

ANNEX D: REDD + ANNUAL COUNTRY PROGRESS REPORTING (with semi-annual update)

COUNTRY: UGANDA PERIOD: JUNE 2012 TO OCTOBER 2013

This country reporting framework has been developed following the structure of the FCPF Monitoring and Evaluation Framework, its logical framework and PMF, so as to facilitate and systematize the data analysis. The semi-annual country reporting should provide the FMT with indications of REDD+ countries' progress towards the achievement of their readiness activities and the implementation of their ER programs overtime, in a way that data are easily consolidated and provide indications on the level of achievement of the FCPF output, outcome and impact indicators as defined in the FCPF M&E Framework.

This suggested format below is an improvement over and replaces the national Readiness Progress Fact Sheets template that countries currently use to report progress before each PC meeting. This country reporting also builds on the structure and content of the R-PP template version 6 and its guidelines, and the R-Package Assessment Framework. A sample of assessed R-PPs and their Component 6 on M&E Framework and a sample of national Readiness Progress Fact Sheets have been reviewed as part of the development of this country reporting framework.

Submitted country reports should draw upon the country M&E system for REDD + (component 6 of R-PP) and should be prepared in consultation with members of REDD task force or equivalent body. Inputs from stakeholders including IPs and CSOs should be integrated into national reporting, and divergent views indicative of lack of consensus on specific issues should be recorded in the country report.

It is expected that the annual progress country reporting will be submitted to the FMT by August 30th each year. A self-assessment of progress will be conducted as part of this country reporting. An update of this country reporting will also be submitted by March 30th each year.

1. GENERAL INTRODUCTION

1. Uganda embarked on R-PP preparation phase in March 2010. The undertaking involved an analysis and description of the actions necessary to get Uganda ready for REDD. In March 2011, the draft R-PP was presented for comments to the FCPF Participants' Committee (PC) and the Technical Advisory Panel (TAP). The final R-PP was approved during the ninth Participants' Committee meeting in Oslo on June 22, 2011 with comments. Uganda submitted an acceptable and updated R-PP in May 2012. The Readiness Preparation Grant Agreement was signed on [July 10, 2013](#) between the Government of Uganda represented by the Minister of Finance, Planning and Economic Development and the International Bank for Reconstruction and Development (acting as a trustee of the Readiness Fund of the Forest Carbon Partnership Facility)
2. The over-all budget for implementing the R-PP (May 2012 version) amounts to US\$ 10.67 million. By time of preparing this annual report, approximately US\$ 4.511 million has been committed from FCPF/World Bank (US\$ 3.634 million) and Austria Government/Austria Development Cooperation - ADC (Euros 0.650 million) for a three year period. In addition, Government committed in-kind contribution equivalent to US\$ 0.635m. The funding gap amounting (approximately US\$ 6.158 million) is expected to be filled through donor and GoU support during the 2013/14-2015/16 period.
3. The Over-all Development Objective for the FCPF/WB support is to contribute to the design of a socially and environmentally viable national strategy for reducing emissions from deforestation and

forest degradation and a national/sub national reference scenario of emissions from deforestation and forest degradation that takes into account the national circumstances and the emerging guidance from the global climate change convention.

2. SUMMARY OF REPORT

4. This report presents the starting conditions based mainly on the stated objectives of the FCPF Readiness Preparation Grant; one bilateral donor and Government of Uganda supported actions as specified in the respective grant agreement documents namely;
 - a. The Readiness Preparation Grant Agreement was signed on **July 10, 2013** between the Government of Uganda represented by the Minister of Finance, Planning and Economic Development and the International Bank for Reconstruction and Development (acting as a trustee of the Readiness Fund of the Forest Carbon Partnership Facility); and in the previous month;
 - b. A Grant Agreement with Austrian Development Agency (ADA) to support the Component (4) of “Design and Development of a Robust Systems for National Forest Monitoring and Information on Safeguards for Uganda’s REDD+ Activities as part of the REDD+ Readiness Preparation Activities” which is also part of the National REDD-Plus Programme had been signed on **June, 26-28, 2013**;
5. Following the signature of the Readiness Preparation Grant Agreement, Uganda embarked on the process of preparation and agreement on the inception, as well as operationalisation documents that will be used to ensure that the requirements for the Grant to become effective are met. So far, draft annual workplans have been prepared for the FCPF, and ADC and GoU activities. These are waiting for due consultation with the Working Group/National Technical Committee and other levels. What is presented (in the table below) is a summary of the R-PP components and sub-components’ showing key steps/activities being undertaken since the signing of grant agreement and what is being done to speed up implementation:

Components	Sub-components	Salient steps being planned to speed up implementation
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	<ol style="list-style-type: none"> a. Recruitment of staff to support technical capacity to coordinate R-PP implementation (ToRs prepared already) b. Procurement of office facilities and equipments process commenced c. Strengthening Human capacity in ministries and lead agencies in various REDD+ aspects (plan being prepared) d. Consistent participation in priority regional and international meetings on REDD e. M&E system for the R-PP implementation (to be planned)
	1b. Consultation, Participation, and Outreach	<ol style="list-style-type: none"> a. Defined /Established Stakeholder engagement structures and processes. b. Stakeholder at national and sub national levels equipped/prepared and capable of effective engagement with REDD+. c. Communication messages and materials produced and disseminated.
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	<ol style="list-style-type: none"> a. Assessment report on potential strategic options in the 2012 R-PP and the associated stakeholder input into the Assessment
	2b. REDD+ Strategy Options	<ol style="list-style-type: none"> a. Drafting of Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities (work commenced), waiting for extended. b. Expanded technical and stakeholder input into the Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities. c. Documentation of existing REDD+ demonstration activities. d. Monitoring framework for future demonstration activities. e. Database for ongoing REDD+ programmes/projects in Uganda.
	2c. Implementation Framework	
	2d. Social and Environmental Impacts	ToRs prepared
3. Reference Emissions Level/Reference Levels		<ol style="list-style-type: none"> a. Capacity for developing national Reference Scenario among technical staff. b. Plan for designing national Reference levels. c. Documentation of historical data for use in REL.RLS development.

Components	Sub-components	Salient steps being planned to speed up implementation
		<ul style="list-style-type: none"> d. Tools and capacity for use of tools for linking and harmonizing various national databases. e. Remote Sensing data. f. Preliminary inventory work. g. Equipment (hard and soft) for processing, storing and archiving remote sensing data. h. Tools and equipment for field inventory work.
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	a. Defining and agreeing on process for developing forest monitoring system and reporting on REDD+.
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	<ul style="list-style-type: none"> b. Defining objectives of a national and sub national system for monitoring multiple benefits, other impacts and Governance and actual safeguards. c. Assessing data sets and identifying gaps in data on forestry resources. d. Developing mechanisms for institutional collaboration in forest data acquisition, sharing and management. e. Drafting and negotiating information systems linkage and data sharing protocols. f. Initiating design of a web-based linkage mechanism for linking all relevant information systems. g. Assessing capacities for developing forest monitoring system for emissions and multiple benefits and safeguards. h. Designing capacity building programme in reference to the assessment conducted under part #g above. i. Convening supervision and coordination forums. j. Monitoring implementation progress and reporting on performance.

3. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention “does not apply – n/a”.

3.1 PROGRESS AT THE IMPACT LEVEL (if any data available)

Please provide here any quantitative and qualitative information, if available on the following criteria/indicators.

Number of tons of CO2 emissions from deforestation and forest degradation reduced in the country during the reporting period as compared to the measured REL/RL, if any (FCPF M&E Framework Indicator I.1.B.):

National Forest Reference Emission Level (REL)/Reference Level (RL) defined: N/A

Number of tons reduced during the reporting period as compared to REL/RL: N/A

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.2.B.i.):

Source: Government of Austria

Amount provided: Euros 650,160

Source:

Amount provided:

Source:

Amount provided:

Amount of non-FCPF investments received for implementation of ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator 1.2.B.i.):

Source:	Amount provided: <u>N/A</u>
Source:	Amount provided: <u>N/A</u>
Source:	Amount provided: <u>N/A</u>

Level of multi-stakeholder participation and engagement in decision making processes related to emission reductions and forest resource management (FCPF M&E Framework Indicator 1.3.A):

Please describe the process of engagement and consultation implemented:

- The progress in the country has not reached the level of expected by this FCPF M&E Framework Indicator 1.3.A;
- However multi-stakeholder participation and engagement during the R-PP formulation process was medium to high. For example:
 - Countrywide consultations with stakeholders were conducted from April 2010 – February 2011. The formulation process emphasized consultations and engagement with the stakeholders including Government (Executive (Ministries and Government Agencies) and Legislative/ Parliament), NGOs/CSO, Private sector, Academia, Cultural Groups, Special groups, Forest dependent people, Communities, among others;
 - The R-PP proposal document was prepared through a process involving iterative reviews by REDD –Plus Working Group and REDD –Plus Steering Committee;
 - Approval by Minister of Water and Environment (Lead ministry) and Minister of Finance, Planning and Economic Development in accordance with government procedures;
 - The required technical revisions of the R-PP as recommended by the PC9 in June 2011 were accomplished in May 2012. The REDD Focal Point received technical and logistical support from International Union for Conservation of nature (IUCN) which facilitated the finalization of Consultations and Participation Plan that included Awareness and Communication Plan and, Conflicts and Grievances Management Plan;
 - The REDD-Plus Steering Committee continues to service the formulation of the R-PP up-to date. This function was retained so as to facilitate the due diligence process by the World Bank, as well as make it possible for the Stakeholders to maintain ownership and participation until the implementation arrangements are commenced

Please describe the level of participation and engagement for the following stakeholder categories:

With regard to the level of participation and engagement for the following stakeholder categories:

- Government Agencies, Indigenous Peoples, Other forest-dependent communities; Women, Youth, CSOs, Private entities, Donors; the following table still summarises the situation during the R-PP formulation;

Category	Number of participating entities or individuals
Policy /Ministries	16
Development Partners	6
NGOs/CSO	66
Private Sector	7
Forest Dependent People/Communities/Special Groups	2,071
Academia	5
Research Institutions	3

Source: Uganda R-PP (2012)

- A Consultations and Participation Plan (that includes an Awareness and Communication Plan and, Conflicts and Grievances Management Plan) has been prepared to ensure that during the

implementation of the R-PP, full and effective participation and engagement of all key stakeholders especially the indigenous and community members is possible and easy to follow and support;

Nb. and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (FCPF M&E Framework Indicator 1.3.B.):

Number of policy reforms during the reporting period that are:

- Underway: N/A
- Completed: N/A

Please describe these policy reforms: N/A

3.2 PROGRESS AT THE OUTCOME AND OUTPUT LEVEL

3.2.1. REDD Readiness Progress

- Outcome level

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.):

Progress made during the reporting period in developing the country Readiness Package: N/A

- Output level

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)		
Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	Yes
	1b. Consultation, Participation, and Outreach	Yes
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	No
	2b. REDD+ Strategy Options	Yes
	2c. Implementation Framework	Yes
	2d. Social and Environmental Impacts	Yes
3. Reference Emissions Level/Reference Levels		Yes
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	No
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Yes

Level of implementation of R-PP¹ as a whole:

Please describe the current R-PP implementation stage:

¹ Please note that you will be able to assess progress on the implementation of RPP-P components and sub-components in table included in the following pages.

- R-PP assessed by FCPF PC at PC9;
- \$200K FCPF Formulation Grant is signed and disbursed as of December 2010;
- Revised R-PP submitted to the FCPF May 2012 in accordance with the PC9 resolution; and
- The \$3.6 Readiness Preparation Grant Agreement was signed on **July 10, 2013** between the Government of Uganda represented by the Minister of Finance, Planning and Economic Development and the International Bank for Reconstruction and Development (acting as a trustee of the Readiness Fund of the Forest Carbon Partnership Facility); and preparations for initial disbursement request are underway

Level of achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):

Planned Milestones:	Level of Achievement ² :	Tracking ³ : Please select your light rating:
Part 1: Coordination and Monitoring of REDD+ Readiness Process	Only procurements arrangements have been initiated	<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Non Applicable
Part 2: Stakeholder Engagement and feed back in readiness process	Not yet started in the context of second agreement	
Part 3: REDD+ Strategy and Strategic Environmental and Social Assessment	Not yet started in the context of second agreement	
Part 4: REDD+ Implementation Framework	Not yet started in the context of second agreement	
Part 5: National Reference Scenario and Inventory of Forest Resources	Not yet started in the context of second agreement	
Subcomponent 4a: National Forest Monitoring System	These actions are part of the Austrian Support; but they also have not yet started as they are closely linked to those supported by the FCPF	
Subcomponent 4b: Information System for Multiple Benefits,	One sub-component (benefit sharing) ⁴ of these actions is	

Please explain why: Detailed and participatory milestones planning has been planned but not yet undertaken by the time of reporting. What we have is that broad framework based on the agreed outcomes which were the basis for the agreements for the grants.

² Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

³ The level of achievement of planned milestones according to approved RF grant will be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then explained. In case the assessment is not applicable, a fifth color scale "Non Applicable" can be selected.

This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework

⁴ Under FCPF Supported actions, this is listed under "REDD+ Implementation Framework"

Other Impacts, Governance, and Safeguards	supported is supported by FCPF and Austrian Support; but they also have not yet started	
---	---	--

Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.).
 Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 30th each year

	Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
		Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
R-PP Component 1 – Readiness Organization and Consultation	Sub-Component 1a – National REDD+ Management Arrangements <i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies</i>	Part 1: Coordination and Monitoring of REDD+ Readiness Process: Strengthening coordination and management of the REDD+ process at the national level, through:				<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required
	<i>Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism</i>	Implementing the National Readiness Management Arrangement Activities including strengthening the capacities of all relevant institutions through the provision of technical advisory services, goods, works, workshops and training and operating costs.			ToRs for personnel to support national technical capacity to coordinate R-PP implementation were prepared and approved; and the recruitment process initiated Training of 6 Ugandans from the FCPF training on Social inclusion held from 13-16 December, 2012. 4 Participants benefitted (two government, one civil society and one private sector participants). They will be instrumental in the SESA implementation arrangements Uganda participated in most FCPF priority regional and international meetings	<input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input checked="" type="radio"/> Non Applicable

Please explain why: These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.

⁵ The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then briefly explained. In case the assessment is not applicable, a fifth color scale 'Non Applicable' can be selected. This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
	Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
	Designing and implementing a monitoring and evaluation framework for overall reporting on the progress of implementation of REDD+ readiness activities, including, among others, the carrying out of an independent assessment of progress at mid-term and at the end of the activities financed by the Grant				
Sub-Component 1b – Consultation, Participation, and Outreach <i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i> <i>Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation</i>	Stakeholder Engagement and Feedback in Readiness Process Strengthening stakeholder engagement with a view to informing REDD+ readiness, through:				<input checked="" type="radio"/> Significant progress <input type="radio"/> Progressing well, further development required <input type="radio"/> Further development required <input type="radio"/> Not yet demonstrating progress <input type="radio"/> Non Applicable <i>And explain why: These actions have not yet consultatively been translated into</i>
	Strengthening participatory structures at the local and national level, with a view to enhancing stakeholder engagement in REDD, including, among others, community based forest associations, religious institutions, women and youth associations and national association of tree growers, all through provision of technical advisory services, goods, workshops and training and operating costs.				

Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
	Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
<i>and public disclosure of consultation outcomes</i>	Developing and disseminating communication materials and tools pertaining to the Readiness Preparation Activities, including, among others, creation of a national REDD+ website to be hosted by the Ministry of Water and Environment, use of public media such as TV and radio, development of policy briefs, newsletters, and brochures in local languages, and the use of pictorials, and other social networks at the local levels.				annual targets and no actions on them has commenced.
	Strengthening national feedback and grievance redress mechanisms for REDD+ including, among others, a comprehensive review of the capacities of relevant institutions and customary mechanisms for handling grievances.				

	Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
		Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
R-PP Component 2 – REDD+ Strategy Preparation	<p>Subcomponent 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</p> <p><i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i></p> <p><i>Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy</i></p>					<p><input checked="" type="radio"/> Significant progress</p> <p><input type="radio"/> Progressing well, further development required</p> <p><input type="radio"/> Further development required</p> <p><input checked="" type="radio"/> Not yet demonstrating progress</p> <p><input type="radio"/> Non Applicable</p> <p><i>And explain why: These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</i></p>

Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
	Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
<p>Subcomponent 2b: REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies</i></p>	<p>Developing an appropriate REDD+ strategy (said strategy informed by SESA considerations pursuant to Part 3.1 immediately above).</p>				<p><input checked="" type="radio"/> Significant progress</p> <p><input type="radio"/> Progressing well, further development required</p> <p><input type="radio"/> Further development required</p> <p><input checked="" type="radio"/> Not yet demonstrating progress</p> <p><input type="radio"/> Non Applicable</p> <p><i>And explain why:</i></p> <p>These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</p>
<p>Subcomponent 2c: Implementation Framework</p> <p><i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i></p> <p><i>Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii)</i></p>	<p>REDD+ Implementation Framework: Designing REDD+ implementation framework, in particular:</p> <p>Carrying out of a comprehensive review and assessment of existing benefit sharing arrangements in country and within the region for use under REDD+ , including, analyses of carbon rights, and making appropriate recommendations for improving said benefit sharing arrangements</p>				<p><input checked="" type="radio"/> Significant progress</p> <p><input type="radio"/> Progressing well, further development required</p> <p><input type="radio"/> Further development required</p> <p><input checked="" type="radio"/> Not yet demonstrating progress</p>

Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
	Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
<p>guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</p>	Preparation of national guidelines and standards (including, eligibility criteria) for design and implementation of REDD+ demonstration activities or pilots drawing upon national and regional experience				<p> <input type="radio"/> Non Applicable</p> <p><i>And explain why: These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</i></p>
	Carrying out of a comprehensive review of ongoing REDD+ efforts and demonstration activities or pilots				
<p>Subcomponent 2d: Social and Environmental Impacts</p> <p><i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i></p> <p><i>Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</i></p>	<p>REDD+ Strategy and Strategic Environmental and Social and Assessment: Carrying out of a comprehensive strategic environmental and social and assessment ("SESA") with a view to evaluating, <i>inter alia</i>:</p> <p>(a) REDD+ strategy, in particular, how said strategy addresses environmental and social priorities associated with current patterns of land use and forest management, to identify gaps and make recommendations for improvement; and</p> <p>(b) environmental and social impacts of implementing REDD+ activities under the REDD+ strategy (to be developed under this Part) and preparing an appropriate environmental and social management framework</p>			ToR for SESA was prepared	<p> <input type="radio"/> Significant progress</p> <p> <input type="radio"/> Progressing well, further development required</p> <p> <input type="radio"/> Further development required</p> <p> <input type="radio"/> Not yet demonstrating progress</p> <p> <input type="radio"/> Non Applicable</p> <p><i>And explain why: These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</i></p>

	Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ <i>(Please select your light rating)</i>
		Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
	<p>R-PP Component 3 - Reference Emissions Level/Reference Levels</p> <p><i>Purpose: Development of the general approach to establish a REL/RL</i></p> <p><i>Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i></p>	<p>National Reference Scenario and Inventory of Forest Resources: Establishment of a reference scenario for emissions from deforestation and/or forest degradation by</p> <p>designing an appropriate methodology,</p> <p>reviewing national circumstances,</p> <p>preparing an inventory of forests,</p> <p>mapping forest cover,</p> <p>spatial modeling,</p> <p>calculating carbon emissions and</p> <p>establishing the reference level</p>				<p><input checked="" type="radio"/> Significant progress</p> <p><input type="radio"/> Progressing well, further development required</p> <p><input type="radio"/> Further development required</p> <p><input checked="" type="radio"/> Not yet demonstrating progress</p> <p><input type="radio"/> Non Applicable</p> <p><i>And explain why:</i></p> <p>These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</p>

	Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ (Please select your light rating)
		Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
R-PP Component 4: Monitoring Systems for Forests and Safeguards	<p>Subcomponent 4a: National Forest Monitoring System</p> <p><i>Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</i></p> <p><i>Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</i></p>	A NFMS designed, consulted upon and linked with other relevant national systems and deployed				<p><input checked="" type="radio"/> Significant progress</p> <p><input type="radio"/> Progressing well, further development required</p> <p><input type="radio"/> Further development required</p> <p><input checked="" type="radio"/> Not yet demonstrating progress</p> <p><input type="radio"/> Non Applicable</p> <p><i>And explain why: These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</i></p>
	<p>Subcomponent 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</p> <p><i>Purpose: Specify the non-carbon aspects prioritized for monitoring by the country</i></p> <p><i>Assessment Criteria: (i) identification of relevant non-</i></p>	A national and sub-national system for monitoring and providing information on how multiple benefits, other impacts, governance, and actual safeguards are being addressed and respected during the implementation of REDD+ activities designed, consulted upon and linked with other relevant national systems and deployed				<p><input checked="" type="radio"/> Significant progress</p> <p><input type="radio"/> Progressing well, further development required</p> <p><input type="radio"/> Further development required</p> <p><input checked="" type="radio"/> Not yet demonstrating progress</p>

	Sub-component	Overall progress		Progress against annual targets		Tracking ⁵ <i>(Please select your light rating)</i>
		Planned milestones	Cumulative Progress Towards Milestones	Expected Annual target	Achievements of the Annual target	
	<i>carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i>	Spatially based biodiversity and ecosystem based multiple benefits of REDD+ including land-use and pressures on natural resources, identified and mapped				 Non Applicable <i>And explain why: These actions have not yet consultatively been translated into annual targets and no actions on them has commenced.</i>

Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage (FCPF M&E Framework 1.3.d.): ⁶		
	Rate	Tracking
RF Grant - disbursement rate vs. planned disbursements		<p>Please select your light rating:</p> <p><input checked="" type="radio"/> Up to 10% variance with plans</p> <p><input type="radio"/> Between 10 and 25% variance</p> <p><input type="radio"/> Between 25 and 40 % variance</p> <p><input type="radio"/> More than 40 % variance</p> <p><input checked="" type="radio"/> Non Applicable</p>

Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):		
	Rate	Tracking
RPP Budget - disbursement rate vs. planned disbursements		<p>Please select your light rating:</p> <p><input checked="" type="radio"/> Up to 10% variance with plans</p> <p><input type="radio"/> Between 10 and 25% variance</p> <p><input type="radio"/> Between 25 and 40 % variance</p> <p><input type="radio"/> More than 40 % variance</p> <p><input checked="" type="radio"/> Non Applicable</p>

⁶ From this section going forwards the notation "N/A" is applied to indicate that the activity has not been initiated but not necessarily that the activity is not applicable to Uganda.

3.2.2. Key elements of performance-based payment systems for emission reductions generated from REDD+ activities

- Outcome level

As a synthesis of the following output level assessments, please briefly specify:	
<p>Are carbon accounting, programmatic elements and pricing operating as planned in your pilot, if relevant (FCPF M&E Framework Indicator 2.A.)</p>	<p> <input checked="" type="radio"/> Yes <input type="radio"/> Overall yes, but with some discrepancies <input type="radio"/> Overall no, but with some positive signs <input type="radio"/> No <input checked="" type="radio"/> Non Applicable </p> <p><u>Please describe progress made:</u></p>
<p>Is the benefit sharing scheme being implemented according to plans within your pilot, if relevant (FCPF M&E Framework Indicator 2.B.)</p>	<p> <input checked="" type="radio"/> Yes <input type="radio"/> Overall yes, but with some discrepancies <input type="radio"/> Overall no, but with some positive signs <input type="radio"/> No <input checked="" type="radio"/> Non Applicable </p> <p><u>Please describe progress made:</u></p>
<p>Percentage and/or amount of monetary benefits shared with beneficiaries in approved pilot, if relevant (FCPF M&E Framework Indicator 2.C.)</p>	<p> <input checked="" type="radio"/> Percentage and/or amount targets to be defined <input type="radio"/> <input type="radio"/> </p>

	   
--	---

- Output level

Has your country submitted early ideas or ER-Program to the CF and/or others (FCPF M&E Framework 2.3.a):	
No	<u>Please briefly describe the content of these early ideas or ER-Program:</u>

Has your country signed an ERPA (FCPF M&E Framework 2.4.b):	
No	<u>Please briefly describe the content of this ERPA:</u>

Amount and date of disbursements for ER Program according to plans, if relevant (FCPF M&E Framework 2.5.):	
<u>Date:</u>	<u>Amount provided:</u>
<u>Date:</u>	<u>Amount provided:</u>
<u>Date:</u>	<u>Amount provided:</u>

3.2.3. Engagement of stakeholders to sustain or enhance livelihoods of local communities and to conserve biodiversity within the approach to REDD +

- Outcome level

As a synthesis of the following output level assessments, please describe indicators related to biodiversity conservation and forest community livelihood development included in the ER Program, if relevant (FCPF M&E Framework Indicator 3.A.):	
<u>Amount:</u> N/A	<u>Please describe how these funds target biodiversity and forest community livelihood development:</u> N/A

Please provide relevant examples on the inherent social and biodiversity benefits of REDD+, if relevant (FCPF M&E Framework Indicator 3.B.):	
<u>Examples of inherent social and biodiversity benefits of REDD+:</u> N/A	

- Output level

Number of examples of actions where IPs, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.1.a.):	
<u>#:</u> N/A	<u>Please describe these actions on enhanced livelihoods and BD conservation, and</u>

	restoration where, IPs and CSOs and local communities participate actively: N/A
--	---

Number of IP and REDD country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):			
<u>Please list the training conducted:</u>	<u>Duration (# of days)</u>	<u># of participants # of men / # of women</u>	
			<input checked="" type="checkbox"/> targets in terms of number of men and women to be trained by country to be defined <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Non Applicable

Frequency of meetings of stakeholder engagement platforms (FCPF M&E Framework 3.2.a.):	
<u>Frequency:</u> N/A	
	<input checked="" type="checkbox"/> targets in terms of frequency to be defined <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Non Applicable

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at maintaining or enhancing livelihoods of local communities (FCPF M&E Framework 3.2.b.):	
Yes/No: N/A	<u>Please describe these activities aiming at maintaining or enhancing livelihoods of local communities:</u> N/A

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at conserving biodiversity (FCPF M&E Framework 3.2.c.):	
Yes/No: N/A	<u>Please describe these activities aiming at conserving biodiversity:</u> N/A

--	--

Does your country R-Package and/or ER Program include SESA, an operational Grievance Mechanisms, and an ESMF that captures SESA results (FCPF M&E Framework 3.2.d.):	
Yes/No:	<p><i>If yes, please select your light rating:</i></p> <p><input checked="" type="radio"/> Degree of advancement or implementation targets to be defined</p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/> Non Applicable</p> <p><u>Please describe the measures implemented:</u></p>

3.2.4. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
Yes/No: N/A	<u>Please provide the list of published REDD+ knowledge products, if any during reporting period : N/A</u>

How many people have been reached by these knowledge products, if any:	
Overall number by product: N/A	
# of Men:	
# of Women:	

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
Yes/No: N/A	<u>List the South-South learning activities: N/A</u>	# of men:
		# of women:

4. ISSUES, CHALLENGES AND RISKS⁷

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or

⁷ Considering that no actions have commenced, there is nothing to report at the moment

manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

It is expected that the country monitors any changes in the assumptions that underpin the logic of intervention of FCPF at the national level and other significant risks that may arise. This section should explain through a narrative any changes in the level of risk associated with the different assumptions, or describe new risks that may have emerged and have a significant bearing on the national work-planning with respect to FCPF support for the next year and beyond, along with the associated measures required to address this change.

5. MAIN LESSONS LEARNED⁸

This section should be used to provide information on important lessons learnt since the beginning of the readiness process. As this is a semi-annual report, it is expected that this section will be fairly substantial, making reference to different lessons learning documents, and/or events developed and dealing with issues of particular interest with respect to readiness of carbon funding under REDD+.

⁸Considering that no actions have commenced, there is nothing to report at the moment