Forest Carbon Partnership Facility

2a. Readiness Package: FMT proposal and feedback from regional videoconferences

Twelfth Meeting of the FCPF Participants Committee
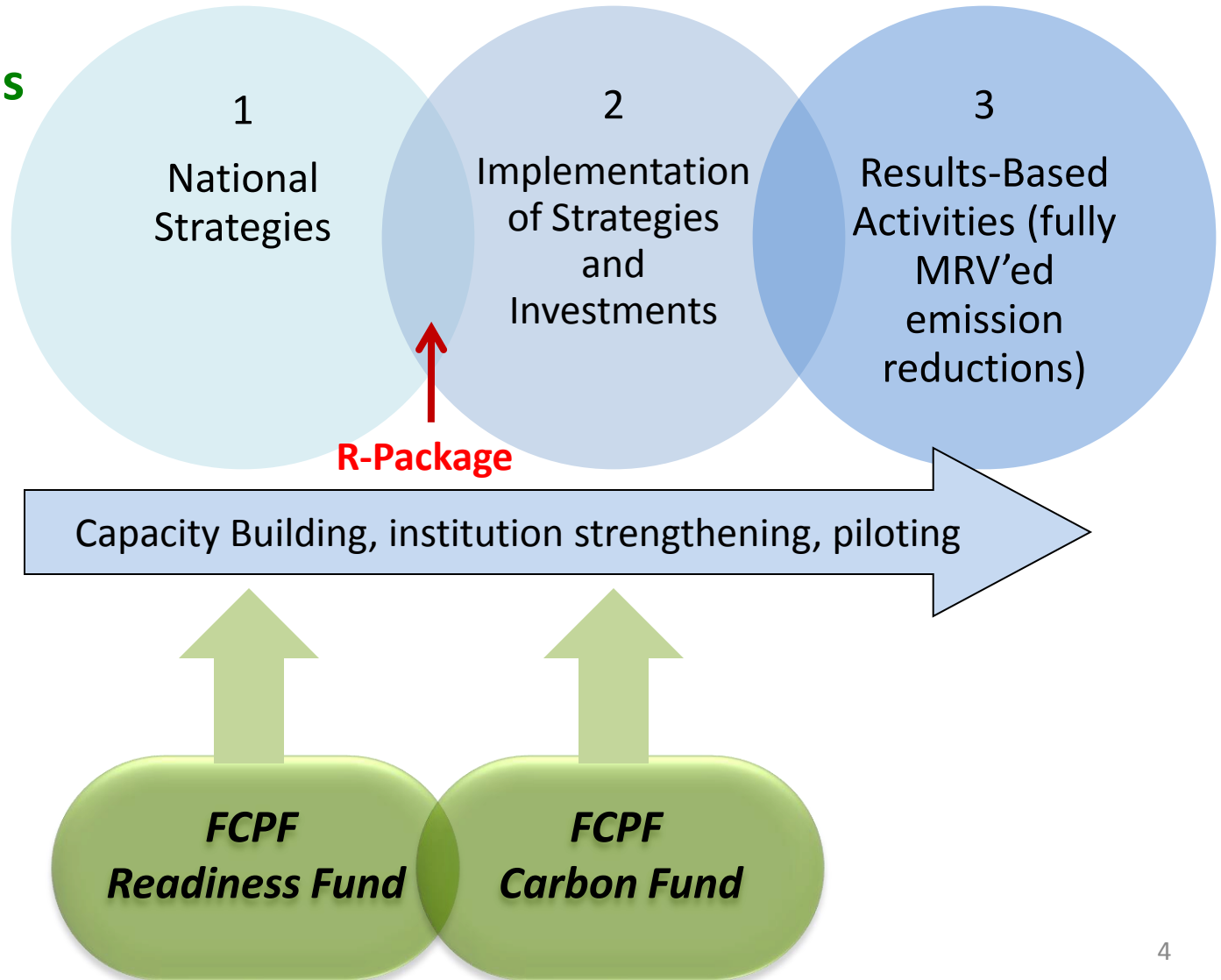
June 27-29, 2012

Santa Marta, Colombia

Overview

- ## Summary of Background Note: FMT Note 2012-6
    - Purpose: why produce an R-Package?
    - Scope: which Readiness components are included?
    - Assessment: process to measure progress?
    - PC Endorsement : what does it mean?

- ## Feedback from REDD+ Country Participants
    - 5 video-conference calls May 29-June 1
    - Informal Workshop yesterday (June 26)

- ## Next Steps and PC Actions
    - PC12 Resolution
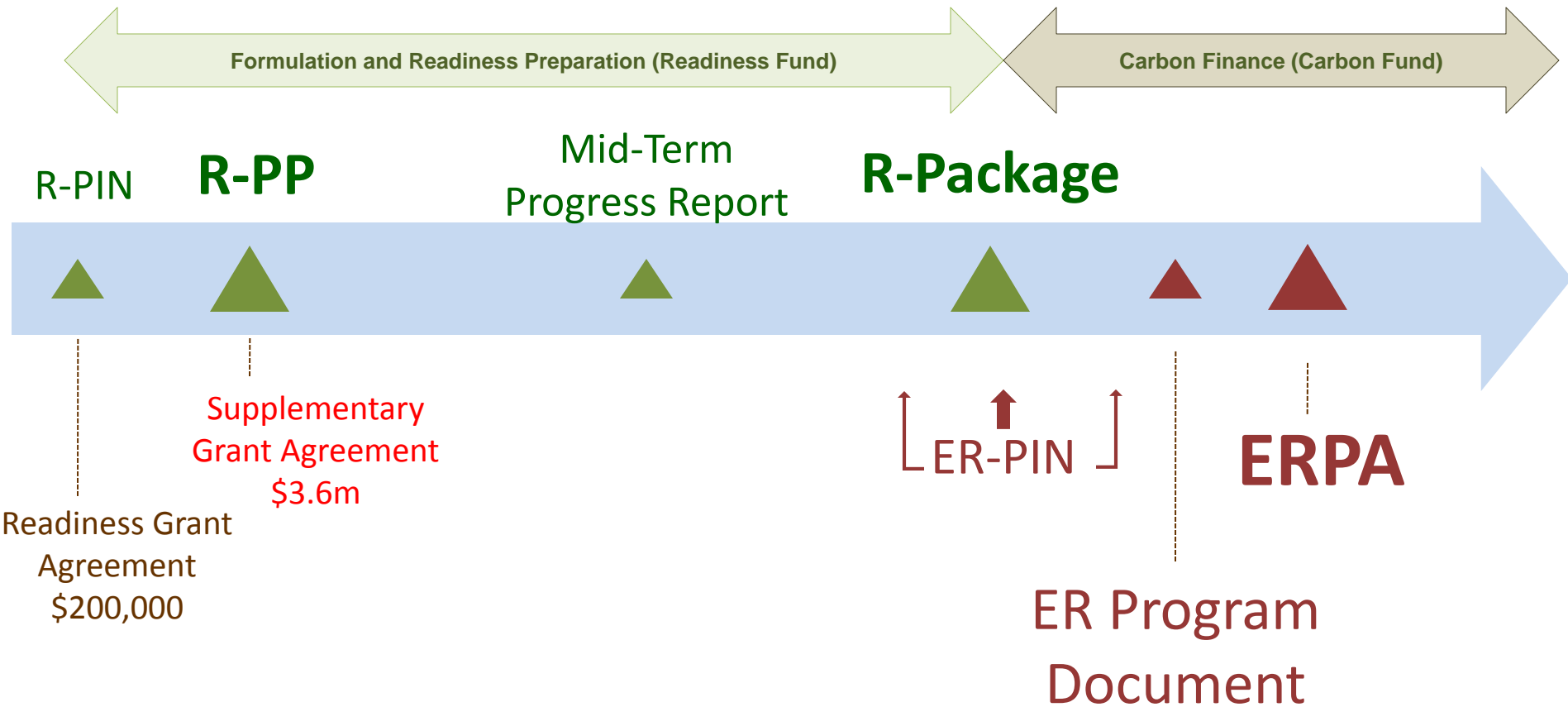   - Development of an assessment approach (methodology)

Readiness Package: Context

- FCPF operates two funds
  - Readiness Fund: REDD+ Readiness Preparation
  - Carbon Fund: REDD+ Performance Payments

- FCPF Charter states
  - R-Package is a milestone of Readiness preparation (transition from REDD+ preparation to piloting)
  - R-Package submission is voluntary for Readiness Fund
  - FCPF Participants Committee endorses the R-Package

- The practice of Readiness Preparation shows that
  - Countries receive support from a variety of sources
  - Countries have different pre-existing capacities

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R-Package is produced towards the end of 'phase 1'

3 REDD+ Readiness Phases (per Cancun Agreements)

1
National Strategies

2
Implementation of Strategies and Investments

3
Results-Based Activities (fully MRV'ed emission reductions)

R-Package

Capacity Building, institution strengthening, piloting

FCPF Readiness Fund

FCPF Carbon Fund

From Readiness to Performance Payments: R-Package is a Major Milestone

Key Questions discussed at PC11

1. How can REDD+ countries increase their ownership in defining the R-Package?
   – VCs with REDD+ country Participants May 29-June 1
   – Pre-PC12 workshop

2. What is the **purpose** of the R-Package?
   – Provide feedback and guidance for Readiness OR define benchmarks for performance payments

3. How does the PC want to define its **endorsement** of the R-Package?
   – Ascertain due process OR certain Readiness achievements

4. How can the **assessment** framework be made most operational and useful for countries?
   – General guidance OR a tool for self-assessment

Purpose – why produce an R-Package?

- Multiple Purposes
  - Self-assess readiness progress
    - Identifies gaps and needs
  - Demonstrate implementation within transparent framework, risks are mitigated
    - Provides confidence to local and international actors
  - Demonstrate commitment to REDD+
    - Attracts funding; donors can direct resources
  - Generates feedback and guidance o REDD countries
    - Helps with continued readiness preparation

Scope – which readiness components are included?

- R-Package includes all major Readiness preparation activities
  - Provides continuity with the activities proposed in the R-PP;
  - Captures important relationships between different Readiness preparation activities and helps to ensure consistency across components;
  - Ensures consistency across countries.

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R-Package Content is based on R-PP sub-components

1. Readiness Organization and Consultation

   a. National REDD management arrangements

   b. Consultation, Participation, and Outreach

2. REDD+ Strategy Preparation

   a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance

   b. REDD Strategy Options

   c. Implementation Framework

   d. Social and Environmental Impacts

3. Reference Levels

4. Monitoring Systems for Forests and Safeguards

   a. National Forest Monitoring System

   b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards

Assessment – how to measure progress on REDD readiness?

- Assessment would meaningfully occur in two stages

    (i) a multi-stakeholder self-assessment performed by the country

    (ii) a review at the international level performed by the PC

- A country self-assessment:

    – Enhances country ownership and engages relevant stakeholders ;

    – Identifies achievements, gaps, needs through a multi-stakeholder process;

    – The output of the country self-assessment is the R-Package

- Assessment approach (methodology)

    – To be developed after PC12

    – Input and guidance from countries important

    – Use of meaningful and practical indicators that guide both country self-assessment and international review

Endorsement by the PC – what does it mean?

- The endorsement means that the PC has ascertained the completeness, accuracy, and validity of a country's self-assessment process
  - The focus of the review at the international level is on the overall quality of the self-assessment process;
  - The PC (and TAP) are tasked to generate constructive feedback and guidance to the country for continued Readiness preparation or piloting of REDD+ on the basis of the gaps and needs identified in the self-assessment process; and
  - Countries that have reached different levels of Readiness (due to different starting points or pre-existing capacities) can benefit from the feedback and guidance provided through the review process.

Feedback from videoconferences (1)

- What is the difference between the R-PP, R-Package and country progress sheets?
  - The R-PP is a *proposal* for a set of activities on REDD+ readiness
  - The country progress sheets short updates
  - The R-Package is a comprehensive document produced *after* the majority of activities proposed in the R-PP are implemented

- Is the R-Package submission voluntary or a requirement?
  - R-Package is not a requirement for the FCPF Readiness Fund
  - The Charter requires reporting at mid-term
  - The readiness preparation grant agreement requires countries to report regularly (including at mid-term and completion)
  - The R-Package can build on these regular reports, including the required mid-term progress report
  - Piloting of emission reductions programs under the FCPF Carbon Fund requires and an R-Package (per Charter).

Feedback from videoconferences (2)

- What is the effort to produce an R-Package? And costs?
  - Countries can draw on
    - i. outputs from each of the R-PP components
    - ii. monitoring and evaluation activities
    - iii. progress reports to the Delivery Partner
    - iv. other independently performed assessments
  - Producing an R-Package is largely a synthesis and summary
  - R-Package is the product of a country a self-assessment
  - Thus, the incremental cost should be relatively small and be linked to the self-assessment process.

Feedback from videoconferences (3)

- What is the framework for a multi-stakeholder self-assessment?
  - The assessment framework (methodology) is to be developed with REDD+ countries going forward
  - The methodology for readiness assessment may build on the 'standards' (FMT Note 2011-14), but with revisions.
  - The self-assessment process needs flexibility though a common set of indicators is desirable for consistency.

- What is the relationship of the strategic environmental and social assessment (SESA) and the R-Package?
  - The SESA is an important component of REDD+ readiness preparation.
  - An environmental and social management framework (ESMF) is an important benchmark of progress.

14

Next Steps and Actions for the PC

- Resolution PC/12/2012/1: PC agrees on
  - Purpose
  - Scope
  - Assessment Process

- Development of a draft assessment approach based on earlier proposal and feedback after PC12
  - FMT seeks feedback and input (via VCs?)
  - Tests framework in 1-2 countries
  - Presents a proposal at PC13

- Assessment approach could build on 'standards' approach presented earlier (FMT Note 2011-14), but with modifications
  - A standard (set of qualitative indicators) corresponding to each component of the R-Package
  - Standards can guide country self-assessment, i.e. assessment categories and qualitative levels of progress/achievement

Questions for the PC

- What is the scope of a country self-assessment?
  - What kind of guidance (assessment framework) is desired and adequate?

- Is the purpose, scope, assessment process (per FMT Note) agreeable?

- How should the draft assessment framework be developed (after PC12)?
  - How can REDD countries be most effectively involved?
  - Is if feasible to receive useful and representative country input prior to PC13?

THANK YOU!

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