



# Forest Carbon Partnership Facility

## 2a. Readiness Package: FMT proposal and feedback from regional videoconferences

Twelfth Meeting of the FCPF Participants Committee

June 27-29, 2012

Santa Marta, Colombia

# Overview

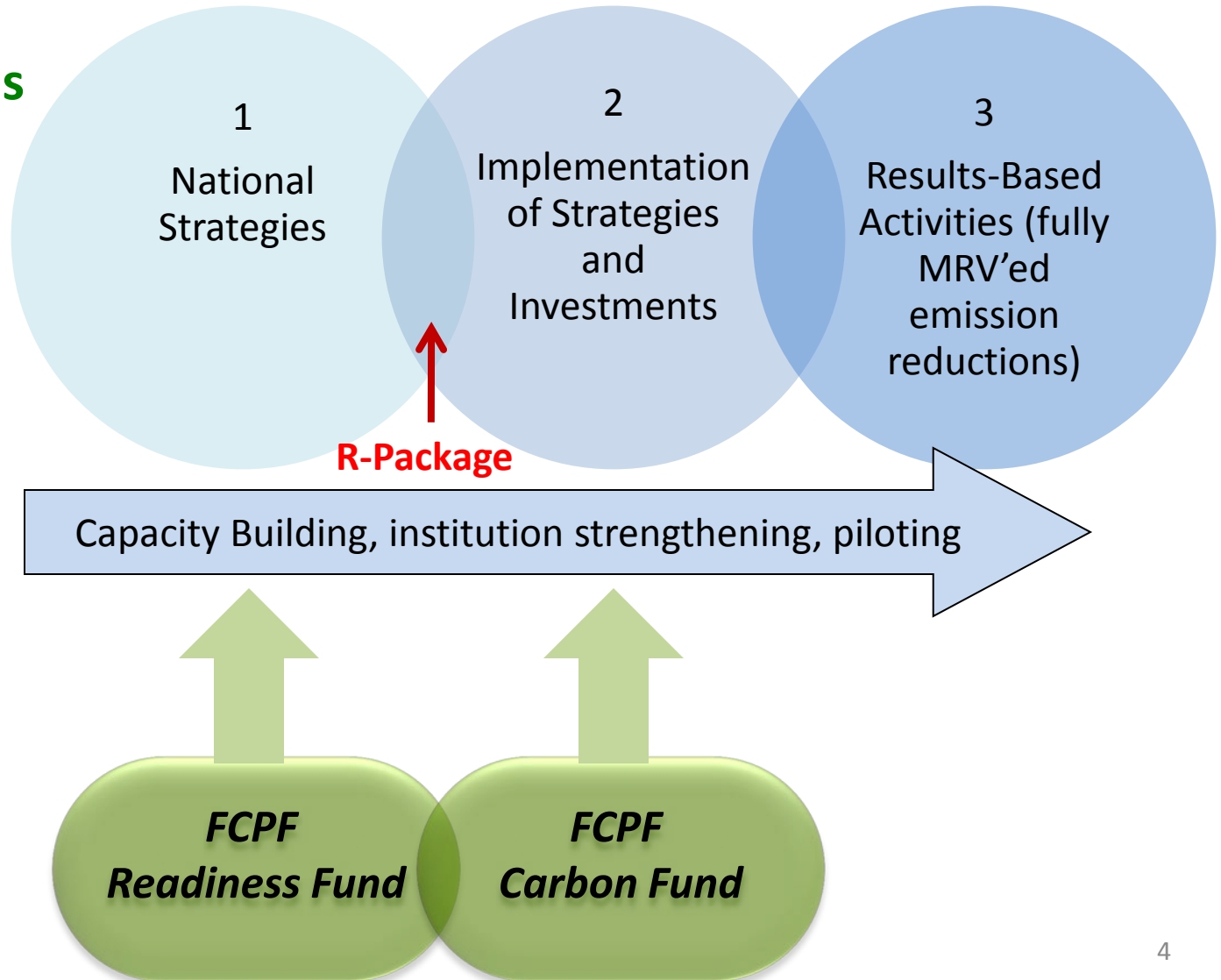
- **Summary of Background Note: FMT Note 2012-6**
  - Purpose: why produce an R-Package?
  - Scope: which Readiness components are included?
  - Assessment: process to measure progress?
  - PC Endorsement : what does it mean?
- **Feedback from REDD+ Country Participants**
  - 5 video-conference calls May 29-June 1
  - Informal Workshop yesterday (June 26)
- **Next Steps and PC Actions**
  - PC12 Resolution
  - Development of an assessment approach (methodology)

# Readiness Package: Context

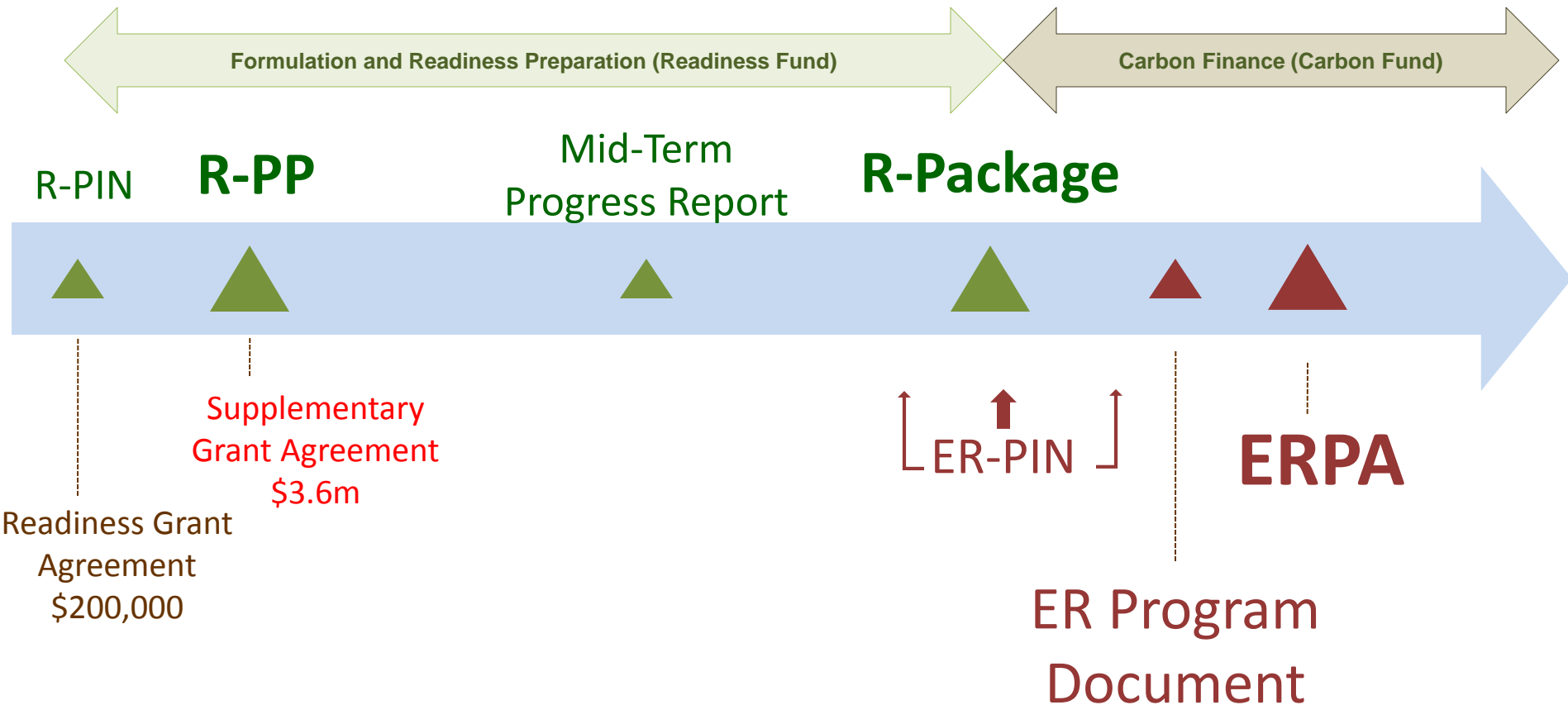
- **FCPF operates two funds**
  - Readiness Fund: REDD+ Readiness Preparation
  - Carbon Fund: REDD+ Performance Payments
- **FCPF Charter states**
  - R-Package is a milestone of Readiness preparation (transition from REDD+ preparation to piloting)
  - R-Package submission is voluntary
  - FCPF Participants Committee endorses the R-Package
- **The practice of Readiness Preparation shows that**
  - Countries receive support from a variety of sources
  - Countries have different pre-existing capacities

# R-Package is produced towards the end of 'phase 1'

## 3 REDD+ Readiness Phases (per Cancun Agreements)



# From Readiness to Performance Payments: R-Package is a Major Milestone



# Key Questions discussed at PC11

1. How can REDD+ countries increase their ownership in defining the R-Package?
  - VCs with REDD+ country Participants May 29-June 1
  - Pre-PC12 workshop
2. What is the **purpose** of the R-Package?
  - Provide feedback and guidance for Readiness OR define benchmarks for performance payments
3. How does the PC want to define its **endorsement** of the R-Package?
  - Ascertain due process OR certain Readiness achievements
4. How can the **assessment** framework be made most operational and useful for countries?
  - General guidance OR a tool for self-assessment

# Purpose – why produce an R-Package?

- Multiple Purposes
  - Self-assess readiness progress
    - Identifies gaps and needs
  - Demonstrate implementation within transparent framework, risks are mitigated
    - Provides confidence to local and international actors
  - Demonstrate commitment to REDD+
    - Attracts funding; donors can direct resources
  - Generates feedback and guidance o REDD countries
    - Helps with continued readiness preparation
- PC endorses R-Package
  - Endorsement prior to submission of an ER Program Document with Carbon Fund

# Scope – which readiness components are included?

- R-Package includes all major Readiness preparation activities
  - Provides continuity with the activities proposed in the R-PP;
  - Captures important relationships between different Readiness preparation activities and helps to ensure consistency across components;
  - Ensures consistency across countries.



# R-Package Content is based on R-PP sub-components

## 1. Readiness Organization and Consultation

- a. National REDD management arrangements
- b. Consultation, Participation, and Outreach

## 2. REDD+ Strategy Preparation

- a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance
- b. REDD Strategy Options
- c. Implementation Framework
- d. Social and Environmental Impacts

## 3. Reference Levels

## 4. Monitoring Systems for Forests and Safeguards

- a. National Forest Monitoring System
- b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards

# Assessment – how to measure progress on REDD readiness?

- Assessment would meaningfully occur in two stages
  - (i) a multi-stakeholder self-assessment performed by the country
  - (ii) a review at the international level performed by the PC
- A country self-assessment:
  - Enhances country ownership and engages relevant stakeholders ;
  - Identifies achievements, gaps, needs through a multi-stakeholder process;
  - The output of the country self-assessment is the R-Package
- Assessment approach (methodology)
  - To be developed after PC12
  - Input and guidance from countries important
  - Use of meaningful and practical indicators that guide both country self-assessment and international review

# Endorsement by the PC – what does it mean?

- The endorsement means that the PC has ascertained the completeness, accuracy, and validity of a country's self-assessment process
  - The focus of the review at the international level is on the overall quality of the self-assessment process;
  - The PC (and TAP) are tasked to generate constructive feedback and guidance to the country for continued Readiness preparation or piloting of REDD+ on the basis of the gaps and needs identified in the self-assessment process; and
  - Countries that have reached different levels of Readiness (due to different starting points or pre-existing capacities) can benefit from the feedback and guidance provided through the review process.

# Feedback from VCs (1)

- What is the difference between the R-PP, R-Package and country progress sheets?
  - The R-PP is a *proposal* for a set of activities on REDD+ readiness.
  - The country progress sheets short updates
  - The R-Package is a comprehensive document produced *after* the majority of activities proposed in the R-PP are implemented
- Is the R-Package submission voluntary or a requirement?
  - R-Package is not a requirement by the FCPF Readiness Fund.
  - The Charter provides the obligation for countries to report at mid-term.
  - The readiness preparation grant agreement requires countries to report on a regularly (including at mid-term and at completion).
  - The R-Package can build on these regular reports, including the required mid-term progress report.
  - Piloting of emission reductions programs under the FCPF Carbon Fund requires and an R-Package (per Charter).

## Feedback from VCs (2)

- What is the effort to produce an R-Package? And costs?
  - Countries can draw on
    - i. outputs from each of the R-PP components,
    - ii. monitoring and evaluation activities,
    - iii. progress reports to the Delivery Partner, and
    - iv. other independently performed assessments.
  - Producing an R-Package is largely a synthesis and summary.
  - An important focus of the R-Package is for the country to perform a self-assessment.
  - Thus, the incremental cost should be relatively small and be linked to the self-assessment process.

## Feedback from VCs (3)

- What is the framework for a multi-stakeholder self-assessment?
  - The assessment framework (methodology) is to be developed with REDD+ countries going forward.
  - The methodology for readiness assessment may build on the 'standards' (FMT Note 2011-14), but with revisions.
  - The self-assessment process needs flexibility though a common set of indicators is desirable for consistency.
- What is the relationship of the strategic environmental and social assessment (SESA) and the R-Package?
  - The SESA is an important component of REDD+ readiness preparation.
  - An environmental and social management framework (ESMF) is an important benchmark of progress.

# Next Steps and Actions for the PC

- Resolution PC/12/2012/1: PC agrees on
  - Purpose
  - Scope
  - Endorsement
  - Assessment Process
- FMT develops proposal for assessment approach
  - Based on earlier proposal and feedback
  - Seeks feedback and input (VCs)
  - Facilitates piloting in 1-2 countries



THANK YOU!

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