



# Forest Carbon Partnership Facility

## **Processes for Submitting and Reviewing Mid-Term Progress Reports and Requests for Additional Funding by Participating REDD+ Countries**

Twelfth Meeting of the FCPF Participants Committee (PC12)

June 27-29, 2012

**Objective of session is to agree on:**

- 1. Process for submission of a Mid-Term Progress Report**
- 2. Process for requesting additional funding of up to US \$5 million**

# Mid-term progress reports: Background

- FCPF Charter requires a REDD Country Participant to report to the PC on progress made in implementation of the R-PP
- PC/7/2010/3 (on enhancing grant disbursement) specifies a mid-term progress report to the PC
  - Intention was to monitor progress in use of FCPF funds, and in implementation of R-PP

# Countries report progress on same Readiness elements over time

1. Readiness Organization and Consultation
  - 1a. National REDD Management Arrangements
  - 1b. Consultation, Participation, and Outreach
2. REDD+ Strategy Preparation
  - 2a. Assessment of Land Use, Land-Use Change Drivers, Forest Law, Policy and Governance
  - 2b. REDD+ Strategy Options
  - 2c. Implementation Framework
  - 2d. Social and Environmental Impacts
3. Reference Emissions Level/Reference Level
4. Monitoring Systems for Forests and Safeguards
  - 4a. National Forest Monitoring System
  - 4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards



# R-PP → Mid-term progress report → Readiness Package

- **Mid-term progress report:**
  - based on Country's self-assessment of REDD+ Readiness process
  - draws on information generated through Country's monitoring and evaluation system of the national Readiness program (per R-PP component 6).
  - may be complemented by other sources of information, such as Delivery Partner's visits to Country and/or external assessments if available.
  - is an important step leading to the Readiness Package.
- **Timing: "Midway" through \$3.8 million Readiness Preparation grant, as per timetable agreed with and in coordination with Delivery Partner**
  - **Not necessarily "midway" in Readiness process, so countries are at different points**
  - Not a decision point, but rather stocktaking
  - Also used by Delivery Partner as part of grant monitoring

# Mid-term progress report: Proposed process

Country prepares mid-term progress report

Country submits mid-term progress report to FMT four weeks prior to PC meeting.

Delivery Partner submits to FMT its mid-term grant monitoring report (or equivalent).

FMT verifies mid-term progress report complete; liaises with Country to achieve completeness

FMT posts mid-term progress report and mid-term grant monitoring report on website and forwards to PC at least two weeks prior to PC meeting

Country presents mid-term progress report at PC meeting. PC gives feedback, may document recommendations. No PC decision.

# Mid-term progress report: Proposed format

- **REDD Country Participant:**
  1. summarizes overall progress,
  2. reports on progress to date in implementing the four main components and corresponding sub-components of the R-PP as outlined in Annex 1, against the original schedule,
  3. provides analysis of this progress, including identifying gaps that need to be addressed,
  4. provides an updated budget (on the basis of the R-PP component 5 that included the original overall budget for national Readiness), and
  5. highlights the Readiness components that were supported with FCPF financing.

# Delivery Partner Grant Monitoring Report: Standard format

- Reports on use of funds, presents DP's assessment of progress towards achievement of the FCPF Grant, and qualitative report on progress towards Readiness in general
- Informed by work with and DP missions to Country
- Assesses progress in:
  - Achieving grant objectives
  - Implementation of grant financed activities
  - Components of the FCPF grant; example from DRC:
    - Support to the coordination of the REDD+ Readiness process and multi-stakeholder consultations
    - Contribute to the design of a national REDD+ strategy
    - Preparation of a national reference scenario for REDD+
- Specific elements include:
  - Readiness in general
  - Coordination of FCPF grant with other REDD+-related initiatives
  - Addressing key capacity issues related to the grant
  - Addressing social and environmental risks related to the grant (including SESA/ESMF)
  - Multi-stakeholder consultations
  - Reports on changes in the technical design or approach related to the grant activities
- Informs grant implementation going forward



# Country's report + Delivery Partner's report + others = Basis of PC's review of progress

- Country's mid-term progress report and Delivery Partner's mid-term grant monitoring report (or equivalent) posted on FCPF website and forwarded to PC
- Other information as available, e.g., external reviews, feedback from stakeholders, etc.
- Together form the basis of the PC's review of progress
- PC gives feedback, may document recommendations. No PC decision.

# Roles in the process

- **Delivery Partner**
  - Agrees with country on timetable mid-term report
  - Tracks grant implementation (for World Bank: Grant Reporting and Monitoring)
  - Makes adjustments to grant structure as necessary applying respective policies and procedures
  - Submits Grant Monitoring Report (or equivalent)
- **REDD Country Participants**
  - Submits mid-term report to Delivery Partner and the FMT prior to presentation at PC meeting
  - Presents (i) a progress summary, (ii) updates on all major Readiness activities (components mirror the R-PP and R-Package) and (iii) a financial summary
  - Uses country systems for monitoring and evaluation (R-PP component 6) as well as independent mid-term evaluations as appropriate
- **Participants Committee**
  - Provides feedback and guidance based on delivery partner and country report
  - Proposes actions as appropriate

## **Objective of session is to agree on:**

- 1. Process for submission of a Mid-Term Progress Report**
- 2. Process for requesting additional funding of up to US \$5 million**

# Additional funding of up to \$5 million: Background

- Recognizing:
  - some REDD Country Participants have made significant progress towards REDD+ readiness
  - need to provide additional funding to encourage further progress on readiness preparation
- Resolution PC/10/2011/1.rev enables additional funding of up to US\$5 million to REDD Country Participants that meet criteria:
  1. **PC's consideration of the mid-term progress report provided by the REDD Country Participant to the PC recognizes significant achievement of progress;**
  2. REDD Country Participant's **commitment of at least 50%** of the Formulation/Readiness Preparation Grant(s) at the time of the request;
  3. **Additional funding shall be used to support activities consistent with the endorsed R-PP**, to be agreed with the relevant DP, taking into account countries' needs;
  4. REDD Country Participants will submit a proposal for this additional funding **in accordance with a process to be agreed by the PC by PC 13**; and
  5. Allocation of additional funding to a REDD Country Participant that meets the conditions above shall be approved, subject to availability of resources in the Readiness Fund.

# Proposed process: Additional steps

Country prepares mid-term progress report

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Outlines additional funding requested of FCPF

Country submits mid-term progress report to FMT four weeks prior to PC meeting.

Delivery Partner submits to FMT its mid-term grant monitoring report (or equivalent).

FMT verifies mid-term progress report complete; liaises with Country to achieve completeness

FMT posts mid-term progress report and mid-term grant monitoring report on website and forwards to PC at least two weeks prior to PC meeting

Country presents mid-term progress report at PC meeting. PC gives feedback, may document recommendations. PC decides on additional allocation.

Delivery Partner undertakes due diligence to enter into/amend grant agreement

# Additional steps for Countries requesting additional funding

- Follows same mid-term progress report process, plus:
  - Mid-term progress report includes outline of additional funding requested to implement outstanding activities (criteria 3)
    - all mid-term progress reports include update on budget/expenditures (criteria 2)
  - PC decides whether to allocate the requested additional funding to the Country (criteria 1)
    - DP's grant monitoring report provides assessment of progress
  - Delivery Partner undertakes due diligence to enter into new grant agreement or amend existing grant agreement



THANK YOU!

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