



# Forest Carbon Partnership Facility

## Structure of the R-PP template Review and Assessment Criteria for R-PP

Global Dialogue on Developing a Readiness  
Preparation Proposal

August 13-14, 2009



The World Bank

# From Readiness Plan (R-Plan) to Readiness Preparation Proposal (R-PP)



The World Bank

# Early Lessons on R-Plan

1. Countries desire simpler template.
2. Harmonize with UN-REDD.
3. Shift to planning document that identifies funding priorities and timing – to enable donor funding decisions.
4. 15 review criteria difficult to operationalize.
5. Charter's "R-Plan approval" too evaluative for this stage.

# What has changed from R-Plan to R-PP?

- The structure and ordering of the components
- All components of the original R-Plan remain
- The 'capacity building and investment' component of the R-Plan is now embedded within each of the other components
- Funding budget for activities in each component
- Rationale and guidelines now included in the template

# R-PP-Purpose

R-PP provides a framework for taking stock of national situation with respect to deforestation and forest degradation and addressing the situation through analytical work in a range of areas and funded from a variety of sources.

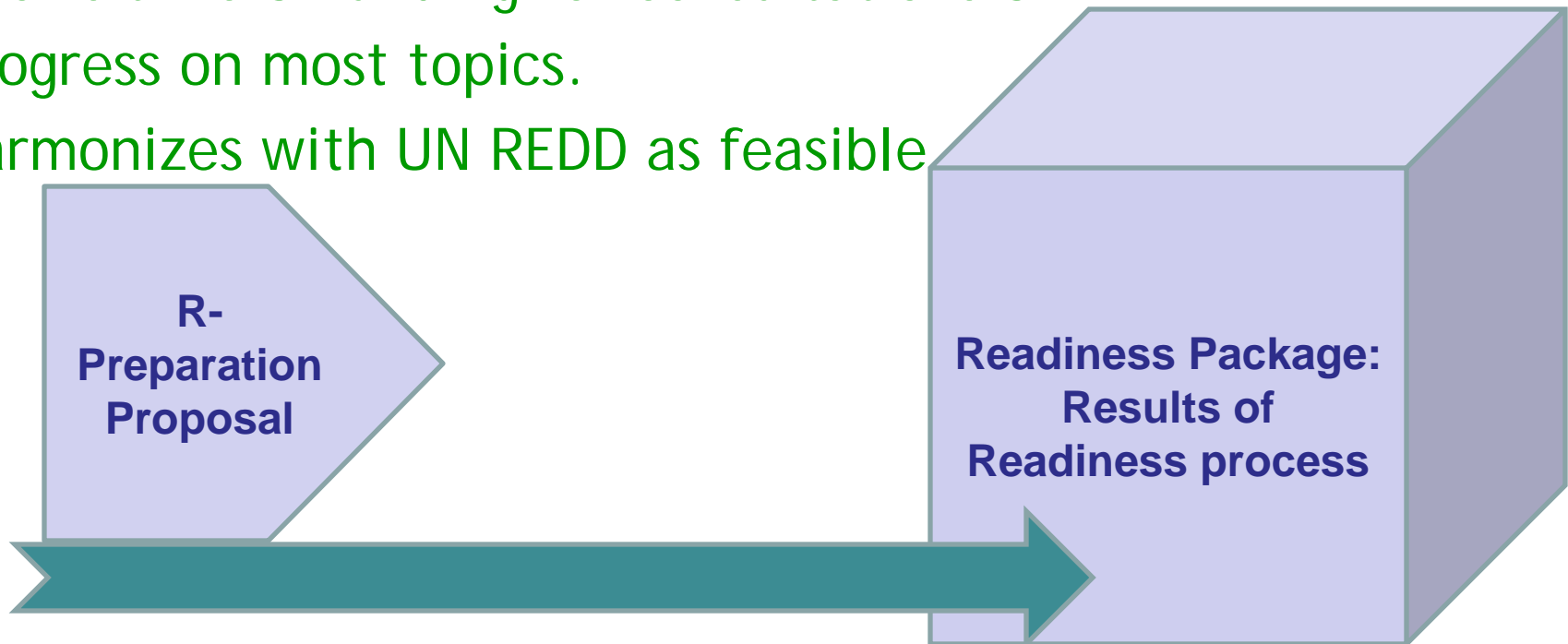
The readiness preparation proposal is the Formulation Phase in which country lays out a roadmap of preparation activities needed to be undertaken in the four core components.

# R-PP Purpose

The four core components are as follows:

- Assessment of deforestation and forest degradation
- REDD strategy options i.e actions to reduce deforestation and/or forest degradation
- Reference scenario (a scenario of forest cover change and emissions) for GHG emissions from REDD
- A monitoring system to measure, report and verify the effect of REDD strategy on GHG emissions

- Focus on key building blocks & what is known and knowable now.
- Includes request for funding of activities: “R-Preparation Proposal”
- Full “Readiness Package” details come later, when known.
- Allows time & funding for consultations & progress on most topics.
- Harmonizes with UN REDD as feasible



# R-PP Table of Contents

General Information and Executive Summary

Component 1: Organize and Consult

1a National Readiness Management Arrangements

1b: Stakeholder Consultation and Participation

Component 2: Prepare the REDD Strategy

2a Assessment of Land Use, Forest Policy and Governance

2b: REDD Strategy Options

2c: REDD Implementation Framework

2d: Social and Environmental Impacts

Component 3: Develop a Reference Scenario

Component 4: Design a Monitoring System

Component 5: Schedule and Budget

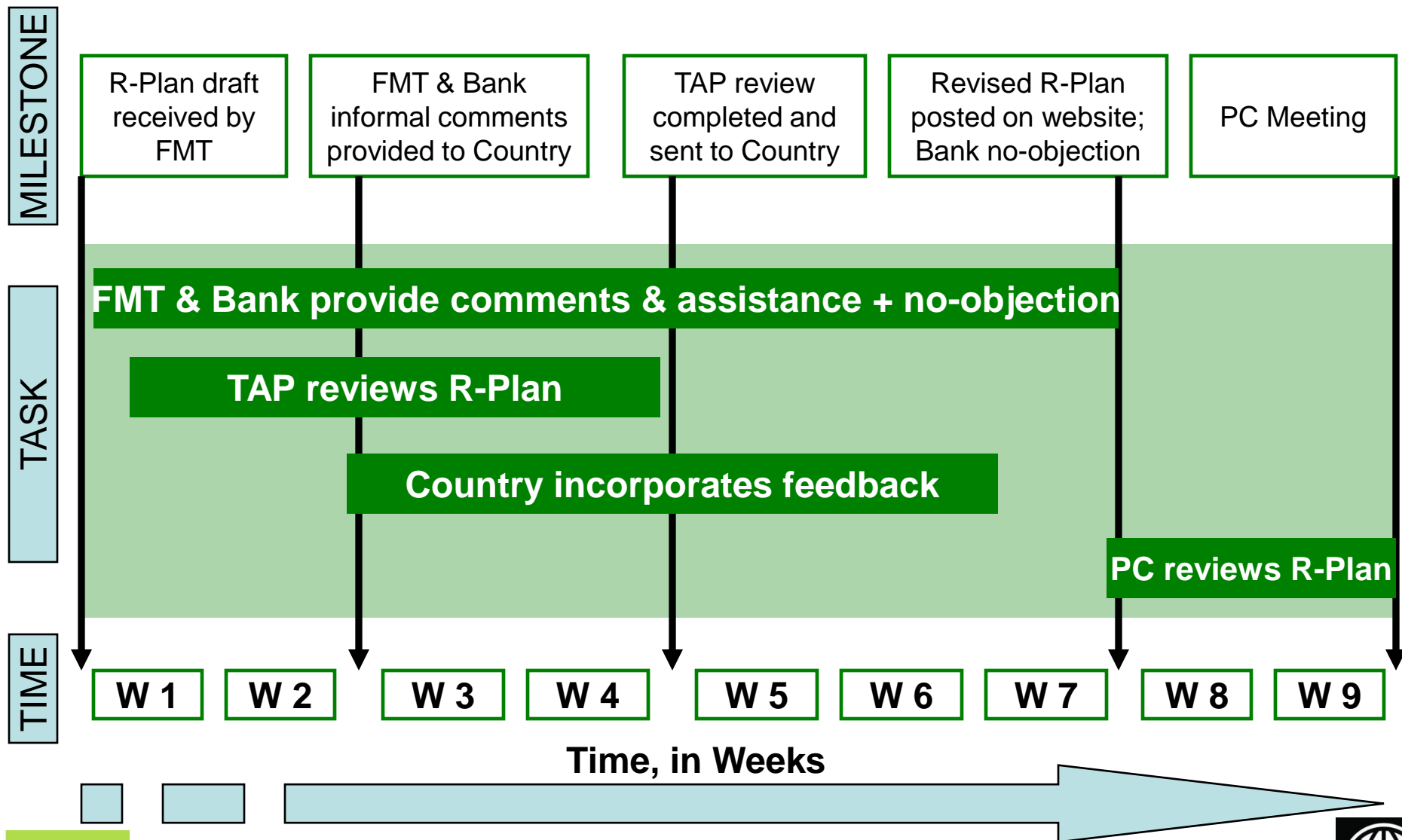
Component 6: Design a Program Monitoring and Evaluation

Framework

R - Plan	R-Preparation Proposal (R-PP)
<ul style="list-style-type: none"> <li>• 9 components</li> <li>• ToR required</li> <li>• Single budget for R-Plan</li> </ul>	<ul style="list-style-type: none"> <li>• 4 core components + Schedule and budget + program monitoring and evaluation</li> <li>• ToR recommended</li> <li>• Funding budget in each component</li> </ul>
<ul style="list-style-type: none"> <li>• Consultation Plan required</li> <li>• Degree of prior consultation not stated.</li> </ul>	<ul style="list-style-type: none"> <li>• Consultation Plan required               <ul style="list-style-type: none"> <li>-Start with framework for consultation and representative groups</li> <li>-Consultation Plan + process that broadens and deepens over time including consultations for assessment of social and environmental impacts</li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>• Quick assessment to inform REDD Strategy</li> </ul>	<ul style="list-style-type: none"> <li>• Assessment of Land use, Forest Policy and Governance+ deeper studies later</li> </ul>

# Process and criteria for assessment of R-PPs

# R-Plan Review Process Generic Schedule



- Crosscutting nature of readiness management arrangements
- Ownership, transparency, and dissemination of the R-PP by the government and relevant stakeholders
- Identifies drivers of deforestation, recognizes past successes and failures, identifies gaps and designs strategies that align with identified drivers
- Includes work plans for MRV, reference scenario and implementation that identify institutional and technical capacity needs
- Includes a program of work for due diligence for strategic environmental and social impact assessment in compliance with the Bank's safeguard policies
- Includes full suite of activities, and identifies capacity building and financial resources needed to accomplish these activities

# Standards to be met by R-PP Components

- Organize and Consult-

- **Standard 1a: National Readiness Management Arrangements**

The cross-cutting nature of the design and workings of the national readiness management arrangements on REDD, in terms of including major stakeholders and key government agencies beyond the forestry department, commitment of other sectors in planning and implementation of REDD readiness

- **Standard 1b: Consultation and Participation**

Ownership, transparency, and dissemination of the R-PP by the government and relevant stakeholders:  
Inclusiveness of effective and informed consultation and participation by major stakeholders

## REDD Strategy

- **Standard 2.a: Assessment of Land Use, Forest Policy, and Governance:**

Identifies major land use trends, direct and indirect deforestation and degradation drivers in the most relevant sectors in the context of REDD, and major land tenure and natural resource rights and issues? Document past successes and failures policies or measures for addressing drivers of deforestation, identifies significant gaps, challenges, and opportunities to address REDD.

- **Standard 2.b: REDD strategy Options:**

Aligns proposed REDD strategy with the identified drivers, and with existing national and sectoral strategies: the R-PP includes a summary of the emerging REDD strategy to the extent known presently, and of proposed analytic work (and, optionally, ToR) for assessment of the various REDD strategy option

# Standards to be met by R-PP Components

- **Standard 2.c: REDD implementation framework:**  
Describes activities to further elaborate institutional arrangements and issues relevant to REDD in the country setting that identifies key issues, explores potential arrangements to address them, and offers a work plan that seems likely to allow their full evaluation and adequate incorporation into the eventual Readiness Package.
- **Standard 2.d: Assessment of social and environmental impacts:**  
The proposal includes a program of work for due diligence for strategic environmental and social impact assessment in compliance with the Bank's safeguard policies, including methods to evaluate how to address those impacts via studies, consultations, and specific mitigation measures aimed at preventing or minimizing adverse effects.

# Standards to be met by R-PP Components

- **Standard 3 Reference scenario:**

Present work plan for how the reference scenario for deforestation, and for forest degradation (if desired), will be developed, including early ideas on feasibility of which methods to use (e.g., scenario of forest cover change and emissions based on historical trends in emissions and/or based on projections into the future of historical trend data), major data requirements and capacity needs, and linkages to the monitoring system design.

# Standards to be met by R-PP Components

- **Standard 4: Design a monitoring system:**

Initial design of an integrated monitoring system of measurement, reporting and verification of changes in deforestation and/or forest degradation

The system design should include early ideas on including capability (either within an integrated system, or in coordinated activities) to monitor rural livelihoods, conservation of biodiversity, key governance factors directly pertinent to REDD implementation in the country, and to assess the impacts of the REDD strategy in the forest sector.

Describes major data requirements, capacity requirements, how transparency of the monitoring system and data will be addressed, early ideas on which methods to use, and how the system would engage participatory approaches to monitoring by forest-dependent indigenous peoples and other forest dwellers.

# Standards to be met by R-PP Components

- **Standard 5: Completeness of information and resource requirements**

Proposes a full suite of activities to achieve REDD readiness, and identifies capacity building and financial resources needed to accomplish these activities. A budget and schedule for funding and technical support requested from the FCPF, as well as from other international sources (e.g., UN-REDD or bilateral assistance) are summarized by year and by potential donor.

The information presented reflects the priorities in the R-PP, and is sufficient to meet the costs associated with REDD readiness activities identified in the R-PP, or gaps in funding are clearly noted.

# Standards to be met by R-PP Components

## Design a Program Monitoring and Evaluation Framework

Standard 6: Adequately describes the indicators that will be used to monitor program performance of the R-PP activities, and to identify in a timely manner any shortfalls in performance timing or quality. The R-PP demonstrates that the framework will assist in transparent management of financial and other resources, to meet the activity schedule.