:**  
**Date:** 02/09/2017  
**Target Value:** 100% of all technical experts to prepare National REDD+ Strategy recruited  
**Date:** 03/01/2017

## A representative SESA process has been carried out and a SESA is prepared

**Baseline** SESA process initiated in two eco-regions  
**Value:**  
**Date:** 08/27/2015  
**Progress to** SESA process finalized in two eco-regions, SESA process underway in Orinoquia region  
**Date:**  
**Date:** 02/09/2016  
**Target Value:** SESA process finalized in all five eco-regions  
**Date:** 11/01/2017

## A final version of ENAREDD+ reflects the comments and feedback from the various sectors and stakeholders.

**Baseline**  
**Value:** No National REDD+ Strategy  
**Date:** 08/27/2015  
**Progress to**  
**Date:** Draft National REDD+ Strategy presentations (version updates based on consultation process and analytical work inputs)  
**Date:** 02/09/2017  
**Target Value:** National REDD+ Strategy consulted, published and disseminated  
**Date:** 12/30/2017

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## COMPONENTS/OUTPUTS

### Output and Implementation by Component

#### REDD+ Readiness Organization and Consultation

Status: Under Implementation  
Planned Output: Final consulted National REDD+ Strategy  
Actual Output: Draft REDD+ Strategy

#### Assessment of Social and Environmental Impact

Status:  
Planned Output: Strategic Environmental and Social Assessment (SESA), Environmental and Social Management Framework (ESMF)  
Actual Output: SESA process concluded in two regions (Amazon, Pacific), SESA underway in Orinoquia, draft preliminary ESMF

#### Program Monitoring and Evaluation Framework

Status:  
Planned Output: External Audits  
Actual Output: External audit December 2016

### Comment on planned and actual Output

### Comment on component implementation progress

Component 1: REDD+ Readiness Organization and Consultation  
Subcomponent 1a: National Readiness Management Arrangements (USD 1,750,000)

Under this component, the FCPF supports the initiative by the Ministry of Environment (MADS) to strengthen a team of multi-disciplinary experts to coordinate and support the design of the REDD+ strategy. The results during the reporting period under this component are the following:

- MADS, through the implementing agency *Fondo Accion*, has consolidated a team of experts in a Project Implementation Unit
- The full team has been consolidated in June 2016, in January 2017 the grant coordinator's contract has ended and a new one will be hired by March 2017
- MADS experts participated in international REDD+ negotiations and other REDD+ related events, including COP22, and other meetings including SBSTA, AILAC meetings
- A South-South exchange on REDD+ took place between Colombia and Chile (January 16-21, 2017)
- ToR for a Grievance and Redress Mechanism approved by task team (November 2016)
- Conflict resolution specialist hired to design GRM (October 2016)

#### 1b. Stakeholder consultation and participation (USD 1,100,000)

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This component supports a highly participatory process with key stakeholders, especially Indigenous Peoples, Afro-Colombian communities, and campesinos, at the regional and national levels in the process of designing the national REDD+ strategy. The grant strengthens participatory platforms, funds the consultation and stakeholder “sensitization” process. During this grant reporting period, the following have been achieved:

- Participation and Consultation plan has been finalized
- Consultation processes have started in Orinoquia region in 2016
- Interinstitutional coordination between agriculture, mining, defense, transport has been significantly strengthened through Intersectoral Climate Change Commission
- Updated gender and culturally sensitive communications strategy
- Two workshops to consolidate the National Safeguard System (SNS) took place in August 2016, one interinstitutional workshop (with 15 institutions participating) and a National Safeguards workshop (with 70 participants representing civil society and IP), in both cases the National Safeguards System was presented and discussed, as well as the national interpretation of the Cancun REDD+ safeguards
- Various institutions, including MADS, MADR, the regional environmental authorities (CARs), IDEAM, environmental NGOs, carried out a “National REDD+ school” in August and October 2016, directed at indigenous leaders and Afro-Colombian communities across the country

## Component 2: Assessment of social and environmental impact (USD 630,000)

Under this component, the FCPF supports the continuation of the SESA process in Colombia, through the implementation and preparation of the SESA Work plan and building on the early consultations in the Amazon and the Pacific. The results during this reporting period are the following:

- SESA Orinoquia process under way
- Terms of Reference for REDD+ and peacebuilding strategy (REDD+ in post-conflict scenario)
- Draft presentations on national REDD+ strategy

## Component 3: Program monitoring and evaluation framework (USD 120,000)

Under this component, the grant covers the third party independent audits as required by WB procedures. Audit will be carried out early 2017 for reporting period that covers the period October 2015 to December 2016.

## EXECUTION

### Bank project related to the grant

Project ID / Name:	P120899 - Colombia FCPF REDD Readiness
Project Status:	Lending
Global Focal Area:	Climate change
Product Line:	CN - Carbon Offset

### Implementing agency and contact details

Agency:	Fondo Acción
Contact:	Jose Luis Gomez
Address:	Carrera 7 # 32-33, Piso 27, Bogotá DC, Colombia
Phone:	Email:
Website:	

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## Implementation performance ratings from 07/01/2016 to 02/09/2017 with regard to:

### Project Management:

#### Brief Comment:

None

### Financial Management:

#### Brief Comment:

None

### Counterpart Funding:

#### Brief Comment:

None

### Procurement:

#### Brief Comment:

All procurement processes adhere to WB procedures.

### Monitoring and Evaluation:

#### Brief Comment:

None

## Additional Comments on Implementation Performance:

Technical supervision missions have been conducted on a regular basis (February 2016, July 2016) and have all reported satisfactory progress. 2017 supervision mission pending. Planned for May 2017.

## PROGRAM

### Program Specific Questions

#### 1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by others.

There is a very strong articulation of the FCPF activities with the other REDD+ related activities financed through other sources (BioCF) as well as other donors (ONUREDD+, FAO, GIZ, USAID). Joint mission (July 2016) and review meetings with all donors have taken place to review readiness progress.

#### 2. Describe any important changes in the technical design or approach related to the Grant activities.

No change has been made.

#### 3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

The Grant itself is addressing capacity constraints within the Ministry of the Environment. A technical team has been set up supporting MADS in execution of this grant. Progress has been significant due to this technical team in place.

#### 4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

The SESA process is ongoing, and adheres to the Common Approach. Colombia is developing a National Safeguards System that adopts the Common Approach.

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## 5. Is the ESMF prepared in accordance with the Common Approach?

The ESMF preparation is ongoing, and adheres to the Common Approach. Colombia is developing a National Safeguards System that adopts the Common Approach.

## 6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

The World Bank team considers the implementation of the consultation process to be a solid, inclusive and culturally-adapted approach. MADS and Fondo Accion are disseminating these experiences through existing stakeholder platforms and are developing a communications strategy. FGRM is under development, a conflict resolution specialist has been hired for design of GRM.

## DOCUMENTS

None

## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2015	06/30/2015	0.00	0.00	0.00	0.00
07/01/2015	12/31/2015	0.00	0.00	200,000.00	200,000.00
01/01/2016	06/30/2016	0.00	0.00	400,000.00	200,000.00
07/01/2016	12/31/2016	0.00	0.00	504,567.00	104,567.00
01/01/2017	06/30/2017	0.00	0.00	504,567.00	0.00
07/01/2017	12/31/2017	0.00	0.00	0.00	0.00



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01/01/2018	06/30/2018	0.00	0.00	0.00	0.00
07/01/2018	12/31/2018	0.00	0.00	0.00	0.00

