
**TERMS OF REFERENCE FOR PREPARATION OF A REDD+ STRATEGY FOR UGANDA'S NATIONAL
REDD+ PROGRAMME**

REFERENCE NO: MWE/...../...../.....

Preparation of a REDD+ Strategy for Uganda

1.0 Introduction

Uganda's preparation for REDD+ mechanism started with an R-PP preparation phase in March 2010. Uganda submitted an acceptable and updated R-PP in May 2012. As part of its R-PP process, Uganda prepared an indicative list of strategy options for the National REDD+ Strategy which were aimed at addressing the initial direct drivers of deforestation and forest degradation; and these are expected to serve as the basis for further dialogue during the REDD+ strategy formulation. The final selection of strategy options and the eventual formulation of the REDD+ Strategy document will require further analytical work, consensus building, prioritization and operationalisation. A number of existing policies, plans, laws and regulations which are REDD+ related, will also provide inputs for the development of the REDD+ strategy.

2.0 FCPF/WB Project Objectives

The Over-all Development Objective for the FCPF/WB support is to design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level. It has the following components:

Part 1: Coordination and Monitoring of REDD+ Readiness Process

Strengthening coordination and management of the REDD+ process at the national level, through:

- 1.1 Implementing the National Readiness Management Arrangement Activities including strengthening the capacities of all relevant institutions through the provision of technical advisory services, goods, works, workshops and training and operating costs.
- 1.2 Designing and implementing a monitoring and evaluation framework for overall reporting on the progress of implementation of REDD+ readiness activities, including, among others, the carrying out of an independent assessment of progress at mid-term and at the end of the activities financed by the Grant.

Part 2: Stakeholder Engagement and Feedback in Readiness Process

Strengthening stakeholder engagement with a view to informing REDD+ readiness, through:

- a. Strengthening participatory structures at the local and national level, with a view to enhancing stakeholder engagement in REDD, including, among others, community based forest associations, religious institutions, women and youth associations and national association of

tree growers, all through provision of technical advisory services, goods, workshops and training and operating costs.

- b. Developing and disseminating communication materials and tools pertaining to the Readiness Preparation Activities, including, among others, creation of a national REDD+ website to be hosted by the Ministry of Water and Environment, use of public media such as TV and radio, development of policy briefs, newsletters, and brochures in local languages, and the use of pictorials, and other social networks at the local levels.
- c. Strengthening national feedback and grievance redress mechanisms for REDD+ including, among others, a comprehensive review of the capacities of relevant institutions and customary mechanisms for handling grievances.

Part 3: REDD+ Strategy and Strategic Environmental and Social and Assessment

3.1 Carrying out of a comprehensive strategic environmental and social and assessment (“SESA”) with a view to evaluating, inter alia: (a) REDD+ strategy, in particular, how said strategy addresses environmental and social priorities associated with current patterns of land use and forest management, to identify gaps and make recommendations for improvement; and (b) environmental and social impacts of implementing REDD+ activities under the REDD+ strategy (to be developed under this Part) and preparing an appropriate environmental and social management framework, through provision of technical advisory services, goods, workshops and training and operating costs for that purpose.

3.2 Developing an appropriate REDD+ strategy (said strategy informed by SESA considerations pursuant to Part 3.1 immediately above).

Part 4: REDD+ Implementation Framework

Designing REDD+ implementation framework, in particular:

4.1 Carrying out of a comprehensive review and assessment of existing benefit sharing arrangements in country and within the region for use under REDD+ , including, analyses of carbon rights, and making appropriate recommendations for improving said benefit sharing arrangements.

4.2 Preparation of national guidelines and standards (including, eligibility criteria) for design and implementation of REDD+ demonstration activities or pilots drawing upon national and regional experience.

4.3 Carrying out of a comprehensive review of on-going REDD+ efforts and demonstration activities or pilots.

Part 5: National Reference Scenario and Inventory of Forest Resources

Establishment of a reference scenario for emissions from deforestation and/or forest degradation by designing an appropriate methodology, reviewing national circumstances, preparing an inventory

of forests, mapping forest cover, spatial modeling, calculating carbon emissions and establishing the reference level, all through the provision of technical advisory services, goods, workshops and training, and operating costs.

4.0 Objectives of the Consultancy

1. Preparation of a National REDD+ Strategy for Uganda. This national REDD+ strategy is required to enjoy a strong international recognition and form a sound basis for mobilizing investment and results based phases of REDD+. The Preparation of a National REDD+ Strategy shall involve undertaking the following specific objectives:
 - a) Undertaking a complete assessment of the potential strategic options identified in the R-PP and other relevant national plans such as those past and current development, climate change, forest, agriculture, energy including biomass energy, land use & land management, finance, private sector, poverty reduction, community development, wetlands, water resources, biodiversity & wildlife conservation, mining, infrastructure, urban development, environment, local government, health, social & gender, education, governance & law enforcement, disaster, information; as a basis for elaboration of these options for addressing the identified drivers of deforestation, forest degradation, conservation of forest carbon stocks, sustainable management of forests, and enhancement of forest carbon stocks; and prepare a draft Options Assessment report.
 - b) Undertake a feasibility assessment of the draft options identified in (a) above in terms of their socio-economic, political and institutional perspective; full opportunity costs; full implementation costs; full transaction costs; full institutional costs and other costs and benefits; including on how they relate to people's livelihoods, alternative income generation potential, and full costs of biodiversity protection; governance; national development policies and strategies; and policy, legal and regulatory implications; risks associated with the specific strategy options and ways to manage and mitigate them; cost of implementation; including costs of citizen participation, compliance and risk management and mitigation;
 - c) Using the product from the above processes, prepare a draft REDD+ Strategy Options (measures, actions and interventions) Paper; and undertake/Facilitate full and effective consultations on it. Using the inputs from the consultations on the "REDD+ Strategy Options (measures, actions and interventions) Paper" Prepare and submit a 1st Draft REDD+ Strategy and a costed Action Plan.
 - d) Basing on the above, undertake/facilitate full and effective Disclosure, dissemination, feedback and national validation, and publication of the validated REDD+ Strategy and a costed Action Plan.
 - e) Prepare a practical tool that could be used to integrate the REDD+ Strategy with Forest Reference Emissions Levels (FREL/RL), National Forest Monitoring System (NFMS), system for promoting and respecting REDD+ safeguards, National Greenhouse Gas Inventories & the National Communication Process.

5.0 Detailed Scope and Tasks of the assignment

The scope of services in this Consultancy shall comprise:-

A. Context of Uganda's REDD+ Strategy

In the carrying out the assignment, the consultant(s) will fully understand that an indicative list of strategy options for the National REDD+ Strategy has been elaborated under the Readiness Preparation Proposal (R-PP) process and shall serve as the basis for further dialogue on a REDD+ strategy. The final selection of strategy options and the eventual formulation of the REDD+ Strategy document will require further analytical work, consensus building, prioritization and operationalisation.

Further, a number of existing policies, plans, laws and regulations which are REDD+ related, will also provide inputs for the development of the REDD+ strategy. These include but are not limited to: the R-PP and other relevant national (e.g. past and current development, climate change, forest, agriculture, energy including biomass energy, land use & land management, finance, private sector, poverty reduction, community development wetlands, water resources, biodiversity & wildlife conservation, mining, infrastructure, urban development, environment, local government, health, social & gender, education, governance & law enforcement, disaster, information) plans as a basis for elaboration of these options for addressing drivers of deforestation, forest degradation, conservation of forest carbon stocks; Sustainable management of forests; and Enhancement of forest carbon stocks;

B. The Forestry Sub-Sector and Ownership of Forests in Uganda

In the carrying out the assignment, the consultant(s) will fully understand the forestry sub-sector in Uganda

C. Specific Tasks of the Assignment

In the carrying out of the assignment, the consultant(s) shall prepare a National REDD+ Strategy that is transformational; fully and effectively participatory; and have it embedded in, implementing ministries' sector plans and budgets. This national REDD+ strategy should also enjoy strong international recognition and be the basis for mobilizing support for the investment and results based phases of REDD+. The Consultants shall extensively cover all objectives and all aspects of the scope of works mentioned above, and shall also undertake the following specific tasks of the assignment:

- a) Undertaking a complete assessment of the potential strategic options identified in the R-PP and other relevant national (e.g. past and current development, , climate change, forest, agriculture, energy including biomass energy, land use & land management, finance, private sector, poverty reduction, community development wetlands, water resources, biodiversity & wildlife conservation, mining, infrastructure, urban development, environment, local government, health, social & gender, education, governance & law enforcement, disaster, information) plans

as a basis for elaboration of these options for addressing drivers of deforestation, forest degradation, conservation of forest carbon stocks; Sustainable management of forests; and Enhancement of forest carbon stocks.

- b) Identify, assess and propose options that would meet the international (e.g. UNFCCC) guidance and national safeguards. This task is closely linked with the Strategic Environmental and Social Assessment (SESA) assignment.
- c) Prepare an outline of the current condition, with respect to scale/scope/extent, and future trends of the drivers ((all types and by all causes) and issues, coordinated as may be required with the Reference scenario study
- d) Undertake a comprehensive assessment of the identified REDD+ strategic options identified above in (a), (b), and (c) above; as well as through SESA and other safeguards for their feasibility in terms of:
 - i. Socio-economic, political and institutional perspective.
 - ii. Full opportunity costs; full implementation costs; full transaction costs; full institutional costs and other costs and benefits; including on how they relate to people's livelihoods, alternative income generation potential, and full costs of biodiversity protection.
 - iii. Governance.
 - iv. National development policies and strategies.
 - v. Policy, legal and regulatory implications.
 - vi. Risks associated with the specific strategy options and ways to manage and mitigate them.
 - vii. Cost of implementation; including costs of citizen participation, compliance and risk management and mitigation.
- e) Compile all the assessments (a-d) into a complete, clear, concise and to-the-point REDD+ Strategy Options Paper (measures, actions and interventions). The draft Options report will be a standalone document that forms basis for :
 - i. Consultation with all the key stakeholders with a view to clarify the issues considered as well as with a view to prioritise proposed options.
 - ii. Further assessment of environmental and social (E&S) considerations (risks and opportunities) associated with the prioritized REDD+ Strategy options.
 - iii. Analysing the potential contribution of each REDD+ Strategy Options (measures, actions and interventions) that result in reduced emissions and increased removals, and stabilization of forest carbon stocks.
 - iv. Providing input from this task into the development and submission of a forest reference emission levels and/or forest reference levels (FRELS/FRLS).

The draft options report will contain the following information, among others:

- a) An assessment of the potential strategic options identified in the R-PP (including, as necessary, collection and analysis of relevant additional information required) as a basis for elaboration of

these and other identified Strategy Options for addressing drivers (all types and by all causes) of deforestation, forest degradation, conservation of forest carbon stocks; Sustainable management of forests; and, Enhancement of forest carbon stocks.

- b) A feasibility assessment of the REDD+ strategic options identified above and through the SESA and other safeguards in terms of:
- i. Socio-economic, political and institutional perspective;
 - ii. Full opportunity costs; full implementation costs; full transaction costs; full institutional costs and other costs and benefits; including on how they relate to people's livelihoods, alternative income generation potential, and full costs of biodiversity protection;
 - iii. Governance;
 - iv. National development policies and strategies;
 - v. Policy, legal and regulatory implications;
 - vi. Risks associated with the specific strategy options and ways to manage and mitigate them; and
 - vii. Cost of implementation; including costs of citizen participation, compliance and risk management and mitigation.
- c) Summary plan for the (if modified from one in the inception) full and effective Disclosure, dissemination, feedback and national validation, and publication of the validated REDD+ Strategy and a costed Action Plan.
- d) Summary plan for the (if modified from one in the inception) design and application of a tool for Integrating National REDD+ Strategy with REL/RL, NFMS, SIS, National Greenhouse Gas Inventories & the National Communication Process.
- e) Using the “REDD+ Strategy Options (measures, actions and interventions) Paper” and in full consultation with the REDD+ Secretariat, undertake/Facilitate full and effective consultations on the REDD+ Strategy Options (measures, actions and interventions) Paper; with a view to obtain:
- i. Informed input from each stakeholder category.
 - ii. Informed preference of each stakeholder’s category on the proposed measures, actions and interventions.
 - iii. Informed prioritised and preferred set of measures, actions and interventions per stakeholder category.
 - iv. Informed implementation arrangements including, their link with the benefit sharing, FGRM and safeguards including SESA.
 - v. Other informed consensus on other useful aspects those are relevant and useful for the strategy formulation.

- f) Using the inputs from the consultations on the “REDD+ Strategy Options (measures, actions and interventions) Paper” Prepare and submit a REDD+ Strategy and a costed Action Plan¹ that;
- i. Meets the requirements of the UNFCCC; and related MEAs.
 - ii. Meets the requirements for government of Uganda implementing ministries’ sector planning and budgeting formats.
 - iii. Meets the requirements for key (multilateral, Convention, bilateral, and other) international Funds formats.
 - iv. Enjoys strong international recognition and would be the basis for mobilizing support for the investment and results based phases of REDD+.
 - v. Provides a practical tool that could be used to integrate the REDD+ Strategy with Forest Reference Emissions Levels (FREL/RL), National Forest Monitoring System (NFMS), system for promoting and respecting REDD+ safeguards, National Greenhouse Gas Inventories & the National Communication Process.
- g) Undertake/Facilitate full and effective Disclosure, dissemination, feedback and national validation, and publication of the validated REDD+ Strategy and a costed Action Plan;

6.0 Reporting requirements Specific reports and Time Schedules

The Consultancy for the Preparation of a REDD+ Strategy for Uganda will be undertaken in nine (9) calendar months.

In order to accomplish the assignment, it is the responsibility of the Consultant to establish a detailed work program within the above time frame, taking into consideration the estimated man-month requirements. This should be guided by Consultant’s professional judgment of the assignment’s requirements and knowledge of the local conditions and needs.

Reporting to the National REDD+ Focal Point, the consultant shall deliver outputs described below and shall submit them in written (in English) and electronic copies at each stage for review and / or approval in accordance with the schedule of reporting indicated (below Table):

The MWE/National REDD+ Focal Point shall convene meetings of REDD+ Steering Committee, National Technical Committee and Policy Taskforce as well as regional and national level workshops referred in the table below, as appropriate. The Consultant will be required to prepare background information/ documentation for the meetings, facilitate meeting discussions and produce meeting reports.

¹ In addition to the over-all strategies and outcomes, the Costed Action Plan will indicate short-midterm and long term investments. Short term actions should be implementable over 3-5 years.

Table 1: detailed schedule for the required reporting

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
A.1	Work plan	2 weeks	<p>The consultant shall submit work plan before the end of the <u>second week after commencement of the assignment</u>. This work plan shall be inclusive of a:</p> <ul style="list-style-type: none"> a. Technical Note demonstrating understanding of the Ugandan context with regard to Preparation of a REDD+ Strategy for Uganda; b. An outline of proposed approach/methods; c. List of outputs and schedule for their delivery; d. Schematic plan for the process that is in line with C&P plan; and e. List of available resources for Preparation of a REDD+ Strategy for Uganda in Uganda and context under which they are applicable. 	6 to the FSSD
	Draft Inception report	6 weeks	<p>The consultant shall submit an inception report before the end of <u>6 weeks after commencement of the assignment</u>. The employer will be given <u>3 weeks</u> for review and approval. The consultant(s) may be invited by the employer to present the inception report to the National Technical Committee, Taskforce representatives and and/or other stakeholders. This final inception report shall contain the following content:</p> <ul style="list-style-type: none"> a) The report shall outline the Consultant’s mobilization, the work plan, strategy, methodology, a quality assurance plan and timetable for deliverables. b) The quality assurance plan shall include the following (i) A quality policy statement setting out the objectives of the plan and (ii) The personnel who will implement the plan, their responsibilities and authority; c) Detailed review of national & other contexts including good practices useful for Preparation of a REDD+ Strategy for Uganda; d) Detailed proposals of the approach(es) to the assignment and methods for each or category of tasks; e) Description of outputs and schedule for delivery for each of them; f) Detailed plan for the process that is in line with or exceeds what is envisaged in the 	6 to the FSSD

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
			<p>Consultation and Participation Plan (C&P) of Uganda's R-PP;</p> <ul style="list-style-type: none"> g) Description of available tools Preparation of a REDD+ Strategy for Uganda and context under which they are to be applied; h) Description of the Strategy for undertaking the assignment including for coordinating with other REDD+ Deliverables for which Preparation of a REDD+ Strategy for Uganda are expected to inform or be informed by; i) Detailed ideas and Methodology on how Preparation of a REDD+ Strategy for Uganda within the policy, legal, regulatory and institutional framework in a Uganda; to consult, and validate these Preparation of a REDD+ Strategy for Uganda; j) Timetable including a Consultation and Participation process for the whole assignment. k) Summary plan for the full and effective Disclosure, dissemination, feedback and national validation, and publication of the validated REDD+ Strategy and a costed Action Plan; and l) Summary plan for the design and application of a tool for Integrating National REDD+ Strategy with REL/RL, NFMS, SIS, National Greenhouse Gas Inventories & the National Communication Process. 	
	Final Inception report	8 weeks	<p>The consultant shall submit a final inception report before the end of the <u>8 weeks after commencement of the assignment</u>. The employer will be given <u>2 weeks</u> for review and approval. The consultant(s) may be invited by the employer to present the inception report to the National Technical Committee, Taskforce representatives and and/or other stakeholders. This final inception report shall contain the following content:</p> <ul style="list-style-type: none"> a) All the content agreed upon in the draft inception report but revised to reflect the comments of the employer, National Technical Committee, Taskforce representatives, the World Bank (and the FCPF's FMT) and and/or other stakeholders b) Comments matrix indicating how the comments of the employer, National Technical Committee, Taskforce representatives and and/or other stakeholders were reflected. 	6 to the FSSD

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
A.2	Draft Options Report	16-weeks	<p>The consultant shall submit a draft options report before the end of <u>16 weeks after commencement of the assignment</u>, after extensively covering all aspects of the scope and tasks of the assignment. The employer will be given <u>4 weeks</u> for review and approval. The consultant(s) shall be invited by the employer to present the report to the National Technical Committee, Taskforce representatives and and/or other stakeholders. The draft Options report will be a standalone document that forms basis for :</p> <ul style="list-style-type: none"> a. Consultation with all the key stakeholders with a view to clarify the issues considered as well as with a view to prioritise proposed options; b. Further assessment of environmental and social (E&S) considerations (risks and opportunities) associated with the prioritized REDD+ Strategy options; c. Analysing the potential contribution of each REDD+ Strategy Options (measures, actions and interventions) that result in reduced emissions and increased removals, and stabilization of forest carbon stocks; and d. Providing input from this task into the development and submission of a forest reference emission levels and/or forest reference levels (FRELS/FRLS). <p>The draft options report will contain the following information, among others:</p> <ul style="list-style-type: none"> a. An assessment of the potential strategic options identified in the R-PP (including, as necessary, collection and analysis of relevant additional information required) as a basis for elaboration of these and other identified Strategy Options for addressing drivers (all types and by all causes) of deforestation, forest degradation, conservation of forest carbon stocks; Sustainable management of forests; and Enhancement of forest carbon stocks; b. A feasibility assessment of the REDD+ strategic options identified above and through the SESA and other safeguards in terms of: <ul style="list-style-type: none"> i. socio-economic, political and institutional perspective; ii. full opportunity costs; full implementation costs; full transaction costs; full 	6 to the FSSD

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
			<p>institutional costs and other costs and benefits; including on how they relate to people's livelihoods, alternative income generation potential, and full costs of biodiversity protection;</p> <ul style="list-style-type: none"> iii. governance; iv. national development policies and strategies; v. policy, legal and regulatory implications; vi. risks associated with the specific strategy options and ways to manage and mitigate them; and vii. cost of implementation; including costs of citizen participation, compliance and risk management and mitigation. <p>c) Summary plan for the (if modified from one in the inception) full and effective Disclosure, dissemination, feedback and national validation, and publication of the validated REDD+ Strategy and a costed Action Plan; and</p> <p>d) Summary plan for the (if modified from one in the inception) design and application of a tool for Integrating National REDD+ Strategy with REL/RL, NFMS, SIS, National Greenhouse Gas Inventories & the National Communication Process.</p>	
	Stakeholder Consultation Report	24-weeks	<p>The consultant shall submit a final report before the end of 24 <u>weeks after commencement of the assignment</u> and no later than 4 weeks after approval of the draft Options report by the employer and the World Bank. Using the Draft options paper, conduct stakeholder consultations with the view to obtain:</p> <ul style="list-style-type: none"> a. Informed input from each stakeholder category; b. Informed preference of each stakeholders category on the proposed measures, actions and interventions; c. Informed prioritised and preferred set of measures, actions and interventions per stakeholder category; d. Informed implementation arrangements including, their link with the benefit sharing, 	

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
			<p>FGRM and safeguards including SESA; and</p> <p>e. Other informed consensus on other useful aspects that is relevant and useful for the strategy formulation.</p>	
	1 st Draft Strategy	28 th Weeks	<p>The consultant shall submit a 1st REDD draft Strategy before the end of <u>28 weeks after commencement of the assignment</u> and no later than 4 weeks after completion of stakeholder consultations on the draft Options prepare 1st draft Strategy a costed Action Plan that:</p> <ul style="list-style-type: none"> a. Meets the requirements of the UNFCCC; and related MEAs; b. Meets the requirements for government of Uganda implementing ministries' sector planning and budgeting formats; c. Meets the requirements for key (multilateral, Convention, bilateral, and other) international Funds formats; d. Represents best practise and enjoys strong international recognition and would be the basis for mobilizing support for the investment and results based phases of REDD+; and e. Provides a practical tool that could be used to integrate the REDD+ Strategy with forest reference emissions levels (FREL/RL), national forest monitoring system (NFMS), system for promoting and respecting REDD+ safeguards, National Greenhouse Gas Inventories & the National Communication Process. 	
	Stakeholder Consultation & Validation	32 -weeks	<p>The consultant shall submit a final report before the end of <u>32 weeks after commencement of the assignment</u> and no later than 4 weeks after approval of the 1st draft Strategy by the employer and the World Bank, Undertake/Facilitate full and effective Disclosure, dissemination, feedback and national validation, and publication of the validated REDD+ Strategy and a costed Action Plan.</p>	

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
	FINAL Draft REDD Strategy	36 - weeks	<p>The consultant shall submit a final report before the end of <u>36 weeks after commencement of the assignment</u> and no later than 4 weeks after completion of the validation of the 1st draft strategy prepare a Final DRAFT REDD+ Strategy and Costed Action Plan that:</p> <ol style="list-style-type: none"> a. Meets the requirements of the UNFCCC; and related MEAs; b. Meets the requirements for government of Uganda implementing ministries' sector planning and budgeting formats; c. Meets the requirements for key (multilateral, Convention, bilateral, and other) international Funds formats; d. Represents best international practise and enjoys strong international recognition and would be the basis for mobilizing support for the investment and results based phases of REDD+; and e. Provides a practical tool that could be used to integrate the REDD+ Strategy with forest reference emissions levels (FREL/RL), national forest monitoring system (NFMS), system for promoting and respecting REDD+ safeguards, National Greenhouse Gas Inventories & the National Communication Process. 	

ITEM	REPORT/DOCUMENT TITLE	TIMING AFTER COMMENCEMENT	CONTENT	NO. OF COPIES
A.4	19 Consultation and Participation Workshops & Reports	Periodically	<p>The consultant shall take extra care to prepare and make presentations, including facilitating/carrying out consultations, in accordance with the consultations and participation plan contained in the R-PP and as will have been agreed at the inception to the assignment. In this respect the consultant will be expected to:</p> <ul style="list-style-type: none"> a) Make a presentation of the draft inception Report to the National Technical Committee, or its mandated Taskforce representatives, and the REDD+ Secretariat; b) Make periodic (at least once a month) presentations of progress on the assignment to the REDD+ Secretariat; c) Make a presentation of the draft Report to the National Technical Committee, or its mandated Taskforce representatives, and the REDD+ Secretariat; d) Hold the following consultation workshops on issues REDD+ Strategy Options (measures, actions and interventions) Paper: <ul style="list-style-type: none"> i. 4 Regional level and one national level meetings on issues REDD+ Strategy Options (measures, actions and interventions) Paper: ii. 4 Regional level and one national level meetings to discuss the 1st draft National REDD+ strategy; iii. 4 Regional level targeting Local communities , informal sector, forest dependent communities and local communities) REDD+ Strategy Options (measures, actions and interventions) Paper; iv. 4 Regional level targeting Local communities , informal sector, forest dependent communities and local communities) discuss the 1st draft national REDD+ strategy; and v. Hold one validation workshop at national level to validate the national REDD+ strategy. 	

The consultant is encouraged to assess the appropriateness of the suggested milestones and comment upon realistic expectations, especially with regard to the allocated time frames for the activities in the comments to ToRs.

All reports have to be submitted in both soft (*unlocked MS Word, PDF*) and hard copy. The hard copies will be prepared in DIN A4 format, except for plans and drawings which should be prepared in DIN A3 format. The reports should be clearly labelled i.e. title of the study indicated, for easy identification and documentation purposes. All reports shall be prepared in English language. The Client will provide comments on each as indicated for each report (below), and the consultant will only proceed thereafter.

6.1 Deliverables

The consultant shall submit deliverables as listed in table 1 above

7. Methodology

In order to accomplish the assignment, the consultant shall propose a methodology for carrying out the proposed activities, as detailed in the objectives, scope and tasks, and how these will lead to the delivery of the outputs (described above). In addition, it is expected that the proposed methodology shall, as much as possible link with and compliment the work of the other components of the national REDD+ process, and in particular with UNFCCC requirements for full and effective participation as it is applicable to countries when they are developing as well as implementing the elements below:

- a) A national strategy or action plan; including:
 - i. drivers of deforestation and forest degradation;
 - ii. land tenure issues
 - iii. forest governance issues
 - iv. gender considerations and
 - v. safeguards
- b) A national forest reference emission level and/or forest reference level;
- c) A robust and transparent national forest monitoring system
- d) A system for providing information on how the safeguards are being addressed and respected throughout the implementation of REDD+ activities

It is also expected that the consultant(s) shall consider the World Bank's FCPF's and other development partners' aspects on "full and effective participation" of key stakeholders; for example, the Joint FCPF and UN-REDD's " Guidelines on Stakeholder Engagement in REDD+ Readiness With a Focus on the Participation of Indigenous Peoples and Other Forest-Dependent Communities"; World Bank Safeguard policies: In principle, all World Bank Safeguard policies that have the potential to apply to readiness preparation; and others.

Whereas part of the methodology that will be proposed by the consultant(s) for carrying out the proposed activity will include extensive desk review of available secondary information and structured interactions with key stakeholders in the field, it is expected that the consulting firm or consortium will collect information from field interviews and observations. For the collection of primary information, the consultant(s) team is expected to spend sufficient time on field visits. It is

expected that the consultant(s) will adopt different participatory appraisal techniques to obtain quantitative and qualitative information.

7.1 Consultation and Participation of Key Stakeholders

The consultant shall take extra care to prepare and make presentations, including facilitating/carrying out consultations, in accordance with the consultations and participation plan contained in the R-PP and as will have been agreed at the inception to the assignment. In this respect the consultant will be expected to:

- a) Make a presentation of the draft inception Report to the National Technical Committee, and / or its mandated Taskforce representatives, and the REDD+ Secretariat;
- b) Make periodic (at least once a month) presentations of progress on the assignment to the REDD+ Secretariat;
- c) Make a presentation of the draft Report to the National Technical Committee, or its mandated Taskforce representatives, and the REDD+ Secretariat;
- d) Hold the following consultation workshops on issues REDD+ Strategy Options (measures, actions and interventions) Paper:
 - i. 4 Regional level and one national level meetings on issues REDD+ Strategy Options (measures, actions and interventions) Paper;
 - ii. 4 Regional level and one national level meetings to discuss the draft national REDD+ strategy;
 - iii. 4 Regional level targeting Local communities , informal sector, forest dependent communities and local communities) REDD+ Strategy Options (measures, actions and interventions) Paper;
 - iv. 4 Regional level targeting Local communities , informal sector, forest dependent communities and local communities) discuss the draft national REDD+ strategy;
 - v. Hold one validation workshop at national level to validate the national REDD+ strategy

Thus the Consultant will be required to include a provisional sum of 50,000 US\$ to meet costs of holding the consultative and full and effective participatory workshops. The Client will pay the Consultant based on actual and approved expenditure of the Consultant's budget (for workshops) which will have been discussed and agreed to prior to commencement of the Consultancy. The basis for payment of participants by the Consultant will be full participation for the entire duration of the workshop together with an authentic invitation letter of the participant. The workshops will be facilitated by the Client. At each workshop, the consultants will make Power Point presentations, provide concise background documents for discussion and prepare workshop reports to document the proceedings. In addition to the national workshops described above, the consultant will be expected to conduct informal stakeholder engagement sessions (workshops, meetings, etc.) throughout the duration of the assignment. The costs of holding national workshops and stakeholder consultations must be included in the consultant's proposal.

Indicative Delivery and payment schedule Output	Payment (%)
Contract Signature	10
Final Inception report	20
Draft Report	40
Final report	40

8.0 Data, Services, Personnel and facilities to be provided by the Client

The Client has identified (Table below) some of the documents that, together with other documents, records and literature, could form the basis for ideas relevant to the assignment

Document	Author	Location
Uganda R-PP & Appendices	Government of Uganda	FSSD, Uganda and FCPF Website: https://www.forestcarbonpartnership.org/uganda
Uganda Country Progress Sheets	REDD+ Secretariat (FSSD), Uganda	FSSD, Uganda and FCPF Website: https://www.forestcarbonpartnership.org/uganda
Policy, Legislation and Regulations related documents	Government of Uganda	Various Locations
Policies <ul style="list-style-type: none"> i. The National Environment Management Policy (1994) ii. The National Water Policy (1997) iii. The National Land Use policy (2000) iv. The Wildlife Policy (2013). v. The Forestry Policy (2001). vi. The National Tourism Policy (2003). vii. The National Oil and Gas Policy (2008). viii. The National Policy for Conservation and Management of Wetland Resources (2005). 		
Legislation <ul style="list-style-type: none"> i. The Constitution of Republic of Uganda (amended 2005) ii. The Environment Act, Cap 153. iii. The Water Act, Cap 152. iv. The Local Governments Act Cap 243. v. The Land Act Cap 227. vi. The National Forests and Tree Planting Act, 2003. vii. The Wildlife Act, cap 200. 		
Regulations <ul style="list-style-type: none"> i. The National Forests Regulations (<i>unpublished</i>) ii. The National Environment (Environment Impact Assessment) Regulations, S.1 No. 153-1 		

<ul style="list-style-type: none"> iii. The National Environment (Wetlands, River Banks and Lakeshores Management) Regulations. S.1 No. 153-5. iv. The National Environment (Minimum Standards for Management of Soil Quality) Regulations, S.1. No. 59/2001. v. Petroleum (Exploration and Production)(Conduct of Exploration Operations) Regulations 		
<p>Development Plans</p> <ul style="list-style-type: none"> i. Vision 2040 ii. The National Development Plan I (2009). iii. The National Development Plan II (2015?). iv. The National Biodiversity Strategy and Action Plan 1(2002). v. The National Biodiversity Strategy and Action Plan 2(?). vi. The National Environment Action Plan (1994). vii. The National Forest Plan (2002). viii. The National Forest Plan (2013). ix. The Wetlands Sector Strategic Plan (2013). x. The Land Sector Strategic Plan (2001) xi. Biomass Energy Strategic Plan (BEST) xii. Energy and Minerals Invest Plan (2014) xiii. Uganda Strategic Investment Framework for Sustainable Land Management (2010-2020) 		
<p>Readiness Preparation Grant – Integrated Safeguards Data Sheet</p>	<p>World Bank</p>	<p>FCPF, Website: https://www.forestcarbonpartnership.org/uganda</p>
<p>Readiness Preparation Grant – Project Information Document</p>	<p>World Bank</p>	<p>FCPF, Website: https://www.forestcarbonpartnership.org/uganda</p>
<p>R-PP Assessment Note</p>	<p>World Bank</p>	<p>FCPF, Website: https://www.forestcarbonpartnership.org/uganda</p>
<p>Mainstreaming Gender considerations into REDD+ processes a Gender and REDD+ Roadmap for Uganda</p>	<p>IUCN</p>	<p>REDD+ Secretariat</p>
<p>Communications and Outreach Strategy for REDD+ processes for Uganda</p>	<p>IUCN</p>	<p>REDD+ Secretariat</p>
<p>Conflict and Grievances Management Strategy for REDD+ for Uganda</p>	<p>ACODE</p>	<p>REDD+ Secretariat</p>
<p>Consultations and Participation Strategy for REDD+ from Uganda</p>	<p>IUCN</p>	<p>REDD+ Secretariat</p>