

FCPF Evaluation Oversight Committee Report on Progress

Oversight Committee Update to the PC
PC23, Washington March 27-29, 2017

Presented by OC-Co-Chairs:
Bente Herstad (Norway), & Adriana Vidal (IUCN)

Role of the Oversight Committee

- ▶ On behalf of the Participants Committee, the Evaluation Oversight Committee is responsible for managing and supervising the evaluation to ensure quality and timely conduct of evaluation, and dissemination of findings;
- ▶ Following report endorsement, the OC is responsible for preparing the draft action plan on report recommendations for PC feedback and adoption.

Oversight Committee

3 Contributors

Canada

Norway

UK

3 REDD Country Participants

Ghana

Thailand

Argentina

Private Sector/International
Organisations

IUCN

Indigenous Peoples Representative

Africa

CSO Representative

Northern CSO Observer

Delivery Partner Representative

World Bank

Update since PC22 (Ghana)

At PC22

- ▶ Final Second Evaluation Report presented - Key findings, conclusions and recommendations by Evaluation Team (Indufor) remotely;
- ▶ Initial reactions from PC on Report Conclusions and Recommendations were solicited;
- ▶ Preliminary ideas on actions to implement from the Report Recommendations were held in a discussion group;
- ▶ PC authorized OC to endorse the joint response to recommendations on behalf of the PC.

Update since PC22 (Ghana)

After PC22 and before PC23



- ▶ Final Evaluation report together with OC cover Note finalized; Report translated to Spanish and French;
- ▶ Complete Report and Executive Summary made available to PC; posted on FCPF website;
- ▶ OC led preparation of draft Action Plan with FMT support to implement Report Recommendations;
- ▶ Action Plan shared with PC for comments and adoption in November 2016;
- ▶ FMT in consultation with Delivery Partners and OC prepared the FMT Notes/ Presentations for priority recommendations to be considered at PC23 for decision/feedback

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**Draft Action Plan for next steps on
Recommendations (FMT Note 2017-2)
-Highlights**

Draft Action Plan for next steps on Recommendations (FMT Note 2017-2)

The Final Second FCPF Evaluation reports made a total of 17 Recommendation as below:

| | |
|---|--|
|  | 4 Recommendations relevant to Readiness Fund |
|  | 4 Recommendations relevant to Carbon Fund |
|  | 8 Recommendations relevant to Both Funds |
|  | 1 Recommendation relevant to Future Evaluation |

Given linkages between recommendations, relevant recommendations have been grouped in the Action Plan for consideration of decisions/actions moving forward

Joint response did not agree to the recommendation that proposed *revision of FCPF Charter to reduce the minimum threshold of 5 million for Carbon Fund to attract interest from smaller potential contributors.*

Draft Action Plan includes proposed next steps for all recommendations except for one above.

Recommendation/s and status of proposed next steps

Evaluation Session Part 1; FMT Note 2017-4; *Mirko Serkovic*; *PC feedback (linked to FMT Notes 2017-1 and 2017-5)*

R1 (RF) linked to R2 (RF&CF):

R1 (RF) Continue working on the implementation of REDD Readiness through the structured Readiness Framework of the FCPF

R2 (RF&CF): Continue providing country-tailored technical support to REDD Countries

Next Steps proposed in Action Plan: Joint Response agreed that recommendations are considered priority for action as the Readiness Fund is due to close in 2020;

At PC23: FMT Note 2017-4 considers ways to optimize support, and type of support that could be provided to countries based on current state of progress and to achieve efficiencies in support to REDD countries; proposes actions for consideration of PC.

Recommendation/s and status of proposed next steps

Evaluation Session Part 1; FMT Note 2017-5; *Simon Whitehouse*; PC

R2 (RF) linked to R4 (RF):

R2 (RF): Improve the disbursements for REDD Readiness at the country level.

R4 (RF): Improve efficiency with greater transparency and accountability.

Next Steps proposed in Action Plan: Joint Response acknowledged that partial recommendations related to efficiencies in procurement and portfolio level monitoring etc. are ongoing. Other aspects of efficiency will be considered.

At PC23: An FMT Note 2017-5 with measures to enhance efficiency in grant agreement signing and implementation, as well as options that the PC could exercise where there are excessive delays, has been prepared for consideration at PC23.

Recommendation/s and status of proposed next steps

Evaluation Session Part 2; FMT Presentation; *Nicholas Soikan*;
PC feedback

R3 (RF) linked to R2 (CF):

R3(RF): Produce useful tools to support the implementation of the SESA/ESMF.

R2 (CF): Include detailed guidance on how to manage consultations during the ER-PIN formulation process, focusing on targeted consultations.

Next Steps proposed in Action Plan: Joint Response had agreed to recommendations recognizing ongoing efforts for SESA support; agreed to explore need for guidance for consultations on ER-PD (rather than ER-PIN).

At PC23: FMT will present update and seek PC feedback (presentation will be made available).

Recommendation/s and status of proposed next steps;

Evaluation Session Part 2; *Neeta Hooda; PC feedback*

R1 (RF&CF): Strengthen the alignment of Delivery Partner country engagement strategies and the countries' REDD+ agendas.

R6 (RF&CF): REDD Countries should continue working to involve multi-sectoral stakeholders in dialogues and institutional arrangements for REDD+.

Next Steps proposed in Action Plan: OC agreed to solicit views of PC and then decide on further action.

At PC23: Initial response will be solicited at PC23 on relevance and scope of future action needed.

Recommendation/s and status of proposed next steps

Evaluation Session Part 1; FMT Presentation; *Nicholas Soikan*;
PC Decision expected

R4 (RF&CF): Change the Delivery Partner of the IP and CSO Capacity Building Program and overhaul the Program.

Next Steps proposed in Action Plan: Joint response partially agreed with this recommendation given the progress already made on implementation of IP and CSO capacity building program; Agreed to consider other aspects of the recommendation such as separate financing for all Observers to tap into the Capacity and a programmatic approach with a single window to channel funds through the World Bank.

At PC23: FMT will present update on IP and CSO Capacity Building Program and a proposal for enhancing support in future. (Presentation will be made available)

Recommendation/s and status of proposed next steps

Evaluation Session Part 2; FMT Presentation; *Nicholas Soikan-PC Feedback*

R5 (RF&CF): Formulate and implement a Gender Mainstreaming Strategy (including consideration of Women's Observer seat)

Next Steps proposed in Action Plan: Joint response acknowledged that Gender mainstreaming is already a work in progress. PC approved a budget of US\$ 411,000 to kick start the gender relevant activities in the context of REDD+. FMT will explore further opportunities to ensure synergies with World Bank Gender Strategy and ongoing efforts through PROFOR, and other Delivery Partners

At PC23: FMT will present update on approach to Gender mainstreaming including consideration of Women's Observer Seat for PC feedback.

Recommendation/s and status of proposed next steps

Evaluation Session Part 1; FMT Note 2017-3; *Nina Doetinchen*;
PC decision expected

R7 (RF&CF): Design and implement a Final Knowledge Sharing and Communications Strategy.

Next Steps proposed in Action Plan: Joint response agreed that FMT will prepare a knowledge and communication strategy including an annual action plan for FY18. The strategy and the action plan with associated budget will be presented at PC23 for feedback and approval.

At PC23: FMT Note 2017-3 has been prepared to present the approach and action plan for communications including budget allocation for PC decision.

Recommendations and status of proposed next steps

Draft Action Plan agreed that OC will lead next steps on M&E relevant recommendations and update PC; PC feedback

R3 (RF&CF): Consolidate the reporting system of the FCPF.

-Strengthening reporting by revising the REDD Country Annual Report template with the updated M&E Framework

R8 (RF&CF): Revise the M&E Framework of the FCPF:

-Revision of M&E Framework is envisaged to review and align the targets and indicators of the M&E framework, in view of the changed assumptions since 2011, when the M&E framework was first prepared

R1(Future Evaluations): Implement future evaluations in real-time and under a framework contract.

-Joint response partially agreed with this recommendation and agreed to explore possibility of others forms of evaluations, scope, and synergies of future FCPF evaluations with other evaluations

Next Steps proposed in Action Plan: FMT will initiate the next steps to develop the ToRs for hiring an expert to begin this revision of M&E framework in January 2017 and seek OC and DP feedback on the ToRs. FMT will provide an update at PC23.

Status of Progress: Recommendations Related to Monitoring And Evaluation

Status of Progress:

- ▶ Zero Draft ToRs have been prepared and OC feedback has been solicited. FMT will revise and share with DPs. Thereafter begin hiring of consultant to start the revision of the M&E Framework.
- ▶ Scope of work for consultant includes making proposals for addressing other recommendations relevant to M&E, reporting, and future evaluations.

Recommendations to be Considered at future meetings

R1 (CF): Review the Methodological Framework and, if relevant, align it with UNFCCC/IPCC methods/systems and guidance with the aim of reducing any additional reporting burdens on REDD Countries for both the Carbon Fund and UNFCCC. Present the results at a PC meeting and Carbon Fund Meeting with an accompanying report.

Next Steps Proposed in Draft Action Plan: FMT will prepare an information note assessing the commonalities and differences in the principles of the Methodological Framework with the other standards such as UNFCCC and once the GCF guidance on REDD+ is finalized. The Joint response noted that countries are in the process of applying the Methodological Framework, and MF is reviewed as needed, as was done in June 2016.

Recommendations to be Considered at future meetings

R3 (CF): Create a private sector program designed to improve private sector engagement, to leverage and scale up private sector commitment and participation in the FCPF. The private sector program could, for example, develop a linkage with the Science Based Targets Initiative..... Step up efforts to establish direct partnerships with multinational companies, going beyond consultation for the implementation of Emission Reduction Programs. Provide a clear business case for attracting private sector interest.

Next Steps Proposed in Action Plan: OC considered that this recommendation would merit further thinking for strategizing on private sector engagement for large scale programs. FMT will convene a webex meeting of Carbon Fund Participants including OC members in early January 2017 to brainstorm/ seek early feedback on strategy for private sector engagement. Based on feedback from CF participants and in consultation with other Global Practices within the Bank, IFC, FIP, and the GEF amongst others, FMT will prepare a discussion paper defining the purpose, scope and means of private sector engagement in time for the April/May 2017 meeting of the Carbon Fund (CF16).

Status of Progress: First teleconference to solicit views of CF participants and OC Members was convened in February 2017. Next steps leading to preparation of discussion paper will follow in time for the CF16 meeting (now scheduled in June).

Structure of Evaluation Session at PC23

(Part 1) -Evaluation Recommendations with proposed actions for PC Decision/ Feedback:

- ▶ Update on IP/CSO Capacity Building Program and proposal for action on evaluation recommendations
 - Recommendation *R4 (RF&CF)*; *FMT* Presentation; *Nicholas Soikan*
- ▶ Knowledge and Communications Strategy;
 - Recommendation *R7 (RF&CF)*; *FMT* Note 2017-3; *Nina Doetinchem & Breen Brynes*
- ▶ Strengthening readiness Support to REDD+ Countries;
 - Recommendation *R1(RF) R2 (RF&CF)*; *FMT* Note 2017-4; *Mirko Serkovic (for feedback)*
- ▶ Enhancing efficiency and addressing excessive delays in grant agreement implementation;
 - Recommendations *R2 & R4 (RF)*; *FMT* Note 2017-5; *Simon Whitehouse (For feedback)*

Structure of Evaluation Session at PC23 (2)

(Part 2) -Evaluation Recommendations with proposed actions for PC Feedback:

- ▶ Strengthening support for SESA and guidance on consultations and communications during ER-PD preparation
 - *Recommendations R3 (RF) & R (CF); FMT Presentation; Nicholas Soikan*
- ▶ Strengthening alignment of Delivery Partners country engagement strategies and countries REDD+ agendas; Involving multi-stakeholder in REDD+ Dialogues;
 - *Recommendation R1 (RF&CF); R6 (RF&CF); Neeta Hooda*
- ▶ Update on Gender Strategy
 - *Recommendation R5 (RF&CF); FMT Presentation; Nicholas Soikan*

Recommendations on Monitoring and Evaluation not reflected separately on agenda; update included as part of OC (this) presentation.

Discussion groups will be organized, if needed.

THANK YOU

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