

World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF018501
Printed On: 03/24/2017

Reporting Period: 07/01/2015 to 06/30/2016
Report Type: Progress Report
Report Status: Approved

Assignment: TF018501

CO FCPF REDD+ Readiness Preparation

FCPFR - Forest Carbon Partnership Facility

Task Team Leader: 00000084421 - Paola Agostini
Approving Manager: 00000483596 - Raul Ivan Alfaro Pelico

Summary Information	
TF Status	ACTV
Recipient Country	Colombia
Executed By	Recipient
Managing Unit	9272 - GEN04
Grant Start Date / End Date	05/08/2015 to 09/30/2018
Original Grant Amount	3,600,000.00
Grant Amount	3,600,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	400,000.00 as of 06/30/2016
Disbursed 07/01/2015 to 06/30/2016	400,000.00
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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OVERVIEW

Overall Assessments

Grant Objectives:

The Project Development Objective is to support a participatory and inclusive process with key stakeholders for the preparation of Colombia's REDD+ strategy.

Overall progress from 07/01/2015 to 06/30/2016 with regard to Achieving Grant Objectives:

Comment:

The readiness grant was signed on May 20, 2015 and was declared effective on August 27, 2015. Progress towards achieving the PDO has been satisfactory.

Overall progress from 07/01/2015 to 06/30/2016 with regard to Implementation of Grant Financed Activities:

Comment:

Since grant effectiveness, progress on the implementation of Readiness activities has been significant. Please refer to Sections #Components/Outputs# as well as #Outcomes# for a detailed presentation of the main progress achieved

Grant follow-up and structure

Description and context of Grant:

The Readiness Preparation Proposal (R-PP) prepared by the Government of Colombia in 2013 identifies the necessary activities to strengthen Colombia's technical and institutional capacities to participate in a future REDD+ mechanism. The overall financial envelope needed for achieving REDD+ Readiness in Colombia has been estimated to US\$ 27.5 million (as per the final version of the R-PP dated September 2013). Since, Colombia has already implemented various activities under the REDD+ preparation process with the support from different sources, which include multilateral and bilateral financing arrangements, in addition to the Colombian government's own contribution. The FCPF grant focuses on a subset of component and activities of the R-PP; this subset of activities was selected jointly with the Ministry of the Environment, and include i) REDD+ Readiness organization and consultation, ii) Assessment of Social and Environmental Impacts, iii) Program monitoring and evaluation framework. Initially, there was some delay in signing the grant agreement, due to i) conditions made by the task team towards the counterpart (Ministry of the Environment) to implement initial consultation workshops before allocating the grant, and once those conditions were met subsequently ii) the need to clarify the legal and fiduciary arrangements between the World Bank, the Ministry of the Environment (MADS) and Fondo Accion, the grant executing entity.

Expected follow up (if any): Follow up project or grant (non-Bank funded)

Comment on follow up:

Colombia has been selected for preparation of a large-scale, performance based landscape program under the Initiative for Sustainable Forest Landscapes (ISFL) of the BioCarbon Fund. It is expected that this program will be prepared synergistically with the national REDD+ Readiness preparation process.

End Date of Last Site Visit:

Restructuring of Grant:

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OUTCOME

Comments on outcome achieved from 07/01/2015 to 06/30/2016

Outcomes prove substantial progress during the reporting period.

Grant Outcome Indicators

Grant outcome indicators are listed below.

MADS representatives exposed to international REDD+ negotiations and Colombia sufficiently represented in international REDD+ spaces

Baseline 2 MADS representatives exposed to international REDD+ negotiations and events, Colombia not sufficiently represented in international negotiations
Value:
Date: 08/27/2015

Progress to 6 MADS representatives exposed to international REDD+ negotiations and events, Colombia sufficiently represented in international negotiations
Date: 06/30/2016

Target Value: 8 MADS representatives exposed to international REDD+ negotiations and events, Colombia sufficiently represented in international negotiations
Date: 08/30/2018

A technically strong ESMF has been finalized and validated by a broad range of stakeholders.

Baseline No ESMF prepared
Value:
Date: 08/27/2015

Progress to Terms of Reference for ESMF
Date: 06/30/2016

Target Value: ESMF finalized
Date: 11/30/2017

Participatory platforms are functioning and represent women, men, ethnic groups, youth and rural farmers

Baseline Participatory platforms exist at regional and national level but not regularly functioning
Value:
Date: 08/27/2015

Progress to Indigenous, Afro-colombian, women, campesino participatory platforms strengthened and functioning regularly in two eco-regions
Date: 06/30/2016

Target Value: Indigenous, Afro-colombian, women, campesino participatory platforms strengthened and functioning regularly in five eco-regions
Date: 11/30/2018

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A comprehensive feedback and grievance mechanism for REDD+ is piloted

Baseline No GRM
Value:
Date: 08/27/2015
Progress to GRM design (TOR)
Date:
Date: 06/30/2016
Target Value: GRM implemented
Date: 11/30/2017

Ministry of Environment is adequate technically staffed to develop, consult and implement national REDD+ strategy

Baseline No Technical experts to prepare National REDD+ Strategy recruited
Value:
Date: 08/27/2015
Progress to 67% of all technical experts to prepare National REDD+ Strategy recruited
Date:
Date: 06/30/2016
Target Value: 100% of all technical experts to prepare National REDD+ Strategy recruited
Date: 12/30/2016

A representative SESA process has been carried out and a SESA is prepared

Baseline SESA process initiated in two eco-regions
Value:
Date: 08/27/2015
Progress to SESA process finalized in two eco-regions
Date:
Date: 06/30/2016
Target Value: SESA process finalized in all five eco-regions
Date: 12/30/2017

A final version of ENAREDD+ reflects the comments and feedback from the various sectors and stakeholders.

Baseline No National REDD+ Strategy
Value:
Date: 08/27/2015
Progress to Draft National REDD+ Strategy presentations
Date:
Date: 06/30/2016
Target Value: National REDD+ Strategy consulted, published and disseminated
Date: 10/30/2017

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COMPONENTS

Output and Implementation by Component

REDD+ Readiness Organization and Consultation

Status: Under Implementation
Planned Output: Final consulted National REDD+ Strategy
Actual Output: Draft REDD+ Strategy

Assessment of Social and Environmental Impact

Status: Under Implementation
Planned Output: Strategic Environmental and Social Assessment (SESA), Environmental and Social Management Framework (ESMF)
Actual Output: SESA process concluded in two regions (Amazon, Pacific), draft preliminary ESMF

Program Monitoring and Evaluation Framework

Status: Under Implementation
Planned Output: External Audits
Actual Output: First audit will cover October 2015-December 2016

Comment on planned and actual Output

Comment on component implementation progress

Component 1: REDD+ Readiness Organization and Consultation
Subcomponent 1a: National Readiness Management Arrangements (USD 1,750,000)

Under this component, the FCPF supports the initiative by the Ministry of Environment (MADS) to strengthen a team of multi-disciplinary experts to coordinate and support the design of the REDD+ strategy. The results during the reporting period under this component are the following:

- MADS, through the implementing agency Fondo Accion, has consolidated a team of experts in a Project Implementation Unit to support the preparation of the National REDD+ Strategy, including i) a national REDD+ coordinator directly reporting to the Vice-Minister (MADS), ii) a coordinator of the FCPF grant, iii) a procurement specialist, iv) an admin/ finance support, v) a social specialist, vi) a SESA specialist, vii) a communications specialist, viii) regional experts (Amazon, Pacific, Orinoquia).
- MADS experts participated in REDD+ international negotiations, most importantly in the COP21 in Paris, where the important additional REDD+ commitments by Norway, UK, Germany and Colombia were made visible under the Joint Declaration between the four countries. Other international REDD+ meetings attended by MADS representatives include SBSTA, AILAC, The World Forestry Congress.
- ToR for a Grievance and Redress Mechanism were prepared and reviewed by the task team

1b. Stakeholder consultation and participation (USD 1,100,000)

This component supports a highly participatory process with key stakeholders, especially Indigenous Peoples, Afro-Colombian communities, and campesinos, at the regional and national levels in the process of designing the national REDD+ strategy. The grant strengthens participatory platforms and funds the consultation processes. During this grant reporting period, the following have been achieved:

- The early REDD+ consultation process (which was a condition for the FCPF support) included 20 consultation workshops reaching 1,870 participants representing 200 organizations in the Amazon and Pacific regions between 2012 and 2013

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- In 2015, additional consultations took place in the Pacific region (in Buenaventura, Guapi, and in the departments of Choco and Narino), including a specific workshop with afro-Colombian women that helped to design a culturally and gender sensitive communications strategy.
- The preparation of a Participation and Consultation Plan based on national legal framework, the country's commitment to follow ILO Convention 169 requirements and the UN Declaration of the Rights of Indigenous Peoples (UNDRIP)

Component 2: Assessment of social and environmental impact (USD 630,000)

Under this component, the FCPF supports the continuation of the SESA process in Colombia, through the implementation and preparation of the SESA Work plan and building on the early consultations in the Amazon and the Pacific.

The results during this reporting period are the following:

- SESA process systematization (review and lessons on all SESA workshops)
- SESA process in Pacific finalized
- Roadmap for SESA Orinoquia process
- Terms of Reference for REDD+ and peacebuilding strategy (REDD+ in post-conflict scenario)
- Draft presentations on national REDD+ strategy

Component 3: Program monitoring and evaluation framework (USD 120,000)

Under this component, the grant covers the third party independent audits as required by WB procedures. One audit will be carried out to cover the period October 2015- December 2016.

EXECUTION

Bank project related to the grant

Project ID / Name:	P120899 - Colombia FCPF REDD Readiness
Project Status:	Lending
Global Focal Area:	Climate change
Product Line:	CN - Carbon Offset

Implementing agency and contact details

Agency:	Fondo Acción		
Contact:	Jose Luis Gomez		
Address:	Carrera 7 # 32-33, Piso 27, Bogotá DC, Colombia		
Phone:	57 1 285 3862	Email:	
Website:			

PROGRAM

Program Specific Questions

1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f

There is a very strong articulation of the FCPF activities with the other REDD+ related activities financed through other sources (BioCF) as well as other donors (ONUREDD+, FAO, GIZ, USAID). Joint missions and review meetings with all donors have taken place to review readiness progress.

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2. Describe any important changes in the technical design or approach related to the Grant activities.

No change has been made.

3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

The Grant itself is addressing capacity constraints within the Ministry of the Environment. A technical team has been set up supporting MADS in execution of this grant. Progress has been significant due to this technical team in place.

4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

The SESA process is ongoing, and adheres to the Common Approach. Colombia is developing a National Safeguards System that adopts the common approach.

5. Is the ESMF prepared in accordance with the Common Approach?

The ESMF preparation is ongoing, and adheres to the Common Approach. Colombia is developing a National Safeguards System that adopts the common approach.

6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

The World Bank team considers the implementation of the consultation process as solid, inclusive and culturally-adapted approach. MADS and Fondo Accion are disseminating these experiences through existing stakeholder platforms and are developing a communications strategy. FGRM is under development.

DISBURSEMENTS

Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2015	06/30/2015	0.00	0.00	0.00	0.00
07/01/2015	12/31/2015	0.00	0.00	200,000.00	200,000.00
01/01/2016	06/30/2016	0.00	0.00	400,000.00	200,000.00
07/01/2016	12/31/2016	0.00	0.00	504,567.00	104,567.00
01/01/2017	06/30/2017	0.00	0.00	866,858.00	362,291.00
07/01/2017	12/31/2017	0.00	0.00	0.00	0.00
01/01/2018	06/30/2018	0.00	0.00	0.00	0.00
07/01/2018	12/31/2018	0.00	0.00	0.00	0.00