

FCPF Evaluation Oversight Committee Report on Progress

Oversight Committee Update to the PC
PC22, September 28, 2016

Role of the Oversight Committee

- ▶ On behalf of the Participants Committee, the Evaluation Oversight Committee is responsible for managing and supervising the evaluation to ensure quality and timely conduct of evaluation, and dissemination of findings;
- ▶ Following report endorsement, the OC is responsible for preparing the draft action plan on report recommendations for PC feedback and adoption.

Oversight Committee

3 Contributors

Canada

Norway

UK

3 REDD Country Participants

Ghana

Thailand/PNG

Panama/Argentina

Private Sector/International Organisations

IUCN

Indigenous Peoples Representative

Africa

CSO Representative

Transparency International

Delivery Partner Representative

World Bank

Reference Group

REDD+ Expert

Evaluation Expert

Objectives at PC22

- ▶ Presentation of Final Second Evaluation Report - Key findings, conclusions and recommendations by Evaluation Team (Indufor) remotely;
- ▶ Initial reactions from PC on Report Conclusions and Recommendations;
- ▶ Preliminary ideas on actions to implement the Report Recommendations (*Contact Group discussion on Thursday?*)
- ▶ *PC authorization for OC to endorse the joint response to recommendations on behalf of PC [the OC members will consult with their groups on the content of their input].*

Key Messages on Evaluation Update

- ▶ Two revisions made to the Evaluation Report since the May 2016 version of Report [May version was shared with PC/PA and received extensive comments];
- ▶ May 2016 version of Report discarded and superseded by the September version of Final Second Evaluation Report;
- ▶ Final Second Evaluation Report Version of September 19, 2016 endorsed by Oversight Committee after review against OECD/DAC Criteria for quality assurance;
- ▶ Endorsed Report version is a basis for PC to consider action on evaluation Recommendations;
- ▶ Draft Action Plan will be presented at PC23 for adoption.

Update on Progress since PC21 (1)

- ▶ Key report findings presented and feedback received at PC21;
- ▶ Draft Report including conclusions and recommendations submitted by Evaluation team in May 2016;
- ▶ Draft Report was shared with PA and PC for comments in May 2016;
- ▶ Draft Report deemed to be of insufficient quality by OC and discarded as it fell short of presenting clear, balanced and evidence-based conclusions. OC decided Indufor be requested to perform a substantial re-write of the evaluation report;
- ▶ All comments shared with Evaluation team and submission timelines adjusted to allow for thorough revision by Evaluation Team, and review of the Report by OC;
- ▶ OC developed Evaluation report review and endorsement process to ensure objective assessment of quality of final report;
 - ▶ Assessment against OECD/DAC criteria
 - ▶ Review process was also shared with Indufor prior to submission of the final report to ensure transparency
- ▶ Evaluation team submitted the revised Final Draft Report on August 10, 2016;

Update on Progress since PC21 (2)

- ▶ Final Draft Report reviewed by OC and RG member; key comments from Reference Group and OC members discussed on Sept 2, 2016;
- ▶ Priority comments shared with Evaluation Team (mainly for a succinct Executive Summary)
- ▶ Overall OC assessment of Final Report submitted on September 19, 2016:
 - Overall report quality: Vastly improved compared to draft version of May 2016;
 - Data collection: Rich data collection via surveys and interviews but impact of limitation of methods not described in the report;
 - Analysis: Improved but in depth analysis on some evaluation questions lacking;
 - Presentation of findings: Improved with clear evidence base;
 - Conclusions and Recommendations reasonably good as way forward;
 - Executive Summary drafted as succinct stand alone summary on OC request.

Final Second FCPF Evaluation Report- Endorsement

- ▶ OC agreed that **Evaluation Report Version September 19, 2016** is improved and overall **satisfactorily** meets the OECD/DAC criteria and agreed to **endorse the Report**;
- ▶ **However key caveats** where the OECD/DAC criteria are not fully met would be reflected in the OC Decision Note that would be included in the Evaluation Report;

Key caveats to be noted in the OC Decision Note to the Evaluation Report

- ▶ Decision note will include following key caveats:
 - ▶ Endorsement of the Report does not necessarily equate to agreement with all of the conclusions;
 - ▶ Inadequate reflection of context and complexity in which REDD+ (and FCPF) operates and discussion of trade offs of a timewise efficient process versus a robust governance framework, and approach;
 - ▶ Implications of ‘Limitations of Data and Methods’ identified in the report on findings is not discussed (for example implications of challenges with soliciting information from SIDS and LDC countries on report findings and conclusions);
 - ▶ Inaccurate characterization that six country case studies are insufficient sample;
 - ▶ Some evaluation questions not dealt with completely (such as lessons learnt from readiness relevant for the Carbon Fund).

Next Steps

Dates for finalisation of key documents:

- ▶ OC decision note to be included in the Evaluation Report: October 5, 2016;
- ▶ Joint response (PC, Delivery Partners, and WB Management) to recommendations to be included in the Final Evaluation Report: October 30, 2016 [*the OC members will consult with their groups on the content of their input*];
 - ▶ *PC authorization is sought for OC to endorse the joint response to recommendations on behalf of PC*
- ▶ Final Report made public and disseminated to PA/PC
 - ▶ English version of Final Evaluation report disseminated: Week of November 2, 2016
 - ▶ French and Spanish versions of Evaluation Report as soon as translations are available: Week of November 7, 2016
- ▶ OC will prepare **draft action plan** for implementing relevant report recommendations in consultation with FMT, WB and Delivery Partners:
 - ▶ Preliminary draft will be shared virtually for PC feedback: November 30, 2016
 - ▶ Preliminary decision on some priority recommendations [*that are time sensitive and require action before PC23*] could be made virtually
 - ▶ Draft Action Plan on recommendations presented at PC23 for adoption.

Considerations for management of future evaluations

- ▶ OC was necessary and relevant to ensure report quality;
- ▶ Audio calls to convene OC meetings worked well but participation was difficult for members based in Asia;
- ▶ Overall OC composition was balanced although REDD countries representation could be strengthened;
- ▶ Reference group feedback was useful. In future evaluations, early engagement of Reference Group at ToR stage may be helpful;
- ▶ OC ensured independence of Evaluation following on IEG recommendation from the Global Program Review of the FCPF in 2012;
- ▶ For periodic evaluations, a framework contract could be considered. Continuity of evaluation teams could be helpful for complex evaluations (also recommended in the report).

THANK YOU

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